## State of New Jersey Civil Service Commission Division of Equal Employment Opportunity and Affirmative Action Discrimination Complaint Processing Form

**INSTRUCTIONS:** This complaint form can be completed by a complainant or with the assistance of the Equal Employment Opportunity/Affirmative Action Officer or the alternate designee for the State department, agency, commission, or State college/university where you work or applied for employment.

For detailed information on the complaint process, see the State of New Jersey Model Procedure for Processing Internal Complaints Alleging Discrimination in the Workplace (Model Procedure) on Page 2 of this form.

1. Name:	2. Name of State Dept., Agency, Commission or College:	3. Telephone (Work):
4. Job Title:	5. Division / Office / Facility:	6. Telephone (Home):
7. Home Address:	8b. Full name, title, and telephone number of person(s) you b	elieve discriminated against you:
8a. Date(s) of discriminatory action(s):		
8c. Complainant's Status (Check applicable box):	I	
Employee Job Applicant Vendor/Contractor Other (Please specify)		
9. Basis of Discrimination:		
Age	Familial Status       [         Gender Identity or Expression       [         Genetic Information (including refusal to submit to or provide results of a genetic test)       [         Liability for Military Service       [         Marital /Civil Union Status       [         Nationality       National Origin	<ul> <li>Pregnancy</li> <li>Race</li> <li>Religion</li> <li>Sex/Gender</li> <li>Sexual Harassment</li> <li>Retaliation (for having filed a discrimination complaint, participating in a complaint investigation, or for opposing a discriminatory practice)</li> </ul>
10a. Explain why you feel you have been discriminated against:          CHECK IF ADDITIONAL SHEETS ARE ATTACHED		
10b. Were the actions or behavior you are complaining about directed at, or said to, you 🗌 and/or another party 🔲 (third party harassment)?		
10c. Was the incident reported to anyone? YES NO If yes, who and when?		
10d. What remedy or resolution are you seeking?		
10e. If appropriate, as determined by the EEO Officer, are you willing to attempt to resolve your complaint through mediation or another alternative dispute resolution (ADR) process?		
10f. Complainant's Signature:	Date:	
11. Have you filed a discrimination complaint with the         • N.J. Division on Civil Rights?       YES       NO         • U.S. Equal Employment Opportunity Commission?       YES	6 🗌 NO	<ul> <li>Have you filed a grievance on the issues / personnel actions described?</li> <li>☐ YES ☐ NO</li> </ul>
13. Completion of this part is voluntary. The information is to be used only for State and Federal record keeping and reporting requirements:         SEX:       Male       Female       Non-Binary         RACE:       American Indian or Alaska Native       Asian       Black or African American       Native Hawaiian or Other Pacific Islander       White         ETHNICITY:       Hispanic or Latino       Not Hispanic or Latino		
<b>Note:</b> In addition to filing an internal complaint, a complainant has a right to use external complaint filing procedures available under State law (with the N.J. Division on Civil Rights) and federal law (with the U.S. Equal Employment Opportunity Commission). Detailed information is contained in the Model Procedure found on Page 2 of this form.		
	DO NOT WRITE BELOW THIS LINE	
EEO/AA Officer Signature:		Date Received:
New Jersey Civil Service Commission Division of EEO/AA		P.O. Box 315 Trenton, NJ 08625-0315

609-984-1096

DPF481

## STATE OF NEW JERSEY MODEL PROCEDURE FOR PROCESSING INTERNAL COMPLAINTS ALLEGING DISCRIMINATION IN THE WORKPLACE

As set forth in N.J.A.C. 4A:7-3.2, "[e]ach State department, commission, State college or university, agency, and authority (hereafter referred to in this section as "State agency") is responsible for implementing this model procedure, completing it to reflect the structure of the organization, and filing a copy of the completed procedure with the Division of EEO/AA [Equal Employment Opportunity/ Affirmative Action]

