ANNUAL SYNAR REPORT

42 U.S.C. 300x-26 OMB № 0930-0222

FFY 2011 State: New Jersey

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INTRODUCTION

The Annual Synar Report (ASR) format provides the means for States to comply with the reporting provisions of the Public Health Service Act (42 U.S.C. 300x-26) and the Tobacco Regulation for the SAPT Block Grant (45 C.F.R. 96.130 (e)).

Public reporting burden for the collection of information is estimated to average 15 hours for Section I and 3 hours for Section II, including the time for reviewing instructions, completing and reviewing the collection of information, searching existing data sources, and gathering and maintaining the data needed. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to SAMHSA Reports Clearance Officer; Paperwork Reduction Project; 1 Choke Cherry Road, 7th Floor Rockville, Maryland 20857.

An agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0222 with an expiration date of 05-31-2013.

How the Synar report helps the Center for Substance Abuse Prevention

In accordance with the tobacco regulations, States are required to provide detailed information on progress made in enforcing youth tobacco access laws (FFY 2010 Compliance Progress) and future plans to ensure compliance with the Synar requirements to reduce youth tobacco access rates (FFY 2011 Intended Use Plan). These data are required by 42 U.S.C. 300x-26 and will be used by the Secretary to evaluate State compliance with the statute. Part of the mission of the Center for Substance Abuse Prevention (CSAP) is to assist States¹ by supporting Synar activities and providing technical assistance helpful in determining the type of enforcement measures and control strategies that are most effective. This information is helpful to CSAP in improving technical assistance resources and expertise on enforcement efforts and tobacco control program support activities, including State Synar Program support services, through an enhanced technical assistance program involving conferences and workshops, development of training materials and guidance documents, and onsite technical assistance consultation.

How the Synar report can help States

The information gathered for the Synar report can help States describe and analyze substate needs for program enhancements. These data can also be used to report to the State legislature and other State and local organizations on progress made to date in enforcing youth tobacco access laws when aggregated statistical data from State Synar reports can demonstrate to the Secretary the national progress in reducing youth tobacco access problems. This information will also provide Congress with a better understanding of State progress in implementing Synar, including State difficulties and successes in enforcing retailer compliance with youth tobacco access laws.

¹The term "State" is used to refer to all the States and territories required to comply with Synar as part of the Substance Abuse Prevention and Treatment Block Grant Program requirements (42 U.S.C. 300x-64 and 45 C.F.R. 96.121).

Getting assistance in completing the Synar report

If you have questions about programmatic issues, you may call CSAP's Division of State Programs at (240) 276-2413 and ask for your respective State Project Officer, or contact your State Project Officer directly by telephone or email using the directory provided in the FY 2011 Uniform Application, Appendix A. If you have questions about fiscal or grants management issues, you may call the Grants Management Officer, Office of Program Services, Division of Grants Management, at (240) 276-1422.

Where and when to submit the Synar report

The Annual Synar Report (ASR) must be received by SAMHSA no later than December 31, 2010. The ASR must be submitted in the **approved OMB report format.** Use of the approved format will avoid delays in the review and approval process. The chief executive officer (or an authorized designee) of the applicant organization must sign page 1 of the ASR certifying that the State has complied with all reporting requirements.

The State must upload one copy of the ASR using the online WebBGAS (Block Grant Application System). In addition, the following items must be uploaded to WebBGAS:

- FFY 2011 Synar Survey Results: States that use the Synar Survey Estimation System (SSES) must upload one copy of SSES Tables 1–5 (in Excel) to WebBGAS. States that do not use SSES must upload one copy of ASR Forms 1, 4, and 5, and Forms 2 and 3, if applicable, (in Excel) to WebBGAS.
- Synar Inspection Form: States must upload one blank copy of the inspection form used to record the result of each Synar inspection.
- Synar Inspection Protocol: States must upload a copy of the protocol used to train inspection teams on conducting and reporting the results of the Synar inspections.

Each State SSA Director has been emailed a login ID and password to log onto the Synar section of the WebBGAS site.

Additionally, the State must submit one signed original of the report (including the signed Funding Agreements/Certifications), as well as one additional copy of the signed Funding Agreements/Certifications, to the Grants Management Officer at the address below:

Ms. Barbara Orlando
Grants Management Officer
Office of Program Services
Division of Grants Management
Substance Abuse and Mental Health Services Administration

Regular Mail:

Overnight Mail:

1 Choke Cherry Road, Rm.7-1091 Rockville, Maryland 20857 1 Choke Cherry Road, Rm.7-1091 Rockville, Maryland 20850

FFY 2011: FUNDING AGREEMENTS/CERTIFICATIONS

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

PUBLIC HEALTH SERVICES ACT AND SYNAR AMMENDMENT

42 U.S.C. 300x-26 requires each State to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the State has complied with these reporting requirements and the certifications as set forth below.

SYNAR SURVEY SAMPLING METHODOLOGY

The State certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2011 is up-to-date and approved by the Center for Substance Abuse Prevention.

SYNAR SURVEY INSPECTION PROTOCOL

The State certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2011 is up-to-date and approved by the Center for Substance Abuse Prevention.

State: New Jersey	
Name of Chief Executive Officer or Do	esignee: Chris Christie
Signature of CEO or Designee:	
Title: Governor	Date Signed:
If signed by a designed	ee, a copy of the designation must be attached.

FFY: 2011	State: New Jersey
	Date: 12/10/10

SECTION I: FFY 2010 (Compliance Progress)

YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT

42 U.S.C. 300x-26 requires the States to report information regarding the sale/distribution of tobacco products to individuals under age 18.

1.	access si the last	ndicate any changes or additions to the State tobacco statute(s) relating to youth ince the last reporting year. If any changes were made to the State law(s) since reporting year, please attach a photocopy of the law to the hard copy of the d also upload a copy of the State law to WebBGAS. (see 42 U.S.C. 300x-26).
	a.	Has there been a change in the minimum sale age for tobacco products?
		☐ Yes ⊠ No
		If Yes, current minimum age: 19 20 21
	b.	Have there been any changes in State law that impact the State's protocol for conducting Synar inspections? \square Yes \boxtimes No
		If Yes, indicate change. (Check all that apply.)
		☐ Changed to require that law enforcement conduct inspections of tobacco outlets
		☐ Changed to make it illegal for youth to possess, purchase or receive tobacco
		Changed to require ID to purchase tobacco
		Other change(s) (Please describe.)
	c.	Have there been any changes in the law concerning vending machines?
		☐ Yes ⊠ No
		If Yes, indicate change. (Check all that apply.)
		☐ Total ban enacted
		☐ Banned from location(s) accessible to youth
		Locking device or supervision required
		Other change(s) (Please describe.)
	d.	Have there been any changes in State law that impact the following?
		Licensing of tobacco vendors
		Penalties for sales to minors Yes No
2.	42 U.S.C	e how the Annual Synar Report (see 45 C.F.R. 96.130(e)) and the State Plan (see C. 300x-51) were made public within the State prior to submission of the ASR. all that apply.)
		Placed on file for public review
	\boxtimes	Posted on a State agency Web site (<i>Please provide exact Web address.</i>) p://www.state.ni.us/humanservices/providers/grants/public/

