PERSONAL HISTORY DISCLOSURE STATEMENT FOR A901 APPLICANTS

Mail to:

Environmental Permitting and Counseling Section, A901 Unit 25 Market Street, P.O. Box 093 Trenton, NJ 08625-0093 (609) 376-3270

Name of the business concern in connection with which you are filing this form:

Your name and mailing address:

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PART I: IDENTIFYING DATA

1. Full Legal Name:				
2. Date of Birth:		3. Social Security N	umber:	
4. Home Address:				
When did you move in	to this home? Mon	th:Year:	_ □ Owned □	Rented
Name & Address of La	-	ge Holder:		
5. Email Address:				
6. Phone: You must provide	your phone numbers even	n if they are unlisted. We keep	o this information stric	tly confidential.
Home	Busines	55	Mobile	
7. PHYSICAL ASPE	C TS: Height:	Weight:	Age:	Sex:
Hair Color: 1	Eye Color:	Race (For identification)	purposes only):	
Distinctive markings o	r characteristics: (e	e.g., tattoos)		
8. PLACE OF BIRTH			~	
	(City)	(State, Province, e	etc.)	(Country)
9. ARE YOU A MEM \Box No \Box Yes:		N, TRADE OR BUSI e:		ATION?

10. OTHER NAMES: Have you ever used a name other than the one you listed for Question #1? If so, list below. Please include nicknames, stage names, pseudonyms, aliases, maiden names, previous married names and any names you used at work or in school.

Name:	Dates Used:	
Why did you use this name?		
Court Venue (for legal name changes only):		
Name:	Dates Used:	
Why did you use this name?		
Court Venue (for legal name changes only):		

11. DRIVER'S LICENSES: Include Passenger Driver's Licenses, Articulated Driver's Licenses and Commercial Driver's Licenses. Use additional copies of this page, as necessary.

Number	State	Expiration Date
Number	State	Expiration Date
Number	State	Expiration Date

12. RESIDENCES: List every address where you have resided for the past five years. Please include any second homes, vacation homes or seasonal residences. Use additional copies of this page, as necessary.

Address:	
From Month/Year / to Month/Year /	$_$ \Box Owned \Box Rented
Name & Address of Landlord or Mortgage Holder	
Address:	
From Month/Year / to Month/Year /	$_$ \Box Owned \Box Rented
Name & Address of Landlord or Mortgage Holder	
Address:	
From Month/Year / to Month/Year /	$_$ \Box Owned \Box Rented
No	

13. PHOTOGRAPH: Please attach a recent and clear photograph of yourself below using the "Attach" button or attachments tool.

PART II: FAMILY

14. MARITAL STATUS: □ Single □ Married/Civ	il Union 🛛 Divorced 🔲 Separated 🗔 Widowed	
15. SPOUSE/CIVIL PARTNI (You must answer this ques	ER INFORMATION stion even if you are separated or divorced.)	
Spouse/Civil Partner's Full Legal	Name:	
Maiden/Birth Name:	Date of Birth:	
Date of Marriage/Union:	Place of Marriage/Union:	
Current Employer:	Current Occupation:	
Social Security #:	Date of Death (if deceased):	
Is your spouse/civil partner □ No □ Yes, describe it what cap		
16. CHILDREN: Do you have You must include adopted child	children? Choose one:	
Name:		
Address:		
Date of Birth:	Birthplace:	
Occupation:	Current Employer:	
Name:		
Address:		
	Birthplace:	
Occupation:	Current Employer:	
Name:		
	Birthplace:	
Occupation:	Current Employer:	

