

New Jersey Department of the Treasury Division of Purchase and Property

Quick Reference Guide:

Vendor Categories & Certifications

Seller Administrators

Revised as of: 11/07/22







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1.0 Purpose

- This Quick Reference Guide provides step-by-step instructions on how to maintain vendor categories and certifications in your company's NJSTART Vendor Profile.
- If you have not registered in NJSTART, refer to the instructions in the Quick Reference Guide titled "Vendor Registration," and then return to this guide.
- Using the Vendor Categories and Certifications feature in NJSTART provides the following enhancements when doing business with the State of New Jersey:
 - Completing some business categories to help ensure proper payment;
 - Completing categories and certifications in your Vendor Profile that are required for your company to do business with the State; and
 - Viewing a snapshot of the certifications and links to instructions on how you can take corrective actions if you, as a vendor, are not in compliance with a required certification.
- If you have any questions on what a field means, click on the question mark icon on the top right hand corner of your screen.
 - A new drop-down menu will open in your browser containing the User Manual for the Seller and Seller Administrator roles.
 - To find the section of the manual pertaining to categories and certifications, find the Seller Administration heading.
- Quick Reference Guides for managing your NJSTART vendor portal profile, and many other topics, can be found at the New Jersey Division of Purchase and Property Vendor Support Page at: http://www.nj.gov/treasury/purchase/njstart/vendor.shtml
- If you have questions regarding the material presented in this guide, you may contact a New Jersey State Vendor Administrator at (609) 341-3500 or email njstart@treas.nj.gov

2.0 Certain NJSTART Functions Only Available During Weekday Hours

- You can access your NJSTART vendor profile whenever you like, 24/7.
- You can begin the registration process, edit your general organization information, create and set up quotes, manage your company's user access, complete and attach forms, conduct searches, and update non-financial categories and certifications.
- However, certain NJSTART functions require validation through a State legacy system that is only available each weekday from 7:00 a.m. until 7:00 p.m.
- As a result, the following NJSTART functionality is limited to the weekday hours noted:
 - Completing registration in NJSTART;
 - Entering or editing values required for any of the mandatory OMB categories, as described in this Quick Reference Guide, "Vendor Categories and Certifications;"
 - Adding or editing an address; and
 - Processing purchase orders.
- Attempting to use any of the functions listed after normal business hours will not harm your vendor profile in any way. However, you will receive the following red system error messages as shown in *Figure 2.0.1*.

Figure 2.0.1.

System Error(s)

• com.periscope.service: ServiceException: Unexpected error processing vendor message: BrokeError Interface: NEWJERSEY

🔻 java.lang.RuntimeException: com.periscope.service. ServiceException: Unexpected error processing vender message: BrokeError..





- The failed transactions will not be processed or stored.
- NJSTART will not automatically try to send the transaction again the next business day.
- No action is required on your part. Simply close NJSTART and log in again during weekday hours.
- The next time you log in, try to complete the transaction again and save to clear the system error message.

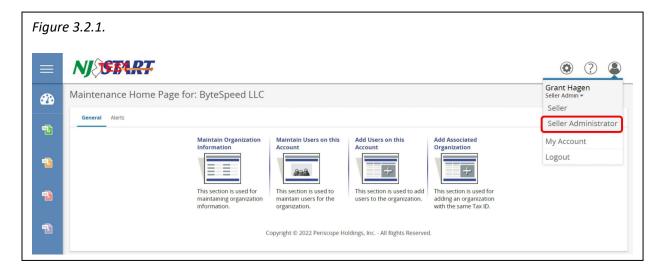
3.0 Instructions

3.1. Navigate to NJSTART and Log in

 Navigate to <u>www.njstart.gov</u>. Log in using the ID and password you created during registration or that was provided to you by your company's Seller Administrator.

3.2. Verify the Seller Administrator Role Is Selected

• The activities described in this section require the user to have the Seller Administrator role. Select the Seller Administrator role by clicking the icon and selecting the correct role from the drop-down list as shown In *Figure 3.2.1*.



