

# NEW JERSEY HISTORIC PRESERVATION FUND

**Certified Local Government**

**Grant-In-Aid**

**Instructions & Application**

**FFY 2018**

APPLICATION SUBMISSION DEADLINE IS **JANUARY 19, 2018**

NO MATCH REQUIRED!

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LOUISIANA

OKLAHOMA

WASHINGTON

MICHIGAN

OREGON

MINNESOTA

PENNSYLVANIA

## PREFACE

Please read this entire manual carefully before completing the grant application. Submission of an application for a grant constitutes a declaration that the applicant is familiar with the terms of the grant program and the procedures set forth in this handbook

This manual contains the most recent requirements and policies as set forth by the National Park Service, Department of the Interior, the federal grantor agency. It is complete as of the date of printing, but because requirements and policies change, the Historic Preservation Office (HPO) does not accept responsibility for any such changes which are not incorporated into this handbook. The HPO will notify grant applicants and sub-grantees of any changes which may affect their project.

## INTRODUCTION

In 1966, Congress passed the National Historic Preservation Act, giving preservation a national priority and establishing programs to encourage the preservation of historic properties. One of these programs was the establishment of State Historic Preservation Offices, administered by gubernatorially appointed State Historic Preservation Officers and funded by the Department of the Interior through the National Park Service. To provide a regular source of revenue, the law established the Historic Preservation Fund in the U.S. Treasury with proceeds derived from the federal leasing of offshore oil drilling sites, not tax dollars. These funds are distributed to State Historic Preservation Offices on an annual basis as matching grants. In New Jersey, the State Historic Preservation Office is the Historic Preservation Office, Natural and Historic Resources Group, Department of Environmental Protection (NJDEP).

The success of the federal-state relationship prompted Congress to expand the partnership to include local governments in 1980. If local governments meet certain requirements, then they can be certified to participate in this partnership. One of the chief benefits of this partnership to local governments is access to grant funding. Each federal fiscal year, New Jersey sets aside ten percent of the state's allocation of federal historic preservation funds for pass-through as sub-grants to Certified Local Governments (CLG). The total amount of available funding varies each year with the federal allocation. Should the total annual National Park Service appropriations to the states exceed \$65 million in any one year, then one-half of each state's share of the excess revenues shall also be transferred to Certified Local Governments according to procedures approved by the Secretary of the Interior. These funds are awarded on the basis of competition among eligible applications, then are evaluated and ranked according to the established selection criteria. The intent is to use federal assistance to augment rather than replace existing local commitment to historic preservation activities.

To become a CLG, a local government must have enacted a preservation ordinance that establishes a Historic Preservation Commission with authority to designate local historic properties and review proposed changes to those properties. The requirements for certification are outlined in the document "New Jersey's Certified Local Government Guidelines," available from the Historic Preservation Office (HPO) or online at [http://www.state.nj.us/dep/hpo/3preserve/clgguides8\\_07.pdf](http://www.state.nj.us/dep/hpo/3preserve/clgguides8_07.pdf). Applications for certification are accepted on a continuous basis; only those governments which have achieved certified status are eligible to apply for funding under this program.

The benefits to local governments from participating in the CLG program are numerous. While CLG grants generally represent a relatively small amount of funds, they have often been used as seed money to attract funding from local government or other sources. Also, in many cases, the products generated by CLG grants have provided credibility to a fledgling local historic preservation program. Beyond being just a source of funds, the CLG program has helped institutionalize historic preservation and give it legitimacy as a function of local government. Since the local government staff working in the program are often in the local

planning office, the CLG program has helped forge critical connections between historic preservation and land use planning. Similarly, the CLG program has led to increased cooperation between local preservationists and the Historic Preservation Office and resulted in a strengthened statewide preservation network.

## PURPOSE

The purpose of this manual is to assist Certified Local Governments (CLGs) in preparing applications to receive federal Historic Preservation Funds. To receive those funds, it is necessary to comply with required federal, state, and local regulations. This manual has been written with the intent to clarify those regulations and to make both the application process and the expenditure of those funds efficient and easy. It is important to the New Jersey Historic Preservation Office that this program meets the needs of the local governments.