- All employees and applicants for employment should promptly report suspected violations of the 1. State Policy Prohibiting Discrimination in the Workplace, N.J.A.C. 4A:7-3.1 ("State Policy"). The complainant shall have the burden to articulate a sufficient nexus between the alleged conduct to a protected category pursuant to the State Policy.
- 2. Complaints of prohibited discrimination/harassment can be reported to (Name of State agency's EEO/AA Officer), the EEO/AA Officer, to any supervisory employee of the State agency, or through the State's Hotline (833-691-0404). Complaints may also be reported to (**Name of authorized designee**). To facilitate the reporting of a complaint, Discrimination Complaint Processing Forms (DPF-481) can be found on the **(Name of State agency's)** Intranet or the New Jersey Civil Service Commission's ("NJCSC") website.
- 3. Complaints and allegations of discrimination/harassment should be reported promptly. Delays in reporting may not only hinder a proper investigation, but may also unnecessarily subject the victim to continued prohibited conduct.
- 4. Supervisory employees shall immediately report all alleged violations of the State Policy to (Name of State agency's EEO/AA Officer), EEO/AA Officer. Such a report shall include both alleged violations reported to a supervisor, and those alleged violations directly observed by the supervisor.
- If reporting a complaint to any of the persons set forth in paragraphs 1 through 4 above presents a conflict of interest, the complaint may be filed directly with the Division of EEO/AA, P.O. Box 5. 315, Trenton, NJ 08625-0315. An example of such a conflict would be where the individual against whom the complaint is made is involved in the intake, investigative, or decision-making process
- In order to facilitate a prompt, thorough, and impartial investigation, all complainants are 6. encouraged to submit a Discrimination Complaint Processing Form (DPF-481). An investigation may be conducted whether or not the form is completed.
- Each State agency shall maintain a written record of the discrimination/harassment complaints received. Written records shall be maintained as confidential records to the extent practicable and appropriate. A copy of all complaints (regardless of the format in which submitted) must be submitted to the Division of EEO/AA, by the State agency's EEO/AA Officer, along with a copy of the acknowledgement letter(s) sent to the person(s) who filed the complaint and, if applicable, the complaint notification letter sent to the person(s) against whom the complaint has been filed including the basis for the complaint and whether or not an investigation will be initiated. When a complaint on its face is insufficient to determine the nature and scope of the allegations, the EEO/AA Officer shall interview the person submitting the complaint for additional information to determine whether the allegations implicate the State Policy. If after conducting the interview of a complainant, the EEO/AA Officer determines that an investigation is not warranted, a letter a bind basis of the decision of the allegations that have been made. Copies of complaints field with the New Jersey Division on Civil Rights, the U.S. Equal Employment Opportunity Commission, or in court also must be submitted to the Division of EEO/AA.
- During the initial intake of a complaint, the EEO/AA Officer or authorized designee will 8. obtain information regarding the complaint and determine if interim corrective measures are necessary to prevent continued violations of the State Policy. Interim corrective actions include, but are not limited to:
  - Separation of parties;

  - b. Removal of partices, from the workplace; and
     c. Involvement of law enforcement, when appropriate, for instances involving bodily harm or serious bodily harm
- At the EEO/AA Officer's discretion, a prompt, thorough, and impartial investigation into the 9. alleged harassment or discrimination will take place.

In determining whether or not a thorough and impartial investigation is warranted, the EEO/ AA Officer when reviewing complaints shall consider, but is not limited to considering, the following factors: the facts presented, whether the complainant articulated a sufficient nexus between the alleged conduct to a protected category as set forth in N.J.A.C. 4A:7-3.1(a), the time the incident(s) occurred, the time the incident was reported, and whether the complainant and/or respondent is a current State employee (regardless of when the incident occurred).

- 10. An investigative report will be prepared by the EEO/AA Officer or authorized designee when the investigation is completed. The report will include, at a minimum:
  - a. A summary of the complaint;

  - b. A summary of the parties' positions; c. A summary of the facts developed through the investigation; and
  - d. An analysis of the allegations and the facts.

The investigative report will be submitted to (State agency head or designee) who will issue a final letter of determination to the parties.

