

Firm Name: EisnerAmper LLP
Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B
 Engagement: NJ Transit P.O. #B-51389-003
 For Quarter Ending: 06/30/2021

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

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9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$22,870,593.13 Henderson Substation (NJT00766) - \$22,168,185.15 Bayhead Substation (NJT00768) - \$7,746,371.27 ROC Unit Substation (NJT01113) - \$11,525,149.78	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	March 2023	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated, via conference call, progress meeting #39 on April 1, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #27 on April 6, 2021 related to contract 17-026X (Bay Head). Participated, via conference call, on April 7, 2021 with NJT IAD regarding Naik DBE participation (17-007). Participated via conference call, progress meeting #56 and #54 on April 7, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, on April 8, 2021 regarding overhead wire specs related to contract 16-035X (ROC). Participated, via conference call, progress meeting #40 on April 15, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #28 on April 20, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #57 and #55 on April 21, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated on a call with PKF on April 23, 2021 regarding document requested (17-026X). Conducted a site visit for contracts 17-053X (Henderson) and 16-049X (Hoboken) on April 28, 2021 to observe project status. Participated, via conference call, on April 29, 2021 with NJT OBD and IAD regarding Naik DBE participation (17-007). Participated, via conference call, progress meeting #41 on April 29, 2021 related to contract 16-035X (ROC). Participated, via conference call, Pencil payment application reconciliation #21 related to contract 16-049X (Hoboken) on May 4, 2021. Participated, via conference call, progress meeting #29 on May 4, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #58 and #56 on May 5, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #42 on May 13, 2021 related to contract 16-035X (ROC).	

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No.	Recipient Data Elements	Response	Comments
		Participated, via conference call, progress meeting #30 on May 18, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #59 and #57 on May 19, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, Pencil payment application reconciliation #22 related to contract 16-049X (Hoboken) on May 21, 2021. Participated, via conference call, on May 25, 2021 with NJT IAD regarding Naik DBE participation. Participated, via conference call, progress meeting #43 on May 27, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #31 on June 1, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #60 and #58 on June 2, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, Pencil payment application reconciliation related to contract 17-053X (Henderson) on June 2, 2021. Participated, via conference call, progress meeting #44 on June 10, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #32 on June 15, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #61 and #59 on June 16, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken).Held a call with DMR regarding open invoice items on June 21, 2021 (16-049X). Participated, via conference call, progress meeting #45 on June 24, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #33 on June 29, 2021 related to contract 17-026X (Bay Head).	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #56 through #61 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #54 through #59 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #39 through #44 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #27 through #32 related to Contract No. 17-026X (Bay Head). Received various DBE forms for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik). Received various payment applications for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head). Received NPC logs and approval packages related to Contract 17-026X (Bay Head), 17-053X (Henderson), 16-035X (ROC) and 16-049X (Hoboken). Received various construction documents including Submittal logs, RFI logs, look ahead schedules and daily inspection reports, commissioning documents for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head).	

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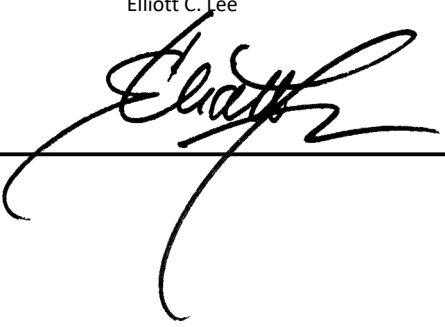
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No.	Recipient Data Elements	Response	Comments
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed invoice testing related to Contract No. 17-053X (Henderson), 17-007 (Naik), 17-026X (Bay Head), 16-049X (Hoboken), 16-035X (ROC). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures. Performed fieldwork construction site visits for Contracts No. 17-053X (Henderson) and 16-049X (Hoboken).	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X) and PKF Marks (Contract No. 17-026X) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	On March 9, 2020, Governor Phil Murphy declared a State of Emergency and a Public Health Emergency, effective immediately, to ramp up New Jersey's efforts to contain the spread of COVID-19. This order was extended on April 7, May 6, June 4, July 2, August 1, August 27, September 25, October 24, November 22 and December 21, 2020. This order was further extended in 2021 on January 19, February 17, March 17, April 15 and May 14, 2021. On June 4, 2021, Governor Murphy signed Executive Order No. 244, ending the COVID-19 Public Health Emergency. Based on our communications and monitoring, this does not appear to have affected the project during this quarter.	

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21.	Provide details on any other items of note that have occurred in the past quarter	<p>For contract no. 17-026X (Bay Head), on May 11, 2020, the Borough of Bay Head filed an Order to Show Cause and Complaint in Ocean County Superior Court. On May 15, 2020, NJ TRANSIT was served with an Order, preliminarily enjoining NJ TRANSIT from performing any construction or land disturbance associated with the construction of Bay Head Substation. Effective on August 31, 2020, NJ TRANSIT provided a suspension of work notification for this contract. On November 6, 2020, the injunction was lifted, and, on November 9, 2020, PKF Mark III, Inc. resumed project activities.</p> <p>On March 22, 2021, the Borough of Bay Head filed a summary judgment motion, seeking a new order prohibiting construction on the Project. On June 8, 2021, the court issued an order denying Plaintiffs' request for an injunction and dismissing all of Plaintiffs' claims -- with the exception of the Open Public Records Act (OPRA) claim, which was transferred to the Superior Court, Essex County.</p>	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date: September 30, 2021	

State of New Jersey
Department of Treasury
Work Authorization No. 3
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Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
David A. Cace	0.10
Elliott Lee	22.61
Timothy Van Noy	3.80
Maureen Egan	0.30
Craig Mann	99.75
Nancy Pham	38.34
Marisol Gonzalez	61.43
Ken Brzozowski*	3.75
Quinn Allen*	35.50
Stephanie Blue*	13.40
Subtotal_IOM Services for the Substations Program	278.98
David A. Cace	3.00
Elliott Lee	6.40
Timothy Van Noy	2.00
Craig Mann	50.35
Nancy Pham	5.35
Ken Brzozowski*	2.75
Quinn Allen*	5.00
Stephanie Blue*	2.50
Subtotal_Rev. 1 – Substation Program: Construction Management Services	77.35
Total	356.33

* Member of EisnerAmper's DBE firm.