



Recipient Information

1. Recipient Name

EXECUTIVE OFFICE OF THE STATE OF NEW
JERSEY
50 E STATE ST

TRENTON, NJ 08625

2. Congressional District of Recipient

12

3. Payment System Identifier (ID)

1216000928N3

4. Employer Identification Number (EIN)

216000928

5. Data Universal Numbering System (DUNS)

784995503

6. Recipient's Unique Entity Identifier

7. Project Director or Principal Investigator

STACY REH

Stacy.Reh@dcf.nj.gov
609-888-7200

8. Authorized Official

Catherine Schafer
catherine.schafer@dcf.nj.gov
609 888 7730

Federal Agency Information

9. Awarding Agency Contact Information

Troy Valladares

Center for Mental Health Services
TROY.VALLADARES@SAMHSA.HHS.GOV
240-276-1967

10. Program Official Contact Information

Emily Lichvar

Center for Mental Health Services
Emily.Lichvar@samhsa.hhs.gov
(240) 276-1859

Federal Award Information

11. Award Number

5H79SM082200-03

12. Unique Federal Award Identification Number (FAIN)

H79SM082200

13. Statutory Authority

Sect.561-565 (PHS Act) & Sect.10001 (21st Cent.Cures Act)

14. Federal Award Project Title

Promising Path to Success 2.0

15. Assistance Listing Number

93.104

16. Assistance Listing Program Title

Comprehensive Community Mental Health Services for Children with Serious
Emotional Disturbances (SED)

17. Award Action Type

Non-Competing Continuation

18. Is the Award R&D?

No

Summary Federal Award Financial Information

19. Budget Period Start Date 09/30/2021 – End Date 09/29/2022

20. Total Amount of Federal Funds Obligated by this Action	\$3,000,000
20a. Direct Cost Amount	\$3,000,000
20b. Indirect Cost Amount	\$0
21. Authorized Carryover	\$0
22. Offset	\$0
23. Total Amount of Federal Funds Obligated this budget period	\$3,000,000
24. Total Approved Cost Sharing or Matching, where applicable	\$1,038,587
25. Total Federal and Non-Federal Approved this Budget Period	\$4,038,587

26. Project Period Start Date 09/30/2019 – End Date 09/29/2023

27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$12,234,411
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28. Authorized Treatment of Program Income

Additional Costs

29. Grants Management Officer - Signature

Eileen Bermudez

30. Remarks

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



SOC Implementation
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

Notice of Award

Issue Date: 07/22/2021

Award Number: 5H79SM082200-03

FAIN: H79SM082200

Program Director: STACY REH

Project Title: Promising Path to Success 2.0

Organization Name: EXECUTIVE OFFICE OF THE STATE OF NEW JERSEY

Authorized Official: Catherine Schafer

Authorized Official e-mail address: catherine.schafer@dcf.nj.gov

Budget Period: 09/30/2021 – 09/29/2022

Project Period: 09/30/2019 – 09/29/2023

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$3,000,000 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to EXECUTIVE OFFICE OF THE STATE OF NEW JERSEY in support of the above referenced project. This award is pursuant to the authority of Sect.561-565 (PHS Act) & Sect.10001 (21st Cent.Cures Act) and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Eileen Bermudez
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 5H79SM082200-03**Award Calculation (U.S. Dollars)**

Personnel(non-research)	\$246,000
Fringe Benefits	\$130,995
Travel	\$3,680
Contractual	\$2,616,925
Other	\$2,400
 Direct Cost	 \$3,000,000
Approved Budget	\$4,038,587
Federal Share	\$3,000,000
Non-Federal Share	\$1,038,587
Cumulative Prior Awards for this Budget Period	\$0
 AMOUNT OF THIS ACTION (FEDERAL SHARE)	 \$3,000,000

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
3	\$3,000,000
4	\$3,000,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.104
EIN: 1216000928N3
Document Number: 19SM82200A
Fiscal Year: 2021

IC	CAN	Amount
SM	C96J546	\$3,000,000

IC	CAN	2021	2022
SM	C96J546	\$3,000,000	\$3,000,000

SM Administrative Data:

PCC: CMHI-19 / OC: 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 5H79SM082200-03

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 5H79SM082200-03

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM SPECIAL TERMS AND CONDITIONS – 5H79SM082200-03

REMARKS

Continuation Award

1. This Notice of Award (NoA) is issued to inform your organization that the application submitted for the ***SM-19-009: Grants for Expansion and Sustainability of the Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (System of Care (SOC) Expansion and Sustainability Grants) - CMHI-19*** program is being continued.