- The (State agency head or designee) will review the investigative report issued by 11. the EEO/AA Officer or authorized designee, and make a determination as to whether the allegation of a violation of the State Policy has been substantiated. If a violation has occurred, the (State agency head or designee) will determine the appropriate corrective measures necessary to immediately remedy the violation
- The (State agency head or designee) will issue a final letter of determination to both the 12. complainant(s) and the person(s) against whom the complaint was filed, setting forth the results of the investigation and the right of appeal to the NJCSC, as set forth in Paragraphs 13 and 14 below. To the extent possible, the privacy of all parties involved in the process shall be maintained in the final letter of determination. The Division of EEO/AA shall be furnished with a copy of the final letter of determination.
  - a. The letter shall include, at a minimum:
    - 1. A brief summary of the parties' positions;
    - 2. A brief summary of the facts developed during the investigation; and 3. An explanation of the determination, which shall include whether:
    - The allegations were either substantiated or not substantiated, and II. A violation of the State Policy did or did not occur.
  - b. The investigation of a complaint shall be completed and a final letter of determination shall be issued no later than 120 days after the initial intake of the complaint referred to in paragraph 8 above is completed.
  - c. c. The time for completion of the investigation and issuance of the final letter of determination may be extended by the State agency head for up to 60 additional days in cases involving exceptional circumstances. The State agency head shall provide the Division of EEO/AA and all parties with written notice of any extension and shall include in the notice an explanation of the exceptional circumstances supporting the extension.
- A complainant who is in the career, unclassified, or senior executive service, or who is an 13 applicant for employment, who disagrees with the determination of the (State agency head or designee), may submit a written appeal, within 20 days of the receipt of the final letter of determination from the (State agency head or designee), to the NJCSC, Division of Appeals and Regulatory Affairs ("DARA"), Written Record Appeals Unit, P.O. Box 312, Trenton, NJ 08625-0312. The appeal shall be in writing and include all materials presented by the complainant at the State agency level, the final letter of determination, the reason for the appeal, and the specific relief requested. Please be advised that there is \$20 fee for appeals. Please include a check or money order along with the appeal, payable to NJCSC. Persons receiving certain public assistance and those qualifying for NJCSC Veterans Preference are exempt from this fee. Alternatively, you may initially file your appeal online at: https://info.csc. nj.gov/DARA
  - a. Employees filing appeals which raise issues for which there is another specific appeal procedure must utilize those procedures. The NJCSC may require any appeal, which raises issues of alleged discrimination and other issues, such as examination appeals, to be processed using the procedures set forth in N.J.A.C. 4A:7-3.2 or a combination of procedures as the NJCSC deems appropriate. See N.J.A.C. 4A:2-1.7.
  - b. If an appeal under this chapter raises issues concerning the employee not receiving an advancement appointment, the NJCSC shall decide those issues in the course of its determination.
  - The NJCSC shall decide the appeal on a review of the written record or such other proceeding as it deems appropriate. See N.J.A.C. 4A:2-1.1(d).
  - d. The appellant shall have the burden of proof in all discrimination appeals brought before the NJCSC.
- In a case where a violation has been substantiated, and no disciplinary action recommended. 14. the party(ies) against whom the complaint was filed may appeal the substantiated determination to the NJCSC at the address indicated in Paragraph 13 above within 20 days of receipt of the final letter of determination by the State agency head or designee.
  - a. The burden of proof shall be on the appellant. b. The appeal shall be in writing and include the final letter of determination, the reason for
  - the appeal, and the specific relief requested. c. If disciplinary action has been recommended in the final letter of determination, any
  - party(ies) charged may appeal using the procedures set forth in N.J.A.C. 4A2-2 and 3.
- Where an appeal of a decision on a discrimination complaint has been filed with the NJCSC, the Director of the Division of EEO/AA shall be placed on notice of the appeal and given the 15. opportunity to submit comments to the NJCSC regardless of whether or not the complaint was initially filed directly with the Director of the Division of EEO/AA.
- Any employee or applicant for employment can file a complaint directly with external agencies 16. that investigate discrimination/harassment charges in addition to utilizing this internal procedure. The time frames for filing complaints with external agencies indicated below are provided for informational purposes only. An individual should contact the specific agency to obtain exact time frames for filing a complaint. The deadlines run from the date of the last incident of alleged discrimination/harassment, not from the date that the final letter of determination is issued by the State agency head or designee

## Employees may file complaints with the following external agencies:

**Division on Civil Rights** NJ Department of Law & Public Safety (Within 180 days of the discriminatory act)

Central Regional Office 140 East Front Street, 6th Floor P.O. Box 090 Trenton NJ 08625-0090 (609) 292-4605

Northern Regional Office 31 Clinton Street, 3rd floor P.O. Box 46001 Newark, NJ 07102 (973) 648-2700

South Shore Regional Office 1601 Atlantic Ave., 6<sup>th</sup> Floor Atlantic City, NJ 08401 (609) 441-3100

Southern Regional Office 5 Executive Campus, Suite 107 Cherry Hill, NJ 08034 (856) 486-4080

United States Equal Employment Opportunity Commission (EEOC) (Within 300 days of the discriminatory act) National Call Center 1(800) 669-4000

See N.J.A.C. 4A:7-3.2

\*Newark Area Office 2 Gateway Center, 17<sup>th</sup> Floor Newark, NJ 07102 (973) 645-4684

\*\*Philadelphia District Office 801 Market Street, Suite 1300 Philadelphia, PA 19107-3127 (215) 440-2600

\* Newark Area Office has jurisdiction over the State of New Jersey Counties of Bergen, Essex, Hudson, Hunterdon, Mercer, Middlesex, Monmouth, Morris, Passaic, Somerset, Sussex, Union and Warren.

\*\* The Philadelphia District Office has jurisdiction over the State of New Jersey, Counties of Atlantic, Burlington, Camden, Cape May, Cumberland, Gloucester, Ocean and Salem.