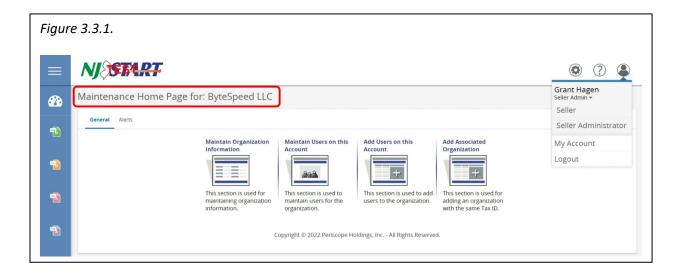
- If you cannot select the Seller Administrator role or see the screenshot shown above, then you do not have the necessary privileges to perform Seller Administrator management tasks.
- To remedy this, you may request that the necessary privileges be granted to you by your company's Seller Administrator.

3.3. Vendor Profile Navigation

• Once you are logged in and have selected the correct user role, the Seller Administrator Maintenance Home Page opens as shown in *Figure 3.3.1*.

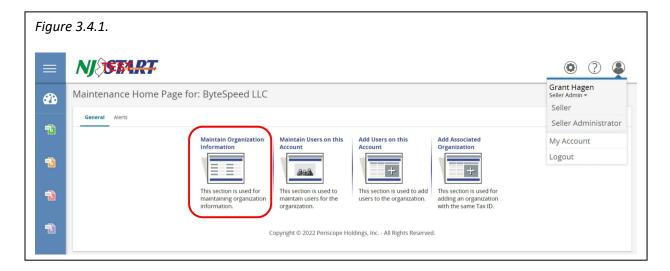






3.4. Select Maintain Organization Information

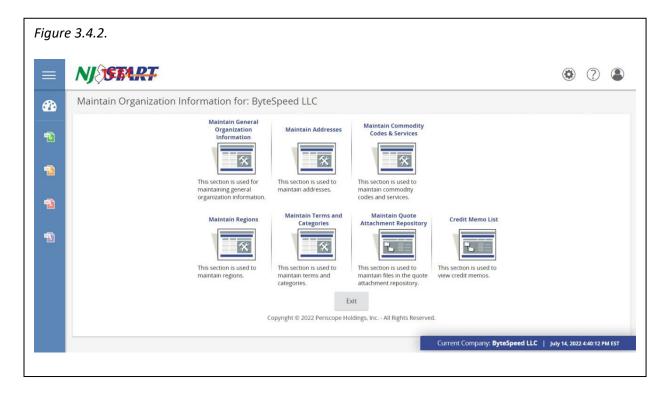
• Click Maintain Organization Information as shown in Figure 3.4.1.





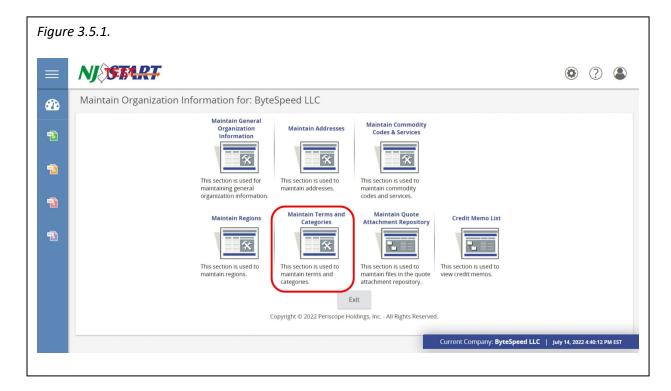


• The Maintain Organization Information view appears as shown in Figure 3.4.2.



3.5. Select Maintain Terms and Categories

Click Maintain Terms and Categories as shown in Figure 3.5.1.







• The Terms, Categories, and Certifications screen opens as shown on the following pages.

4.0 Vendor Categories – OMB

- Vendor Categories capture specific information that must be completed by you as the Seller Administrator. The first five categories listed on this tab relate to information collected on behalf of the Office of Management and Budget (OMB) for payment processing purposes.
- It is vital that these categories are complete and accurate. Instructions for completing these categories is presented in the subsections that follow.

<u>NOTE:</u> Entering or editing values for the four mandatory OMB categories can be completed only during weekday hours (7:00 a.m. - 7:00 p.m.).