		Notice published in a newspaper or newsletter
		Public hearing
		Announced in a news release, a press conference, or discussed in a media interview
		Distributed for review as part of the SAPT Block Grant application process
		Distributed through the public library system
		Published in an annual register
		Other change(s) (Please describe.)
3.	Identify	the following agency or agencies (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).
	a.	The State agency(ies) designated by the Governor for oversight of the Synar requirements:
		New Jersey Department of Human Services (DHS), Division of Addiction Services (DAS)
		Has this changed since last year's Annual Synar Report? Yes No
	b.	The State agency(ies) responsible for conducting random, unannounced Synar inspections:
		New Jersey Department of Health and Senior Services (DHSS), Public Health Service Branch, Division of Family Health Services, Chronic Disease Prevention and Control Services, Office of Tobacco Control (formerly Comprehensive Tobacco Control Program), Tobacco Age of Sale Enforcement
		Has this changed since last year's Annual Synar Report? Yes No
	c.	The State agency(ies) responsible for enforcing youth tobacco access law(s):
		New Jersey Department of Health and Senior Services (DHSS), Public Health Service Branch, Division of Family Health Services, Chronic Disease Prevention and Control Services, Office of Tobacco Control (formerly Comprehensive Tobacco Control Program), Tobacco Age of Sale Enforcement
		Has this changed since last year's Annual Synar Report? Yes No
1.	receives	the State agency responsible for tobacco prevention activities (the agency that the Centers for Disease Control and Prevention's National Tobacco Control a funding).
	Branch, Services,	sey Department of Health and Senior Services (DHSS), Public Health Service Division of Family Health Services, Chronic Disease Prevention and Control Office of Tobacco Control (formerly Comprehensive Tobacco Control Program), Age of Sale Enforcement
		s the responsible agency changed since last year's Annual Synar Report? Yes No
		Describe the coordination and collaboration that occur between the agency

responsible for tobacco prevention and the agency responsible for oversight of

		the Synar requirements. (Check all that apply.) The two agencies
		Are the same
		Have a formal written memorandum of agreement
		Have an informal partnership
		Conduct joint planning activities
		Combine resources
		Have other collaborative arrangement(s) (Please describe.)
5.		Which one of the following describes the enforcement of youth access to
		tobacco laws carried out in your State? (Check one category only.)
		Enforcement is conducted exclusively by local law enforcement agencies.
		Enforcement is conducted exclusively by State agency(ies).
		Enforcement is conducted by both local <i>and</i> State agencies.
	b.	The following items concern penalties imposed for violations of youth access to tobacco laws by LOCAL AND/OR STATE LAW ENFORCEMENT

for an item, please mark "NA" (not applicable). If a response for an item is unknown, please mark "UNK." The chart must be filled in completely.								
PENALTY OWNERS CLERKS TOTAL								
Number of <u>citations issued</u>	13	0	13					
Number of <u>fines assessed</u>	8	0	8					
Number of permits/licenses suspended	0		0					

 Number of citations issued
 13
 0
 13

 Number of fines assessed
 8
 0
 8

 Number of permits/licenses suspended
 0
 0

 Number of permits/licenses revoked
 0
 0

 Other (Please describe.)
 0
 0

c.	Which one of the following best describes the level of enforcement of youth access to tobacco laws carried out in your State? (Check one category only.)
	Enforcement is conducted only at those outlets randomly selected for the Synar survey.
	☐ Enforcement is conducted only at a subset of outlets not randomly selected for the Synar survey.
	Enforcement is conducted at a combination of outlets randomly selected for the Synar survey and outlets not randomly selected for the Synar survey.

AGENCIES. Please fill in the number requested. If State law does not allow

d. Did every tobacco outlet in the State receive at least one enforcement compliance check in the last year?

	☐ Yes ☑ No
e.	What additional activities are conducted in your State to support enforcement and compliance with State tobacco access law(s)? (Check all that apply.)
	Merchant education and/or training
	Incentives for merchants who are in compliance (e.g., nonenforcement compliance checks in which compliant retailers are given positive reinforcement and noncompliant retailers are warned about youth access laws)
	Community education regarding youth access laws
	Media use to publicize compliance inspection results
	Community mobilization to increase support for retailer compliance with youth access laws
	Other activities (Please list.)
	Briefly describe all checked activities:
	1. Merchant Education:
	Merchant Education is provided by tobacco inspectors upon completion of the tobacco inspection. Unfortunately, due to lack of funding and non-participation of local health departments, there is no longer a statewide merchant education.
	2. Community Education:
	The Office of Tobacco Control (formerly Comprehensive Tobacco Control Program) mobilizes communities through partnerships, forming a statewide committee of tobacco advocates, including the American Cancer Society (ACS), the American Lung Association (ALA), the American Heart Association (AHA), NJ Breathes coalition and other partners.
	In order to maximize the efficient use of limited resources, the Office of Tobacco Control funded organizations had developed a health communication message about tobacco control activities aimed to increase collaboration, message consistency and impact throughout the State of New Jersey. "The Tobacco Free for a Healthy New Jersey" slogan is used by all funded organizations serving all populations in the state: adults, young adults, young and expectant mothers, multicultural groups, college students, and the workforce including tobacco retailers.
f.	Are citations or warnings issued to retailers or clerks who sell tobacco to minors for inspections that are part of the Synar survey? 🖂 Yes 🔲 No
	If "Yes" to 5f, please describe the State's procedure for minimizing risk of bias to the survey results from retailers alerting each other to the presence of the survey teams:
	Due to severe budget cuts, only federal inspections were completed in New Jersey. The sample size was only 234. The inspections in the identified geographic area were small enough to complete in one day. In addition, the risk of bias was minimized because of the random selection of the federal inspection sites, inspectors and time period for the inspections.

g. Please describe the relationship between the State's Synar program and the Food and Drug Administration-funded enforcement program:

The State of New Jersey does not currently have a relationship with the Food and Drug Administration-funded enforcement program. The State of New Jersey's Office of Tobacco Control will be applying for participation in the Food and Drug Administration-funded enforcement program in the next round.

SYNAR SURVEY METHODS AND RESULTS

The following questions pertain to the survey methodology and results of the Synar survey used by the State to meet the requirements of the Synar Regulation in FFY 2010 (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).