17. PARENTS AND SPOUSE /	PARTNER'S PARENTS
---------------------------------	-------------------

Father's Full Name:	
Address:	
Date of Birth:	If Deceased, Provide Date:
Occupation:	Current Employer:
Mother's Full Name:	
Date of Birth:	
Occupation: Check here if the address is the same	Current Employer:
Spouse/Partner Father's Full Name: _	
Address:	
Date of Birth:	If Deceased, Provide Date:
Occupation:	Current Employer:
Spouse/Partner Mother's Full Name:	
Address:	
Date of Birth:	If Deceased, Provide Date:
Occupation: Check here if the address is the same	Current Employer:
18. BROTHERS AND SISTERS	• Include adopted siblings, stepsiblings, and half siblings
Name:	
	Birthplace:
Occupation:	Current Employer:
Name:	
	Birthplace:
Occupation:	Current Employer:

19. NAMES OF PERSONS RESIDING WITH YOU

Name:		Date of Birth:
Name:		Date of Birth:
worked for, or been inv No \Box Yes \Box , Provide	olved with any company that the following information	Have any of your relatives ever owned, t manages solid or hazardous waste? : Date of Birth:
Company Name		Position Held by Your Relative
Company Address		
Name:	Relationship:	Date of Birth:
Company Name		Position Held by Your Relative
Company Address		
Name:	Relationship:	Date of Birth:
Company Name		Position Held by Your Relative
Company Address		

21. RELATIVES INVOLVED WITH THE APPLICANT: Have any of your relatives or family members been involved with operations of the applicant?

No \Box Yes \Box , Provide the following information:

Name:	Relationship:
Date of Birth:	Title:
Start Date:	End Date:
Name:	Relationship:
Date of Birth:	Title:
Start Date:	End Date:
Name:	Relationship:
Date of Birth:	Title:
Start Date:	End Date:
Name:	Relationship:
Date of Birth:	Title:
Start Date:	End Date:
Name:	Relationship:
Date of Birth:	Title:
Start Date:	End Date:

PART III: EXPERIENCE AND BUSINESS PLAN

22. Describe your experience and credentials, if any, in the brokerage, collection, transportation, processing, treatment, or disposal of recyclables, solid waste, hazardous waste or soil and fill recyclable material. You may supplement your answer by attaching a résumé or a list of professional achievements and publications.

□Check here if additional documents are attached.

23. Do you currently hold a Transporter Registration issued by NJDEP? Have you ever held a Transporter Registration?

Name of Registrant: ______ Registration #: _____

Name of Registrant: ______ Registration #: _____

24. If you obtain an A901 License, what work do you plan to do? Please attach any documents you possess to support your answer, including business plans, correspondence with customers or vendors, contracts, or bid submissions. □Check here if additional documents are attached.

PART IV: EDUCATION & EMPLOYMENT HISTORY

25. EDUCATION: List all schools and degree programs that you have attended, starting with the most recent and dating back to high school.

School/Program: _		Degree:	
Address:			
Start Date	Completion/Withdrawal Date	Major	
School/Program: _		Degree:	
Address:			
Start Date	Completion/Withdrawal Date	Major	
School/Program: _			
Address:			
Start Date	Completion/Withdrawal Date	Major	
26. PRESENT EMP	LOYER:		
Type of Business or O	ganization:		
Address:			
Starting Date:	P	hone #:	
Your Title/Position:			

27. PREVIOUS EMPLOYMENT: List all previous employment including part-time employment for the last five years or since age 18, whichever is longer. Begin with most recent employment and work backwards. Use additional copies of this page, as necessary. □Check here if additional copies of this page are attached.

Employer's Name:			
Employer's Address:			
/		/	Position Held
/ From	То	/	Position Held
Supervisor's Name			Reason for Leaving
Employer's Name:			
Employer's Address:			
/ From		/_	Position Held
From	10		Position Held
Supervisor's Name		_	Reason for Leaving
Employer's Name:			
Employer's Address:			
/		/	
From	То	/	Position Held
Supervisor's Name		_	Reason for Leaving
Employer's Name:			
Employer's Address:			
/		/_	
From	То		Position Held
Supervisor's Name		_	Reason for Leaving

PART V: BUSINESS INTERESTS

28. OWNERSHIP SHARES. Do you currently hold an equity share in any business
concern? \Box No \Box Yes, please provide the following information:
Company Name:
Business Address:
Type of Business:
Your Position:
Company Name:
Business Address:
Type of Business:
Your Position:
29. DEBTHOLDER STATUS. Do you currently hold any debt in any business concern? □ No □ Yes, please provide the following information:
Company Name:
Business Address:
Type of Business:
Amount of Debt Held:
Company Name:
Business Address:
Type of Business:
Amount of Debt Held:

30. MANAGEMENT POSITIONS. Are you currently a partner, officer, director, manager or supervisor with any business concern?