5.0 Primary Business Function – Mandatory

• Select only one value that describes your company's primary business function. If you select "Other," enter the description in the Notes space provided in *Figure 5.0.1*.

| Figure 5.0.1. | | | | |
|-----------------------------|---|--|--|--|
| Categories & Certifications | | | | |
| Categ | ory: 1. Primary Business Function - (MANDATORY FIELD) | | | |
| Please | select exactly one category value | | | |
| Select | | | | |
| | Provides Construction Goods | | | |
| | Provides Construction Services | | | |
| | Provides Healthcare Services | | | |
| | Provides Legal Services | | | |
| ~ | Provides Any Other Types of Goods | | | |
| | Provides Any Other Type of Services | | | |
| | Other - Enter Description of Business in Notes Below | | | |
| | | | | |
| Notes: | | | | |
| | | | | |
| | | | | |





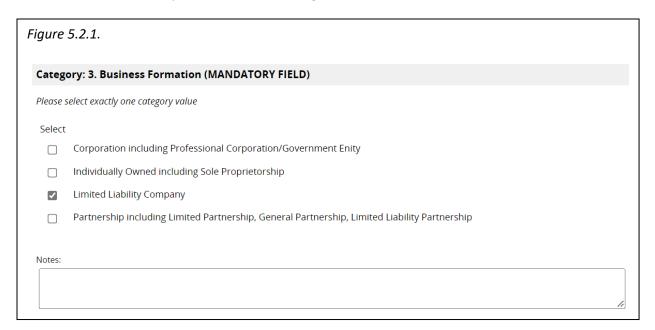
5.1. Prompt Payment Exemption (DOES NOT APPLY TO MOST VENDORS)

- Most vendors benefit from the Prompt Payment Act and are not exempt.
- However, if your company is exempt, select the exemption value that applies. Otherwise, leave this category blank as shown in *Figure 5.1.1*.

| Figure | 5.1.1. | | | |
|----------|--|--|--|--|
| Catego | ory: 2. Prompt Payment Exemption | | | |
| Desc | Description: Leave this Category blank and skip to Category: 3 UNLESS you represent a government entity or public utility. | | | |
| Please s | Please select at most one category value | | | |
| Select | Select | | | |
| | Select if you are a State of NJ employee or represent a government entity. | | | |
| | Select if your business can be defined as operating or controlling a railroad, railway, subway, bus, or canal operation. | | | |
| | Select if your business can be defined as operating or controlling a telephone or telegraph network. | | | |
| | Select if your business can be defined as operating or controlling a water, gas, oil, or electricity distribution system. | | | |
| | Select if your business can be defined as operating or controlling a pipeline, solid waster collection or disposal system, or a sewer operation. | | | |
| | | | | |
| Notes: | | | | |
| | | | | |
| | | | | |

5.2. Business Formation – Mandatory

 Select the one value that describes how your business, corporation or government entity has been formed. If necessary, enter any explanatory remarks in the "Notes" section provided as shown in Figure 5.2.1.



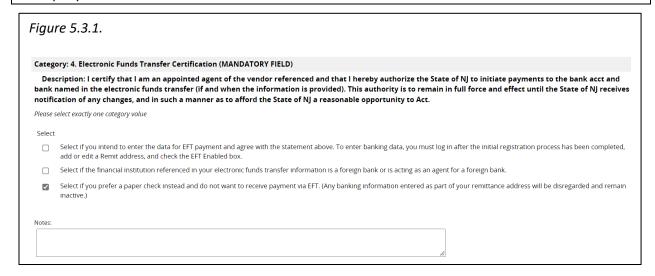




5.3. Electronic Funds Transfer Certification – Mandatory

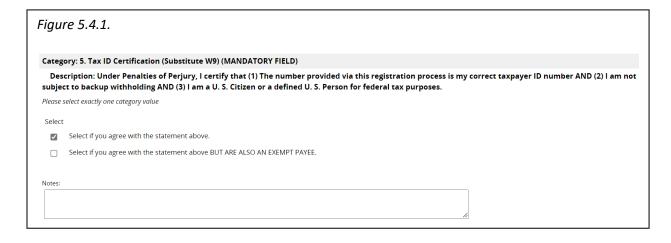
- Select the one value that indicates your choice regarding receipt of electronic payments as shown in *Figure 5.3.1*.
- If you elect to receive electronic payments, ensure that your NJSTART vendor profile contains a remit address that has been enabled for electronic funds transfer (EFT).

NOTE: To receive payment using electronic funds transfer, you must select the first option for this category and you must add an EFT-enabled remit address. Refer to the Quick Reference Guide entitled "Company Information & User Access" for instructions on how to establish an EFT-enabled remit address.