26	5 and 45 C.F	F.R. 96.130).
5.	Has the sa	ampling methodology changed from the previous year? Yes No
	methodolo Methodolo	is required to have an approved up-to-date description of the Synar sampling ogy on file with CSAP. Please submit a copy of your Synar Survey Sampling ogy (Appendix B). If the sampling methodology changed from the previous year, these changes must be reflected in the methodology submitted.
7.		swer the following questions regarding the State's annual random, need inspections of tobacco outlets (see 45 C.F.R. $96.130(d)(2)$).
		Did the State use the optional Synar Survey Estimation System (SSES) to analyze the Synar survey data? 🖂 Yes 🔲 No
		If Yes , attach SSES summary tables 1, 2, 3, and 4 to the hard copy of the ASR and upload a copy of SSES tables 1–5 (in Excel) to WebBGAS. Then go to Question 8. If No , continue to Question 7b.
	1	Report the weighted and unweighted Retailer Violation Rate (RVR) estimates, the standard error, accuracy rate (number of eligible outlets divided by the total number of sampled outlets), and completion rate (number of eligible outlets inspected divided by the total number of eligible outlets).
	1	Unweighted RVR
	•	Weighted RVR
	\$	Standard error (s.e.) of the (weighted) RVR
	_	Fill in the blanks to calculate the <u>right limit</u> of the right-sided 95% confidence interval.

Estii	mate	+ plus	(1.645 (1.645	× times	Standard Er	ror) equals	Right Limit
	Accu	racy r	rate				
	Com	pletio	n rate				
c.	Fill o		rm 1 in A	ppend	ix A (Forms1–	5). (Required	regardless of the sample
d.			the (weig			and its stand	ard error obtained?
	☐ Ot	her (F	Please spe	cify. Pr	ovide formulas	and calculat	h completed Form 2.) ions or attach and explain variable names.)
	iri	e prog	gram coa	e ana oi			
					upui wiii ueser	ipiion of uii	artable names.y
e.		atifica		used, o	lid any strata i		e contain only one outlet
e.	or clu	atifica	ation was	used, o	lid any strata i	in the sample	e contain only one outle
e. f.	or clu If Yes	atifica uster t	ation was this year? ain how t	used, o	lid any strata i	in the sample No stratification with in varian	e contain only one outle
	or clu If Yes Was : If Yes	atifica ister t , expla a clus	ation was this year? ain how to ter samp	used, or Yeshis situa	lid any strata i s No ation was dealt mused? Ye	in the sample No stratific with in variants S \text{No}	e contain only one outle
	or clu If Yes Was: If Yes follow	atificanster to a cluster, fill owing qui	ation was this year? ain how the	used, or Yeshis situal	lid any strata i s No ation was dealt mused? Ye	in the sample No stratific with in variants S \text{No}	e contain only one outlet ation ace estimation.
	or clu If Yes Was: If Yes follow If No,	atificanster to a cluster, fill of wing questions to go to	ation was this year? ain how the ter samp out and at uestion.	used, or Yeshis situate design tach Fo	lid any strata is No ntion was dealt n used? Ye rm 3 in Append	in the sample No stratification with in variances No lix A (Forms	e contain only one outlet ation ace estimation.

g.	Report the	following	outlet sami	ole sizes	for the	Synar surve
≤•	11CPOLUTE		ounce same	DIC BILCS	101 111	Dillar Sur i

		Sample Size
	ample size (sample size needed to meet the SAMHSA precision assuming simple random sampling)	
Target sam	aple size (the product of the effective sample size and the design	
	imple size (inflated sample size of the target sample to counter the ion due to ineligibility and noncompletion)	
Eligible sar	mple size (number of outlets found to be eligible in the sample)	
	le size (number of eligible outlets in the sample for which an as completed)	
h.	Fill out Form 4 in Appendix A (Forms 1–5).	
. Did the	State's Synar survey use a list frame? ⊠ Yes □ No	
I f	Yes, answer the following questions about its coverage.	
a.	The calendar year of the latest frame coverage study:	2010
b.	Percent coverage from the latest frame coverage study	y: <u>87.9%</u>
c.	Was a new study conducted in this reporting period? [∑Yes □ No
	If Yes, please complete Appendix D (List Sampling Frame and submit it with the Annual Synar Report.	e Coverage Study)
d.	The calendar year of the next coverage study planned:	: 2013
. Has the	Synar survey inspection protocol changed from the pre ☑ No	evious year?
protocol (Appena	te is required to have an approved up-to-date description of on file with CSAP. Please submit a copy of your Synar Sulix C). If the inspection protocol changed from the previous cted in the protocol submitted.	rvey Inspection Protocol
a.	Provide the inspection period: From <u>08/1/10</u> to <u>09/30/1</u> MM/DD/YY	
b.	Provide the number of youth inspectors used in the cu	rrent inspection year:
	<u>6</u>	
	NOTE: If the State uses SSES, please ensure that the num	aber reported in 9b

c. Fill out and attach Form 5 in Appendix A (Forms 1–5). (Not required if the State used SSES to analyze the Synar survey data.)

matches that reported in SSES Table 4, or explain any difference.

SECTION II: FFY 2011 (Intended Use):

Public law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the States provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.

ı.	In the upcoming year, does the State anticipate any changes in:
	Synar sampling methodology
	Synar inspection protocol \square Yes \boxtimes No
	If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the State is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate.
2.	Please describe the State's plans to maintain and/or reduce the target rate for Synar inspections to be completed in FFY 2011. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the State.
	Advocates are researching a legislative opportunity to increase tobacco licenses from \$50 to \$1000 in order to fund the Office of Tobacco Control (formerly Comprehensive Tobacco Control Program) and the Tobacco Age of Sale program, which would in turn increase activities which would enhance and support law enforcement efforts.
3.	Describe any challenges the State faces in complying with the Synar regulation. (Check all that apply.)
	Limited resources for law enforcement of youth access laws
	Limited resources for activities to support enforcement and compliance with youth tobacco access laws
	Limitations in the State youth tobacco access laws
	Limited public support for enforcement of youth tobacco access laws
	☐ Limitations on completeness/accuracy of list of tobacco outlets
	Limited expertise in survey methodology
	Laws/regulations limiting the use of minors in tobacco inspections
	☐ Difficulties recruiting youth inspectors
	☐ Geographic, demographic, and logistical considerations in conducting inspections ☐ Cultural factors (e.g., language barriers, young people purchasing for their elders)
	☐ Issues regarding sources of tobacco under tribal jurisdiction
	Other challenges (Please list.)
	Briefly describe all checked challenges and propose a plan for each, or indicate the State's need for technical assistance related to each relevant challenge.

1. Limited resources

As a result of the elimination of the \$7.1 million tax excise appropriation for the Comprehensive Tobacco Control Program (CTCP) from the NJ SFY 2011 State budget all state funded programs were terminated as of July 1, 2011. The DHSS supported the creation of an Office of Tobacco Control (OTC) to continue under the Division of Family Health Services and was placed under the Chronic Disease Prevention and Control Services (CDPC) Unit. This Office will manage the funds from the Center for Disease Control to include the recently awarded ARRA funding as well as the Tobacco Age of Sale (TASE) program.

