

# **Administration for Children and Families**

Administration on Children, Youth and Families - Family and Youth Services Bureau

Basic Center Program
HHS-2017-ACF-ACYF-CY-1239
Application Due Date: 07/14/2017

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# Department of Health & Human Services Administration for Children and Families

Funding Opportunity Title: Basic Center Program

**Announcement Type:** Initial

Funding Opportunity Number: HHS-2017-ACF-ACYF-CY-1239

Primary CFDA Number: 93.623
Due Date for Applications: 07/14/2017

# **Executive Summary**

Notice:

• Applicants are strongly encouraged to read the entire funding opportunity announcement (FOA) carefully and observe the application formatting requirements listed in *Section IV.2. Content and Form of Application Submission*. For more information on applying for grants, please visit "How to Apply for a Grant" on the ACF Grants Page at <a href="https://www.acf.hhs.gov/grants/howto">https://www.acf.hhs.gov/grants/howto</a>.

The Administration for Children and Families (ACF), Administration on Children, Youth and Families (ACYF), Family and Youth Services Bureau (FYSB) supports organizations and communities that work every day to put an end to youth homelessness, adolescent pregnancy, and domestic violence. FYSB's Runaway and Homeless Youth program (RHY) is accepting applications for the Basic Center Program (BCP). The purpose of the BCP is to provide temporary shelter and counseling services to youth who have left home without permission of their parents or guardians, have been forced to leave home, or other homeless youth who might otherwise end up in contact with law enforcement or in the child welfare, mental health, or juvenile justice systems.

# I. Program Description

# **Statutory Authority**

Grants for RHY BCPs are authorized by section 311(a)(1) of the RHY Act at 42 U.S.C. 5711(a)(1), as most recently amended by Pub. L. 110-378 on October 8, 2008. Text of this legislation can be located at: http://www.acf.hhs.gov/programs/fysb/resource/rhy-act.

As required by the Reconnecting Homeless Youth Act of 2008, Pub. L. 110-378, the FYSB issued a Final Rule to improve performance standards and program requirements for runaway and homeless youth programs. The RHY Act Final Rule (45 CFR § 1351) was published in the Federal Register on December 20, 2016.

Description BACKGROUND

# Administration on Children, Youth and Families, Runaway Homeless Youth Program

ACYF is committed to facilitating healing, recovery, and promoting the social and emotional well-being of children, youth, and families who have experienced homelessness, neglect, exposure to violence, and/or trauma. Awards governed by this FOA and other discretionary spending from ACYF and FYSB are designed to ensure that effective interventions are in place to build skills and capacities that contribute to the health, positive, and productive functioning of children and the healthy transition of youth into adulthood.

An important component of promoting social and emotional well-being includes addressing the impact of trauma, which can have a profound effect on the overall functioning of children, youth, and families. Efforts to address the impact of trauma are essential in cultivating social and emotional well-being; therefore, the RHY Program promotes a trauma-informed approach, which involves understanding and responding to the symptoms of chronic, interpersonal trauma and traumatic stress, and the behavioral and mental health consequences of trauma.

FYSB continues supporting projects to increase sexual exploitation prevention and intervention within RHY Programs. These ongoing efforts seek to minimize sexual exploitation and trafficking incidents among homeless and street youth and equip programs with the necessary tools to serve and identify youth victims or those at risk of becoming trafficking victims.

# **Basic Center Program**

The BCPs work to establish or strengthen community-based programs that meet the immediate needs of runaway and homeless youth and their families. The Basic centers provide youth under 18 years of age with emergency shelter, food, clothing, counseling and referrals for health care. Basic centers can provide up to 21 days of shelter for youth and seeks to reunite young people with their families, whenever possible, or to locate appropriate alternative placements. If a BCP applicant is not currently serving youth, it must have a viable startup plan that details deadlines for obtaining licensure, hiring and training staff, securing beds, and initiating outreach within 90 days of award; and it must be able to implement delivery of services within 6 months of award.

Additional services may include: street-based services; home-based services for families with youth at risk of separation from the family; drug abuse education and prevention services; and at the request of runaway and homeless youth, testing for sexually transmitted diseases.

## PURPOSE AND SCOPE

The primary purpose of the BCP is to provide temporary shelter and counseling services to youth who have left home without permission of their parents or guardians, have been forced to leave home, or other homeless youth who might end up in contact with law enforcement or in the child welfare, mental health, or juvenile justice systems.

The scope of work is to include access to emergency shelter, gateway services, screening and assessment, coordinated case management, follow-up, and continuum service linkages, and family reunification, whenever possible.

FYSB's intent is to increase young people's access to safe and stable housing, connections to school and employment, enhance social and emotional well-being, self-sufficiency, and help them build permanent connections with families, communities, schools, and other positive social networks. Therefore, FYSB supports projects that:

- Provide services for runaway and homeless youth and the families of such youth;
- Establish an alternative to involving runaway and homeless youth in the law enforcement, child welfare, mental health, and juvenile justice systems;
- Provide safe and appropriate shelter for runaway and homeless youth;
- Provide individual, family, and group counseling; and
- Reunite youth with their families, when appropriate.

Additionally, RHY Programs must provide street-based services to youth victims of trafficking. RHY Programs are also required to increase their capacity to identify and provide services and/or service referrals to trafficked youth by participating in professional trainings and adding human trafficking elements into existing screening and assessment tools. RHY Programs are encouraged to enhance their human trafficking prevention and intervention efforts to minimize these incidents among vulnerable youth and effectively identify trafficked youth.

## PROJECT FRAMEWORK

- **Positive Youth Development (PYD):** Projects must utilize and integrate into the operation of their projects the principles of positive youth development, including healthy messages, safe and structured places, adult role models, skill development, and opportunities to serve others. Positive Youth Development should emphasize:
  - Healthy messages to adolescents about their bodies, behaviors, interpersonal relationships, and interactions;
  - o Safe and structured places for youth to study, recreate, and socialize;
  - o Strong relationships with adult role models;
  - o Skill development in literacy competence, work readiness, and social skills; and
  - o Opportunities for youth to serve others and build self-esteem.
- **Trauma-Informed Care:** Projects must design the project activities in such a way that prevents re-traumatization. Services must respond to behavioral problems as maladaptive coping mechanisms in order to help youth reframe their life narrative from one of victim to resilient survivor by creating a low-anxiety atmosphere characterized by high levels of trust.
- Evidenced-informed practices: Projects must incorporate practices with evidence of effectiveness.
- Social Support and Relationship Capacity Building: Projects must include strategies for helping youth build protective factors such as connections with schools, employment, health care, legal services, appropriate family members and other caring adults.
- **Understanding Experiences**: Projects must address how youth frame what has happened to them in the past and help youth positively shape their beliefs about the future.
- **Developmentally Appropriate**: Projects must be attuned to the developmental impact of negative experiences and address related strengths and deficits to ensure youth develop a healthy trajectory.
- Coping Strategies: Projects must help youth transform maladaptive coping methods into healthier more productive strategies.

## PROJECT REQUIREMENTS

# **Comprehensive Youth-Centered Service Model**

Projects must adhere to a *Comprehensive Youth-Centered Service Model*. The comprehensive youth-centered service model suggests a holistic service approach as a promising practice when addressing the unique needs of runaway and homeless youth and young adults. Components of the comprehensive youth-centered service model include:

Access to shelter: Projects shall provide runaway, homeless, and street youth, shelter or safe and stable housing for up to 21 days and individual, family, and group counseling, as appropriate. Pursuant to Section 311(a)(2)(C) of the RHY Act (42 U.S.C. 5711(a)(2)(C), projects may elect to provide street-based services; home-based services for families with youth at risk of separation from the family; drug abuse education and prevention services; and, at the request of runaway and homeless youth, testing for sexually transmitted diseases. (No bonus points will be given in the objective review for optional services, but the services must be coordinated with mandatory components of the program.)

Shelter services must accommodate no fewer than 4 and not more than 20 youth, except where the grantee ensures that the state where the center or locally controlled facility is located has a state or local law or regulation that requires a higher maximum to comply with licensure requirements. Projects must ensure that staff are prepared and trained to interact with youth in crisis and youth victims of human trafficking. Shelter services for trafficked youth must be carefully identified by staff. Transportation to the shelter should be available as needed, and barriers to entry should be low enough that it is easily accessible by youth.

*Gateway services:* Project outreach staff must provide food, drink, referrals to shelter, clothing, transportation, and hygiene to prevent malnutrition and ill-health while building trust with youth they encounter. Project outreach staff is also expected to build a rapport to identify youth who are at-risk of or victims of sexual exploitation or human trafficking.

Screening and Assessment: Projects must implement standardized methods to screen and assess each youth's situation at contact, such as immediate needs; physical and behavioral health; connection to family; safety; access to resources; issues of neglect, trafficking, or abuse; and other risk and protective factors affecting well-being and self-sufficiency. The screening and assessment tool(s) must also be able to evaluate the unique needs of subpopulations of runaway and homeless youth such as, but not limited to, youth who are pregnant or parenting; running from a foster care setting; sexually exploited; victims of sex or labor trafficking; and in need of substance abuse or mental health services.

Coordinated Case Management: Coordinated case management is a central service within the BCP, as it ensures that youth have support in accessing a variety of services that are coordinated across multiple systems. Projects must provide intensive case management to youth receiving services; this will include services in place to address present and historical trauma, with access to a range of options for trauma-informed behavioral-health services.

Case management must involve the development of an individualized service plan that is tailored to meet the specific needs of the young person receiving services. In addition, projects must provide individual, family, and group counseling; ensure youth receive trauma-informed counseling services and have access to home-based services, drug and/or alcohol abuse education and prevention service, and/or sexually transmitted disease testing; and report data on

the types of counseling and services offered, participation rates, and completion rates.

Follow-up/Aftercare Services: Pursuant to 45 CFR § 1351.26(a) of the RHY Final Rule, grantees must provide 3 months of follow-up to youth that receives up to 21 days of services from the BCP. Projects must establish an exit plan with youth within three days of receiving BCP services. The exit plan must include permanent placement planning that will help ensure the youth have the opportunity to make informed decisions about the support and services they need to receive, to develop a plan for permanency, and identify and achieve their personal goals.

Continuum Service Linkages: Projects must coordinate with others such as government, nonprofits, other outreach teams, referral providers, and service providers to ensure the ability to serve the homeless youth population. Service linkages must include, but are not limited to:

- Health (Medical/Dental)
- Mental health/emotional support
- Substance abuse treatment centers
- Law enforcement
- Schools: Work with the appropriate McKinney-Vento liaison (*The McKinney-Vento Homeless Assistance Act is Title X, Part C, of the No Child Left Behind Act*)
- Employment services
- Sexual assault services
- Services for victims of human trafficking
- Housing
- Welfare personnel
- Legal services

*Geographic Location:* Projects must be located in areas where runaway, homeless, and street youth can easily access BCP services. This may include rural communities, tribal communities, or areas outside of metropolitan areas.

# ADMINISTRATIVE REQUIREMENTS

In addition to delivering a Comprehensive Youth-Centered Service Model, projects must have plans in place for addressing the following administrative requirements:

Staff safety: Project must have safety protocols for workers

Background checks: Pursuant to 45 CFR § 1351.23(j) of the RHY Final Rule, projects must have a plan to ensure background checks are completed on all employees, contractors, volunteers, and consultants who have regular and unsupervised private contact with youth served by the organization, and on all adults who reside in or operate host homes, beginning on October 1, 2017. Required background checks include state or tribal criminal history records, including fingerprint checks; sex offender registry check; and any other checks required by state law; to the extent the project is able to, the plan should include Federal Bureau of Investigation (FBI) criminal history records, including fingerprint checks, and child abuse and neglect registry checks. If a project is unable to obtain FBI criminal history information or child abuse and neglect registry information, the agency plan must detail efforts to obtain such information and the impediments to doing so. In addition, as appropriate to job functions, projects must also include verification of educational credentials and employment experience, and the individual's

driving records (for those who will transport youth), and professional licensing records.

Emergency Preparedness Plan: Pursuant to 45 CFR § 1351.23(g) of the RHY Final Rule, projects must have plans for routine preventative maintenance of facilities as well as preparedness, response, and recovery efforts. The plan should contain strategies for addressing evacuation, security, food, medical supplies, and notification of youths' families, as appropriate. In the event of an evacuation due to specific facility issues, such as fire, loss of utilities, or other damage, an alternative location needs to be designated and included in the plan.

*Licensing Requirement:* Pursuant to 45 CFR § 1351.23(i) projects must have plans to ensure that all shelters are licensed and any shelter to which the project regularly refers clients to have evidence of current licensure, in states or localities with licensure requirements.

*Training plan:* Projects must ensure that all paid and volunteer workers are trained on the Core Competencies of Youth Workers necessary to carry out the objectives and activities of the project. This shall include, but is not be limited to, positive youth development, traumainformed care, evidence-informed interventions, street outreach intervention, human trafficking, sexual exploitation, harm reduction, assessment and case management, worker safety, understanding the diversity and culture of life on the street, safe and ethical practices, and community resources for well-being and self-sufficiency.

#### PROJECT PERFORMANCE STANDARDS AND MEASURES

The RHY Final Rule establishes RHY Program performance standards to assess the quality and outcomes of RHY Program grantees by providing indicators of successful outcomes for youth. The performance standards will be used to monitor individual grantee performance in achieving the purposes of the RHY Act. The RHY Final Rule requires BCP grantees to collect data that demonstrate their ability to meet the performance standards described in the final rule. (45 CFR 1351.30). **Refer to Section VI.3 for more information on reporting requirements for this project.** 

## **II. Federal Award Information**

Funding Instrument Type: Grant

Estimated Total Funding: \$16,587,189

Expected Number of Awards: 91

Award Ceiling: \$200,000 Per Budget Period Award Floor: \$50,000 Per Budget Period Average Projected Award Amount: \$146,428 Per Budget Period

Anticipated Project Start Date: 09/30/2017

**Length of Project Periods:** 

Length of Project Period: 36-month project with three 12-month

budget periods

#### **Additional Information on Awards:**

Awards made under this announcement are subject to the availability of federal funds.

Applications requesting an award amount that exceeds the *Award Ceiling* per budget period, or per project period, as stated in this section, will be disqualified from competitive review and from funding under this announcement. This disqualification applies only to the *Award Ceiling* listed for the first 12-month budget period for projects with multiple budget periods. If the project and budget period are the same, the disqualification applies to the *Award Ceiling* listed for the project period. Please see *Section III.3. Other, Application Disqualification Factors*.