5.4. Tax ID Certification (Substitute W9) – Mandatory

• Completing this category during NJSTART registration is accepted in lieu of submitting IRS Form W-9: Request for Taxpayer Identification Number and Certification. Select the one value that applies as shown in Figure 5.4.1.







6.0 Vendor (Bidder) Categories – Forms

- The following Categories of forms may be completed during the registration process or prior to the submission of a bid (quote).
- These forms include: Ownership Disclosure; Disclosure of Investment Activities in Iran; Disclosure of Investigations and Other Actions Involving the Bidder; and Disclosure of Non-Profit Officers and Directors (if applicable).
- Please note that the term "bidder" may be used interchangeably with "vendor" in this section.

6.1. Ownership Disclosure

- Description: <u>N.J.S.A.</u> 52:25-24.2 requires disclosure of the names and addresses of those individuals, partnerships and/or corporations owning a 10% or greater interest in the bidding entity ("interest owners").
- Further, if any of these interest owners is itself a partnership or corporation, you must disclose the names and addresses of the interest owners of that partnership or corporation as shown in *Figure 6.1.1*.

Category: Ownership Disclosure Description: N.J.S.A. 52:25-24.2 requires disclosure of the names and addresses of those individuals, partnerships and/or corporations owning a 10% or greater interest in the bidding entity ("interest owners"). Further, if any of these interest owners is itself a partnership or corporation, you must disclose the names and addresses of the interest owners of that partnership or corporation. Please select at most one category value Select The bidder is a non-profit entity and is exempt from the ownership disclosure requirement. See Disclosure of Non-Profit Officers and Directors below. (No Ownership Disclosure form is required.) There are no individuals, partnerships or corporations with a 10% or greater interest in the bidding entity, therefore the bidder is exempt from the ownership disclosure requirement. (No Ownership Disclosure form is required.) Neither statement is applicable. A current, true and complete Statement of Bidder Ownership on the attached form has been or will be uploaded to the vendor profile prior to the submission deadline and is intended to accompany the bidder's submission.

- Bidders that are a non-profit entity should select Box 1 above. While non-profit
 entities are exempt from the ownership disclosure requirement, these bidders must
 complete the Disclosure of Non-Profit Officers and Directors category. (No Ownership
 Disclosure form is required.)
- Bidders that do not have any individuals, partnerships or corporations with a 10% or greater ownership interest should select Box 2 above. These bidders are exempt from the ownership disclosure requirement. (No Ownership Disclosure form is required.)
- All other bidders should select Box 3 as indicated above.
- Bidders who check Box 3 must complete an Ownership Disclosure form, which should be uploaded to their Vendor Profile prior to the quote submission deadline or must accompany the submitted quote {proposal}. A Vendor's {Bidder's} failure to submit the completed and signed form with its quote {proposal} will result in the rejection of the quote {proposal} as non-responsive. Failure to submit the form will preclude the award of a Blanket PO {Contract} to said Vendor {Bidder} unless the Division has on

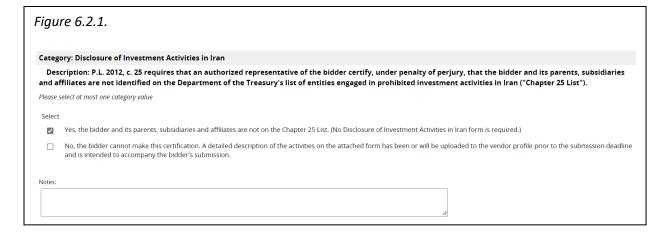




file a signed and accurate Ownership Disclosure Form dated and received no more than six (6) months prior to the quote {proposal} submission deadline for this procurement. If any ownership change has occurred within the last six (6) months, a new Ownership Disclosure Form must be completed, signed and submitted with the quote {proposal}.

6.2. Disclosure of Investment Activities in Iran

• Description: P.L. 2012, c.25 requires that an authorized representative of the bidder certify, under penalty of perjury, that the bidder and its parents, subsidiaries and affiliates are not identified on the Department of the Treasury's list of entities engaged in prohibited investment activities in Iran as shown in *Figure 6.2.1*.