Starting SFY 2011, the TASE program is only conducting the mandated federal inspections.

As a consequence of the termination of funding, merchant education training, promotional and educational activities were also eliminated. For this reporting year, merchant education training was only provided by inspectors and student associates while conducting the federal inspections and the 2010 coverage study.

2. Limitations on completeness/accuracy of list of tobacco outlets

The Department of the Treasury (Treasury) produces the retailer's list with limited staff to verify accuracy. OTC will continue to seek collaboration with Treasury to strategically plan a solution.

APPENDIX A: FORMS 1–5

FORM 1 (Required for all States not using the Synar Survey Estimation System (SSES) to analyze the Synar Survey data)

Complete Form 1 to report sampling frame and sample information and to calculate the unweighted retailer violation rate (RVR) using results from the current year's Synar survey inspections.

Instructions for Completing Form 1: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2011). Provide the remaining information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

- Column 1: If stratification was used:
 - 1(a) Sequentially number each row.
 - 1(b) Write in the name of each stratum. All strata in the State must be listed.

If no stratification was used:

- 1(a) Leave blank.
- 1(b) Write "State" in the first row (indicates that the whole State is a single stratum).

Note for unstratified samples: For Columns 2–5, wherever the instruction refers to "each stratum," report the specified information for the State as a whole.

- Column 2: 2(a) Report the number of over-the-counter (OTC) outlets in the sampling frame in each stratum.
 - 2(b) Report the number of vending machine (VM) outlets in the sampling frame in each stratum.
 - 2(c) Report the combined total of OTC and VM outlets in the sampling frame in each stratum.
- Column 3: 3(a) Report the estimated number of eligible OTC outlets in the OTC outlet population in each stratum.
 - 3(b) Report the estimated number of eligible VM outlets in the VM outlet population in each stratum.
 - 3(c) Report the combined total estimated number of eligible OTC and VM outlets in the total outlet population in each stratum.

The estimates for Column 3 can be obtained from the Synar survey sample as the weighted sum of eligible outlets by outlet type.

- Column 4: 4(a) Report the number of eligible OTC outlets for which an inspection was completed, for each stratum.
 - 4(b) Report the numbers of eligible VM outlets for which an inspection was completed, for each stratum.
 - 4(c) Report the combined total of eligible OTC and VM outlets for which an inspection was completed, for each stratum.
- Column 5: 5(a) Report the number of OTC outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(b) Report the number of VM outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(c) Report the combined total of OTC and VM outlets found in violation of the law as a result of completed inspections, for each stratum.
- Totals: For each subcolumn (a–c) in Columns 2–5, provide totals for the State as a whole in the last row of the table. These numbers will be the sum of the numbers in each row for the respective column.

FORM 1 (Required for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data.)

				Sumn	nary of Sy	nar Inspe	ection Res	ults by St	ratum			ate: FY: <u>2011</u>	
	(1)		(2)			(3)			(4)			(5)	
NUMBER OF OUTLETS IN SAMPLING FRAME		ELIGI	ESTIMATED NUMBER OF ELIGIBLE OUTLETS IN POPULATION		NUMBER OF OUTLETS INSPECTED			NO. OF OUTLETS FOUND IN VIOLATION DURING INSPECTIONS		RING			
(a) Row#	(b) Stratum Name	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (2a+2b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (3a+3b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (4a+4b)	(a) Over-the- Counter (OTC)	(b) Vending Machines (VM)	(c) Total Outlets (5a+5b)

RECORD COLUMN TOTALS ON LAST LINE (LAST PAGE ONLY IF MULTIPLE PAGES ARE NEEDED).

FORM 2 (Optional)

Appropriate for stratified simple or systematic random sampling designs.

Complete Form 2 to calculate the weighted RVR. This table (in Excel form) is designed to calculate the weighted RVR for stratified simple or systematic random sampling designs, accounting for ineligible outlets and noncomplete inspections encountered during the annual Synar survey.

Instructions for Completing Form 2: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2011).

- Column 1: Write in the name of each stratum into which the sample was divided. These should match the strata reported in Column 1(b) of Form 1.
- Column 2: Report the number of outlets in the sampling frame in each stratum. These numbers should match the numbers reported for the respective strata in Column 2(c) of Form 1.
- Column 3: Report the original sample size (the number of outlets originally selected, *including* substitutes or replacements) for each stratum.
- Column 4: Report the number of sample outlets in each stratum that were found to be eligible during the inspections. Note that this number must be less than or equal to the number reported in Column 3 for the respective strata.
- Column 5: Report the number of eligible outlets in each stratum for which an inspection was completed. Note that this number must be less than or equal to the number reported in Column 4. These numbers should match the numbers reported in Column 4(c) of Form 1 for the respective strata.
- Column 6: Report the number of eligible outlets inspected in each stratum that were found in violation. These numbers should match the numbers reported in Column 5(c) of Form 1 for the stratum.
- Column 7: Form 2 (in Excel form) will automatically calculate the stratum RVR for each stratum in this column. This is calculated by dividing the number of inspected eligible outlets found in violation (Column 6) by the number of inspected eligible outlets (Column 5). The State unweighted RVR will be shown in the Total row of Column 7.
- Column 8: Form 2 (in Excel form) will automatically calculate the estimated number of eligible outlets in the population for each stratum. This calculation is made by multiplying the number of outlets in the sampling frame (Column 2) times the number of eligible outlets (Column 4) divided by the original sample size (Column 3). Note that these numbers will be less than or equal to the numbers in Column 2.
- Column 9: Form 2 (in Excel form) will automatically calculate the relative stratum weight by dividing the estimated number of eligible outlets in the population for each stratum in Column 8 by the Total of the values in Column 8.
- Column 10: Form 2 (in Excel form) will automatically calculate each stratum's contribution to the State weighted RVR by multiplying the stratum RVR (Column 7) by the relative stratum weight (Column 9). The weighted RVR for the State will be shown in the Total row of Column 10.
- Column 11: Form 2 (in Excel form) automatically calculates the standard error of each stratum's RVR (Column 7). The standard error for the State weighted RVR will be shown in the Total row of Column 11.
- TOTAL: For Columns 2–6, Form 2 (in Excel form) provides totals for the State as a whole in the last row of the table. For Columns 7–11, it calculates the respective statistic for the State as a whole.

FORM 2 (Optional) Appropriate for stratified simple or systematic random sampling designs.