**Note:** For those programs that require matching or cost sharing, recipients will be held accountable for projected commitments of non-federal resources in their application budgets and budget justifications by budget period or by project period for fully funded awards, even if the projected commitment exceeds the required amount of match or cost share. **A recipient's failure to provide the required matching amount may result in the disallowance of federal funds.** See *Section III.2*. of this announcement for information on cost-sharing or matching requirements.

The funds available for new awards and continuations for services provided in each state and insular areas can be found by accessing <a href="https://www.acf.hhs.gov/fysb/resource/bcp-2017-alloc ations-table">https://www.acf.hhs.gov/fysb/resource/bcp-2017-alloc ations-table</a>. Funding amounts available for new awards are approximations at the time of publication. Actual amounts available may change due to availability of funds.

# **Continuation of Project**

An initial grant award will be for a 12-month budget period. The award of continuation grants beyond the initial 12-month budget period will be subject to the availability of funds, satisfactory progress on the part of the grantee, and a determination that the continued funding would be in the best interest of the federal government.

Please see *Section IV.6 Funding Restrictions* for limitations on the use of federal funds awarded under this announcement.

# **III. Eligibility Information**

# **III.1. Eligible Applicants**

All public (state and local) and private non-profit entities, and coordinated networks of such entities, are eligible to apply for a RHY Program grant unless they are part of the law enforcement structure or the juvenile justice system. Per RHY Act section 311(a)(1), for profit organizations are not eligible. Private institutions of higher education must be non-profit entities.

In selecting eligible applicants under this FOA, the Secretary shall give priority to eligible applicants who have demonstrated experience in providing services to runaway and homeless youth.

Applications from individuals (including sole proprietorships) and foreign entities are not eligible and will be disqualified from competitive review and from funding under this announcement. See *Section III.3*. *Other, Application Disqualification Factors*.

Faith-based and community organizations that meet the eligibility requirements are eligible to receive awards under this funding opportunity announcement. Faith-based organizations are encouraged to review the ACF Policy on Grants to Faith-Based Organizations at: <a href="http://www.acf.hhs.gov/acf-policy-on-grants-to-faith-based-organizations">http://www.acf.hhs.gov/acf-policy-on-grants-to-faith-based-organizations</a>.

See Section IV.2. Legal Status of Applicant Entity for documentation required to support eligibility.

# III.2. Cost Sharing or Matching

Cost Sharing / Matching Requirement: Yes

Grantees are required to meet a non-federal share of the project cost, in accordance with section 383 of the RHY Act, (42 U.S.C. § 5716).

Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF (federal) share and the non-federal share. The non-federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. For example, in order to meet the match requirements, a project requesting \$200,000.00 in ACF (federal) funds must provide a non-federal share of the approved total project cost of at least \$22,222.00 , which is 10 percent of total approved project cost of \$222,222.00 .

For all federal awards, any shared costs or matching funds and all contributions, including cash and third-party in-kind contributions, must be accepted as part of the recipient's cost sharing or matching when such contributions meet all of the criteria listed in 45 CFR 75.306.

For awards that require matching by statute, recipients will be held accountable for projected commitments of non-federal resources in their application budgets and budget justifications by budget period, or by project period for fully funded awards, even if the projected commitment exceeds the amount required by the statutory match. A recipient's failure to provide the statutorily required matching amount may result in the disallowance of federal funds. Recipients will be required to report these funds in the Federal Financial Reports.

For awards that do not require matching or cost sharing by statute, where "cost sharing" refers to any situation in which the recipient voluntarily shares in the costs of a project other than as statutorily required matching. These include situations in which contributions are voluntarily proposed by an applicant and are accepted by ACF. Non-federal cost sharing will be

included in the approved project budget so that the applicant will be held accountable for proposed non-federal cost-sharing funds as shown in the Notice of Award (NOA). A recipient's failure to provide voluntary cost sharing of non-federal resources that have been accepted by ACF as part of the approved project costs and that have been shown as part of the approved project budget in the NOA, may result in the disallowance of federal funds. Recipients will be required to report these funds in the Federal Financial Reports.

The federal share of the project represents 90 percent of the total project cost supported by the federal government. The remaining 10 percent represents the required project match cost by the grantee. This may be a cash or in-kind contribution.

For examples of matching requirements based on specific award amounts, see sample Chart of Matching Funding Amounts below.

# **Sample Chart of Matching Fund Amounts**

Federal Amount	Non Federal Share	Total Project Cost for 12-month period
\$50,000	\$5,556	\$55,556
\$75,000	\$8,333	\$83,333
\$100,000	\$11,111	\$111,111
\$125,000	\$13,889	\$138,889
\$150,000	\$16,667	\$166,667
\$175,000	\$19,444	\$194,444
\$200,000	\$22,222	\$222,222

# Matching Waiver Pursuant to 48 U.S.C. § 1469a(d)

Matching requirements (including in-kind contributions) of less than \$200,000 (up to \$199,999) are waived under grants made to the governments of American Samoa, Guam, the U.S. Virgin Islands, and the Commonwealth of the Northern Mariana Islands (other than those consolidated under other provisions of 48 U.S.C. 1469) pursuant to 48 U.S.C. 1469a(d). This waiver applies whether the matching required under the grant equals or exceeds \$200,000.

## III.3. Other

## **Application Disqualification Factors**

Applications from individuals (including sole proprietorships) and foreign entities are not eligible and will be disqualified from competitive review and from funding under this announcement.

## **Award Ceiling Disqualification**

Applications that request an award amount that exceeds the Award Ceiling per budget period or

per project period ("per project period" refers only to fully funded awards), as stated in *Section II. Federal Award Information*, will be disqualified from competitive review and from funding under this announcement. This disqualification applies only to the *Award Ceiling* listed for first 12-month budget period for projects with multiple budget periods. If the project and budget period are the same, the disqualification applies to the *Award Ceiling* listed for the project period.

# **Required Electronic Application Submission**

ACF requires electronic submission of applications at <a href="www.Grants.gov">www.Grants.gov</a>. Paper applications received from applicants that have not been approved for an exemption from required electronic submission will be disqualified from competitive review and from funding under this announcement.

Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents to the Internet may contact ACF for an exemption that will allow the applicant to submit applications in paper format. Information and the requirements for requesting an exemption from required electronic application submission are found in "ACF Policy for Requesting an Exemption from Electronic Application Submission" at <a href="https://www.acf.hhs.gov/grants/howto#chapter-6">www.acf.hhs.gov/grants/howto#chapter-6</a>.

# Missing the Application Deadline (Late Applications)

The deadline for electronic application submission is 11:59 p.m., ET, on the due date listed in the *Overview and* in *Section IV.4. Submission Dates and Times*. Electronic applications submitted to <a href="www.Grants.gov">www.Grants.gov</a> after 11:59 p.m., ET, on the due date, as indicated by a dated and time-stamped email from <a href="www.Grants.gov">www.Grants.gov</a>, will be disqualified from competitive review and from funding under this announcement. That is, applications submitted to <a href="www.Grants.gov">www.Grants.gov</a>, on or after 12:00 a.m., ET, on the day after the due date will be disqualified from competitive review and from funding under this announcement.

Applications submitted to <u>www.Grants.gov</u> at any time during the open application period, and prior to the due date and time, which fail the <u>www.Grants.gov</u> validation check, will not be received at, or acknowledged by, ACF.

Each time an application is submitted via <a href="www.Grants.gov">www.Grants.gov</a>, the submission will generate a new date and time-stamp email notification. Only those applications with on-time date and time stamps that result in a validated application, which is transmitted to ACF, will be acknowledged.

The deadline for receipt of paper applications is 4:30 p.m., ET, on the due date listed in the *Overview* and *in Section IV.4. Submission Dates and Times*. Paper applications received after 4:30 p.m., ET, on the due date will be disqualified from competitive review and from funding under this announcement. Paper applications received from applicants that have not received approval of an exemption from required electronic submission will be disqualified from competitive review and from funding under this announcement.

## **Notification of Application Disqualification**

Applications that are disqualified under these criteria are considered to be "non-responsive" and are excluded from the competitive review process. Applicants will be notified of a disqualification determination by email or by USPS postal mail within 30 federal business days from the closing date of this FOA.

# IV. Application and Submission Information

# IV.1. Address to Request Application Package

FYSB Operations Center c/o F2-Solutions

Attn: Basic Center Program FOA

1401 Mercantile Lane

Suite 401

Largo, MD 20774

Phone: 1-855-792-6551 Email: <u>TechAssist@fysb.net</u>

# **Electronic Application Submission:**

The electronic application submission package is available in the FOA's listing at <a href="https://www.Grants.gov">www.Grants.gov</a>.

# **Applications in Paper Format:**

For applicants that have received an exemption to submit applications in paper format, Standard Forms, assurances, and certifications are available in the Application Forms Package available in the FOA's Grants.gov Synopsis under the Package tab at <a href="www.Grants.gov">www.Grants.gov</a>. See Section IV.2.Request an Exemption from Required Electronic Application Submission if applicants do not have an Internet connection or sufficient computing capacity to upload large documents (files) to <a href="https://www.Grants.gov">https://www.Grants.gov</a>.

## **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service (FedRelay) for assistance at <a href="www.gsa.gov/fedrelay">www.gsa.gov/fedrelay</a>.

# IV.2. Content and Form of Application Submission

## FORMATTING APPLICATION SUBMISSIONS

Each applicant applying electronically via <a href="www.Grants.gov">www.Grants.gov</a> is required to upload only two electronic files, excluding Standard Forms and OMB-approved forms. No more than two files will be accepted for the review, and additional files will be removed. Standard Forms and OMB-approved forms will not be considered additional files.

## **FOR ALL APPLICATIONS:**

# **Authorized Organizational Representative (AOR)**

AOR is the designated representative of the applicant/recipient organization with authority to act on the organization's behalf in matters related to the award and administration of grants. In signing a grant application, this individual agrees that the organization will assume the obligations imposed by applicable Federal statutes and regulations and other terms and conditions of the award, including any assurances, if a grant is awarded.

AOR authorization is part of the registration process at <a href="www.Grants.gov">www.Grants.gov</a>. where the AOR will create a short profile and obtain a username and password from the Grants.gov Credential Provider. AORs will only be authorized for the DUNS number registered in the System for Awards Management (SAM).

## **Point of Contact**

In addition to the AOR, a point of contact on matters involving the application must also be identified. The point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR. The point of contact must be available to answer any questions pertaining to the application.

# **Application Checklist**

Applicants may refer to *Section VIII. Other Information* for a checklist of application requirements that may be used in developing and organizing application materials.

# **Accepted Font Style**

Applications must be in Times New Roman (TNR), 12-point font, except for footnotes, which may be TNR 10-point font. Pages that contain blurred text, or text that is too small to read comfortably, will be removed.

## **English Language**

Applications must be submitted in the English language and must be in the terms of United States (U.S.) dollars. If applications are submitted using another currency, ACF will convert the foreign currency to U.S. currency using the date of receipt of the application to determine the rate of exchange.

## **Page Limitations**

Applicants must observe the page limitation(s) listed under "PAGE LIMITATIONS AND CONTENT FOR ALL SUBMISSION FORMATS:". *Page* limitation(s) do not include SFs and OMB-approved forms.

**All applications must be double-spaced.** An application that exceeds the cited page limitation for double-spaced pages in the Project Description file or the Appendices file will have the last extra pages removed and the removed pages will not be reviewed.

# **Application Elements Exempted from Double-Spacing Requirements**

The following elements of the application submission are exempt from the double-spacing

requirements and may be single-spaced: the table of contents, the one-page Project Summary/Abstract, required Assurances and Certifications, required SFs, required OMB-approved forms, resumes, logic models, proof of legal status/non-profit status, third-party agreements, letters of support, footnotes, tables, the line-item budget and/or the budget justification.

## Adherence to FOA Formatting, Font, and Page Limitation Requirements

Applications that fail to adhere to ACF's FOA formatting, font, and page limitation requirements will be adjusted by the removal of page(s) from the application. Pages will be removed before the objective review. The removed page(s) will not be made available to reviewers.

In instances where formatting and font requirements are not adhered to, ACF uses a formula to determine the actual number of pages to be removed. The formula counts the number of characters an applicant uses when following the instructions and using 12-point TNR and compares the resulting number with that of the submitted application. For example, an applicant using TNR, 11-point font, with 1-inch margins all around, and single-spacing, would have an additional 26 lines, or 1500 characters, which is equal to 4/5 of an additional page. Extra pages resulting from this formula will be removed and will not be reviewed. Applications that have more than one scanned page of a document on a single page will have the page(s) removed from the review.

For applicants that submit paper applications, double-sided pages will be counted as two pages. When the maximum allowed number of pages is reached, excess pages will be removed and will not be made available to reviewers.

**NOTE:** Applicants failing to adhere to ACF's FOA formatting, font, and page limitation requirements will receive a letter from ACF notifying them that their application was amended. The letter will be sent after awards have been issued and will specify the reason(s) for removal of page(s).

# **Corrections/Updates to Submitted Applications**

When applicants make revisions to a previously submitted application, ACF will accept only the last on-time application for pre-review under the Application Disqualification Factors. The Application Disqualification Factors determine the application's acceptance for competitive review. See *Section III.3. Application Disqualification Factors* and *Section IV.2. Application Submission Options*.

## **Copies Required**

Applicants must submit one complete copy of the application package electronically. Applicants submitting electronic applications need not provide additional copies of their application package.

Applicants submitting applications in paper format must submit one original and two copies of the complete application, including all Standard Forms and OMB-approved forms. The original copy must have original signatures.

# **Signatures**

Applicants submitting electronic applications must follow the registration and application submission instructions provided at <a href="https://www.Grants.gov">www.Grants.gov</a>.

The original of a paper format application must include original signatures of the authorized representatives.

# **Accepted Application Format**

With the exception of the required Standard Forms (SFs) and OMB-approved forms, all application materials must be formatted so that they are  $8\frac{1}{2}$ " x 11" white paper with 1-inch margins all around.

If possible, applicants are encouraged to include page numbers for each page within the application.

ACF generally does not encourage submission of scanned documents as they tend to have reduced clarity and readability. If documents must be scanned, the font size on any scanned documents must be large enough so that it is readable. Documents must be scanned page-forpage, meaning that applicants may not scan more than one page of a document onto a single page. All pages of the application must be readable. Pages with blurred text will be removed from the application.