- The Division is statutorily required to maintain a list of entities ("Chapter 25 List") that
 are determined, based on credible public information, to be engaged in prohibited
 activities in Iran. These prohibited activities generally relate to transactions valued
 over \$20 million in the energy and defense sectors of Iran. The Chapter 25 List is
 regularly updated and can be found here on the Division's website.
- If a bidder, or one of its parents, subsidiaries and affiliates, is not on the Chapter 25 List, then Box 1 above should be selected, and no further action is needed for this category.
- If a bidder, or one of its parents, subsidiaries or affiliates, is on the Chapter 25 List, then Box 2 above should be selected. The Disclosure of Investment Activities on Iran form must be completed and uploaded to their Vendor Profile prior to the quote submission deadline.





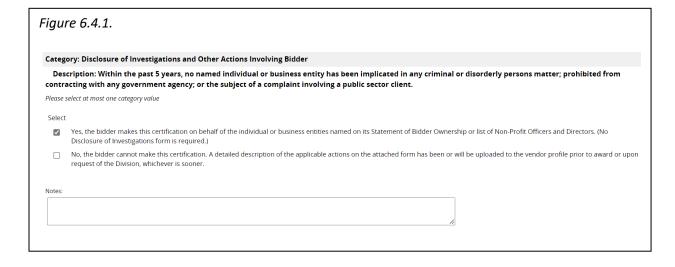
6.3. Disclosure MacBride Principles and Northern Ireland Act of 1989

• Description: Pursuant to N.J.S.A. 52:34-12.2, the Vendor will take lawful steps in good faith to conduct any business operations it has in Northern Ireland in accordance with the MacBride principles of nondiscrimination in employment as set forth in section 2 of P.L. 1987, c. 177 (N.J.S.A. 52:18A-89.5) and in conformance with the United Kingdom's Fair Employment (Northern Ireland) Act of 1989, and permit independent monitoring of its compliance with those principles. After checking the appropriate box below, no further action is needed for this category as shown in Figure 6.3.1.



6.4. Disclosure of Investigations and Other Actions Involving Bidder

Description: Bidders are required to disclose any investigation, litigation, including
administrative complaints or other administrative proceedings, involving any public
sector clients during the past five years, including the nature, status, and disposition
(if applicable) with respect to each matter. This information is needed by the Division
to conduct its due diligence on potential bidders as shown in Figure 6.4.1.



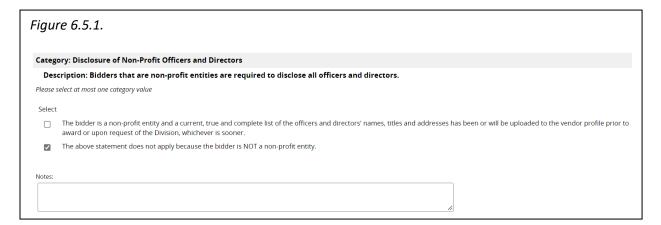




- Bidders should be able to certify that none of the individuals or business entities
 named on its Ownership Disclosure form, or Statement of Non-Profit Officers and
 Directors in the case of non-profit entities, has been implicated in any criminal or
 disorderly persons matters, prohibited from contracting with any government agency,
 or been the subject of a complaint involving a public sector client. If so, they should
 select Box 1 above. (No Disclosure of Investigations and Other Actions Involving the
 Bidder form is required.)
- Bidders unable to make such a certification should select Box 2 in this category and complete the Disclosure of Investigations and Other Actions Involving Bidder form.
 This form must be completed and uploaded to their Vendor Profile prior to the quote submission deadline.

6.5. Disclosure of Non-Profit Officers and Directors

- Description: While non-profit entities are exempt from the ownership disclosure requirement, these bidders must complete the Disclosure of Non-Profit Officers and Directors category. This list will be used in relation to Section 6.4 above for the disclosure of investigations and other actions.
- Box 1 below should only be selected by those bidders that selected the first box on the Ownership Disclosure category referenced in Section 4.0. Those bidders selecting Box 1 must upload a list of all officers' and directors' names, titles, and addresses to their Vendor Profile, either before contract award or upon the Division's request, whichever is sooner. Instructions to upload files, may be found in the Quick Reference Guide "Attaching Forms & Files."
- All other bidders should select Box 2 for this category as shown in *Figure 6.5.1*.



6.6. Business Information

• Description: Bidders that self-identify as a small business can check the box(s) that represents their business.

NOTE: This category does not supersede any Small Business Certifications. This category is for informational purposes only.