Calculation of Weighted Retailer Violation Rate State: FFY: 2011 (4) (8) (10)(2) n1 (7) N'=N(n1/n)(9) pw N Number of (5) p=x/n2Estimated w=N'/Total Stratum (11)(6)Number of Stratum Number of (3) Sample n2 Column 8 Contribution X s.e. (1) Outlets Outlets Number of Number of Retailer Eligible Relative to State Standard Outlets in Stratum in Sampling Original Found Outlets Outlets Found Violation Stratum Weighted Error of Sample Size RVR Name Frame Eligible Inspected in Violation Rate Population Weight Stratum RVR **Total**

N - number of outlets in sampling frame

n - original sample size (number of outlets in the original sample)

n1 - number of sample outlets that were found to be eligible

n2 - number of eligible outlets that were inspected

x - number of inspected outlets that were found in violation

p - stratum retailer violation rate (p=x/n2)

N' - estimated number of eligible outlets in population (N'=N*n1/n)

w - relative stratum weight (w=N'/Total Column 8)

pw - stratum contribution to the weighted RVR

s.e. - standard error of the stratum RVR

FORM 3 (Required when a cluster design is used for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data.)

Complete Form 3 to report information about primary sampling units when a cluster design was used for the Synar survey.

Instructions for Completing Form 3: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2011).

Provide information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

Column 1: Sequentially number each row.

Column 2: If stratification was used: Write in the name of stratum. All strata in the State must be

listed.

If no stratification was used: Write "State" in the first row to indicate that the whole

State constitutes a single stratum.

Column 3: Report the number of primary sampling units (PSUs) (i.e., first-stage clusters) created for

each stratum.

Column 4: Report the number of PSUs selected in the original sample for each stratum.

Column 5: Report the number of PSUs in the final sample for each stratum.

TOTALS: For Columns 3–5, provide totals for the State as a whole in the last row of the table.

	Summary of Clusters Created and Sampled State:						
			FFY: 2011				
(1) Row#	(2) Stratum Name	(3) Number of PSUs Created	(4) Number of PSUs Selected	(5) Number of PSUs in the Final Sample			
	Total						

FORM 4 (Required for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data)

Complete Form 4 to provide detailed tallies of ineligible sample outlets by reasons for ineligibility and detailed tallies of eligible sample outlets with noncomplete inspections by reasons for noncompletion.

Instructions for Completing Form 4: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2011).

Column 1(a): Enter the number of sample outlets found ineligible for inspection by reason for ineligibility. Provide the total number of ineligible outlets in the row marked "Total."

Column 2(a): Enter the number of eligible sample outlets with noncomplete inspections by reason for noncompletion. Provide the total number of eligible outlets with noncomplete inspections in the row marked "Total."

Inspection Tallies by Reason of Ineligibility or Noncompletion					
•		State:			
		FFY: 2011			
(1) INELIGIBLE		(2) ELIGIBLE			
Reason for Ineligibility	(a) Counts	Reason for Noncompletion	(a) Counts		
Out of business		In operation but closed at time of visit			
Does not sell tobacco products		Unsafe to access			
Inaccessible by youth		Presence of police			
Private club or private residence		Youth inspector knows salesperson			
Temporary closure		Moved to new location			
Unlocatable		Drive-thru only/youth inspector has no driver's license			
Wholesale only/Carton sale only		Tobacco out of stock			
Vending machine broken		Ran out of time			
Duplicate		Other noncompletion reason(s) (Describe.)			
Other ineligibility reason(s) (Describe.)					
Total		Total			

FORM 5 (Required for all States not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data)

Complete Form 5 to show the distribution of outlet inspection results by age and gender of the youth inspectors.

Instructions for Completing Form 5: In the top right-hand corner of the form, provide the State name and reporting Federal fiscal year (FFY 2011).

Column 1: Enter the number of attempted buys by youth inspector age and gender.

Column 2: Enter the number of successful buys by youth inspector age and gender.

If the inspectors are age eligible but the gender of the inspector is unknown, include those inspections in the "Other" row. Calculate subtotals for males and females in rows marked "Male Subtotal" and "Female Subtotal." Sum subtotals for Male, Female, and Other and record in the bottom row marked "Total." Verify that that the total of attempted buys and successful buys equals the total for Column 4(c) and Column 5(c), respectively, on Form 1. If the totals do not match, please explain any discrepancies.

	Synar Survey Inspector Characteristics			
		State:		
		FFY: 2011		
	(1) Attempted Buys	(2) Successful Buys		
Male				
15 years				
16 years				
17 years				
18 years				
Male Subtotal				
Female				
15 years				
16 years				
17 years				
18 years				
Female Subtotal				
Other				
Total				

APPENDIXES B & C: FORMS

Instructions

Appendix B (Sampling Design) and Appendix C (Inspection Protocol) are to reflect the State's CSAP-approved sampling design and inspection protocol. These appendixes, therefore, should generally describe the design and protocol and, with the exception of Question #10 of Appendix B, are not to be modified with year-specific information. Please note that any changes to either appendix must receive CSAP's advance, written approval. To facilitate the State's completion of this section, simply cut and paste the previously approved sampling design (Appendix B) and inspection protocol (Appendix C).

APPENDIX B: SYNAR SURVEY SAMPLING METHODOLOGY

			State	e: New Jersey
			FFY	: 2011
1.	What type of sa	mpling f	frame is used?	
	∠ List fram	me (Go t	o Question 2.)	
	☐ Area fra	ame (Go	to Question 3.)	
	List-ass	sisted are	a frame (Go to Question 2.)	
2.	a brief description including how r	ion of th new outle	et frame. Indicate the type of source for the frame source. Explain how the lists ets are identified and added to the frame pdated (cycle). (After completing this explains the completion of the frame identified and added to the frame identified and added added to the frame identified and added added to the frame identified and added add	are updated (method), me. In addition, explain
	Use the corresp	onding ni	umber to indicate Type of Source in the table i	below.
	 Statewide commerce 		1	
	Local commercial tStatewide tobacco 1		1 1	mit list
J	State wide tobacco i	neense/per	mit list b Stile!	
		Type of		
lam	e of Frame Source	Source	Description	Updating Method and Cycle
cens	sed Tobacco Retailer	3	Contains the list of sites licensed to sell tobacco	This list is updated yearly with license renewal forms and new licenses.
3.	If an area frame	e is used	, describe how area sampling units ar	re defined and formed.
	a. Is any	area left	out in the formation of the area fran	ne? Yes No
			centage of the State's population is not	
	9	%		
1	Federal regulat	ion regu	ires that vending machines be inspec	tad as part of the Sypar
→.			chines included in the Synar survey?	
	If No, plea	se indica	te the reason they are not included in th	he Synar survey.
			ending machines.	·
	=		ending machines from locations access	ible to youth
	<u> </u>		ISA approval to exempt vending machi	•
			escribe.)	nes from the survey.
			······································	