 \square No \square Yes, please provide the following information:

Company Name:
Business Address:
Type of Business:
Your Position:
Company Name:
Business Address:
Type of Business:
Your Position:
31. SOLID WASTE/HAZARDOUS WASTE COMPANIES. In the last ten years, have you been involved with or worked for any company in the waste industry or the recycling industry? \Box No \Box Yes, please provide the following information:
Company Name:
Business Address:
Type of Business:
Dates of Participation:
Nature of Your Participation:
Company Name:
Business Address:
Type of Business:
Dates of Participation:
Nature of Your Participation:

32. Did any of the companies named in your answers to Questions #28 or 29 ever receive a license revocation or suspension, in this state or any other jurisdiction, for activities occurring during the period of your ownership or participation?

 \Box No \Box Yes, please provide a detailed description:

33. TAX OBLIGATIONS: Do you have any past due tax debts, or any unfiled pastdue tax returns? Does any business you own, or control, have any past due tax debts, or any unfiled past-due tax returns?

 \Box No \Box Yes, please provide a detailed description:

34. TAX LIENS: Has any municipality, county, state or the IRS filed a lien against you for nonpayment of taxes at any time in the past ten years?

 \Box No \Box Yes, please provide a detailed description:

Has any municipality, county, state or the IRS filed a lien against any property owned by you for nonpayment of taxes at any time in the past ten years?

 \Box No \Box Yes, please provide a detailed description:

□Check here if additional documents are attached.

35. BANKRUPTCY: Have you filed a bankruptcy petition or been the subject of an involuntary bankruptcy petition within the last ten years?

 \Box No \Box Yes, please provide the following information:

Has any business that you owned or controlled filed a bankruptcy petition or been the subject of an involuntary bankruptcy petition within the last ten years?

 \Box No \Box Yes, please provide the following information:

Date of P	Petitio	on:		Venue:	
Chapter:	\Box_7	□ 11	□ 13 Disposition	:	
Date of F	Petitic	on:		Venue:	
Chapter:	\Box_7	□ 11	□ 13 Disposition	;	
Date of F	Petitic	on:		Venue:	
Chapter:	$\Box 7$	□ 11	□ 13 Disposition	:	
Date of F	Petitio	on:		Venue:	
Chapter:	\Box_7	□ 11	□ 13 Disposition	:	

PART VI: LICENSES AND VIOLATIONS

36. NEW JERSEY DIVIS	ON OF CONSUMER AFFAIRS: Do you currently hold a
license or registration issued	by the New Jersey Division of Consumer Affairs?
\Box No \Box Yes, please provide	the following information:
Name of Licensee:	License #:
Type of License:	Expiration Date:
Have you ever held such a lic □ No □ Yes, please provide	0
Name of Licensee:	License #:
Type of License:	Expiration Date:
concern owned or controll transportation, treatment or and fill recyclable materials.	enses, registrations or permits held by you or any business ed by you, within the last ten years, for the collection disposal of recyclables, solid waste or hazardous waste, or soi Please include licenses from USEPA and other states. License #:
Date Issued:	Type of License:
	Expiration Date:
Name of Licensee:	License #:
Date Issued:	Type of License:
Issuing Agency:	Expiration Date:
Name of Licensee:	License #:
Date Issued:	Type of License:
Issuing Agency:	Expiration Date:
Name of Licensee:	License #:
Date Issued:	Type of License:
Issuing Agency:	Expiration Date:

38. ENVIRONMENTAL VIOLATIONS: List any notice issued to you, or to any company owned or controlled by you, within the last ten years, alleging a violation of any law or regulation pertaining to protection of the environment.

