## DIVISION OF LOCAL GOVERNMENT SERVICES APPLICATION FORM FOR APPROVAL TO APPOINT/RE-APPOINT A PRIVATE ENTITY TO SERVE AS MUNICIPAL CHIEF FINANCE OFFICER PURSUANT TO N.J.A.C. 5:32-2.5

Please submit this application to the Division of Local Government Services, c/o Certification Unit, 101 South Broad Street, P.O. Box 803, Trenton, NJ 08625-0803 or via email at <a href="mailto:dlgs@dca.nj.gov">dlgs@dca.nj.gov</a> with the subject heading "Approval for Private Entity as Temporary CFO". Should your responses to any of the questions below require additional space, please include attached sheets as necessary and label which number the response corresponds with. Pursuant to <a href="M.J.A.C.">N.J.A.C.</a> 5:32-2.5, the Director may request additional information before making a determination on the application. If necessary, your signatory will be contacted directly.

| Applic  | eation is for:  | Appointment                       | Re-Appointment   |                                       |
|---------|---|-----------------------------------|--|---------------------------------------|
| 1.      | Please provide a detailed description of good faith efforts made to directly hire a individual holding a municipal finance officer certificate or enter into a shared service agreement with another municipality for chief financial officer services. For direct hirin the municipality shall provide an explanation of the hiring and interview process: |                                   |  | a shared services. For direct hiring, |
|         |   |                                   |  |                                       |
|         |   |                                   |  |                                       |
|         |   |                                   |  |                                       |
| *Provid | e as an attachment th   | e salary range for the position a | and a list of all candidates who sub-                      | mitted resumes.                       |
| 2.      | Please provide the  | he name of the private en         | tity selected by the municipal of any percentage interest: |                                       |
|         |   |                                   |  |                                       |
|         |   |                                   |  |                                       |

| 3. | Please provide the address for the New Jersey office for the private entity's business:  |
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|    |  |
| 4. | Please provide the name and license number of each certified municipal finance officer on the private entity's staff, all licenses and certifications held by same, a description of each individual's experience in municipal finance, and any details regarding disciplinary action to which the individual may have been subject, whether by the Division of Local Government Services or any other administrative or licensing body: |
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|    |  |
| 5. | Have any staff members who will be fulfilling duties of the chief financial officer undergone recent background checks and judgement searches? Do any such staff members have criminal records, or any pending criminal or civil matters? If yes, please explain.  |
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| 6. | Please provide the names of all other municipalities, if any, for which the private entity has contracted to temporarily perform the duties of a chief municipal finance officer:  |
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|    |  |

| Do private entity staff have any known or potential conflicts of interest under the Local Government Ethics Law? If yes, please explain.  |  |  |  |
|---|--|--|--|
| Please name the individual(s) who will be tasked with supervision of any work performed by the private entity on behalf of the municipality (this individual must be currently licensed by the Division of Local Government Services as a municipal finance officer): |  |  |  |
| nunicipalities seeking approval to re-appoint a private entity to a second consecutive one-<br>term, please provide an explanation of the following, if appropriate:  |  |  |  |
| Any repeat comments on the most recent Annual Audit, attributable to the office of chief financial officer:   |  |  |  |
|   |  |  |  |
| Complaints against the office of chief financial officer from a member of the public or other persons that are of a serious nature, such as those involving potential, ongoing, or prior litigation:  |  |  |  |
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| I, the undersigned, certify on behalf of the governing body or chief executive officer of    |                                       |  |  |  |
|--|---------------------------------------|--|--|--|
| (name of municipality)   | that the information contained in     |  |  |  |
| this document is, to the best of my knowledge, true and accur                                | rate and that if this application is  |  |  |  |
| approved, the contract between the municipality and the priva                                | ate entity will meet the requirements |  |  |  |
| of N.J.A.C. 5:35-2.5(b)(6) and that the private entity will make best efforts to comply with |                                       |  |  |  |
| N.J.A.C. 5:35-2.5(b)(9) as it pertains to a cybersecurity best practices framework.          |                                       |  |  |  |
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|  |                                       |  |  |  |
|  |                                       |  |  |  |
| Signature  | Date                                  |  |  |  |
|  |                                       |  |  |  |
| Print Name   | Title                                 |  |  |  |