# PAGE LIMITATIONS AND CONTENT FOR ALL SUBMISSION FORMATS:

The application submission files must include the following and be in the following order:

**Project Description** - The project description is **limited to 75 pages** and must include the following in this order: Table of Contents; Abstract; Objectives, Outcomes, and Need for Assistance; Approach; Organizational Profile; Budget and Budget Justification. Applicants should title each section accordingly.

**Appendices** - The appendices are **limited to 20 pages** and must include the following in this order: Organizational Capacity (i.e., organizational charts, documentation of experience in the program area, personnel policies, any other pertinent information the applicant deems relevant), Third-Party Agreements, Logic Model, Proof of Legal Status (if applicable) and Indirect Cost Rage Agreement (if applicable).

## ELECTRONIC APPLICATION SUBMISSION INSTRUCTIONS

Applicants are required to submit their applications electronically unless they have requested and received an exemption that will allow submission in paper format. See *Section IV.2*. *Application Submission Options* for information about requesting an exemption.

Electronic applications will only be accepted via <a href="www.Grants.gov">www.Grants.gov</a>. ACF will not accept applications submitted via email or via facsimile.

Each applicant is required to upload ONLY two electronic files, excluding SFs and OMB-approved forms.

**File One**: Must contain the entire Project Description, and the Budget and Budget Justification (including a line-item budget and a budget narrative).

**File Two:** Must contain all documents required in the Appendices.

# Adherence to the Two-File Requirement

No more than two files will be accepted for the review. Applications with additional files will be amended and files will be removed from the review. SFs and OMB-approved forms will not be considered additional files.

# **Application Upload Requirements**

ACF strongly recommends that electronic applications be uploaded as Portable Document Files (PDFs). One file must contain the entire Project Description and Budget Justification; the other file must contain all documents required in the Appendices. Details on the content of each of the two files, as well as page limitations, are listed earlier in this section.

To adhere to the two-file requirement, applicants may need to convert and/or merge documents together using a PDF converter software. Many recent versions of Microsoft Office include the ability to save documents to the PDF format without need of additional software. Applicants using the Adobe Professional software suite will be able to merge these documents together. ACF recommends merging documents electronically rather than scanning multiple documents into one document manually, as scanned documents may have reduced clarity and readability.

Applicants must ensure that the version of Adobe Professional they are using is compatible with Grants.gov. To verify Adobe software compatibility please go to Grants.gov and click on "Support" at the top bar menu and select "Adobe Software Compatibility", which is listed under the topic "Find Answers Online." The Adobe verification process allows applicants to test their version of the software by opening a test application package. Grant.gov also includes guidance on how to download a supported version of Adobe, as well as troubleshooting instructions if an applicant is unable to open the test application package. There is also a help page for configuring Firefox and Chrome to open PDFs using Adobe software.

The Adobe Software Compatibility page located on Grants.gov also provides guidance for applicants that have received error messages while attempting to save an application package. It also addresses local network and/or computer security settings and the impact this has on use of Adobe software

For any systems issues experienced with Grants.gov or with SAM.gov, please refer to ACF's "Policy for Applicants Experiencing Federal Systems Issues" document for complete guidance at <a href="www.acf.hhs.gov/sites/default/files/assets/systems\_issue\_policy\_final.pdf">www.acf.hhs.gov/sites/default/files/assets/systems\_issue\_policy\_final.pdf</a> under "How to Apply for a Grant/Submit an Application."

# Required Standard Forms (SFs) and OMB-approved Forms

Standard Forms (SFs) and OMB-approved forms, such as the SF-424 application and budget forms and the SF-P/PSL (Project/Performance Site Location), are uploaded separately at Grants.gov. These forms are submitted separately from the Project Description and Appendices

files. See *Section IV.2. Required Forms, Assurances, and Certifications* for the listing of required Standard Forms, OMB-approved forms, and required assurances and certifications.

# **Naming Application Submission Files**

Carefully observe the file naming conventions required by <a href="www.Grants.gov">www.Grants.gov</a>. Limit file names to 50 characters (characters and spaces). Special characters that are allowed under Grants.gov's naming conventions, and are accommodated by ACF's systems, are listed in the instructions available in the Download Application Package at Grants.gov. Please also see <a href="www.grants.gov/web/grants/applicants/submitting-utf-8-special-characters.html">www.grants.gov/web/grants/applicants/submitting-utf-8-special-characters.html</a>.

# Use only file formats supported by ACF

It is critical that applicants submit applications using only the supported file formats listed here. While ACF supports all of the following file formats, we strongly recommend that the two application submission files (Project Description and Appendices) are uploaded as PDF documents in order to comply with the two file upload limitation. Documents in file formats that are not supported by ACF will be removed from the application and will not be used in the competitive review. This may make the application incomplete and ACF will not make any awards based on an incomplete application.

# **ACF** supports the following file formats:

- Adobe PDF Portable Document Format (.pdf)
- Microsoft Word (.doc or .docx)
- Microsoft Excel (.xls or .xlsx)
- Microsoft PowerPoint (.ppt)
- Corel WordPerfect (.wpd)
- Image Formats (.JPG, .GIF, .TIFF, or .BMP only)

## Do Not Encrypt or Password-Protect the Electronic Application Files

If ACF cannot access submitted electronic files because they are encrypted or password protected, the affected file will be removed from the application and will not be reviewed. This removal may make the application incomplete and ACF will not make awards based on an incomplete application.

# FORMATTING FOR PAPER APPLICATION SUBMISSIONS:

The following requirements are only applicable to applications submitted in paper format. Applicants must receive an exemption from ACF in order for a paper format application to be accepted for review. For more information on the exemption, see "ACF Policy on Requesting an Exemption from Required Electronic Application Submission" at <a href="https://www.acf.hhs.gov/grants/howto#chapter-6">www.acf.hhs.gov/grants/howto#chapter-6</a>

## **Format Requirements for Paper Applications**

All copies of mailed or hand-delivered paper applications must be submitted in a single package. If an applicant is submitting multiple applications under a single FOA, or multiple applications under separate FOAs, each application submission must be packaged separately.

The package(s) must be clearly labeled for the specific FOA it addresses by FOA title and by Funding Opportunity Number (FON).

Applicants using paper format should download the application forms package associated with the FOA's Synopsis on <a href="www.Grants.gov">www.Grants.gov</a> under the Package tab.

Because each application will be duplicated, do not use or include separate covers, binders, clips, tabs, plastic inserts, maps, brochures, or any other items that cannot be processed easily on a photocopy machine with an automatic feed. Do not bind, clip, staple, or fasten in any way separate sections of the application. Applicants are advised that the copies of the application submitted, not the original, will be reproduced by the federal government for review. All application materials must be one-sided for duplication purposes. All pages in the application submission must be sequentially numbered.

# **Addresses for Submission of Paper Applications**

See Section IV.7. Other Submission Requirements for addresses for paper format application submissions.

# Required Forms, Assurances, and Certifications

Applicants seeking grant or cooperative agreement awards under this announcement must submit the listed Standard Forms (SFs), assurances, and certifications with the application. All required Standard Forms, assurances, and certifications are available in the Application Package posted for this FOA at <a href="https://www.Grants.gov">www.Grants.gov</a>.

Forms / Assurances / Certifications	Submission Requirement	Notes / Description
Third-Party Agreements(also, MOUs and Consortia Agreements)	Submission is required for all applicants who have agreements in place by the application due date.	Required for all applicants that have agreements.
Certification Regarding Lobbying (Grants.gov Lobbying Form)	Submission required of all applicants with the application package. If it is not submitted with the application package, it must be submitted prior to the award of a grant.	Submission of the certification is required for all applicants.
SF-LLL - Disclosure of Lobbying Activities	If submission of this form is applicable, it is due at the time of application. If it is not available at the time of	If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of

	application, it may also be submitted prior to the award of a grant.	Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
Unique Entity Identifier (DUNS) and Systems for Award Management (SAM) registration.	Required of all applicants. To obtain a DUNS number, go to <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a> .  Active registration at the Systems Award Management (SAM) website must be maintained throughout the application and project award period.  SAM registration is available at <a href="http://www.sam.gov">http://www.sam.gov</a> .	See Section IV.3. Unique Entity Identifier and System for Award Management (SAM) for more information.
SF- Project/Performance Site Location(s) (SF-P/PSL)	Submission is required for all applicants by the application due date.	Required for all applications. In the SF-P/PSL, applicants must cite their primary location and up to 29 additional performance sites.
SF-424A - Budget Information - Non- Construction Programs and SF- 424B - Assurances - Non- Construction Programs	Submission is required for all applicants when applying for a non-construction project. Standard Forms must be used. Forms must be submitted by the application due date.  By signing and submitting the SF-424B, applicants are making the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination.	Required for all applications when applying for a non-construction project.
SF-424 -	Submission is required for all	Required for all applications.

Application for Federal Assistance	applicants by the application due date.	
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## **Mandatory Grant Disclosure**

Submission is required for all applicants and recipients, in writing, to the awarding agency and to the HHS Office of the Inspector General (OIG) all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. (Mandatory Disclosures, 45 CFR 75.113)

Disclosures must be sent in writing to:

The Administration for Children and Families, U.S. Department of Health and Human Services, Office of Grants Management, ATTN: Grants Management Specialist, 330 C Street, SW., Switzer Building, Corridor 3200, Washington, DC 20201

#### And to:

U.S. Department of Health and Human Services, Office of Inspector General, ATTN: Mandatory Grant Disclosures, Intake Coordinator, 330 Independence Avenue, SW., Cohen Building, Room 5527, Washington, DC 20201

Fax: (202) 205-0604 (Include "Mandatory Grant Disclosures" in subject line) or

Email: MandatoryGranteeDisclosures@oig.hhs.gov

#### **Non-Federal Reviewers**

Since ACF will be using non-federal reviewers in the review process, applicants have the option of omitting from the application copies (not the original) specific salary rates or amounts for individuals specified in the application budget as well as Social Security Numbers, if otherwise required for individuals. The copies may include summary salary information. If applicants are submitting their application electronically, ACF will omit the same specific salary rate information from copies made for use during the review and selection process.

# **The Project Description**

## **The Project Description Overview**

# **Purpose**

The project description provides the majority of information by which an application is evaluated and ranked in competition with other applications for available assistance. It should address the activity for which federal funds are being requested, and should be consistent with the goals and objectives of the program as described in *Section I. Program Description*. Supporting documents should be included where they can present information clearly and

succinctly. When appropriate, applicants should cite the evaluation criteria that are relevant to specific components of their project description. Awarding offices use this and other information in making their funding recommendations. It is important, therefore, that this information be included in the application in a manner that is clear and complete.

# **General Expectations and Instructions**

Applicants should develop project descriptions that focus on outcomes and convey strategies for achieving intended performance. Project descriptions are evaluated on the basis of substance and measurable outcomes, not length. Extensive exhibits are not required. Cross-referencing should be used rather than repetition. Supporting information concerning activities that will not be directly funded by the grant or information that does not directly pertain to an integral part of the grant-funded activity should be placed in an appendix.

# General Instructions for Preparing a Full Project Description

#### Introduction

Applicants must prepare the project description statement in accordance with the following instructions while being aware of the specified evaluation criteria in *Section V.1. Criteria*. The text options give a broad overview of what the project description should include while the evaluation criteria identify the measures that will be used to evaluate applications.

## **Table of Contents**

List the contents of the application including corresponding page numbers. The table of contents must be single spaced and will be counted against the total page limitations.

# **Project Summary/Abstract**

Provide a summary of the application's project description. The summary must be clear, accurate, concise, and without reference to other parts of the application. The abstract must include a brief description of the proposed grant project including the needs to be addressed, the proposed services, and the population group(s) to be served.

Please place the following at the top of the abstract:

- Project Title
- Applicant Name
- Address
- Contact Phone Numbers (Voice, Fax)
- E-Mail Address
- Web Site Address, if applicable

The project abstract must be single-spaced, in Times New Roman 12-point font, and limited to one page in length. Additional pages will be removed and will not be reviewed.

# **Objectives And Need For Assistance**

Clearly identify the physical, economic, social, financial, institutional, and/or other problem(s) requiring a solution. The need for assistance including the nature and scope of the problem must be demonstrated, and the principal and subordinate objectives of the project must be clearly and concisely stated; supporting documentation, such as letters of support and testimonials from concerned interests other than the applicant, may be included. Any relevant data based on planning studies should be included or referred to in the endnotes/footnotes. Incorporate demographic data and participant/beneficiary information, as well as data describing the needs of the target population and the proposed service area as needed. When appropriate, a literature review should be used to support the objectives and needs described in this section.

# **Expected Outcomes**

Identify the outcomes to be derived from the project. Outcomes should relate to the overall goals of the project as described in *Section I. Program Description*. If research is part of the proposed work, outcomes must include hypothesized results and implications of the proposed research.

The outcomes identified must thoroughly demonstrate how the results the applicant will achieve relate to meeting the basic and immediate needs of runaway and homeless youth who have left home without permission of their parents or guardians, have been forced to leave home, or other homeless youth who might otherwise end up in the law enforcement or in the child welfare, mental health, or juvenile justice systems. Applicants must describe their goals and outcomes for providing services that seek to reunite young people with their families or locate appropriate alternative safe placements.

Describe the outcomes to be derived from the project. Outcomes should relate to the overall goals of the project as described in *Section I. Program Description, PROJECT REQUIREMENTS*, including but not limited to: access to shelter, gateway services, coordinated case management, follow-up/aftercare services, continuum service linkages, geographic location, administrative requirements and the program performance measures.

# **Approach**

Outline a plan of action that describes the scope and detail of how the proposed project will be accomplished. Applicants must account for all functions or activities identified in the application. Describe any design or technological innovations, reductions in cost or time, or extraordinary social and/or community involvement in the project. Provide a list of organizations, cooperating entities, consultants, or other key individuals that will work on the project, along with a short description of the nature of their effort or contribution.

Cite potential obstacles and challenges to accomplishing project goals and explain strategies that will be used to address these challenges.