## ELIGIBLE APPLICANTS

To qualify for a CLG grant, a municipality must meet three criteria:

1. **CLG Status:** The municipality must have applied for and received Certified Local Government status from the National Park Service, Department of the Interior. Only municipal governments that have been certified by the grant application deadline are eligible to compete for funds. The requirements and process for certification are outlined in *New Jersey's Certified Local Government Guidelines* which is available on-line at [http://www.state.nj.us/dep/hpo/3preserve/clgguides8\\_07.pdf](http://www.state.nj.us/dep/hpo/3preserve/clgguides8_07.pdf).
2. **Annual Report Submission:** The participating municipality must be current in their submission of Annual Reports. This means that the annual report for the preceding calendar year has been submitted in accordance with Appendix C of *New Jersey's Certified Local Government Guidelines*. Annual reports must be submitted by March 31<sup>st</sup> for the preceding calendar year. However, if a community has an unfulfilled reporting requirement, the report may be filed as an attachment to the application. This requirement does not apply to communities that attained certification within the past calendar year.
3. **Regulatory Compliance:** The municipality must agree to comply with all applicable state and federal regulations.

Two or more CLGs may collaboratively propose a project that benefits more than one CLG community; for example, to share the services of a preservation professional who could serve as a circuit rider. This enables the participating CLGs to leverage limited financial and staff resources. However, one CLG must be designated as the lead agency for the purposes of the grant. Also, only one application may be submitted per municipality.

CLGs can apply on behalf of non-profit organizations. The Chief Elected Official and Chief Financial Officer (CFO) of the CLG must both sign the grant application. The CLG must accept the grant and accept administrative responsibility for the grant.

One original copy of the application form must be submitted. In order to be deemed technically complete, all blanks on the application form must be filled in.

#### TIMEFRAME

The grant period for Historic Preservation Fund grants runs on the federal fiscal year from October 1 to September 30. For example, Federal Fiscal Year 2018 begins on October 1, 2017.

- Applications will be available **Tuesday, September 26, 2017**.
- The Application Submission Deadline is **Friday, January 19, 2018**.
- Awards will be made by **Monday, April 30, 2018**.
- All project-related work must be completed by **September 30, 2019**.

All projects are expected to be started and underway within 30 days of the execution of the grant agreement.

Plan on a project being achievable within a six month period of time.

#### FUNDING

Funding depends upon the amount of money made available to the State, the number and quality of requests, and how well the project ranks when judged on the criteria.

Certified Local Government Grants are reimbursable grants. Project work may only begin after the grant is awarded and a grant agreement between the grant recipient and the State of New Jersey has been signed and executed. The recipient pays for all costs up-front, and grant funds are reimbursed for 100 percent of the total costs, up to the grant amount. This means that the municipality may have to carry the cost of the grant for a brief period between the time it pays the grant project bills and the time that it is reimbursed by the State.

Multi-year projects require applying for separate grants in successive years and performing the work in phases. Applicants requesting funding for segments of a long-term project are not guaranteed funding for future phases. Each year's grant application will be judged on its merits in competition with other qualified, competing applications.

The Department reserves the right to award grants totaling less than requested in the submitted proposal. For example, a grant application requesting funds for the survey of 300 properties may be awarded for the monetary equivalent of 200 properties.

#### LIMITATION OF LIABILITY

In no event will the Department be responsible for proposal preparation costs if this program is cancelled, or if proposals are not awarded a grant. Publication of this announcement does not obligate the Department to award a grant to or fund any specific project.

#### HOW MUCH MONEY IS AVAILABLE?

The Historic Preservation Office (HPO) is required by federal law to pass through at least 10% of its annual Federal Historic Preservation Fund (HPF) allocation to Certified Local Governments for Historic Preservation Fund-eligible activities. Therefore, the exact amount of money available is contingent on the amount HPO receives from the Federal Government. It is anticipated that the amount of HPF funding available for subgrants in 2018 will be between \$60,000 and \$90,000.

Minimum grant awards will be \$9,000.00 for 2018. Maximum grant awards will be \$24,999.00 for 2018.

It is strongly recommended that you contact several consultants about your project to help you determine costs accurately before finalizing the budget information submitted as part of your application.

#### PROJECT STANDARDS

All Certified Local Government grants must result in a completed, tangible product or measurable result and all must be carried out in accordance with the applicable Secretary of the Interior's Standards for Archaeology and Historic Preservation. A copy of these standards is available online at <http://www.nps.gov/tps/standards.htm>.

