

SUBCHAPTER 3. REPORTING REQUIREMENTS

- 19:49-3.1 Junket schedules
- 19:49-3.2 Junket arrival reports
- 19:49-3.3 Junket final reports
- 19:49-3.4 Junket enterprise and junket representative compensation agreements based on patron gaming activity
- 19:49-3.5 Purchases of patron lists
- 19:49-3.6 Monthly reports: employee junket activities

19:49-3.1 Junket schedules

(a) A junket schedule shall be prepared by a casino licensee for each junket which involves a junket enterprise.

(b) A junket schedule shall be filed with the Division by the casino licensee by the 15th day of the month preceding the month in which the junket is scheduled. If a junket for which a junket schedule is required by (a) above is arranged after the 15th day of the month preceding the arrival of the junket, an amended junket schedule shall be immediately filed with the Division by the casino licensee.

(c) Junket schedules shall be certified by an authorized agent of the casino licensee and shall include:

1. The origin of the junket;
2. The number of participants in the junket;
3. The arrival time and date of the junket;
4. The departure time and date of the junket;
5. The name of all junket representatives and the name and license or vendor registration number of all junket enterprises involved in the junket; and
6. If any junket enterprise or junket representative involved in the junket shall be compensated based upon the actual gaming activity of a

patron, the name of the junket enterprise or junket representative and the date of the compensation agreement governing the junket that was filed with the Division pursuant to N.J.A.C. 19:49-3.4.

(d) Any change in the information required by (c)3, 5 or 6 above which occurs after the filing of a junket schedule or amended junket schedule with the Division shall be immediately reported in writing to the Division by the casino licensee. These changes, plus any other material change in the information provided in a junket schedule, shall also be noted on the arrival report maintained pursuant to N.J.A.C. 19:49-3.2.

(e) All filings required by this section shall be made at locations to be designated by the Division.

As amended, effective: 07/20/87

As amended, effective: 04/03/89

As amended, effective: 10/19/92

As amended, effective: 10/02/06

19:49-3.2 Junket arrival reports

(a) An arrival report shall be prepared by a casino licensee for each junket which involves either:

1. A junket enterprise; or
2. An offer of complimentary services or items which have a value in excess of \$200.00 per participant calculated in accordance with the provisions of N.J.A.C 19:45-1.9; or
3. Complimentary guest room accommodations; or
4. A compensation agreement governed by N.J.S.A. 5:12-104a(2) and N.J.A.C. 19:49-3.4.

(b) Arrival reports shall be prepared and maintained by the casino licensee on the premises of its casino hotel in accordance with the following:

1. An arrival report on a junket involving complimentary guest room accommodations shall be prepared within 12 hours of the registration of

the junket participant.

2. An arrival report on a junket required by (a)1, 2 or 4 above shall be prepared by 5:00 P.M. of the next calendar business day following arrival. A junket arrival which occurs after 12:00 A.M. but before the end of the gaming day shall be deemed to have occurred on the preceding calendar day. For the purposes of this section, a business day shall be defined as any day except a Saturday, Sunday or State and Federal holiday.

(c) Arrival reports shall be certified by an authorized agent of the casino licensee and shall include:

1. A junket guest manifest listing the names and addresses of junket participants; and

2. Any information required by N.J.A.C. 19:49-3.1(c) which has not been previously provided to the Division in a junket schedule pertaining to that particular junket, or an amendment thereto filed pursuant to N.J.A.C. 19:49-3.1(d).

As amended, effective: 04/03/89

As amended, effective: 10/19/92

As amended, effective: 10/02/06

19:49-3.3 Junket final reports

(a) A final report shall be prepared by a casino licensee for each junket engaged in or on its premises for which the casino licensee was required to prepare either a junket schedule or an arrival report pursuant to N.J.A.C. 19:49-3.1(a) or 3.2(a).

(b) A final report shall be prepared by a casino licensee and placed in its files within seven days of the completion of the junket.

(c) A final report shall include:

1. The actual amount of complimentary services and items provided to each junket participant calculated in accordance with the provisions of N.J.A.C. 19:45-1.9;

2. Any information required by N.J.A.C. 19:49-3.1(c) or 3.2(c); and
3. If the junket involved a compensation agreement governed by N.J.S.A. 5:12-104a(2) and N.J.A.C. 19:49-3.4, the amount of compensation earned by each junket enterprise or junket representative pursuant to the agreement.

As amended, effective: 04/03/89

As amended, effective: 06/19/95

As amended, effective: 10/02/06

19:49-3.4 Junket enterprise and junket representative compensation agreements based on patron gaming activity

(a) A junket enterprise or junket representative licensed, qualified or registered in accordance with the Act and this chapter may be compensated by a casino licensee based upon the actual gaming activity of any patron procured or referred by the junket enterprise or junket representative if the agreement is in writing and is filed with the Division by the casino licensee at least five days prior to the arrival date of any junket that is governed by the compensation agreement.

(b) Any junket compensation agreement governed by N.J.S.A. 5:12-104a(2) and this section shall include, at a minimum, the following information:

1. The name of any participating casino licensee;
2. The name and, as applicable, license or vendor registration number of any junket enterprise, junket representative or person acting as a junket representative who may receive compensation pursuant to the terms of the agreement;
3. A description of the essential terms of the compensation agreement including, without limitation, the manner in which compensation is to be calculated (for example, based upon the amount of play, actual loss or

player rating; for each trip or over a period of time; etc.), how the gaming based compensation is to be distributed among junket enterprises or junket representatives participating in the junkets governed by the agreement, and the limitations, if any, on the amount of compensation that may be earned based on gaming activity;

4. The term or duration of the agreement;
5. The date of the agreement; and
6. The signatures of persons authorized to represent the parties to the agreement.

(c) Any material change to one or more of the terms of the agreement, including the information required pursuant to (b) above, shall be submitted to the Division by the casino licensee at least five days prior to the arrival date of the first junket that shall be governed by the amended terms of the compensation agreement or, if the agreement is being terminated, within five days of its termination.

(d) All filings required by this section shall be made at locations to be designated by the Division.

Adopted, effective: 10/02/06

19:49-3.5 Purchases of patron lists

(a) Each casino licensee, affiliate of a casino licensee, junket representative or junket enterprise shall prepare and maintain a report with respect to each list of names of junket patrons or potential junket patrons purchased from any source whatsoever.

- (b) The report required by (a) above shall include:
1. The name and address of the person or enterprise selling the list;
 2. The purchase price paid for the list, or any other terms of compensation related to the transaction; and

3. The date of purchase of the list.

(c) The report required by (a) above shall be filed as soon as is practicable at a location to be designated by the Division, but in no event shall such report be filed later than seven days after the receipt of the list by the purchaser.

As amended, effective: 04/03/89

As amended, effective: 10/19/92

As amended, effective: 06/19/95

As amended, effective: 11/15/99

19:49-3.6 Monthly reports: employee junket activities

Each casino licensee shall, on or before the 15th day of the month, prepare and maintain a report listing the name and license number of each person employed by that casino licensee or an affiliate of a casino licensee who performed the services of a junket representative during the preceding month. The report shall be maintained by the casino licensee on the premises of its casino hotel and made available to the Division for inspection.

As amended, effective: 06/19/95