7.0 Vendor Certifications

• Vendor Certifications capture specific information that will be populated by other New Jersey systems of record for each Vendor. Vendor Certifications include those shown in *Figure 7.0.1*.



7.1. Business Registration

This Certification refers to the documentation that your business is a registered, legal
entity with the State of New Jersey. Vendors must register with the Division of
Revenue and Enterprise Services for tax purposes. An active Business Registration
Certificate is required prior to issuance of any Purchase Orders from the State as
shown in Figure 7.1.1.







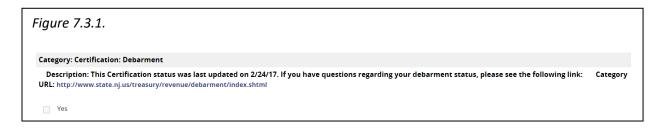
7.2. Chapter 51/EO 117 Compliance

- This Certification, as shown in Figure 7.2.1., refers to compliance with P.L. 2005, Chapter 51, N.J.S.A. 19:44A-20.13 through -20.25 ("Chapter 51") and Executive Order No. 117 (2008). Vendors must complete the necessary form to certify that no contributions prohibited by Chapter 51 or Executive Order No. 117 have been made during the preceding period.
- The State will not enter into contracts or agency direct purchase orders (DPAs)
 exceeding \$17,500 with vendors that make non-compliant political contributions.
 Once approved by the State, the forms remain valid for two years. Any change in the
 vendor's ownership status and/or political contributions during the two-year period
 will require the submission of new Chapter 51 forms.



7.3. Debarment

This Certification, as shown in Figure 7.3.1., refers to the exclusion from contracting
on the basis of a lack of responsibility evidenced by an offense, failure, or inadequacy
of performance, for a period of time commensurate with the seriousness of the
offense, failure, or inadequacy of performance. This Certification is maintained by the
Department of the Treasury.

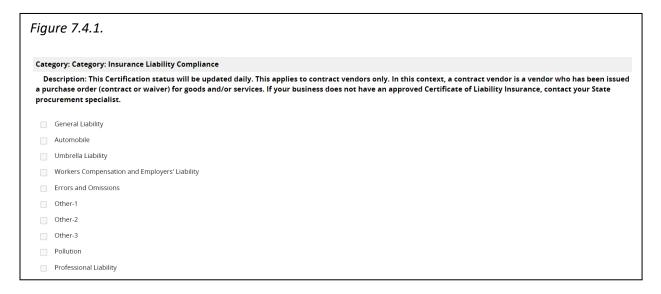






7.4. Insurance Liability Compliance

• This Certification refers to the approval of insurance liability requirements pursuant to State specifications for goods and/or services. This Certification is reviewed and approved by the Division of Purchase and Property as shown in *Figure 7.4.1*.



7.5. AA/EEOC Compliance

• This Certification refers to vendor compliance with the Affirmative Action program as shown in *Figure 7.5.1*.







7.6. Small Business Category

• This Certification refers to the gross revenue of a vendor. This Certification is reviewed and approved by the Department of the Treasury as shown in *Figure 7.6.1*.

| Figure 7.6.1. | | | | | |
|--|--|--|--|--|--|
| Category: Certification: Small Business Category | | | | | |
| scription: This Certification status is updated daily. If you have questions regarding Small Business eligibility, please see the following link: Category URL: | | | | | |
| Category 1 - for Goods & Services businesses whose gross revenues do not exceed \$500,000. | | | | | |
| Category 2 - for Goods & Services businesses whose gross revenues do not exceed \$5,000,000. | | | | | |
| Category 3 - for Goods & Services businesses whose gross revenues do not exceed \$12,000,000 or the applicable federal revenue standards established at 13 CFR 121.201, whichever is higher. | | | | | |
| Category 4 - for Construction businesses whose gross revenues do not exceed \$3,000,000. | | | | | |
| Category 5 - for Construction businesses whose gross revenues do not exceed 50% of the applicable federal revenue standards established at 13 CFR 121.201. | | | | | |
| Category 6 - for Construction businesses whose gross revenues do not exceed the applicable federal revenue standards established at 13 CFR 121.201. | | | | | |
| Category A - for Goods & Services businesses fitting in Category 1 and 4, respectively. | | | | | |
| Category B - for Goods & Services businesses fitting in Category 2 and 4, respectively. | | | | | |
| Category C - for Goods & Services businesses fitting in Category 2 and 5, respectively. | | | | | |
| Category D - for Goods & Services businesses fitting in Category 3 and 5, respectively. | | | | | |
| Category E - for Goods & Services businesses fitting in Category 3 and 6, respectively. | | | | | |
| | | | | | |

7.7. Small Business Enterprise

This Certification, as shown in Figure 7.7.1., refers to the approval that a vendor meets
the requirements for small business based on gross revenue and employee size of a
vendor. This Certification is reviewed and approved by the Department of the
Treasury.