Grantees selected for funding shall be required to enter into a Grant Agreement with the Department (Form DEP-69G) and comply with all applicable federal, state, and local laws, rules, regulations, and policies set forth therein. A copy of the Department's Standard Grant Agreement will be provided upon request.

## EXAMPLES OF ELIGIBLE PROJECTS

The following are suggestions for allowable projects using Historic Preservation Funds. They cover the areas of survey, registration, planning and education. This is not a complete list of possible projects. It is presented to give some idea of the range of activities that can be covered and shows typical products that would result. If your community has an idea that is not mentioned here, please call the Certified Local Government Program Coordinator (see glossary) to discuss whether the project can be funded with HPF funds.

### Surveys

Surveys provide information needed to make informed planning decisions, prioritize preservation goals and objectives, develop and implement land use policies, develop heritage tourism initiatives, educate the public and increase the understanding of and appreciation for the built environment as a tangible reminder of the community's history. Surveys also aid in the identification of resources worthy of designation at the local, state, and/or federal levels.

- Surveys of resources not yet evaluated or whose documentation does not meet current standards.
- Surveys may be of a geographic area such as the commercial core of a specific neighborhood.
- Surveys may also be based on a theme such as Art Deco houses, buildings designed by a particular architect, resources of the Recent Past, or buildings associated with a particular historical context (e.g. Civil Rights).
- Identification efforts must yield sufficient information to make decisions. Therefore, reconnaissance-level surveys will not be funded. All surveys must be prepared in accordance the standards for intensive-level surveys as enumerated in the *Guidelines for Architectural Survey* which can be found online at <http://www.nj.gov/dep/hpo/1identify/survarcht.htm>. A sample scope is provided as Attachment D-2.
- Archaeological surveys and reports are also fundable; more guidance is available online: <http://www.nj.gov/dep/hpo/1identify/survarkeo.htm>.

### Preparation of National Register Nominations

The National Register plays a vital role in historic preservation as a planning tool; as a basis for Historic Preservation Tax Incentives and economic revitalization; and for the recognition it bestows on designated properties. Special consideration will be given to Multiple Property Submissions of statewide scope along with at least one property nomination for approval and

listing in the New Jersey and National Registers. A sample scope is provided as Attachment D-3.

### **Preparation of a historic preservation element of a municipal master plan**

An important part of any preservation element is community involvement in its preparation and development. This is an opportunity for the community to develop consensus about its historic preservation program. All master plan elements must conform to the requirements of the NJ Municipal Land Use Law, Section C. 40:55 D-28 (10). Additionally, the historic preservation element must evaluate the public benefits of municipal historic preservation and examine the contribution and protection of historic properties within the framework of community development and redevelopment. The plan must include an examination of and recommendations for strategies and actions for protecting historic properties within the municipality. Further, public participation (including but not limited to: public meetings, public review of draft documents, community forums or charettes) must be actively sought. A sample scope is provided as Attachment D-6.

### **Educational and Outreach Materials**

- Development of brochures of a public workshop that focuses on energy conservation techniques for historic buildings
- Preparation and publication of a walking tour
- Podcast driving tour of the CLG community or county
- Interpretive signage, such as entry and exit signs for historic districts, plaques, etc.
- Website development
- Developing historic preservation curriculum for elementary or high school classes

### **Historic Structure Reports and Preservation Plans**

For additional information on this type of document refer to the following publication: *Historic Structure Reports and Preservation Plans: A Preparation Guide – Second Edition* at [http://www.state.nj.us/dep/hpo/4sustain/preparehsr\\_2015\\_11\\_02.pdf](http://www.state.nj.us/dep/hpo/4sustain/preparehsr_2015_11_02.pdf). A sample scope for preservation plan projects is provided as Attachment D-5.