1	Willen Cat	egory below i	pest describes the sample design? (Check only one.)
	\Box C	ensus (STOP	HERE: Appendix B is complete.)
	Unst	ratified statev	vide sample:
		imple random	sample (Go to Question 9.)
	\square S:	ystematic rand	om sample (Go to Question 6.)
		ingle-stage clu	ster sample (Go to Question 8.)
	\square N	Iultistage clust	er sample (Go to Question 8.)
	Strat	ified sample:	
	\boxtimes Si	imple random	sample (Go to Question 7.)
	\square S:	ystematic rand	om sample (Go to Question 6.)
		ingle-stage clu	ster sample (Go to Question 7.)
	\square N	Iultistage clust	er sample (Go to Question 7.)
		ther (Please a	lescribe and go to Question 9.)
]		-	sampling methods. (After completing Question 6, go to Question 7 otherwise go to Question 9.)
	Provide th	e following ir	formation about stratification.
		C	formation about stratification. lescription of the strata that are created.
	a. P N h tt	lew Jersey relice total number ercentage of the roportion distribution of co.5%, Strata II an or equal to	
	a. P N h tt p p f d < tt 3 f d	lew Jersey relicated her total number ercentage of the roportion distribution of control of the	es on the finer geographic distinctions established by the local ents (LHDs). The local health departments with large percentages of r of tobacco retailers in the State of New Jersey tend to have a large total number of 18 year olds and under. Based on the outlet libutions of state total, the local health departments are stratified into pecifically, LHDs are stratified as Stratum I if the percent outlets (out of total number of outlets on the frame) in the LHD is 1, III, or IV if the percent distribution of outlets in the LHD is greater 0.5% but less than 1%, greater than or equal to 1% but less than
į	a. P N h tt p p f d < tt 3 f d	lew Jersey relievant department total number ercentage of the roportion distribution of co.5%, Strata II an or equal to %, or greater tollows:	es on the finer geographic distinctions established by the local ents (LHDs). The local health departments with large percentages of r of tobacco retailers in the State of New Jersey tend to have a large te total number of 18 year olds and under. Based on the outlet libutions of state total, the local health departments are stratified into pecifically, LHDs are stratified as Stratum I if the percent outlets (out of total number of outlets on the frame) in the LHD is 1, III, or IV if the percent distribution of outlets in the LHD is greater 0.5% but less than 1%, greater than or equal to 1% but less than han 3%, respectively. The stratum definition can be summarized as
ļ	a. P N h tt p p f d < tt 3 f o	lew Jersey relice at the department total number ercentage of the roportion distribution of co.5%, Strata II an or equal to %, or greater tollows:	es on the finer geographic distinctions established by the local ents (LHDs). The local health departments with large percentages of r of tobacco retailers in the State of New Jersey tend to have a large te total number of 18 year olds and under. Based on the outlet libutions of state total, the local health departments are stratified into pecifically, LHDs are stratified as Stratum I if the percent outlets (out of total number of outlets on the frame) in the LHD is 1, III, or IV if the percent distribution of outlets in the LHD is greater 0.5% but less than 1%, greater than or equal to 1% but less than han 3%, respectively. The stratum definition can be summarized as
į	a. P N h th p f d d < th S I I I I I I I I I I I I I I I I I I	lew Jersey relieath department total number ercentage of the roportion distribution of co.5%, Strata II an or equal to %, or greater tollows:	es on the finer geographic distinctions established by the local ents (LHDs). The local health departments with large percentages of r of tobacco retailers in the State of New Jersey tend to have a large total number of 18 year olds and under. Based on the outlet libutions of state total, the local health departments are stratified into pecifically, LHDs are stratified as Stratum I if the percent outlets (out of total number of outlets on the frame) in the LHD is greater 0.5% but less than 1%, greater than or equal to 1% but less than han 3%, respectively. The stratum definition can be summarized as Percent Distribution < 0.5% 0.5 – 1%
[a. P N h th p f d d < th S I	lew Jersey relicent department total number ercentage of the roportion distribution of co.5%, Strata II and or equal to compartment to the roportion distribution of co.5%, Strata II and or equal to compart to the roportion distribution of co.5%, Strata II and or equal to compart to the roportion of co.5%, or greater to the roportion of co.5%.	es on the finer geographic distinctions established by the local ents (LHDs). The local health departments with large percentages of r of tobacco retailers in the State of New Jersey tend to have a large total number of 18 year olds and under. Based on the outlet libutions of state total, the local health departments are stratified into pecifically, LHDs are stratified as Stratum I if the percent outlets (out of total number of outlets on the frame) in the LHD is 1, III, or IV if the percent distribution of outlets in the LHD is greater 0.5% but less than 1%, greater than or equal to 1% but less than han 3%, respectively. The stratum definition can be summarized as Percent Distribution

☐ **Yes** (Go to Question 8.)
☐ **No** (Go to Question 9.)

- 8. Provide the following information about clustering.
 - **a.** Provide a full description of how clusters are formed. (If multistage clusters are used, give definitions of clusters at each stage.)
 - b. Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe how the method(s) is (are) implemented.
- 9. Provide the formulas for determining the effective, target, and original outlet sample sizes.

FFY 2011

Effective sample size:
$$n = \frac{1}{\frac{d^2}{z^2 p(1-p)} + \frac{1}{N}}$$

z = 1.96

d = 0.03

p = previous year's RVR

N =total number of outlets in the sampling frame

Target sample size is equal to the effective sample size assuming that the design effect is one. This assumption is reasonable because the sample design is a stratified simple random sampling with proportional allocation.

Original sample size = Effective sample size / (Eligibility Rate \times Completion Rate).

Both eligibility and completion rates are anticipated rates based on previous year's data.

FFY 2012 - forward

To ensure the study meets the Substance Abuse and Mental Health Services Administration (SAMHSA) and the Center for Substance Abuse Prevention precision requirement, a safety margin of 30% will be used in the future.

Below is the formula for calculating a sample size:

Effective Sample Size:

$$n_e = \frac{1}{\left(\frac{(s.e)^2}{P(1-P)} + \frac{1}{N}\right)}$$

Where *P* is the expected violation rate{- previous year's RVR},

s.e is the standard error of the estimate for 3% margin of error for one-sided confidence interval.

N is the total number of outlets in the sampling frame.

The target sample size n_t is the same as the effective sample size for simple random sampling

The original sample size is determined by:

$$n_o = (1+s)\frac{n_t}{r_t r_c}$$

where s (30%) is a safety margin, r_l is the eligibility rate from the previous year's survey, and r_c is the completion rate from the previous year survey

- 10. Provide the following information about sample size calculations for the current FFY Synar survey.
 - a. If the State uses the sample size formulas embedded in the Synar Survey Estimation System (SSES) Sample Size Calculator, please provide the following information:

Inputs for Effective Sample Size:

RVR:

Frame Size:

Input for Target Sample Size:

Design Effect:

Inputs for Original Sample Size:

Safety Margin:

Accuracy (Eligibility) Rate:

Completion Rate:

b. If the State does not use the sample size formulas embedded in the SSES Sample Size Calculator, please provide all inputs required to calculate the effective, target, and original sample sizes as indicated in Question 9.