Discuss how the project will be put into operation under the framework, to include, as described in *Section I. Program Description*, *PROJECT FRAMEWORK*, but not be limited to:

- Social Support and Relationship Capacity Building
- Positive Youth Development
- Trauma-Informed Care
- Evidence-Informed Practices

Thoroughly explain a sound technical approach addressing each of the aspects listed in *Section I. Program Description*, *PROJECT REQUIREMENTS* that includes:

- Comprehensive Youth-Centered Service Model:
  - Access to shelter
  - o Gateway services
  - Assessment
  - Coordinated case management
  - o Follow-up/Aftercare
  - Continuum service linkages
  - Geographic location
- Administrative Requirements
  - Staff Safety Plan
  - Backgrounds Checks
  - o Emergency Preparedness Plans
  - Licensing Requirements
  - Staff Training (Core Competencies of Youth Workers)

# Applicants should also:

Develop a descriptive and rational logic model that conveys the relationship between the program requirements(inputs) the proposed activities(outputs), and the end result of the project (outcomes). For more details, see *Logic Model* later in this section;

Describe a plan to use the grantee's Continuum of Care (CoC) designated Homeless Management Information System (HMIS) that illustrates a clear understanding of data collection requirements, including securing access for all necessary users, connecting with the grantees HMIS leads to perform set-up and train the grantee, collecting data for youth served in shelter and out-of-shelter timely and accurately, obtaining consent to share data, and reporting the data to FYSB biannually; and

Describe previous or current attempts to address the problem of youth homelessness and surrounding issues, such as commercial sexual exploitation of minors, trafficked youth, substance abuse, and mental health; and the years of experience and/or a viable startup plan. Additionally, describe the partnering relationship with coordinated system of care service providers that will enhance the services the homeless youth receive while in the BCP.

## **Program Performance Evaluation Plan**

Applicants must describe the plan for the program performance evaluation that will contribute to continuous quality improvement. The program performance evaluation should monitor ongoing processes and the progress towards the goals and objectives of the project. Include descriptions of the inputs (e.g., organizational profile, collaborative partners, key staff, budget,

and other resources), key processes, and expected outcomes of the funded activities. The plan may be supported by a logic model and must explain how the inputs, processes and outcomes will be measured, and how the resulting information will be used to inform improvement of funded activities.

Applicants must describe the systems and processes that will support the organization's performance management requirements through effective tracking of performance outcomes, including a description of how the organization will collect and manage data (e.g. assigned skilled staff, data management software) in a way that allows for accurate and timely reporting of performance outcomes. Applicants must describe any potential obstacles for implementing the program performance evaluation and how those obstacles will be addressed.

# **Geographic Location**

Describe the precise location of the project and boundaries of the area to be served by the proposed project.

# **Legal Status of Applicant Entity**

Applicants must provide the following documentation:

Non-profit organizations applying for funding are required to submit proof of their non-profit status. Proof of non-profit status is any one of the following:

- A reference to the applicant organization's listing in the IRS's most recent list of taxexempt organizations described in the IRS Code.
- A copy of a currently valid IRS tax-exemption certificate.
- A statement from a state taxing body, state attorney general, or other appropriate state official certifying that the applicant organization has non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.
- Any of the items in the subparagraphs immediately above for a state or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.

Unless directed otherwise, applicants must include proof of non-profit status in the *Appendices* file of the electronic application submission.

# **Additional Eligibility Documentation**

Applicants must provide the additional, required documentation, or required credentials, to

support eligibility for an award, as described in *Section III. Eligibility Information* of this announcement:

# **Logic Model**

Applicants must submit a logic model for designing and managing their project. A logic model is a tool that presents the conceptual framework for a proposed project and explains the linkages among program elements. While there are many versions of the logic model, they generally summarize the logical connections among the needs that are the focus of the project, project goals and objectives, the target population, project inputs (resources), the proposed activities/processes/outputs directed toward the target population, the expected short- and long-term outcomes the initiative is designed to achieve, and the evaluation plan for measuring the extent to which proposed processes and outcomes actually occur.

# **Project Sustainability Plan**

Applicants must propose a plan for project sustainability after the period of federal funding ends. Grantees are expected to sustain key elements of their grant projects, e.g., strategies or services and interventions, which have been effective in improving practices and those that have led to improved outcomes for children and families.

Describe the approach to project sustainment that will be most effective and feasible. Describe the key individuals and/or organizations whose support will be required in order to sustain program activities. Describe the types of alternative support that will be required to sustain the planned program. If the proposed project involves key project partners, describe how their cooperation and/or collaboration will be maintained after the end of federal funding.

## **Organizational Capacity**

Provide the following information on the applicant organization and, if applicable, on any cooperating partners:

- Organizational charts;
- Resumes (no more than two single-spaced pages in length);
- Evidence that the applicant organization, and any partnering organizations, have relevant experience and expertise with administration, development, implementation, management, and evaluation of programs similar to that offered under this announcement:
- Evidence that each participating organization, including partners and/or subcontractors, possess the organizational capability to fulfill their role(s) and function(s) effectively;
- Copy or description of the applicant organization's personnel policies;
- Information on compliance with federal/state/local government standards;
- Job descriptions for each vacant key position.

#### Protection of Sensitive and/or Confidential Information

If any confidential or sensitive information will be collected during the course of the project, whether from staff (e.g., background investigations) or project participants and/or project beneficiaries, provide a description of the methods that will be used to ensure that confidential and/or sensitive information is properly handled and safeguarded. Also provide a plan for the disposition of such information at the end of the project period.

# **Third-Party Agreements**

Third-party agreements include Memoranda of Understanding (MOU) and Letters of Commitment. General letters of support are **not** considered to be third-party agreements. Third-party agreements must clearly describe the project activities and support to which the third party is committing. Third-party agreements must be signed by the person in the third-party organization with the authority to make such commitments on behalf of their organization.

Provide written and signed agreements between grantees and subgrantees, or subcontractors, or other cooperating entities. These agreements must detail the scope of work to be performed, work schedules, remuneration, and other terms and conditions that structure or define the relationship.

# **Letters Of Support**

Provide statements from community, public, and commercial leaders that support the project proposed for funding. All submissions must be included in the application package.

# Plan for Oversight of Federal Award Funds

Provide a plan describing how oversight of federal funds will be ensured and how grant activities and partner(s) will adhere to applicable federal and programmatic regulations. Applicants must identify staff that will be responsible for maintaining oversight of program activities, staff, and partner(s). Applicants must describe procedures and policies used to oversee staff and/or partners/contractors.

Describe organizational records systems that relate financial data to performance data by identifying the source and application of federal funds so that they demonstrate effective control over and accountability for funds, compare outlays with budget amounts, and provide accounting records supported by source documentation.

# The Project Budget and Budget Justification

All applicants are required to submit a project budget and budget justification with their application. The project budget is entered on the Budget Information Standard Form, either SF-424A or SF-424C, according to the directions provided with the SFs. The budget justification consists of a budget narrative and a line-item budget detail that includes detailed calculations for "object class categories" identified on the Budget Information Standard Form. Applicants must indicate the method they are selecting for their indirect cost rate. See Indirect Charges for further information.

Project budget calculations must include estimation methods, quantities, unit costs, and other similar quantitative detail sufficient for the calculation to be duplicated. If matching or cost sharing is a requirement, applicants must include a detailed listing of any funding sources identified in Block 18 of the SF-424 (Application for Federal Assistance). See the table in *Section IV.2. Required Forms, Assurances, and Certifications* listing the appropriate budget forms to use in this application.

Special Note: The Consolidated Appropriations Act, 2017, (Division H, Title II, Sec. 202), limits the salary amount that may be awarded and charged to ACF grants and cooperative agreements. Award funds issued under this announcement may not be used to pay the salary of an individual at a rate in excess of Executive Level II. The Executive Level II salary of the "Rates of Pay for the Executive Schedule" is \$187,000. This amount reflects an individual's base salary exclusive of fringe benefits and any income that an individual may be permitted to earn outside of the duties of the applicant organization. This salary limitation also applies to subawards and subcontracts under an ACF grant or cooperative agreement.

Provide a budget using the 424A and/or 424C, as applicable, for each year of the proposed project. Provide a budget justification, which includes a budget narrative and a line-item detail, for the first year of the proposed project. The budget narrative should describe how the categorical costs are derived. Discuss the necessity, reasonableness, and allocation of the proposed costs.

Provide a narrative budget justification that describes how the funds requested are necessary and essential to accomplish the scope of services.

An applicant lacking computer equipment (hardware) and client software, as utilized by their Department of Housing and Urban Development (HUD) funded CoC, for the purposes of completing the RHY-HMIS reporting must include an estimated cost for such equipment, software, and training in their proposed budget. If the applicant already has such equipment, this fact must be noted. See Section VI.3. Reporting, regarding FYSB's requirement for keeping adequate statistical data through RHY-HMIS. In addition, if applicable, clearly describe the organization's inability to pay off the burden that would be created for the use of the CoC-designated RHY-HMIS and submit an alternative proposal for the use of another HMIS solution.

Applicants must include an estimated cost of sending at least one key staff person to attend the annual Runaway and Homeless Youth Training and Technical Assistance grantee conference and any travel for technical assistance training in their proposed budget.

In addition to outlining an annual operating budget, list the funding sources that will support the BCP project.

## General

Use the following guidelines for preparing the budget and budget justification. Both federal and non-federal resources (when required) shall be detailed and justified in the budget and budget narrative justification. "Federal resources" refers only to the ACF grant funds for which you are

applying. "Non-federal resources" are all other non-ACF federal and non-federal resources. It is suggested that budget amounts and computations be presented in a columnar format: first column, object class categories; second column, federal budget; next column(s), non-federal budget(s); and last column, total budget. The budget justification should be in a narrative form.

#### Personnel

**Description:** Costs of employee salaries and wages.

**Justification:** Identify the project director or principal investigator, if known at the time of application. For each staff person provide: the title; time commitment to the project in months; time commitment to the project as a percentage or full-time equivalent: annual salary; grant salary; wage rates; etc. Do not include the costs of consultants, personnel costs of delegate agencies, or of specific project(s) and/or businesses to be financed by the applicant. Contractors and consultants should not be placed under this category.

## **Fringe Benefits**

**Description:** Costs of employee fringe benefits unless treated as part of an approved indirect cost rate.

**Justification:** Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, Federal Insurance Contributions Act (FICA) taxes, retirement insurance, and taxes.

#### **Travel**

**Description:** Costs of out-of-state or overnight project-related travel by employees of the applicant organization. Do not include in-state travel or consultant travel.

**Justification:** For each trip show the total number of traveler(s); travel destination; duration of trip; per diem; mileage allowances, if privately owned vehicles will be used to travel out of town; and other transportation costs and subsistence allowances. If appropriate for this project, travel costs for key project staff to attend ACF-sponsored workshops/conferences/grantee orientations should be detailed in the budget.

# **Equipment**

**Description:** "Equipment" means an article of nonexpendable, tangible personal property having a useful life of more than one year per unit and an acquisition cost that equals or exceeds the lesser of: (a) the capitalization level established by the organization for the financial statement purposes, or (b) \$5,000. (Note: Acquisition cost means the net invoice unit price of an item of equipment, including the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it is acquired. Ancillary charges, such as taxes, duty, protective in-transit insurance, freight, and installation, shall be included in or excluded from acquisition cost in accordance with the applicant

organization's regular written accounting practices.)

**Justification:** For each type of equipment requested applicants must provide a description of the equipment; the cost per unit; the number of units; the total cost; and a plan for use of the equipment in the project; as well as a plan for the use, and/or disposal of, the equipment after the project ends. An applicant organization that uses its own definition for equipment should provide a copy of its policy, or section of its policy, that includes the equipment definition.

# **Supplies**

**Description:** Costs of all tangible personal property other than that included under the Equipment category. This includes office and other consumable supplies with a per-unit cost of less than \$5,000.

**Justification:** Specify general categories of supplies and their costs. Show computations and provide other information that supports the amount requested.

#### **Contractual**

**Description:** Costs of all contracts for services and goods except for those that belong under other categories such as equipment, supplies, construction, etc. Include third-party evaluation contracts, if applicable, and contracts with secondary recipient organizations (with budget detail), including delegate agencies and specific project(s) and/or businesses to be financed by the applicant. This area is not for individual consultants.

**Justification:** Demonstrate that all procurement transactions will be conducted in a manner to provide, to the maximum extent practical, open, and free competition. Recipients and subrecipients are required to use 45 CFR 75.328 procedures and must justify any anticipated procurement action that is expected to be awarded without competition and exceeds the simplified acquisition threshold fixed by 41 U.S.C. § 134, as amended by 2 CFR Part 200.88, and currently set at \$150,000. Recipients may be required to make pre-award review and procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc., available to ACF.

**Note:** Whenever the applicant intends to delegate part of the project to another agency, the applicant must provide a detailed budget and budget narrative for each contractor/sub-contractor, by agency title, along with the same supporting information referred to in these instructions. If the applicant plans to select the contractors/sub-contractors post-award and a detailed budget is not available at the time of application, the applicant must provide information on the nature of the work to be delegated, the estimated costs, and the process for selecting the delegate agency.

#### Other

**Description:** Enter the total of all other costs. Such costs, where applicable and appropriate, may include but are not limited to: consultant costs, local travel; insurance; food (when

allowable); medical and dental costs (noncontractual); professional services costs (including audit charges); space and equipment rentals; printing and publication; computer use; training costs, such as tuition and stipends; staff development costs; and administrative costs.

**Justification:** Provide computations, a narrative description, and a justification for each cost under this category.

# **Indirect Charges**

**Description:** Total amount of indirect costs. This category has one of two methods that an applicant can select. An applicant may only select one.

1) The applicant currently has an indirect cost rate approved by the Department of Health and Human Services (HHS) or another cognizant federal agency.

Note: An applicant must enclose a copy of the current approved rate agreement. If the applicant is requesting a rate that is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed.

2) Per 45 CFR § 75.414(f) Indirect (F&A) costs, "any non-Federal entity [i.e., applicant] that has never received a negotiated indirect costs rate, ... may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. As described in § 75.403, costs must be consistently charged as either indirect or direct costs, but may not be double charged or inconsistently charged as both. If chosen, this methodology once elected must be used consistently for all Federal awards until such time as a non-Federal entity chooses to negotiate for a rate, which the non-Federal entity may apply to do at any time."

**Justification:** This method only applies to applicants that have never received an approved negotiated indirect cost rate from HHS or another cognizant federal agency. Applicants awaiting approval of their indirect cost proposal may request the 10 percent de minimis. When the applicant chooses this method, costs included in the indirect cost pool must not be charged as direct costs to the grant.