### **Local Preservation Planning Activities**

- Improvement of local historic preservation ordinances
- Preparation and publication of Design Guidelines (see sample scope Attachment D-4)
- Support for technical or professional administrative assistance to commissions
- Local Designation Reports

### **Training**

- For historic preservation commission members, including workshops or other educational forums such as CAMP (see sample scope Attachment D-1)
- Instruction from a consultant on comprehensive land use planning basics and the commission's role in the planning process
- Conduct a 'how-to' workshop lay members of the community on window repair, selecting appropriate historic paint colors, or researching the history of your house
- Create training materials for realtors
- Host a workshop for architects, contractors and homeowners on the application of your design guidelines
- Weatherization & Energy Efficiency for Historic Structures workshop

### **Pre-Development Projects**

Pre-development projects focused on a single National Register listed property that is owned by the municipality or is accessible to the public

- Developing plans and specifications, feasibility studies, historic structure reports, or other technical documents necessary for the restoration/rehabilitation of a New Jersey and National Register listed property
- Develop a maintenance plan for the property
- Study adaptive reuse or alternative uses for a property and make recommendations as to the most appropriate
- Preparation of façade studies or condition assessments

## **Preparing Planning and Construction Documents**

Specifically, the preparation of planning and construction documents to meet Americans With Disabilities Act standards for New Jersey and National Register listed properties.

## **Technical Assistance**

The goal of this activity is to ensure efficient and effective administration of the municipal historic preservation ordinance. Grant funds will be used to retain a qualified preservation consultant, on a part-time basis, who will provide technical and administrative assistance to the municipal historic preservation commission. The preservation consultant will be available to: give the public advice and instruction on preparing technically complete and accurate applications for a Certificate of Appropriateness; provide written recommendations on each application; advise the commission in evaluating the appropriate treatment of designated properties; and assist the commission in reviewing applications.

## **Disaster Plans for Historic Preservation**

HPF grants may also be used to produce other planning documents that may accentuate a preservation plan. Saving historic buildings may not be the top priority in a community that is dealing with rebuilding schools and maintaining basic services after a disaster. However, even during disaster recovery and debris removal local governments are required to ensure the protection of cultural resources. While government-issued state of emergency declarations can “expedite” typical federal, state, and local environmental protection law reviews and permitting processes, which allows for quicker clean up and demolition, this does not eliminate the requirement that these entities must comply with protective laws and regulations.

Section 106 of the National Historic Preservation Act requires the Federal Emergency Management Agency (FEMA), in consultation with the State Historic Preservation Office (HPO), to identify properties eligible for or listed in the National Register of Historic Places. This allows the government to adequately consider the effect of any FEMA-funded undertaking – including potential demolition of private and public property – on identified historic properties. Documenting downtowns and historic neighborhoods in anticipation of disasters through periodic field surveys is the best way to collect information. Simply having access to information after a disaster is helpful, but a field survey also can be used as the basis for local visitor-based promotional brochures or walking guides and to integrate historic resources into local planning and permitting procedures.

More often properties fall victim to small-scale disasters such as collapsing roofs and falling parapets. These require immediate action and do not always allow the time needed to conduct an assessment of the building’s historic character.

In light of these requirements and with lessons learned from disasters like the tornado in Greensburg, KS, Hurricane Katrina in New Orleans, LA, and Hurricane Sandy in New Jersey, it is important to consider how your community's cultural resources will be handled if such a disaster were to happen in your area. Things to consider and incorporate into a disaster plan:

1. Have the historic resources in your community been identified (surveyed)?
2. Have the historic resources been designated (local, state, or national) in some fashion to give them some level of protection?
3. Who is in charge of maintaining this information (HPO, local preservation commission, local museum or historical society)?
4. How can these individuals be reached in the case of a disaster?
5. How will disaster response personnel be notified about identified historic resources in the community? Will the buildings be tagged by volunteers from the preservation commission or local historical society in a way that distinguishes historic buildings from "non-historic"?
6. Are there engineers or other professionals familiar with historic building techniques and architecture available to assess the conditions of buildings immediately after a disaster?
7. Are the local code and building inspectors aware of the identified historic properties, the requirements under local, state, and federal preservation laws and are they cooperative partners in the overall preservation planning process?
8. Are there procedures in place to coordinate volunteers who may be coming from outside of your community to help them identify the historic resources locally and provide them with information about appropriate debris removal, stabilization, and mothballing procedures?
9. Does you plan include government records, archival and other historic collections?

Examples of Disaster Plans:

- <http://www.museum-security.org/disasterbib.html> - Bibliography from the National Trust for Historic Preservation
- <http://www.fema.gov/environmental-planning-and-historic-preservation-program> - FEMA and Historic Preservation
- <http://www.1000friendsofflorida.org/building-better-communities/historic-preservation/> - examples from Florida
- <http://www.mnhs.org/shpo/disaster/> - example from Minnesota

Grants may *not* be used to cover operating costs, the cost of preparing the grant applications, property acquisition, care for museum collections, the preparation of exhibits, or for bricks and mortar projects (such as: construction or rehabilitation projects, landscaping, building maintenance or the cost of moving properties).