Please include any Notice of Violation, Notice of Prosecution, Administrative Order, Administrative Action, Citation, Permit Revocation, or any similar document. If the disposition was resolved through a settlement agreement or consent order, please attach a copy of the same.

Person/Business Cite	d:	Date Issued:
Location of Alleged Viola	tion:	
Issuing Agency:	Disposition:	
Person/Business Cite	d:	Date Issued:
Location of Alleged Viola	tion:	
Issuing Agency:	Disposition:	
Person/Business Cite	d:	Date Issued:
Location of Alleged Viola	tion:	
Issuing Agency:	Disposition:	
Person/Business Cite	d:	Date Issued:
Location of Alleged Viola	tion:	
Issuing Agency:	Disposition:	
□Check here if additiona	l documents are attached.	

PART VII: CIVIL, MUNICIPAL AND CRIMINAL PROCEEDINGS

39. CIVIL LITIGATION: Have you been a plaintiff or a defendant in any civil action within the last ten years (other than a divorce or separation proceeding)? □ No □ Yes, please provide the following information:

Caption of Case:		
Nature of Suit:		
Status or Disposition:		
Venue	Docket Number	Date Filed
Caption of Case:		
Nature of Suit:		
Status or Disposition:		
Venue	Docket Number	Date Filed
municipal, county, state, o	mmoned, subpoenaed, interviewe r federal agency, or other investiga ease provide the following informa	tive body, for a criminal or civil
Date:	Agency:	
Reason for & description of testim	ony	
Date:	Agency:	
Reason for & description of testim	ony	
Date:	Agency:	

Reason for & description of testimony

41. MUNICIPAL OFFENSES AND CRIMINAL MATTERS

Have you ever been arrested?	No 🗆	Yes \Box
Have you ever been convicted of <u>any crime</u> or <u>any municipal offense</u> ?	No 🗆	Yes 🗆
Have you ever pled guilty to any crime or any municipal offense? Have you ever been accused of or charged with an incident of	No 🗆	Yes 🗆
domestic violence or domestic disturbance?	No 🗆	Yes 🗆
Have you ever had a criminal record expunged, or been accepted into a Pre-Trial Intervention ("PTI") or Conditional Discharge		
or Diversion Program? Have you ever been charged with Driving While Intoxicated	No 🗆	Yes 🗆
or Driving Under the Influence? Have you ever received a summons complaint or been indicted	No 🗆	Yes □
for any violation of the law?	No 🗆	Yes \Box
Has any business concern you owned or controlled received a summons complaint or been indicted for any violation of the law?	No 🗆	Yes □

If you answered Yes to any of these questions, provide a detailed description of each incident.

Description of Alleged Offe	nses:	
Disposition and Sentence Impo	sed:	
Jurisdiction	Docket Number	Date Filed
Description of Alleged Offe	nses:	
Disposition and Sentence Impo	sed:	
Jurisdiction	Docket Number	Date Filed

 \Box Check here if additional documents are attached.

False or inaccurate answers to this question will result in denial of your application and a penalty of up to \$50,000. N.J.A.C. 7:26-5.6.

42. EVIDENCE OF REHABILITATION: If you have been convicted of, or pled guilty to, any of the crimes listed in the Instructions, you are disqualified from participation in the New Jersey waste industry: unless you can demonstrate rehabilitation from the crime by clear and convincing evidence. <u>N.J.S.A.</u> 13:1E-133(b). The rehabilitation factors NJDEP will consider are set forth in the Instructions and <u>N.J.S.A.</u> 13:1E-133(c). If you have been convicted of or pled guilty to any disqualifying crime, please take this opportunity to set forth any evidence of your rehabilitation. Attach additional sheets if necessary. Attach any additional documents you wish NJDEP to consider, for example: letters of recommendation, descriptions of volunteer work, certificates from rehabilitation programs, or certificates from schools or training programs.

□Check here if additional pages/documents are attached.