# **Commitment of Non-Federal Resources**

**Description:** Amounts of non-federal resources that will be used to support the project as identified in Block 18 of the SF-424.

For all federal awards, any shared costs or matching funds and all contributions, including cash and third-party in-kind contributions, must be accepted as part of the recipient's cost sharing or matching when such contributions meet all of the criteria listed in 45 CFR § 75.306.

For awards that require matching by statute, recipients will be held accountable for projected commitments of non-federal resources in their application budgets and budget justifications by budget period, or by project period for fully funded awards, even if the projected commitment exceeds the amount required by the statutory match. A recipient's failure to provide the statutorily required matching amount may result in the disallowance of federal funds. Recipients will be required to report these funds in the Federal Financial Reports.

For awards that do not require matching or cost sharing by statute, where "cost sharing" refers to any situation in which the recipient voluntarily shares in the costs of a project other than as statutorily required matching. These include situations in which contributions are voluntarily proposed by an applicant and are accepted by ACF. Non-federal cost sharing will be included in the approved project budget so that the applicant will be held accountable for proposed non-federal cost-sharing funds as shown in the Notice of Award (NOA). A recipient's failure to provide voluntary cost sharing of non-federal resources that have been accepted by ACF as part of the approved project costs and that have been shown as part of the approved project budget in the NOA, may result in the disallowance of federal funds. Recipients will be required to report these funds in the Federal Financial Reports.

**Justification:** If an applicant is relying on match from a third party, then a firm commitment of these resources (letter(s) or other documentation) is required to be submitted with the application. Detailed budget information must be provided for every funding source identified in Item18. "Estimated Funding (\$)" on the SF-424.

Applicants are required to fully identify and document in their applications the specific costs or contributions they propose in order to meet a matching requirement. Applicants are also required to provide documentation in their applications on the sources of funding or contribution(s). In-kind contributions must be accompanied by a justification of how the stated valuation was determined. Matching or cost sharing must be documented by budget period (or by project period for fully funded awards). A recipient's failure to provide a statutorily required matching amount may result in the disallowance of federal funds.

Applications that lack the required supporting documentation will not be disqualified from competitive review; however, it may impact an application's scoring under the evaluation criteria in *Section V.1*. of this announcement.

## **Paperwork Reduction Disclaimer**

As required by the Paperwork Reduction Act of 1995, 44 U.S.C. §§ 3501-3521, the public reporting burden for the Project Description and Budget/Budget Justification is estimated to average 60 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection information. The Project Description and Budget/Budget Justification information collection is approved under OMB control number 0970-0139, expiration date is 01/31/2019. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid

# **Application Submission Options**

# Electronic Submission via www.Grants.gov

Additional guidance on the submission of electronic applications can be found at www.grants.gov/web/grants/applicants/apply- for- grants.html.

After a grant application package is submitted to <a href="www.Grants.gov">www.Grants.gov</a>, a confirmation screen will appear on the applicant's computer screen. This screen confirms that an application has been submitted an application to Grants.gov. This page also contains a tracking number to identify the status of the application submission in the Track My Application feature.

When the application has completed the Grants.gov submission process, Grants.gov will send email messages to advise the applicant of the progress of the application through its system.

Over the next two business days, an applicant should receive two emails from Grants.gov:

- **Submission Receipt Email**: Confirms successful receipt of the application by the Grants.gov system and indicates the application's status as "Received."
- Submission Validation –OR– Rejection with Errors Email: Indicates that the application was either successfully validated or rejected by Grants.gov. Either the application has been successfully validated by the system prior to transmission to the grantor agency or the application has been rejected due to errors.

## Application Validation at www.Grants.gov

After an application has been successfully submitted to <a href="www.Grants.gov">www.Grants.gov</a>, it still must pass a series of validation checks. After an application is submitted, Grants.gov generates a submission receipt via email and also sets the application status to "Received." This receipt verifies that the application has been successfully delivered to the Grants.gov system.

Next, Grants.gov verifies the submission is valid by ensuring it does not contain viruses, the opportunity is still open, and the applicant login and applicant DUNS number match. If the submission is valid, Grants.gov generates a submission validation receipt via email and sets the application status to "Validated."

If the application is not validated, the application status is set to "Rejected." The system sends a rejection email notification to the applicant and the applicant must re-submit the application package. See "What to Expect After Submitting" at <a href="https://www.Grants.gov">www.Grants.gov</a> for more information.

Each time an application is re-submitted to <a href="www.Grants.gov">www.Grants.gov</a>, the applicant will receive a new <a href="Submission Receipt Email">Submission Receipt Email</a>. Only applications with on-time date and time stamps in Submission Receipt Email, and that pass validation, will be transmitted to ACF. Applications that are submitted on time that fail the validation check are not be transmitted to ACF and will not be acknowledged.

**NOTE:** The Grants.gov validation check can affect whether the application is accepted for review. If an application fails the Grants.gov validation check and is not resubmitted by 11:59 p.m., ET, on the due date, it will not be transmitted to ACF and will be excluded from the review.

Similarly, if an applicant resubmits their application to Grants.gov by 11:59 p.m., ET, on the due date, and the resubmitted application does not pass the validation check, it will not be transmitted to ACF and will be excluded from the review.

# **Grants.gov Support Center**

- If applicants encounter any technical difficulties in using <a href="www.Grants.gov">www.Grants.gov</a>, contact the Grants.gov Support Center at: 1-800-518-4726, or by email at <a href="support@grants.gov">support@grants.gov</a>, to report the problem and obtain assistance. Hours of Operation: 24 hours a day, 7 days a week. The Grants.gov Support Center is closed on federal holidays.
- Applicants should always retain Grants.gov Support Center service ticket number(s) as they may be needed for future reference.
- Contact with the Grants.gov Support Center prior to the listed application due date and time does not ensure acceptance of an application. If difficulties are encountered, the Grants Management Officer listed in Section VII. HHS Awarding Agency Contact(s) will determine whether the submission issues are due to Grants.gov system errors or user error.

## **Issues with Federal Systems**

For any systems issues experienced with Grants.gov or SAM.gov, please refer to ACF's "Policy for Applicants Experiencing Federal Systems Issues" document for complete guidance at www.acf.hhs.gov /sites/default/files/assets/systems issue policy final.pdf.

## Request an Exemption from Required Electronic Application Submission

To request an exemption from required electronic submission please refer to ACF's "Policy for Requesting an Exemption from Required Electronic Application Submission" document for complete guidance at: <a href="https://www.acf.hhs.gov/grants/howto#chapter-6">www.acf.hhs.gov/grants/howto#chapter-6</a>.

## **Paper Format Application Submission**

An exemption is required for the submission of paper applications. See the preceding section on "Request an Exemption from Required Electronic Application Submission."

Applicants with exemptions that submit their applications in paper format, by mail or delivery, must submit one original and two copies of the complete application with all attachments. The original and each of the two copies must include all required forms, certifications, assurances, and appendices, be signed by the AOR, and be unbound. The original copy of the application must have original signature(s). See *Section IV.7*. of this announcement for address information for paper format application submissions. Applications submitted in paper format must be received by 4:30 p.m., ET, on the due date.

Applicants may refer to *Section VIII*. *Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.4*. *Submission Dates and Times* in this announcement.

# IV.3. Unique Entity Identifier and System for Award Management (SAM)

All applicants must have a DUNS Number (<a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a>) and an active registration with the System for Award Management (SAM.gov/SAM, <a href="https://www.sam.gov">https://www.sam.gov</a>).

Obtaining a DUNS Number may take 1 to 2 days.

All applicants are required to maintain an active SAM registration until the application process is complete. If a grant is awarded, registration at SAM must be active throughout the life of the award

Plan ahead. Allow at least 10 business days after you submit your registration for it to become active in SAM and at least an additional 24 hours before that registration information is available in other government systems, i.e. Grants.gov.

This action should allow you time to resolve any issues that may arise. Failure to comply with these requirements may result in your inability to submit your application through Grants.gov or prevent the award of a grant. Applicants should maintain documentation (with dates) of your efforts to register for, or renew a registration, at SAM. User Guides are available under the "Help" tab at https://www.sam.gov.

HHS requires all entities that plan to apply for, and ultimately receive, federal grant funds from any HHS Agency, or receive subawards directly from recipients of those grant funds to:

- Be registered in the SAM prior to submitting an application or plan;
- Maintain an active SAM registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV; and
- Provide its active DUNS number in each application or plan it submits to the OPDIV.

ACF is prohibited from making an award until an applicant has complied with these requirements. At the time an award is ready to be made, if the intended recipient has not complied with these requirements, ACF:

- May determine that the applicant is not qualified to receive an award; and
- May use that determination as a basis for making an award to another applicant.

#### IV.4. Submission Dates and Times

## **Due Dates for Applications**

Due Date for Applications: 07/14/2017

# **Explanation of Due Dates**

The due date for receipt of applications is listed in the *Overview* section and in this section. See *Section III.3. Other, Application Disqualification Factors*.

# **Electronic Applications**

The deadline for submission of electronic applications via <a href="www.Grants.gov">www.Grants.gov</a> is 11:59 p.m., ET, on the due date. Electronic applications submitted at 12:00 a.m., ET, on the day after the due date will be considered late and will be disqualified from competitive review and from funding under this announcement.

Applicants are required to submit their applications electronically via <a href="www.Grants.gov">www.Grants.gov</a> unless they received an exemption through the process described in Section IV.2. Request an Exemption from Required Electronic Application Submission.

ACF does not accommodate transmission of applications by email or facsimile.

Instructions for electronic submission via <u>www.Grants.gov</u> are available at: www.grants.gov/web/grants/applicants/apply-for-grants.html.

Applications submitted to <a href="www.Grants.gov">www.Grants.gov</a> at any time during the open application period prior to the due date and time that fail the Grants.gov validation check will not be received at ACF. These applications will not be acknowledged.

# **Mailed Paper Format Applications**

The deadline for receipt of mailed, paper applications is 4:30 p.m., ET, on the due date. Mailed paper applications received after the due date and deadline time will be considered late and will be disqualified from competitive review and from funding under this announcement.

Paper format application submissions will be disqualified if the applicant organization has not received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

## **Hand-Delivered Paper Format Applications**

Applications that are hand-delivered by applicants, applicant couriers, by overnight/express mail couriers, or other representatives of the applicant must be received on, or before, the due date listed in the *Overview* and in this section. These applications must be delivered between the hours of 8:00 a.m. and 4:30 p.m., ET, Monday through Friday (excluding federal holidays). Applications should be delivered to the address provided in *Section IV.7.Other Submission Requirements*.

Hand-delivered paper applications received after the due date and deadline time will be

considered late and will be disqualified from competitive review and from funding under this announcement.

Hand-delivered paper format application submissions will be disqualified if the applicant organization has not received an exemption through the process described in *Section IV.2*. *Request an Exemption from Required Electronic Application Submission*.

# No appeals will be considered for applications classified as late under the following circumstances:

- Applications submitted electronically via <a href="www.Grants.gov">www.Grants.gov</a> are considered late when they are dated and time-stamped after the deadline of 11:59 p.m., ET, on the due date.
- Paper format applications received by mail or hand-delivery after 4:30 p.m., ET, on the due date will be classified as late and will be disqualified.
- Paper format applications received from applicant organizations that were not approved for an exemption from required electronic application submission under the process described in *Section IV.2. Request an Exemption from Required Electronic Submission* will be disqualified.

# **Emergency Extensions**

ACF may extend an application due date when circumstances make it impossible for an applicant to submit their applications on time. Only events such as documented natural disasters (floods, hurricanes, tornados, etc.), or a verifiable widespread disruption of electrical service, or mail service, will be considered. The determination to extend or waive the due date, and/or receipt time, requirements in an emergency situation rests with the Grants Management Officer listed as the Office of Grants Management Contact in Section VII. HHS Awarding Agency Contact(s).

#### Acknowledgement from www.Grants.gov

Applicants will receive an initial email upon submission of their application to <a href="www.Grants.gov">www.Grants.gov</a>. This email will provide a **Grants.gov Tracking Number**. Applicants should refer to this tracking number in all communication with Grants.gov. The email will also provide a **date and time stamp**, which serves as the official record of application's submission. Receipt of this email does not indicate that the application is accepted or that is has passed the validation check.

Applicants will also receive an email acknowledging that the received application is in the **Grants.gov validation process**, after which a third email is sent with the information that the submitted application package has passed, or failed, the series of checks and validations. Applications that are submitted on time that fail the validation check will not be transmitted to ACF and will not be acknowledged by ACF.

See "What to Expect After Submitting" at <a href="www.Grants.gov">www.Grants.gov</a> for more information.

# Acknowledgement from ACF of an electronic application's submission:

Applicants will be sent additional email(s) from ACF acknowledging that the application has

been retrieved from <u>www.Grants.gov</u> by ACF. Receipt of these emails is not an indication that the application is accepted for competition.

# Acknowledgement from ACF of receipt of a paper format application:

ACF will not provide acknowledgement of receipt of hard copy application packages submitted via mail or courier services.

# IV.5. Intergovernmental Review

This program is covered under Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." Under the Executive Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 <a href="http://www.whitehouse.gov/omb/grants\_spoc/">http://www.whitehouse.gov/omb/grants\_spoc/</a>. Applicants from participating jurisdictions should contact their SPOC, as soon as possible, to alert them of their prospective applications and to receive instructions on their jurisdiction's procedures. Applicants must submit all required application materials to the SPOC and indicate the date of submission on the Standard Form (SF) 424 at item 19.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application due date to comment on proposed new awards.

SPOC comments may be submitted directly to ACF to: U.S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 330 C St. SW, 3rd Floor, Washington, DC 20201.

Entities that meet the eligibility requirements of this announcement are still eligible to apply for a grant even if a State, Territory or Commonwealth, etc., does not have a SPOC or has chosen not to participate in the process. Applicants from non-participating jurisdictions need take no action with regard to E.O. 12372. Applications from Federally-recognized Indian Tribal governments are not subject to E.O. 12372.

# **IV.6. Funding Restrictions**

Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred to raise capital or obtain contributions are unallowable. Fund raising costs for the purposes of meeting the Federal program objectives are allowable with prior written approval from the Federal awarding agency. (45 CFR §75.442)

Proposal costs are the costs of preparing bids, proposals, or applications on potential Federal

and non-Federal awards or projects, including the development of data necessary to support the non-Federal entity's bids or proposals. Proposal costs of the current accounting period of both successful and unsuccessful bids and proposals normally should be treated as indirect (F&A) costs and allocated currently to all activities of the non-Federal entity. No proposal costs of past accounting periods will be allocable to the current period. (45 CFR §75.460)

Grant awards will not allow reimbursement of pre-award costs.