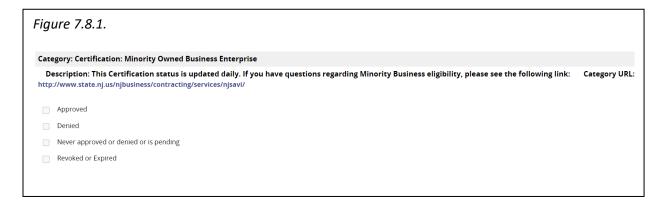






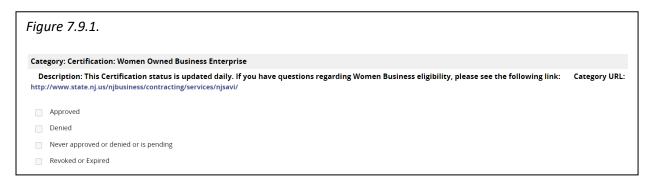
7.8. Minority-Owned Business Enterprise

• This Certification, as shown in Figure 7.8.1., refers to the approval that a vendor meets the requirements for a Minority-Owned Business Enterprise (MBE) in which at least 51 percent of the ownership interest is held by a minority or minorities, the management and daily business operations are controlled by one or more of the minorities who own it, or if stock is issued, at least 51 percent of the stock is owned by one or more minorities. This Certification is reviewed and approved by the Department of the Treasury.



7.9. Women-Owned Business Enterprise

• This Certification, as shown in Figure 7.9.1., refers to the approval that a vendor meets the requirements for a Women-Owned Business Enterprise (WBE) in which at least 51 percent of the ownership interest is held by a woman or women, the management and daily business operations are controlled by one or more of the women who own it, or if stock is issued, at least 51 percent of the stock is owned by one or more women. This Certification is reviewed and approved by the Department of the Treasury.

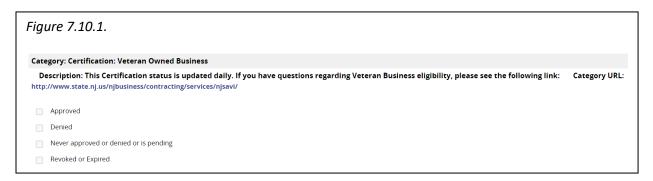






7.10. Veteran-Owned Business

 This Certification, as shown in Figure 7.10.1., refers to the approval that a vendor meets the requirements for a Veteran-Owned Business (VOB) in which at least 51 percent of the business is owned and operated by a person or persons who are qualified veterans. This Certification is reviewed and approved by the Department of the Treasury.



7.11. Disabled Veteran-Owned Business

 This Certification, as shown in Figure 7.10.1., refers to the approval that a vendor meets the requirements for a Disabled VeteranOwned Business (DVOB) in which at least 51 percent of the business is owned and operated by a person or persons who are qualified disabled veterans. This Certification is reviewed and approved by the Department of the Treasury.

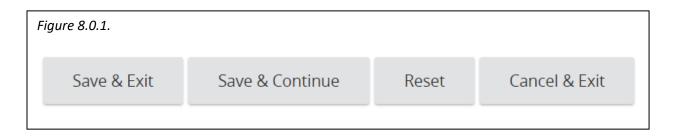






8.0 Save Your Changes and Exit

- Remember, before you can save your changes you must complete the required fields (marked with an asterisk *). Once you have completed the Categories and Certifications, "Save & Continue" will leave you on the same page; "Save & Exit" will take you back to the previous screen.
- Click Exit to return to the Maintain Organization Information screen as shown in Figure 8.0.1.







If you have questions or need assistance, contact a New Jersey State Vendor Administrator at (609) 341-3500 or email njstart@treas.nj.gov as shown in *Figure 8.0.2*.