The grant awards will be supplemented by direct and substantial technical support from Department planning staff.

#### REVIEW PROCESS / CRITERIA FOR EVALUATION

Certified Local Government Grants are awarded on a competitive basis. Only completed applications, accompanied by all necessary documentation will be considered for funding. HPO staff will review each application in accordance with the Threshold Criteria enumerated below to ensure that it is technically complete.

Threshold Criteria:

- Annual reporting as required in the CLG certification agreement is either up to date, or appended to the application.
- Application is complete, all blanks are filled in.
- Contains all necessary signatures in blue ink.
- Includes all required attachments: Governing Body Resolution in the format provided in Appendix C.
- Required number of copies submitted (one with original signatures in blue ink, plus a digital copy of the entire application package in PDF format on a CD-ROM).
- Received on time.

Incomplete applications will be returned to the applicant without further review. Complete applications will be reviewed by a grant review committee. Committee members will include HPO staff, other than the Certified Local Government Program Coordinator, and professionals practicing in preservation and planning or closely related fields. The committee will rank applications in order of their scores and will recommend awarding grants for the highest ranked applications to the HPO.

For ranking criteria, please see Attachment H, New Jersey Certified Local Government Grant Review Sheet. Please note that this year bonus points will be awarded to municipalities that are certified with Sustainable Jersey. The 2013-2019 New Jersey Comprehensive Statewide Historic Preservation Plan can be found online ([http://www.nj.gov/dep/hpo/Index\\_HomePage\\_images\\_links/hpo\\_plan%202013\\_2019/hpoplan2014.pdf](http://www.nj.gov/dep/hpo/Index_HomePage_images_links/hpo_plan%202013_2019/hpoplan2014.pdf)).

## GENERAL INSTRUCTIONS

Before completing the Certified Local Government Grant application, please read the instructions with care. Incomplete applications will be determined ineligible and returned to the applicant.

The grant application is the basis for the decision-making process in allocating grant funds. Therefore, it is important that each question be answered completely and that the proposal is presented clearly.

You may hire a consultant to prepare the CLG grant application for you. However, be advised that grant money cannot be used to reimburse the consultant for preparation of the application, nor can the CLG promise to hire that consultant to work on the project if the grant is awarded.

Mere reiteration of the ranking criteria is strongly discouraged because it fails to demonstrate the applicant's ability to successfully perform under a grant agreement, if awarded. Instead a narrative designed to demonstrate the applicant's detailed plans and proposed approach is strongly encouraged.

A well written scope of work is critical for a successful grant application. Sample scopes of work for commonly funded application types are included as Attachment D of this manual. Scopes should be designed to demonstrate the applicant's detailed plans and proposed approach to performing tasks are realistic, attainable, and appropriate and will lead to a successful project.

Your application should clearly state the major steps you will take to complete the project including key timetables. Develop and include a work schedule. Reviewers are looking to see you have a reasonable blueprint prepared. *Assume that grant contracts will be executed no sooner than **January 1, 2018**.* Work schedules become an attachment to the grant contract.

Please note that some project types have specific application requirements as follows:

- If updating, redesigning or rewriting materials (such as Design Guidelines or Local Ordinance), include a copy of the previous version.
- Applications for National Register nominations must include:
  1. Letters from the governing body, and the property owner if different, consenting to the preparation of the nomination; and
  2. A Certification of Eligibility (COE) or HPO Opinion of Eligibility letter for the property to be nominated which is no more than 10 years old. In anticipation of this requirement, applicants may wish to seek a COE or an updated opinion of eligibility in advance of completing this grant application.

- Applications for cultural resource surveys must include a recommendation report that identifies, by block and lot, the historic resources to be surveyed at the intensive-level and indicating whether they are to be surveyed individually or as part of a potential historic district(s). The recommendation report shall discuss the method and justification for the selected resources. At minimum factors for consideration shall include protection from development and established historical or architectural significance. Resources may include buildings, structures, bridges, and objects. The recommendation report shall also include a detailed work schedule and summary that specifies dates for completion.

When listing the legislative district(s) on the Application Form, please include only the district(s) where grant-funded work will take place. Additional districts, within which other portions of the municipality may be located, need not be listed.