This award reflects approval of the revised budget submitted via Request for Additional Materials (RAM) on July 14, 2021 by your Organization, in response to the continuation application request.

Please note the following:

1. **Detailed Breakdowns:** Detailed breakdowns were not provided for how the ***Rutgers Departmental Allocation (18%)- \$274,576*** in cost was arrived at to enable a determination of whether the amounts are reasonable and allowable to achieve the goals and objectives of the program. If this figure represents an 18% allocation for “indirect costs” or “facilities and administrative costs,” then Rutgers-

UBHC Contractual Total Direct Costs of \$1,335,424 @ 18% should be \$240,376 instead of \$274,576, a difference of \$34,200. Note also that costs such as Office Space/Security (\$36,470) which is reflected separately as direct costs are generally included in “indirect costs” or “facilities and administrative costs.”

If these costs are charged to the grant, ensure that the costs are reasonable per [45 CFR § 75.403](#) allowable per [45 CFR § 75.404](#), the [HHS Grants Policy Statement](#), and the [SM-19-009](#).

2. FUNDING LIMITATIONS/RESTRICTIONS- Ensure that you adhere to the following funding limitations/restrictions specified in [SM-19-009](#):

- a. No more than 20 percent of the total grant award may be used for data collection, performance measurement, and performance assessment expenses.
- b. No more than 30 percent of funds may be used for infrastructure.

Recipients should maintain adequate documentation of which expenses are connected to the funding limitations/restrictions and the percent of the total grant award that will be used in each area where there is a limitation.

2. Key Staff

Key staff (or key staff positions, if staff has not been selected) are listed below:

Stacy A. Reh, Project Director @ 100% level of effort

Paulette Mary Mader, Lead Family Coordinator @ 100% level of effort

Key Staff and other grant-supported staff may not exceed 100% level of effort across all federal and non-federal funding sources.

Any changes to key staff including level of effort involving separation from the project for more than three months or a 25 percent reduction in time dedicated to the project requires prior approval and must be submitted as a post-award amendment in eRA Commons.

The proposed PD must be registered in eRA Commons and the Commons ID of the proposed PD must be stated on Section 4 Applicant Identifier of the SF-424. In addition, the PD info must be entered in Section 8f of the SF-424. Please see [SAMHSA PD Account Creation Instructions \(PDF | 687 KB\)](#) for a quick step-by-step guide and [SAMHSA Grantee PD Account Creation Slides \(PDF | 987 KB\)](#) for additional information on the eRA Commons registration process for the PD.

3. All Post-Award Amendments must be submitted in eRA Commons for prior approval. Please refer to the SAMHSA website for specific SAMHSA guidance on how to submit a post award amendment in eRA Commons: <https://www.samhsa.gov/grants/grantsmanagement/post-award-changes>. Prior approval is required for but is not limited to: change in key personnel and level of effort, budget revision, change in scope, formal carryover request, and no cost extension. Reference the full prior approval term on the SAMHSA website under Standard Terms and Conditions at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standardterms-conditions>. Technical questions regarding the submission of a post-award amendment in eRA Commons should be directed to the eRA Service Desk: <http://grants.nih.gov/support/>.

4. All responses to award terms and conditions must be submitted as .pdf documents in eRA Commons. For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading Grant Management Reference Materials for Grantees.

5. Recipients are expected to plan their work and ensure that funds are expended within the 12-month budget period reflected on this Notice of Award. If activities proposed in the approved budget cannot be completed within the current budget period, SAMHSA cannot guarantee the approval of any request for carryover of remaining unobligated funding.