Construction is not an allowable activity or expenditure under this grant award.

Purchase of real property is not an allowable activity or expenditure under this grant award. Construction is not an allowable activity under this grant award; however, costs for acquisition and renovation of existing structures are authorized but may not exceed 15 per of the grant amount awarded. (45 CFR § 1351.15 and § 1351.16)

Applicants are advised that no grant funds may be used for any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug. Prospective grantees are advised that entities receiving BCP grant funds and operating a program to distribute sterile needles or syringes for hypodermic injections of illegal drugs must account for all funds used for such programs separately from any expenditure of BCP grant funds.

HHS funds may not be spent for an abortion.

For further funding restrictions, please see the HHS Grants Policy Statement reference in *Section IV.2 Administrative and National Policy Requirements*.

### **IV.7. Other Submission Requirements**

Submit paper applications to one of the following addresses. Also see *ACF Policy on Requesting an Exemption from Required Electronic Application Submission at www.acf.hhs.gov/grants/howto#chapter-6*.

#### **Submission By Mail**

FYSB Operations Center c/o F2-Solutions Attn: Basic Center Program FOA 1401 Mercantile Lane Suite 401 Largo, MD 20774

#### **Hand Delivery**

FYSB Operations Center c/o F2-Solutions

Attn: Basic Center Program FOA 1401 Mercantile Lane Suite 401 Largo, MD 20774

#### **Electronic Submission**

See *Section IV.2*. for application requirements and for guidance when submitting applications electronically via www.Grants.gov.

For all submissions, see Section IV.4. Submission Dates and Times.

# V. Application Review Information

### V.1. Criteria

**Please note:** Reviewers will not access, or review, any materials that are not part of the application documents. This includes information accessible on websites via hyperlinks that are referenced, or embedded, in the application. Though an application may include web links, or embedded hyperlinks, reviewers will not review this information as it is not considered to be part of the application documents. Nor will the information on websites be taken into consideration in scoring of evaluation criteria presented in this section. Reviewers will evaluate and score an application based on the documents that are presented in the application and **will not** refer to, or access, external links during the objective review.

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in this section. The corresponding point values indicate the relative importance placed on each review criterion. Points will be allocated based on the extent to which the application proposal addresses each of the criteria listed. Applicants should address these criteria in their application materials, particularly in the project description and budget justification, as they are the basis upon which competing applications will be judged during the objective review. The required elements of the project description and budget justification may be found in *Section IV.2* of this announcement.

# OBJECTIVES, OUTCOMES AND NEED FOR ASSISTANCE Maximum Points:15

In reviewing the objectives, outcomes, and need for assistance, reviewers will provide appropriate scores to the extent applicants have successfully:

- **1.1** Provided concrete data that show evidence that youth homelessness exists in the target area, which supports the need for the BCP in the community;
- **1.2** Identified target areas where runaway, homeless, and street youth congregate, discussed any previous or current attempts to address the problem of youth homelessness, and detailed a strategy to provide services to the projected number of youth the agency intends to serve under the BCP project;
- **1.3** Identified the expected outcomes the agency projects to achieve while providing temporary shelter and counseling to runaway, homeless, and street youth in the community through the

services of the BCP;

- **1.4** Demonstrated how the applicant expected outcomes relate to meeting the needs of runaway, homeless, and street youth who have left home without permission of their parents or guardians, or to other homeless youth who might otherwise end up in the law enforcement or in the child welfare, mental health, or juvenile justice systems;
- **1.5** Illustrated a well thought out strategy to work with other community organizations that also provide services to homeless youth population to coordinate community-wide outreach marketing efforts; and
- **1.6** Developed a descriptive and rational logic model that conveys the relationship between the program requirements, the proposed activities, and the end result of the project.

APPROACH Maximum Points:40

In reviewing the approach, reviewers will provide appropriate scores to the extent applicants have successfully considered the *Comprehensive Youth Centered Service Model* in their project plan:

- I. Access to shelter, gateway services, and assessment (0-20 pts.)
- **2.1** Established a logical outreach strategy that will attract and engage runaway, homeless, and street youth to the BCP services;
- **2.2** Discussed how the applicant will maintain a staffed 24/7 shelter and telephone number at the shelter for youth in need of shelter services. Provide the address of the physical site where shelter services will be delivered;
- **2.3** Discussed the proposed screening and assessing tools to be used to consistently gather relevant information during the intake process to assess each youth's situation and determine service prioritization; and
- **2.4** Explained a reasonable strategy to provide gateway services to runaway, homeless, and street youth.
- II. Coordinated case management, follow-up/aftercare services, and continuum service linkages (0-20 pts.)
- **2.5** Outlined a clear and acceptable project plan that includes the successful coordination of intensive case management for youth and a clear strategy for helping youth establish permanency;
- **2.6** Listed service providers, the approach to coordinate services, and how the working relationships will enhance the services the homeless youth population will receive to support the BCP project;
- **2.7** Detailed procedures to provide consistent and relevant follow-up/aftercare services to youth, and if applicable their families, who receive shelter services;
- **2.8** Demonstrated a thorough understanding of and fidelity to the BCP program statutory authority set forth in § 311(a)(1) of the RHY Act, 42 U.S.C. § 5711(a)(1); and
- **2.9** Included a sound positive youth development approach, trauma-informed care approach to service delivery, and an evidence-informed approach in the proposed BCP project that

promotes social and emotional well-being.

#### PERFORMANCE EVALUATION PLAN

Maximum Points:15

In reviewing the performance evaluation plan, reviewers will provide appropriate scores to the extent applicants have successfully:

- **3.1** Described the plan for program performance evaluation that will contribute to continual quality improvement;
- **3.2** Described the systems and processes that will support the organization's performance management requirements;
- **3.3** Described any potential obstacles for implementing the program performance evaluation and how those obstacles will be addressed; and
- **3.4** Developed a descriptive and rational logic model that conveys the relationship between the program requirements (inputs), the proposed activities (outputs), and the end result of the project (outcomes).

#### ORGANIZATIONAL CAPACITY

Maximum Points:15

In reviewing the organizational capacity, reviewers will provide appropriate scores to the extent applicants have successfully:

- **4.1** Provided supporting data that illustrated successful years of experience in serving runaway, homeless, and street youth, or have a viable startup plan that details deadlines for obtaining licensure, hiring and training staff, and securing beds, initiating outreach, and beginning delivery of services within 6 months of award;
- **4.2** Detailed past and current experience with providing innovative methods to servicing homeless youth population and the organization's ability to provide evidence-informed services toward ending or preventing youth homelessness;
- **4.3** Outlined the organization's governance structure, fiscal control, and accountability procedures that prove the organization is capable of managing the program;
- **4.4** Outlined partnering relations, including any signed third-party agreements, and scope of work that is appropriate for BCP services;
- **4.5** Demonstrated that the organization is capable of managing the project with a viable staffing plan that includes an organizational chart, resumes, position descriptions (educational and professional experience), and a staff supervision and evaluation plan for positions that will be funded by the grant;
- **4.6** Described their comprehensive procedures to meet the requirement that they must conduct criminal background and child abuse registry checks for paid and volunteer staff and contractors to ensure the safety and well-being of youth receiving services;
- **4.7** Developed a comprehensive plan for providing training on the core competencies necessary to carry out the objectives of the projects such as positive youth development, trauma informed care, evidence informed practices, human trafficking, harm reduction, assessment, case management, and worker safety; and
- **4.8** Described a viable plan for project sustainability at the end of federal funding.

#### BUDGET AND BUDGET JUSTIFICATION

Maximum Points:15

In reviewing the budget and budget justification, reviewers will provide appropriate scores to the extent applicants have successfully:

- **5.1** Outlined a clear line-item budget and narrative budget for the federal and non-federal share of project costs and demonstrated how cost estimates were derived;
- **5.2** Established a narrative budget justification that shows how the funds requested are reasonable, necessary, and essential to accomplish the scope of services. This should include the costs of sending at least one key staff person to attend the RHY national grantee training conference and RHY-HMIS training, software, etc. that will be funded by the grant;
- **5.3** If applicable, described its inability to pay off the burden that would be created for the use of the CoC-designated HMIS and submitted an alternative proposal for the use of another HMIS solution;
- **5.4** Outlined an annual operating budget and listed the funding sources that will support the BCP; and
- **5.5** Described how oversight of federal funds will be ensured and how grant activities and partner(s) will adhere to applicable federal and programmatic regulations.

#### V.2. Review and Selection Process

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant or sub-recipient that does not have a DUNS number (<a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a>) and an active registration at SAM (<a href="www.sam.gov">www.sam.gov</a>). See Section IV.3. Unique Entity Identifier and System for Award Management (SAM).

#### **Initial ACF Screening**

Each application will be screened to determine whether it meets any of the disqualification factors described in *Section III.3.Other*, *Application Disqualification Factors*.

Disqualified applications are considered to be "non-responsive" and are excluded from the competitive review process. Applicants will be notified of a disqualification determination by email or by USPS postal mail within 30 federal business days from the closing date of this FOA.

#### **Objective Review and Results**

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using only the criteria described in *Section V.1. Criteria* of this announcement. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. Scores and rankings are only one element used in the award decision-making process.

ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider preferences to fund organizations serving emerging, unserved, or under-served populations, including those populations located in pockets of poverty. ACF will also consider the geographic distribution of federal funds in its award decisions.

As required by the RHY Act, priority for funding shall be given to public and private entities with experience in providing services to runaway, homeless, and street youth. Eligible applicants will be assessed on how well they sufficiently demonstrated and substantiated that they have experience in providing services to runaway, homeless, and street youth. Applicants should refer to *Section IV.2, The Project Description, Approach, Organizational Capacity*.

While current BCP grantees are eligible to receive an additional BCP award, it is ACF's preference to not award new grants to current BCP grantees (including their subgrantees) that have 1 or 2 years remaining in their project periods. It is also ACF's preference to award one grant to one organization providing services in a defined service area or community. ACF will make more than one award in a defined services area or community only when there are compelling circumstances to do so.

### Federal Awarding Agency Review of Risk Posed by Applicants

As required by 2 CFR Part 200, the Uniform Guidance, effective January 1, 2016, ACF is required to review and consider any information about the applicant that is in the Federal Awardee Performance and Integrity Information System (FAPIIS), <a href="www.fapiis.gov/">www.fapiis.gov/</a>, before making any award in excess of the simplified acquisition threshold (currently \$150,000) over the period of performance. An applicant may review and comment on any information about itself that a federal awarding agency has previously entered into FAPIIS. ACF will consider any comments by the applicant, in addition to other information in FAPIIS, in making a judgment about the applicant's integrity, business ethics, and record of performance under federal awards when completing the review of risk posed by applicants as described in 2 CFR § 200.205 Federal Awarding Agency Review of Risk Posed by Applicants (<a href="http://www.ecfr.gov/cgi-bin/text-idx?node=se2.1.200">http://www.ecfr.gov/cgi-bin/text-idx?node=se2.1.200</a> 1205&rgn=div8).

Please refer to *Section IV.2*. of this announcement for information on non-federal reviewers in the review process.

# **Approved but Unfunded Applications**

Applications recommended for approval in the objective review process, but were not selected for award, may receive funding if additional funds become available or may compete for funding during the next review cycle (if one occurs in the next fiscal year). Applications designated as "approved but unfunded" typically cannot be kept in an active status for more than 12 months. For those applications determined as "approved but unfunded," notice will be given of the determination by email.

# V.3. Anticipated Announcement and Federal Award Dates

Announcement of awards and the disposition of applications will be provided to applicants at a later date. ACF staff cannot respond to requests for information regarding funding decisions prior to the official applicant notification.

#### VI. Federal Award Administration Information

#### VI.1. Federal Award Notices

Successful applicants will be notified through the issuance of a Notice of Award (NoA) that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-federal share to be provided (if applicable), and the total project period for which support is contemplated. The NoA will be signed by the Grants Officer and transmitted via postal mail, email, or by GrantSolutions.gov or the Head Start Enterprise System (HSES), whichever is relevant. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter signed by the cognizant Program Office head. Any other correspondence that announces to a Principal Investigator, or a Project Director, that an application was selected is not an authorization to begin performance.

Project costs that are incurred prior to the receipt of the NoA are at the recipient's risk and may be reimbursed only to the extent that they are considered allowable as approved pre-award costs. Information on allowable pre-award costs and the time period under which they may be incurred is available in *Section IV.6. Funding Restrictions*.

Grantees may translate the Federal award and other documents into another language. In the event of inconsistency between any terms and conditions of the Federal award and any translation into another language, the English language meaning will control. Where a significant portion of the grantee's employees who are working on the Federal award are not fluent in English, the grantee must provide the Federal award in English and in the language(s) with which employees are more familiar.

#### VI.2. Administrative and National Policy Requirements

Awards issued under this announcement are subject to 45 CFR Part 75 - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards. The Code of Federal Regulations (CFR) is available at <a href="www.ecfr.gov">www.ecfr.gov</a>. Unless otherwise noted in this section, administrative and national policy requirements that are applicable to discretionary grants are available at: <a href="www.acf.hhs.gov/administrative-and-national-policy-requirements">www.acf.hhs.gov/administrative-and-national-policy-requirements</a>.

#### **HHS Grants Policy Statement**

The HHS Grants Policy Statement (HHS GPS) is the Department of Health and Human

Services' single policy guide for discretionary grants and cooperative agreements. ACF grant awards are subject to the requirements of the HHS GPS, which covers basic grants processes, standard terms and conditions, and points of contact, as well as important agency-specific requirements. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific requirements to the contrary that are specified in the Notice of Award (NOA). The HHS GPS is available at <a href="https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-1">https://www.acf.hhs.gov/discretionary-post-award-requirements#chapter-1</a>.

An application funded with the release of federal funds through a grant award does not constitute, or imply, compliance with federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable federal regulations.

### Runaway and Homeless Youth Act Final Rule

On December 20, 2016, FYSB published a new rule (45 CFR §1351) to improve performance standards and program requirements for RHY Programs. This new rule builds upon FYSB's existing policies and guidance to better support runaway and homeless youth by strengthening training and professional development for service providers, defining safe and appropriate exits from homelessness, requiring aftercare planning for all youth exiting programs, and improving nondiscrimination protections for youth. The rule also clarifies statutory changes made to the RHY Act of 2008. All RHY grantees must comply with the new rule and this FOA reflects the new requirements in the rule.