### PRE-APPLICATIONS

Municipalities wishing guidance in developing subgrant applications may prepare a preliminary application and contact the HPO to schedule a grant workshop. The pre-application should be as detailed as possible and should conform to the standard application format. At the workshop, the HPO staff will provide applicants with technical assistance tailored to their particular project(s).

### DEVELOPING A PROJECT BUDGET

Provide a simple line-item budget based on the most accurate costs available to date. Line items are individual expense items designated for a specific purchase or service. Itemize by major work items the estimated total cost of the work. The budget should include all expenditures for the proposed project.

Budget amounts must be realistic and based upon fair and open competition among suppliers of professional services, materials, or equipment. The DHP strongly recommends that applicants obtain estimates of **major** cost items to use as a basis for preparing proposed budgets and include the document as an attachment (applications with written estimates will score higher). If you are awarded a subgrant, the purchase of items in this budget must be based upon the federal procurement standards, which provide for maximum open and free competition, regardless of the dollar value.

Subgrantees will have an opportunity to revise budget figures before signing a grant contract. It is very important that you ask for enough funding to cover project costs. It is better to ask for a slightly larger grant than a reduced one.

Provide lots of detail in your budget explanation: Who is doing what? How much time devoted to each task? How does this translate into costs (salaries, fringe, etc.)? What are hourly rates? What equipment or supplies are needed?

All costs for your project must conform to federal guidelines. Detailed information is provided in the Office of Management and Budget Circulars A-87 and A-122 and in the National Park Service, Historic Preservation Fund Grants Manual, 2007.

There will be a period of time when the CLG will have to cover the cost of the grant. That time is the period between submission of final products and Request for Reimbursement and the CLG's receipt of a reimbursement check from the State. Be sure that your mayor and Chief Financial Officer (CFO) understand this.

All costs must represent expenditures that are necessary to the accomplishment of the grant objectives and are outlined in the budget. Make sure that each cost in your budget represents an expense that is needed to support the proposed grant activity.

If you will be using a consultant for the proposed project, you may find it helpful to informally contact several to get an idea of whether the proposed budget will be adequate to complete the project or what you can realistically expect to accomplish within your budget. Keep in mind that larger firms typically have higher overhead costs than small firms or individual consultants. On the other hand, large firms may have a wider range of skills and expertise to draw upon.

Budget items and requests for reimbursement are to be for whole dollar amounts: \$10.00, not \$10.16. Always round down.

The Federal Per Diem Rates for New Jersey as established by the U.S. General Services Administration are available online at <http://www.gsa.gov/portal/category/21287> . Rates vary by location. You must use the rate for the location where the per diem is incurred.

Actual costs of lodging and meals are reimbursed provided they do not exceed listed Federal Per Diem limits. Copies of receipts for lodging and meals must accompany disbursement requests. Rental cars and taxis costs are also allowable but only with receipts.

These travel rates must be used in planning grant application budgets and for reimbursement requests. Costs higher than those shown published by the U.S. General Services Administration will not be reimbursed.

The maximum mileage reimbursement rate for use of a privately owned automobile (as of January 1, 2017) is \$.535 per mile (per <http://www.gsa.gov/portal/content/100715>).

The subgrantee must notify the HPO of any changes between budget categories prior to implementing the change.

## DEVELOPING A SCOPE OF WORK

Consider the following questions when writing your scope of work:

- What local historic preservation need(s) does the proposed project address? Why is the proposed project a priority? How does the proposed project remedy the identified preservation needs?
- What activities will be necessary tasks to complete for the proposed project? Who will be responsible for doing what?
- What product(s) will be derived from the project? Will the product(s) be in draft of final adopted form by the end of the project? How will the product(s) be used by the local government?
- How will the proposed project benefit the community? How will the public be involved in the project? How will any product(s) produced be made available to the public?