STANDARD TERMS AND CONDITIONS

Annual Federal Financial Report (FFR or SF-425)

All financial reporting for recipients of Health and Human Services (HHS) grants and cooperative agreements will be consolidated through a single point of entry, which has been identified as the Payment Management System (PMS). The Federal Financial Report (FFR or SF-425) initiative ensures all financial data is reported consistently through one source; shares reconciled financial data to the HHS grants management systems; assists with the timely financial monitoring and grant closeout; and reduces expired award payments.

The FFR is required on an annual basis no later than 90 days after the end of each Budget Period. The FFR should reflect cumulative amounts. Additional guidance to complete the FFR can be found at <http://www.samhsa.gov/grants/grants-management/reporting-requirements>.

SAMHSA reserves the right to request more frequent submissions of FFRs. If so, the additional submission dates will be shown below.

Your organization is required to submit an FFR for this grant funding:

- **By December 30, 2022**, submit the Federal Financial Report (FFR)/(SF-425).

Effective January 1, 2021, recipients can connect seamlessly from the **eRA Commons FFR Module** to **PMS** by clicking the “**Manage FFR**” button on the “**Search for Federal Financial Report (FFR)**” page.

- Recipients who do not have access to PMS may use the following instructions on how to update user permission: <https://pms.psc.gov/grant-recipients/access-newuser.html>.
- Recipients who currently have access to PMS and are submitting or certifying the FFR on behalf of their organization, should login to PMS and update their permissions to request access to the FFR Module using the following instructions: <https://pms.psc.gov/grant-recipients/access-changes.html>.
 - Instructions on how to submit a FFR via PMS are available at <https://pmsapp.psc.gov/pms/app/help/ffr/ffr-grantee-instructions.html> (**Must be logged into PMS to access link**)

If you have questions about how to set up a PMS account for your organization, please contact the PMS Help Desk at PMSSupport@psc.hhs.gov or 1-877-614-5533.

Note: Recipients will use PMS to report all financial expenditures, as well as to drawdown funds; SAMHSA recipients will continue to use the eRA Commons for all other grant-related matters including submitting progress reports, requesting post-award amendments, and accessing grant documents such as the Notice of Award.

Annual Programmatic Progress Report

By **December 30, 2022**, submit via eRA Commons.

The Programmatic Report is required on an annual basis and must be submitted as a .pdf to the View Terms Tracking Details page in the eRA Commons System no later than 90 days after the end of each 12-month budget period.

The Annual Programmatic Report must, at a minimum, include the following information:

- Data and progress for performance measures as reflected in your application regarding goals and evaluation activities.
- A summary of key program accomplishments to-date.
- Description of the changes, if any, that were made to the project that differ from the application for this incremental period.
- Description of any difficulties and/or problems encountered in achieving planned goals and objectives including barriers to accomplishing program objectives, and actions to overcome barriers or difficulties.

Note: Recipients must also comply with the GPRA requirements that include the collection and periodic reporting of performance data as specified in the FOA or by the Grant Program Official (GPO). This information is needed in order to comply with PL 102-62, which requires that Substance Abuse and Mental Health Services Administration (SAMHSA) report evaluation data to ensure the effectiveness and efficiency of its programs.

The response to this term must be submitted as .pdf documents in eRA Commons. Please contact your Government Program Official (GPO) for program specific submission information.

For more information on how to respond to tracked terms and conditions please refer to <https://www.samhsa.gov/grants/grants-training-materials> under heading **How to Respond to Terms and Conditions**.

Additional information on reporting requirements is available at <https://www.samhsa.gov/grants/grants-management/reporting-requirements>.

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on Page 3 of your Notice of Award. SAMHSA's Terms and Conditions Webpage is located at: <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Consistent Treatment of Costs

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. *Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.).* If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA's understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of [45 CFR 75.364](#), [45 CFR 75.371](#), [45 CFR 75.386](#) and [45 CFR Part 75, Subpart F](#), *Audit Requirements*.

Compliance with Award Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION

OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Emily Lichvar, Program Official

Phone: (240) 276-1859 **Email:** Emily.Lichvar@samhsa.hhs.gov

Troy Valladares, Grants Specialist

Phone: 240-276-1967 **Email:** TROY.VALLADARES@SAMHSA.HHS.GOV