# **Program Administration Requirements**

Applicants are advised that statutory requirements applicable to grants under this FOA can be found in the RHY Act, 42 U.S.C. §§ 5701-5752. Applicants are further advised that regulations implementing certain requirements of the RHY Act can be found at 45 CFR § 1351.

Governance and Fiscal Controls: Organizations must have in place governance structure, fiscal control, and accountability procedures.

Staffing Plan: Organization must staff and supervise the project to ensure the effective implantation of the project plans.

# **Technical Assistance, Monitoring, and Short-Term Training**

By signing and submitting the application and pursuant to 45 CFR § 1351.23(a) of the RHY Final Rule, BCP grantees agree to receive and participate in technical assistance, monitoring, and short-term training as recommended by federal staff to ensure quality programming and implementation to allow flexibility in which techniques will be used. It is not a requirement that every staff person receives training in every subject offered. However, all youth-serving workers on staff should receive training sufficient to meet the stated core competencies of youth workers. Training and technical assistance is free to BCP grantees through the Runaway and Homeless Youth Training and Technical Assistance Center (RHYTTAC). Services include regionally based technical assistance clinics, training sessions, training of trainers, e-learning, webinars, and an annual grantee training conference. Grantees are strongly encouraged to participate in human trafficking training and activities offered by RHYTTAC. More information is available at <a href="https://www.rhyttac.net">www.rhyttac.net</a>. All grantees must budget annually the costs of sending at least one key staff person to attend the RHY's National Grantee Training Conference.

The list of technical assistance and training options reflect primarily the evolution and expansion over the years of the training and technical assistance program, and the items listed are all conducted currently under the program. Grantees would participate in technical assistance or short-term training as a condition of funding, as determined necessary by HHS, in areas such as, but not limited to:

- Aftercare services or counseling
- Background checks
- Core competencies of youth workers
- Core support services
- Crisis intervention techniques
- Cultural and linguistic diversity
- Development of coordinated networks of private nonprofit agencies and/or public agencies to provide services
- Ethics and staff safety
- Fiscal management
- Low cost community alternatives for runaway or otherwise homeless youth
- Positive youth development
- Program management
- Risk and protective factors related to youth homelessness
- Screening and assessment practices
- Shelter facility staff development
- Human trafficking (sex and labor trafficking)
- Underserved populations (e.g., tribal youth; youth with disabilities)
- Trauma and the effects of trauma on youth
- Use of evidence-based and evidence-informed interventions
- Youth and family counseling

In addition, procedures shall be established for the training of project staff in the protection of confidentiality requirements in Pursuant to 45 CFR § 1351.21(c) of the RHY rule.

#### **Confidentiality**

Pursuant to 45 CFR § 1351.21 of the RHY rule, no records containing the identity of individual youth, including but not limited to, lists of names, addresses, photographs, or records of evaluation of individuals served by a RHY project, may be disclosed or transferred to any individual or to any public or private agency except research, evaluation, and statistical reports funded by grants provided under section 343 of the RHY Act are allowed to be based on individual data, but only if such data are de-identified in ways that preclude disclosing information on identifiable individuals. Additionally, youth served by a RHY Program shall have the right to review their records; to correct a record or file a statement of disagreement; and to be apprised of the individuals who have reviewed their records. Finally, HHS policies regarding confidential information and experimentation and treatment shall not apply if HHS finds that state law is more protective of the rights of runaway or otherwise homeless youth.

Grantee must ensure that all confidential, sensitive information and records will be properly handled and safeguarded. Grantees must have a secure process that allow clients to review their

records, correct a record or file a statement of disagreement and be apprised of all individuals and entities that have reviewed their records.

Under the RHY Act, grantees may not disclose records maintained on individual runaway and homeless youth without the consent of the youth and parent or legal guardian, with the exception of disclosing records to a government agency involved in the disposition of criminal charges and disclosing de-identified records to an agency for compiling statistical records.

# VI.3. Reporting

Performance Progress Reports:

Semi-Annually

Recipients under this FOA will be required to submit performance progress and financial reports periodically throughout the project period. Information on reporting requirements is available on the ACF website at <a href="www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2">www.acf.hhs.gov/discretionary-post-award-requirements#chapter-2</a>.

For planning purposes, the frequency of required reporting for awards made under this announcement are as follows:

Financial Reports:

Semi-Annually

# Runaway and Homeless Youth Data Collection Requirements

Grantees are legislatively required to keep adequate statistical records profiling the youth and families assisted under this federal grant. Historically, RHYMIS has served as the designated data collection system, enabling grantees to collect and transmit youth-level data to FYSB on a semi-annual basis. RHYMIS data collection measures are approved under OMB control number 0970-0123, which expires February 28, 2018.

Grantees are required to join with the local CoC in their area to work with other homeless service providers on data collection efforts, technical assistance provision, and to participate in service delivery coordination. RHY grantees may use FYSB grant funds to cover charges associated with CoC training and access to the new RHY-HMIS software.

All BCP grantees need to record data for youth individually contacted and engaged in RHY-HMIS.

Grantees must identify the projected number of active RHY-HMIS users necessary to collect data on all youth served using BCP grant funding timely and accurately, report the data to FYSB through semiannual data upload processes, and ensure that data quality thresholds are met.

Grantees must also receive access to RHY-HMIS by an HMIS lead in the CoC where the grantee operates and receive training on its use and reporting functionalities. The contact information for the HMIS lead in every CoC may be found at <a href="https://www.hudexchange.info/grantees/?programid=3&;searchText=&;stateId">https://www.hudexchange.info/grantees/?programid=3&;searchText=&;stateId</a>. Grantees must report to the use of RHY-HMIS.

Grantees must submit the data collected in RHY-HMIS to FYSB during a semi-annual upload

process by generating a report in RHY-HMIS with de-identified name and Social Security numbers of clients.

Grantees must assign one point of contact for uploading the client-level data to FYSB. This will assist FYSB in creating and maintaining a formal communications link for sharing important updates and system developments.

Additional materials and instructions regarding RHY-HMIS use and reporting for RHY grantees may be found at <a href="http://rhyttac.net/technical-assistance/rhymis-hmis.">http://rhyttac.net/technical-assistance/rhymis-hmis.</a>

Grantees are not required to obtain consent to collect data on individual youth in HMIS or record information about that youth in other records. Consent is required, however, for data to be shared within an RHY-HMIS solution across all programs participating in that RHY-HMIS. The parent or legal guardian of youth under 18 must provide the consent to share data, whereas youth 18 or over are able to provide consent by themselves to share data.

### **Program Standards and Measures**

The RHY Program has developed corresponding measures, in cases where grantees' compliance with performance standards may be evaluated using existing RHY-HMIS elements. Some of these measures contain specific targets informed by past RHY Program data that grantees are required to meet.

In other cases, performance standards required by the final rule do not correspond to existing RHY-HMIS data elements. For these performance standards, grantees must use the existing Performance Progress Report (PPR) to report these data. The PPR reporting process is found under PRA OMB Control Number 0970-0406.

Detailed guidance, training, and technical assistance on how to collect and report data on these performance standards will be developed and provided to RHY grantees in advance of reporting deadlines.

The performance standards apply to all RHY-funded grantees beginning on October 1, 2017.

Grantees' performance will be monitored based on their compliance with these performance standards and measures. Grantees' performance on these standards may also be used when deciding which RHY grantee applications to fund in future FOAs.

The table below summarizes the performance standards and corresponding measures, as well as the data collection instrument that grantees are required to use for each measure.

Performance Standard	Corresponding Measure	Data Collection Instrument
for youth in the core area of social and emotional well-being.	The average composite score of general health, mental health, and dental health status will be higher at exit from a BCP shelter program than at entry.	RHY-HMIS
for youth in the core area of permanent connections.	Grantees will report the percentage of youth upon exiting BCP shelter who report that there at least one adult in their life, other than RHY program staff, to whom they can	PPR

	go for advice or emotional support.	
Grantees will enhance outcomes for youth in the core area of education or employment.	60% of youth leaving BCP shelter will be attending school or have graduated from high school or obtained a GED.	RHY-HMIS
Grantees will enhance outcomes for youth in the core area of education or employment.	20% of youth leaving BCP shelter will be employed or looking for work.	RHY-HMIS
Grantees will enhance outcomes for youth in the core area of stable housing.	90% of youth leaving BCP shelter will exit to a safe and stable destination.	RHY-HMIS
Grantees will enhance outcomes for youth in the core area of stable housing.	For grantees that provide street-based and home-based services, 85% of youth receiving services will be diverted from entering an emergency shelter and will exit to another safe and stable destination.	RHY-HMIS
Grantees will ensure that youth receive counseling services that are trauma informed and match the individual needs of each client.	Grantees will report the type of counseling each youth received (individual, family and/or group counseling), the participation rate based on a youth's service plan or treatment plan, and the completion rate based on the youth's service plan or treatment plan.	PPR
Grantees that choose to provide street-based services, home-based services, and/or testing for sexually transmitted diseases will ensure youth receive the appropriate services.	Grantees will report the completion rate for each service provided based on the youth's service or treatment plan.	PPR
Grantees shall ensure that youth have safe and appropriate exits when leaving the program.	Grantees will report the type of destination for each youth who exits a BCP.	RHY-HMIS

# VII. HHS Awarding Agency Contact(s)

# **Program Office Contact**

Gloria Watkins
Administration for Children and Families
Administration on Children, Youth and Families
Family and Youth Services Bureau, Headquarters
330 C Street, SW.

Switzer Building, Third Floor, Room 3617c

Washington, DC 20201 Phone: (202) 205-9546

Email: Gloria. Watkins@ACF.hhs.gov

#### **Office of Grants Management Contact**

Katrina Morgan Administration for Children and Families Office of Grants Management 330 C Street, SW. Switzer Building, Third Floor, Room 3204 Washington, DC 20201

Phone: (202) 401-5127

Email: acfogme-grants@acf.hhs.gov

# **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service (FedRelay) at <a href="https://www.gsa.gov/fedrelay">www.gsa.gov/fedrelay</a>.

#### **VIII. Other Information**

#### **Reference Websites**

U.S. Department of Health and Human Services (HHS) www.hhs.gov/.

Administration for Children and Families (ACF) www.acf.hhs.gov/.

ACF Funding Opportunities Forecast www.grants.gov/.

ACF Funding Opportunity Announcements <u>ami.grantsolutions.gov/.</u>

ACF "How To Apply For A Grant" www.acf. hhs.gov/grants/how-to-apply-for-grants.

Grants.gov Accessibility & Compliance <u>www.grants.gov/web/grants/accessibility-compliance.html.</u>

Catalog of Federal Domestic Assistance (CFDA) www.cfda.gov/.

Code of Federal Regulations (CFR) http://www.ecfr.gov/.

United States Code (U.S.C.) http://uscode.house.gov/.

Family and Youth Services Bureau - http://www.acf.hhs.gov/programs/fysb

The National Clearinghouse on Families and Youth - <a href="http://ncfy.acf.hhs.gov/">http://ncfy.acf.hhs.gov/</a>
Runaway and Homeless Youth Training and Technical Assistance Centers - <a href="http://www.rhyttac.net">http://www.rhyttac.net</a>

# **Application Checklist**

Applicants may use this checklist as a guide when preparing an application package.

What to Submit	Where Found	When to Submit
Unique Entity Identifier (DUNS) and Systems for Award Management (SAM) registration.	Referenced in Section IV.3. Unique Entity Identifier and System for Award Management (SAM) in the announcement. To obtain a DUNS number (Unique Entity Identifier), go to <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a> . To register at SAM, go to <a href="http://www.sam.gov">http://www.sam.gov</a> .	A DUNS number (Unique Entity Identifier) and registration at SAM.gov are required for all applicants.  Active registration at SAM must be maintained throughout the application and project award period.
Third-Party Agreements(also, MOUs and Consortia Agreements)	Referenced in Section IV.2. Project Description.	If available, submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.4</i> . If not available at the time of application submission, due by the time of award.
SF-424 - Application for Federal Assistance	Referenced in Section IV.2.Required Forms, Assurances, and Certifications.  This form is available in the FOA's forms package at www Grants.gov in the Mandatory section.	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.4. Submission Dates and Times</i> .
The Project Budget and Budget Justification	Referenced in Section IV.2. The Project Budget and Budget Justification.	Submission is required in addition to submission of SF-424A and / or SF-424C.

		Submission is required with the application package by the due date in the <i>Overview</i> and in <i>Section IV.4</i> . <i>Submission Dates and Times</i> .
SF-LLL - Disclosure of Lobbying Activities	"Disclosure Form to Report Lobbying" is referenced in Section IV.2. Required Forms, Assurances, and Certifications.	If submission of this form is applicable, it is due at the time of application.
	This form is available in the FOA's forms package at www.Grants.gov.	If it not available at the time of application, it may also be submitted prior to the award of a grant.
SF-424A - Budget Information - Non- Construction Programs and SF- 424B - Assurances - Non- Construction Programs	Referenced in Section IV.2. Required Forms, Assurances, and Certifications.  These forms are available in the FOA's forms package at <a href="www.drants.gov">www.drants.gov</a> in the Mandatory section. They are required for applications that include only non-construction activities.	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.4. Submission Dates and Times</i> .
Certification Regarding Lobbying (Grants.gov Lobbying Form)	Referenced in Section IV.2. Required Forms, Assurances, and Certifications. This form is available in the FOA's forms package at www.Grants.gov.	Submission is due with the application package or prior to the award of a grant.
The Project Description	Referenced in Section IV.2. The Project Description.	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.4. Submission Dates and Times</i> .
SF- Project/Performance Site Location(s) (SF-P/PSL)	Referenced in Section IV.2.Required Forms, Assurances, and Certifications. This form is available in the FOA's forms package at	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.4</i> . <i>Submission Dates and Times</i> .

	www.Grants.gov.	
Mandatory Grant Disclosure	Requirement, submission instructions, and mailing addresses are found in the "Mandatory Grant Disclosure" in Section IV.2. Required Forms, Assurances and Certifications.	If applicable, concurrent submission to the Administration for Children and Families and to the Office of the Inspector General is required.
Table of Contents	Referenced in Section IV.2. The Project Description.	Submit with the application by the due date found in the <i>Overview</i> and in <i>Section IV.4.</i> Submission Dates and Times.
Project Summary/Abstract	Referenced in <i>Section IV.2. The Project Description</i> .  The Project Summary/Abstract is limited to one single-spaced page.	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.4. Submission Dates and Times</i> .
Proof of Non-Profit Status	Referenced in Section IV.2. The Project Description, Legal Status of Applicant Entity.	Proof of non-profit status should be submitted with the application package by the application due date and time listed in the <i>Overview</i> and <i>Section IV.4</i> . of the FOA.  If it is not available at the time of application submission, it must be submitted prior to the award of a grant.
Executive Order 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities"	Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 at <a href="http://www.white">http://www.white</a> <a href="house.gov/omb/grants_spoc">house.gov/omb/grants_spoc</a> <a href="house.gov/omb/grants_spoc">as indicated in Section IV.5</a> . <a href="Intergovernmental Review">Intergovernmental Review</a> of this announcement.	Submission of application materials is due to SPOC by the application due date listed in the <i>Overview</i> and in <i>Section IV.4. Submission Dates and Times</i> .