PART VIII: CONSENT FORM FOR DISCLOSURE OF SOCIAL SECURITY NUMBER

I, ______, hereby certify that I have read the Notice on this page and I consent to the disclosure of my social security number for the limited purposes set forth therein.

Notice required under the Federal Privacy Act of 1974

Under section 7(b) of the Privacy Act of 1974, 5 <u>U.S.C.</u> 552a(note), any government agency which requests that an individual disclose his Social Security account number must inform that individual by what statutory or other authority such number is solicited, what uses will be made of it, and whether the disclosure is mandatory or voluntary.

The New Jersey Department of Environmental Protection is authorized to request Social Security numbers by <u>N.J.S.A.</u> 13:1E-127(e), the section of the Solid Waste Management Act that defines the content of the Personal History Disclosure Statement.

The Social Security number is used as a secondary identifier when the State Police conduct checks of criminal history records maintained by the State and Federal governments. When the State Police obtain records from these sources, the State Police will use the Social Security number to confirm that the records pertain to the individual under investigation.

The listing of Social Security numbers on the disclosure forms is voluntary. Under Section 7(a) of the Federal Privacy Act of 1974, the Department cannot deny or revoke a license or impose any penalty because of an individual's refusal to disclose a Social Security number. However, confirmation of identification may take longer without a Social Security number, which would lengthen the State Police investigation and thereby delay decisions on licensure. In addition, there is the possibility that the absence of a Social Security number may result in the initial identification of an individual as having a criminal record which actually is that of another person. That, again, may result in a delay in the decision on licensure.

Signature

Date

Print name

PART IX: CERTIFICATION

I, _______, do hereby certify that the information in this Personal History Disclosure Form is true and is provided in accordance with the instructional material accompanying the document. I have read the instructions, including the notice on Social Security Numbers, accompanying this Personal History Disclosure Form. I am aware that if any of the foregoing statements made by me is willfully false, I am subject to criminal prosecution. I further understand that fraudulent, deceptive or misleading answers will result in my debarment from the New Jersey waste and fill industry, as well as the denial of my company's A901 application or revocation of my company's A901 license.

Date:	Signature:	
	Print Name:	
State of))	
County of)	
On Date	, I,	<u>, , , , , , , , , , , , , , , , , , , </u>
Date	Name of No	otary Public
witnessed		
sign this Certification as his or her	Name of Signatory	OF THE STATE OF THE
Notary Public Signature:		TERRE CE
If this Personal History Disclosure Sta certification, (for example an attorney		
Name:		Phone #:
Address:		
Title/Position:		
Relationship to Applicant:		

PART X: RELEASE AUTHORIZATION

To all courts, probation departments, selective service boards, employers, educational institutions, banks, financial and other such institutions, law enforcement agencies, military records custodians, credit reporting agencies, taxation authorities (including the IRS) and foreign and domestic governmental agencies (federal, state and local), and any other institution or person without exception:

I,____

Name

have authorized the New Jersey Attorney General to conduct an investigation into my background for the purpose of determining my fitness to participate in the New Jersey waste and fill industry, in accordance with <u>N.J.S.A.</u> 13:1E-126 <u>et seq.</u>

Therefore you are hereby authorized to release any and all information and documents pertaining to me, as requested by an appropriate employee, agent or representative of the Attorney General of New Jersey.

This authorization shall supersede and countermand any prior request or authorization to the contrary. A photostatic copy of this authorization will be considered as effective and valid as the original.

Date:	Signature:		
	Print Name:		
State of	-)		
County of) _)		
On, I,	, Name of Notary Public		
witnessed	of Signatory		
sign this Release Authorization as his or her own act.			
Notary Public Signature:			

APPENDIX A: INSTRUCTIONS AND FINGERPRINTS

The instructions to complete this form and the instructions to obtain fingerprints are available at: <u>https://www.nj.gov/dep/dshw/a901/a901frms.htm</u>. If you need help with these forms, or you have questions related to the A901 Program, please contact us at **609-376-3270.**