Frame size:

12,081

Margin of Error:

3%, two-sided 95% CI

Previous year's weighted RVR:
4.6%
Design Effect:
1
Frame Accuracy Rate:
85.2%
Completion Rate:
92.9%
Calculation result:
Effective sample size = Target sample=185
Original sample size = 234

APPENDIX C: SYNAR SURVEY INSPECTION PROTOCOL

State: New Jersey

		FFY: 2011
Inspection I reporting th	ad to WebBGAS a copy of the Synar inspection Form" and a copy of the protocol used to train the results of the Synar inspections under the holes the State Synar survey protocol address t	n inspection teams on conducting and eading "Synar Inspection Protocol."
	Consummated buy attempts?	ne tonowing.
	☑ Required☑ Permitted under specified circumstances	☐ Not permitted☐ Not specified in protocol

		Permitted under specified circumstances	☐ Not specified in protocol
	b.	Youth inspectors to carry ID?	
		Required	☐ Not permitted
		Permitted under specified circumstances	Not specified in protocol
	c.	Adult inspectors to enter the outlet?	
		⊠ Required	☐ Not permitted
		Permitted under specified circumstances	☐ Not specified in protocol
	d.	Youth inspectors to be compensated?	
		⊠ Required	☐ Not permitted
		Permitted under specified circumstances	Not specified in protocol
2.	-	the agency(ies) or entity(ies) that actually conspections of tobacco outlets. (Check all that	
		Law enforcement agency(ies)	
	\boxtimes	State or local government agency(ies) other th	nan law enforcement
		Private contractor(s)	
		Other	
		st the agency name(s): <u>New Jersey Department</u> (HSS), Family Health Services, Chronic Diseas	
		Tobacco Control (OTC), Tobacco Age of Sale	
_			
3.	-	nar inspections combined with law enforcementatives issue warmings or citations to retaile	

representatives issue warnings or citations to retailers found in violation of the law at the time of the inspection?)?

Always Usually Sometimes Rarely Never	⊠ Always	Usually	Sometimes	Rarely	Neve
---------------------------------------	----------	---------	-----------	--------	------

4. Describe the methods used to recruit, select, and train youth inspectors and adult supervisors.

NJDHSS/TASE program recruited and hired 4 part-time adult inspectors under Special Services contracts (Public Health Representatives). These employees perform inspections in all areas of the state and recruit youth inspectors (hired by NJDHSS as student associates) from schools, community centers, faith-based organizations, and the REBEL program.

The TASE adult inspectors are trained by the OTC Program Coordinator and staff and follow the guidelines of the training manual developed by NJDHSS/CTCP/TASE. This training manual, The New Jersey Guidelines for Prohibiting the Sale of Tobacco Products to Minors, was developed in June of 1996 and revised in 2003 and again in 2005 to ensure standardization in performing inspections. Chapter 3, entitled Compliant Check Inspections Protocol, provides specific training information on how to conduct proper inspections.

Both on site and field training were provided to the part-time adult TASE inspectors. Adult TASE inspectors train the youth inspectors, utilizing the training manuals noted above.

	a. Legal	☐ Yes	munity when conducting inspections? No (If Yes, please describe.)
	b. Procedural	☐ Yes	No (If Yes, please describe.)
the is	sue of the safety	_	dural requirements instituted by the State to address aspectors during all aspects of the Synar inspection No (If Yes, please describe.)
	a. Legai		110 (I) Tes, pieuse describe.)
	b. Procedural	⊠ Yes	No (If Yes, please describe.)
	on file with a safety of the y youth inspector	copy of his outh inspector or adult s	a current dated photograph of each youth inspector be kept or her birth certificate and signed parental consent. The ctor is paramount at all times. In the event that either the supervisor perceive or suspect a possible threat, danger or or is authorized to postpone or cancel the inspection.
inspe	here any other le	egal or proc	cedural requirements the State has regarding how (e.g., age of youth inspector, time of inspections,
traini	ng mat mast sec	<i>'</i>	

The adult inspector transports youth to and from the sites and accompany them during the attempted buy, particularly when the site is large enough for the adult supervisor to remain inconspicuous. On occasion, based on the discretion of the adult inspectors, the youth inspector may enter the site alone, when a site is so small (e.g. news stands, kiosk) that suspicion of the clerk would be aroused by the presence of both the adult supervisor and the youth inspector. In all situations, youth inspectors stay within view of the adult supervisor (safety is the primary concern).

The age of hired youth inspectors is no younger than 15 and no older than 18 years of age.

Adult inspectors are employed by TASE and are compensated monetarily. Youth inspectors employed by TASE are compensated monetarily.

APPENDIX D: LIST SAMPLING FRAME COVERAGE STUDY

(LIST FRAME ONLY)

			State: New Jersey FFY: 2011
ι.	Ca	lenda	ar year of the coverage study: <u>2010</u>
2.	b. c.	Wei Nun	reighted percent coverage found: 87.9% ghted percent coverage found:% nber of outlets found through canvassing: 132 nber of outlets matched on the list frame: 116
3.	a.	Desc	eribe how areas were defined. (e.g., census tracts, counties, etc.)
			New Jersey used year 2000 census tracts as area frame.
	b.	Wer	re any areas of the State excluded from sampling? Yes No If Yes, please explain.
I.	Ple		inswer the following questions about the selection of canvassing areas.
		a.	Which category below best describes the sample design? (Check only one.)
			Census (Go to Question 6.)
			Unstratified Statewide sample:
			
			Single-stage cluster sample (<i>Respond to Parts b and d.</i>)
			☐ Multistage cluster sample (Respond to Parts b and d.)
			Stratified sample:
			Simple random sample (Respond to Parts b and c.)
			Systematic random sample (Respond to Parts b and c.)
			Single-stage cluster sample (Respond to Parts b, c, and d.)
			Multistage cluster sample (Respond to Parts b, c, and d.)
			Other (Please describe and respond to Part b.)

b. Describe the sampling methods.

New Jersey used Simple Random Sample method for the coverage study. Area sample frame was census tracts and the sample size was determined according to SAMHSA's Sample Size Determination Chart. Based on the chart, 19 area samples from year 2000 census tracts were randomly drawn by using SAS simple random sampling procedure program.

	c.	Provide a full description of the strata that were created.
	d.	Provide a full description of how clusters were formed.
5.	Were bo	orders of the selected areas clearly identified at the time of canvassing?
6.	Were al	l sampled areas visited by canvassing teams?
	X Yes	(Go to Question 8.) \[\bigcap \bigcap \bigcap \bigcap (Respond to the following questions.) \]
		Was the subset of areas randomly chosen?
		☐ Yes ☐ No
	b. 3	Describe how the subsample of visited areas was drawn. Include the number of areas sampled and the number of areas canvassed.
7.	Yes	eld observers provided with a detailed map of the canvassing areas? No escribe the canvassing instructions given to the field observers.
8.	☐ Yes If No, re If Yes, de	eld observers instructed to find all outlets in the assigned area? No spond to Question 11. escribe any instructions given to the field observers to ensure the entire area was ed, then go to Question 12.
9.	If a full	canvassing was not conducted:
	a. How	many predetermined outlets were to be observed in each area? 7
	b. Wha	at were the starting points for each area? Random point in each area
	c. Wer	e these starting points randomly chosen? 🖂 Yes 🔲 No

d. Describe the selection of the starting points.