# **Appendix**

# Appendix A

#### **DEFINITIONS**

AFTERCARE: Additional services provided beyond the period of residential stay that offer continuity and supportive follow-up to youth served by the program. (45 CFR § 1351.1)

BACKGROUND CHECK: The review of an individual employee?s or employment applicant?s personal information, which shall include state or tribal criminal history records. Federal Bureau of Investigation criminal history records, including fingerprint checks, a child abuse and neglect registry check, a sex offender registry check for all employees, consultants, contractors and volunteers who have regular, unsupervised contact with individual youth, and for all adult occupants of host homes to the extent FYSB determines this to be practicable and specifies the requirement in a FOA that is applicable to a grantee?s award.(45 CFR § 1351.1)

CASE MANAGEMENT: Assessing the identification of client needs and, as appropriate, arranging, coordinating, monitoring, evaluating, and advocating for a package of services to meet the specific needs of the client.(45 CFR § 1351.1)

CLIENT: A runaway, homeless, or street youth, or a youth at risk of running away or becoming homeless, who is served by a program grantee.(45 CFR § 1351.1)

CONGREGATE CARE: A shelter type, not family home, that combines living quarters and restroom facilities with centralized dining services, shared living spaces, and access to social and recreational activities.(45 CFR § 1351.1)

CORE COMPETENCIES OF YOUTH WORKER: The ability to demonstrate skills in six domain areas:(1) Professionalism (including, but not limited to, consistent and reliable job performance, awareness and use of professional ethics to guide practice); (2) Applied positive youth development approach (including, but not limited to, skills to develop a positive youth development plan and identifying the client?s strengths in order to best apply a positive youth development framework); (3) Cultural and human diversity (including, but not limited to, gaining knowledge and skills to meet the needs of clients of a different race, ethnicity, nationality, religion/spirituality, gender identity/expression, sexual orientation); (4) Applied human development (including, but not limited to, understanding the developmental needs of those at risk and with special needs); (5) Relationship and communication (including, but not limited to, working with clients in a collaborative manner); and (6) Developmental practice methods (including, but not limited to, utilizing methods focused on genuine relationships, health and safety, intervention planning). (45 CFR § 1351.1)

COUNSELING SERVICES: The provision of guidance, support, referrals for services including, but not limited to, mental health services, and advice to runaway or otherwise homeless youth and their families, as well as to youth and families when a young person is at risk of running away. Any treatment or referral to treatment that aims to change someone?s sexual orientation, gender identity or gender expression is prohibited.(45 CFR § 1351.1)

CRISIS STABILIZATION SERVICES: Services such as assessment and intensive case management to ensure that youth receive assistance with emotional and behavioral health challenges while developing a plan for permanency.

DROP IN CENTER: A place operated and staffed for runaway or homeless youth that clients can visit without an appointment to get advice or information, to receive services or service referrals, or to meet other runaway or homeless youth.(45 CFR § 1351.1)

DRUG ABUSE EDUCATION AND PREVENTION SERVICES: Services to runaway and homeless youth to prevent or reduce the illicit use of drugs by such youth and may include: individual, family, group, and peer counseling; drop-in services; assistance to runaway and homeless youth in rural areas (including the development of community support groups); information and training related to the illicit use of drugs by runaway and homeless youth for individuals involved in providing services to such youth; and activities to improve the availability of local drug abuse prevention services to runaway and homeless youth. (Section 387(1) of the RHY Act, 42 U.S.C. § 5732a(1))

EDUCATION OR EMPLOYMENT: Performance in and completion of educational and training activities, especially for younger youth, and starting and maintaining adequate and stable employment, particularly for older youth.(45 CFR § 1351.1)

EVIDENCE-BASED PRACTICES: Replicates practices that have been evaluated using rigorous evaluation design such as randomized controlled or high-quality quasi-experimental trials and that have demonstrated positive impacts for youth, families, and communities. (For purposes of this funding opportunity announcement)

EVIDENCE-INFORMED PRACTICES: Brings together the best available research, professional expertise, and input from youth and families to identify and deliver services that have promise to achieve positive outcomes for youth, families, and communities. (For purposes of this funding opportunity announcement)

EXCEPTIONAL CIRCUMSTANCES: Extenuating circumstances in which a youth would benefit to a usual extent from additional time in the program.

FOLLOW-UP SERVICES: The act of accessing youth progress after the youth have received safety and resource referrals.

*GATEWAY SERVICES:* Services to provide food, clothing, and hygiene-related items to meet basic needs and develop trust between a youth and youth outreach worker.

HARM REDUCTION: The provision of basic needs and services (e.g., education, referrals, case management, shelter) that aim to reduce adverse health, social, and economic consequences of high-risk behaviors to include reducing the risk of sexual exploitation and human trafficking, assault, or substance use.

HEALTH CARE SERVICES: Physical, mental, behavioral, and dental health services. It includes services provided to runaway and homeless youth and in the case of Maternity Group Homes also includes services provided to a pregnant youth and the child(ren) of the youth. Where applicable and allowable within a program, it includes information on appropriate health related services provided to family or household members of the youth. Any treatment or referral to treatment that aims to change someone?s sexual orientation, gender identity or gender expression is prohibited.(45 CFR § 1351.1)

HOMELESS YOUTH: An individual less than 21 years of age, or, in the case of a youth seeking shelter in a basic center under part A (Section 387(3)(a)) of the RHY Act, less than 18 years of age or is less than a higher maximum age if the state where the center is located has an

applicable state or local law (including a regulation) that permits such higher maximum age in compliance with licensure requirements for child and youth-serving facilities; and for the purposes of part B (Section 387(3)(A)(ii) of the RHY Act, not less than 16 years of age and either less than 22 years of age; or not less than 22 years of age, as the expiration of the maximum period of stay permitted under section 322(a)(2) if such individual commences such stay before reaching 22 years of age; for whom it is not possible to live in a safe environment with a relative; and who has no other safe alternative living arrangement. (Section 387(3) RHY Act, 42 U.S.C. § 5732a(3))

*HOST FAMILY HOME:* A family or single adult home or domicile that provides shelter to a homeless youth.(45 CFR § 1351.1)

*INTAKE*: A process for gathering information to assess eligibility and the services required to meet the immediate needs of the client.(45 CFR § 1351.1)

MATERNITY GROUP HOME: A community-based, adult-supervised transitional living arrangement where client oversight is provided on site or on-call 24 hours a day and that provides pregnant or parenting youth and their children with a supportive environment in which to learn parenting skills, including child development, family budgeting, health and nutrition, and other skills to promote their long-term economic independence and ensure the well-being of their children.(45 CFR § 1351.1)

*OUTREACH:* Finding runaway, homeless, and street youth, or youth at risk of becoming runaway or homeless, who might not use services due to lack of awareness or active avoidance, providing information to them about services and benefits, and encouraging the use of appropriate services.(45 CFR § 1351.1)

PERMANENT CONNECTIONS: Ongoing attachments to families or adult role models, communities, schools, and other positive social networks which support young people?s ability to access new ideas and opportunities that support thriving, and they provide a social safety net when young people are at-risk of re-entering homelessness.(45 CFR § 1351.1)

*REINTEGRATION SERVICES:* The connection of youth with services to promote their long-term well-being and self-sufficiency, including resource plans and case management services for substance abuse, mental health, education, community connections, employment, transportation, income security, identity papers, and housing.

RESOURCE PLAN: Plans for youth that may include, but are not limited to, knowing where to call for resources or help, support for dealing with family conflict or emotional stress and education, information or referral to community resources such as substance abuse counseling, education, employment, and housing.

RISK AND PROTECTIVE FACTORS: Mean those factors that are measurable characteristics of a youth that can occur at multiple levels, including biological, psychological, family, community, and cultural levels, that precede and are associated with an outcome. Risk factors are associated with higher likelihood of problematic outcomes, and protective factors are associated with higher likelihood of positive outcomes. (45 CFR § 1351.1)

RUNAWAY AND HOMELESS YOUTH PROJECT: A community-based program outside the juvenile justice or child welfare systems that provides runaway prevention, outreach, shelter, or transition services to runaway, homeless, or street youth or youth at risk of running away or

becoming homeless.

RUNAWAY YOUTH: An individual who is less than 18 years of age and who absents himself or herself from home or a place of legal residence without the permission of a parent or legal guardian. (Section 387(4) of the RHY Act, 42 USC § 5732a(4))

SAFE AND APPROPRIATE EXITS: Settings that reflect achievement of the intended purposes of the Transitional Living Programs as outlined in section 382(a) of the Act. Examples of Safe and Appropriate Exits are exits: (1) To the private residence of a parent, guardian, another adult relative, or another adult that has the youth?s best interest in mind and can provide a stable arrangement; (2) To another residential program if the youth?s transition to the other residential program is consistent with the youth?s needs; or (3) To independent living if consistent with the youth?s needs and abilities. (45 CFR § 1351.1)

SAFE AND APPROPRIATE EXITS ARE NOT EXITS: (1) To the street; (2) To a locked correctional institute or detention center if the youth became involved in activities that lead to this exit after entering the program; (3) To another residential program if the youth?s transition to the other residential program is inconsistent with the youth?s needs; or(4) To an unknown or unspecified other living situation. (45 CFR § 1351.1)

SAFERTY PLAN: Plans for youth that may include, but are not limited to, knowing a trusted adult to call in an emergency; learning how to recognize and avoid unsafe situations and people; and avoiding maladaptive coping mechanisms, including risky sexual behavior or criminal behavior.

SCREENING AND ASSESSMENT: Valid and reliable standardized instruments and practices used to identify each youth?s individual strengths and needs across multiple aspects of health, wellbeing and behavior in order to inform appropriate service decisions and provide a baseline for monitoring outcomes over time. Screening involves abbreviated instruments, for example with trauma and health problems, which can indicate certain youth for more thorough diagnostic assessments and service needs. Assessment, which is used here to mean assessment more broadly than for the purposes of diagnosis, involves evaluating multiple aspects of social, emotional, and behavioral competencies and functioning in order to inform service decisions and monitor outcomes.(45 CFR § 1351.1)

SERVICES: Include a comprehensive youth centered service model with a holistic approach to addressing the unique need of runaway and homeless youth and youth and young adults. Components of the model include access to shelter, gateway services, assessment, coordinated case management, follow-up/aftercare services, continuum service linkages, and geographic location. Runaway and homeless youth will also receive information and counseling services in basic life skills, which shall include money management, budgeting, consumer education, and use of credit, parenting skills (as appropriate), interpersonal skill building, educational advancement, job attainment skills, and mental and physical health care.

SERVICE PLAN OR TREATMENT PLAN: A written plan of action based on the assessment of client needs and strengths and engagement in joint problem solving with the client that identifies problems, sets goals, and describes a strategy for achieving those goals. To the extent possible, the plan should incorporate the use of trauma informed, evidence-based or evidence-informed interventions. (As appropriate, the service and treatment plans should address both physical and mental safety issues.) (45 CFR § 1351.1)

SHELTER OR STABLE HOUSING: Includes a fully licensed group home, including maternity group homes, host family homes, and/or supervised apartments.

STREET-BASED-SERVICES: Services provided to runaway, homeless, and street youth in areas where they congregate, designed to assist such youth in making healthy personal choices regarding where they live and how they behave. (Section 387(5) of the RHY Act, 42 U.S.C. § 5732a(5))

STREET YOUTH: An individual who is a runaway youth or indefinitely or intermittently a homeless youth and spends a significant amount of time on the street or in other areas that increase the risk to such youth for sexual abuse, sexual exploitation, prostitution, or drug abuse. (Section 387(6) of the RHY Act, 42 U.S.C. § 5732a(6))

SHORT-TERM TRAINING: The provision of local, state, or regionally based instruction to runaway or otherwise homeless youth service providers in skill areas that will directly strengthen service delivery.(45 CFR § 1351.1)

SOCIAL AND EMOTIONAL WELL-BEING: The development of key competencies, attitudes, and behaviors that equip a young person experiencing homelessness to avoid unhealthy risks and to succeed across multiple domains of daily life, including school, work, relationships, and community.(45 CFR § 1351.1)

STABLE HOUSING: A safe and reliable place to call home. Stable housing fulfills a critical and basic need for homeless youth. It is essential to enable functioning across a range of life activities.(45 CFR § 1351.1)

SUPERVISED APARTMENTS: A type of shelter setting using building(s) with separate residential units where client supervision is provided on site or on call 24 hours a day.(45 CFR § 1351.1)

SUSTAINABLE LIVING: Reduced reliance on social services by having a self-sufficient network in place that provide support so that youth can maintain safe, stable, and appropriate living and well-being.

TECHNICAL ASSISTANCE: The provision of expertise or support for the purpose of strengthening the capabilities of grantee organizations to deliver services. (45 CFR § 1351.1)

TRANSITIONAL LIVING YOUTH PROJECT: A project that provides shelter and an array of supportive services designed to promote a transition to self-sufficient living and to prevent long-term dependency on social services. (Section 387(7) of the RHY Act, 42 U.S.C. § 5732a(7))

#### Appendix B

#### **Estimated State Allocations**

The Basic Center Program 2017 Estimated State Allocations chart can be found by accessing: <a href="https://www.acf.hhs.gov/fysb/resource/bcp-2017-allocations-table">https://www.acf.hhs.gov/fysb/resource/bcp-2017-allocations-table</a> state-allocations.