The inspectors were instructed to randomly select a starting point within the 19 assigned census tracts.

e. Please describe the canvassing instructions given to the field observers, including predetermined routes.

Prior to conducting the Coverage Study field procedures, protocols and workplans were developed/updated and discussed during staff/training meetings to ensure complete uniformity for all participants. The selected survey area maps were given to the inspectors. After reviewing the sampled area street maps and planning walk routes, the inspectors surveyed each area from a randomly selected starting point until finding a total of 7 retail outlets within each tract of the 19 census tracts. The inspectors worked alone or in teams of two for safety concerns.

10. Describe the process field observers used to determine if an outlet sold tobacco.

Inquiries, observations and investigations occurred for every business entity such as supermarkets, delicatessens, grocery stores, convenience stores, convenience stores/gas stations, gas stations, pharmacies, restaurants, liquor stores, bars, malls, lunch trucks and any sites that advertise tobacco products to determine whether retail establishments were selling tobacco products and if they were licensed. A Tobacco Age of Sale Enforcement List Completeness Survey form was then completed on each occurrence and if no license could be identified a Division of Revenue License application was given to the retail business establishment.

11. Please provide the State's definition of "matches" or "mismatches" to the Synar sampling frame? (i.e., address, business name, business license number, etc).

Matches: Business name, address was matched.	
Mismatches: The name, address was not found.	

12. Provide the calculation of the weighted percent coverage (if applicable).

SSES Table 1 (Synar Survey Estimates and Sample Sizes)

CSAP-SYNAR REPORT

State	NJ
Federal Fiscal Year (FFY)	2011
Date	10/13/2010 15:23
	SSESDataEntryTemplate_SRS_2010
Data	.xls
Analysis Option	Stratified SRS with FPC

Estimates

Unweighted Retailer Violation Rate	8.4%
Weighted Retailer Violation Rate	8.5%
Standard Error	2.0%
Is SAMHSA Precision Requirement met?	NO
Right-sided 95% Confidence Interval	[0.0%, 11.7%]
Two-sided 95% Confidence Interval	[4.6%, 12.3%]
Design Effect	1.0
Accuracy Rate (unweighted)	86.8%
Accuracy Rate (weighted)	86.8%
Completion Rate (unweighted)	99.5%

Sample Size for Current Year

Effective Sample Size	185
Target (Minimum) Sample Size	185
Original Sample Size	234
Eligible Sample Size	203
Final Sample Size	202
Overall Sampling Rate	1.9%

SSES Table 2 (Synar Survey Results by Stratum and by OTC/VM)

STATE: NJ FFY: 2011

Samp. Stratum	Var. Stratum	Outlet Frame Size	Estimated Outlet Population Size	Number of PSU Clusters Created	Number of PSU Clusters in Sample	Outlet Sample Size	Number of Eligible Outlets in Sample	Number of Sample Outlets Inspected	Number of Sample Outlets in Violation	Retailer Violation Rate(%)	Standard Error(%)
						Outlets					
1	1	1,523			N/A	29			1	3.8%	
2	2	2,228	1,917	N/A	N/A	43	37	37	5	13.5%	
3	3	3,589			N/A	67	59	58	6	10.3%	
4	4	4,722	4,026	N/A	N/A	95	81	81	5	6.2%	
Total		12,062	10,468			234	203	202	17	8.5%	2.0%
				0	ver the C	ounter O	utlets				
1	1	1,522	1,365	N/A	N/A	29	26	26	1	3.8%	
2	2	2,226	1,917	N/A	N/A	42	37	37	5	13.5%	
3	3	3,586	3,160	N/A	N/A	67	59	58	6	10.3%	
4	4	4,718	4,026	N/A	N/A	94	81	81	5	6.2%	
Total		12,052	10,468			232	203	202	17	8.5%	2.0%
					Vending	g Machine	es				
1	1	1	0	N/A	N/A	0	0	0	0	0.0%	
2	2	2	0	N/A	N/A	1	0	0	0	0.0%	
3	3	3	0	N/A	N/A	0	0	0	0	0.0%	
4	4	4	0	N/A	N/A	1	0	0	0	0.0%	
Total		10	0			2	0	0	0	0.0%	0.0%

SSES Table 3 (Synar Survey Sample Tally Summary)

STATE: NJ FFY: 2011

Disposition Code	Description	Count	Subtotal				
EC	Eligible and inspection complete outlet	202	202				
Total (Eligible Con	Total (Eligible Completes)						
N1	In operation but closed at time of visit	0					
N2	Unsafe to access	1					
N3	Presence of police	0					
N4	Youth inspector knows salesperson	0					
N5	Moved to new location but not inspected	0					
N6	Drive thru only/youth inspector has no drivers license	0					
N7	Tobacco out of stock	0					
N8	Run out of time	0					
N9	Other noncompletion	0					
Total (Eligible Nor	Total (Eligible Noncompletes)						
l1	Out of Business	8					
12	Does not sell tobacco products	11					
13	Inaccessible by youth	2					
14	Private club or private residence	3					
15	Temporary closure	4					
16	Unlocatable	2					
17	Wholesale only/Carton sale only	1					
18	Vending machine broken	0					
19	Duplicate	0					
I10	Other ineligibility	0					
Total (Ineligibles)			31				
Grand Total			234				

SSES Table 4 (Synar Survey Inspection Results by Youth Inspector Characteristics)

STATE: NJ FFY: 2011

Frequency Distribution

Number of Attempted Successful							
Gondor	Gender Age		Attempted	Successful			
Geridei	Age	Inspectors	Buys	Buys			
Male	14	0	0	0			
	15	0	0	0			
	16	3	86	11			
	17	1	22	1			
	18	0	0	0			
	Subtotal	4	108	12			
Female	14	0	0	0			
	15	0	0	0			
	16	0	0	0			
	17	2	94	5			
	18	0	0	0			
	Subtotal	2	94	5			
Other	•	0	0	0			
011101							

Buy Rate in Percent by Age and Gender

Bay Nate in 1 crocint by Age and Senaci								
Age	Male	Female	Total					
14	0.0%	0.0%	0.0%					
15	0.0%	0.0%	0.0%					
16	12.8%	0.0%	12.8%					
17	4.5%	5.3%	5.2%					
18	0.0%	0.0%	0.0%					
Other			0.0%					
Total	11.1%	5.3%	8.4%					