## ALLOWABLE AND UNALLOWABLE PROJECT COSTS

All costs for your project must conform to federal guidelines. Detailed information is provided in the Office of Management and Budget Circulars A-87 and A-122 and in the National Park Service, Historic Preservation Fund Grants Manual, 2007. All of which are available on-line:

- OMB Circular A-87  
[http://www.whitehouse.gov/sites/default/files/omb/assets/agencyinformation\\_circulars\\_pdf/a87\\_2004.pdf](http://www.whitehouse.gov/sites/default/files/omb/assets/agencyinformation_circulars_pdf/a87_2004.pdf)
- OMB Circular A-122  
[http://www.whitehouse.gov/omb/assets/omb/circulars/a122/a122\\_2004.pdf](http://www.whitehouse.gov/omb/assets/omb/circulars/a122/a122_2004.pdf)
- Historic Preservation Fund Grants Manual, 2007  
[http://www.nps.gov/preservation-grants/HPF\\_Manual.pdf](http://www.nps.gov/preservation-grants/HPF_Manual.pdf)
- The Grantee shall comply with Executive Order 12549 as implemented in the United States Department of the Interior regulation, 43 CFR 12.100 through 12.510  
<http://www.gpo.gov/fdsys/pkg/CFR-2001-title43-vol1/xml/CFR-2001-title43-vol1-part12.xml>

All costs must be in payment of an obligation incurred during the grant period. You cannot charge for costs incurred prior to the award of the grant, nor can you charge for costs that will be incurred after the grant project is completed.

The following is a partial list of allowable costs:

- Rental and use of private or publicly owned meeting space is acceptable. The value shall be based on the rental agreement. Specify type of rental, rental rate, and total cost.
- The cost of material and supplies necessary to carryout the grant project are allowable. This may include film, maps, material for grant related correspondence, reports, flyers, pamphlets, etc. Purchases made specifically for the grant project should be charged at their actual prices after deducting all cash discounts, trade discounts, rebates and allowances received.
- The cost of professional and consultant services rendered by persons that are members of a particular profession or possess a special skill are allowable. A subcontract with the consultant outlining responsibilities, standards, products and fees will be required.
- Publication and printing costs are allowable. Please specify the type of item, number produced of each item.

The following is a partial list of unallowable costs:

- Any work undertaken either before or after the grant period.
- The cost of mitigation activities performed as a condition or pre-condition for obtaining a Federal permit or license or funding by other Federal programs.
- The purchase of equipment such as computers, desks, file cabinets, cameras, software acquisition or other capital expenditures.
- Lobbying activities.
- Re-granting.
- Travel.
- Genealogy.
- Fundraising efforts.
- Hospitality expenses, such as refreshments or meals served at workshops or meetings related to the grant.
- The costs associated with the administration of the grant.

- Any publication or video which does not contain the required NPS funding acknowledgements and HPO logo in accordance with the DEP-069G, Grant Agreement, Attachment D-1.

If you require further clarification, or have specific questions, please call the Certified Local Government Program Coordinator.

#### PROJECT INCOME

If the project is anticipated to generate income during the grant period (registration fees, publication sale, etc.), then it must be explicitly stated in the application. The application should specify total dollars expected to be generated, source of funds, and how the applicant anticipates using funds to further the project goals.

Project income means gross income earned by the Grantee generated by charges which are directly related to principal project objectives. It includes: income from services, fees, usage or rental fees, and royalties on patents and copyrights.

#### GOVERNING BODY RESOLUTION

A governing body resolution is required as part of the application; a sample is included as Attachment C of this document.

You might need between two weeks and a month to place the grant application approval on the agenda of your municipality's governing body. Do not delay in getting the approval from your local government and the signature of the Chief Elected Official (Mayor) on the final grant application.

#### GRANTEE ORIENTATION MEETING

All grant recipients (consisting of the local project manager and the grant recipients' fiscal manager) shall schedule and participate in a CLG Grant orientation with the HPO upon receipt of a grant award letter. The purpose of the orientation is to establish project priorities, confirm the budget and timetable for completion and discuss reimbursement procedures. The orientation requirement may be waived at the sole discretion of the HPO.

## ASSEMBLING THE APPLICATION

The grant application is the basis for the decision-making process in allocating grant funds. Therefore, it is important that each question be answered completely and that the proposal is presented clearly.

Applications cannot be handwritten, they must be typed or computer generated.

Please do not submit your application in a three-ring binder or plastic folder cover, spiral bound with a wire or plastic comb, with section dividers between parts, or with pages inserted in plastic sleeves. These materials are expensive for you and actually make it more difficult for us to review and evaluate your application. Applications are evaluated only on their content, not on their appearance.

**Do not color code** maps or any other information.

A complete application package consists of **1 unbound hard copy and 1 digital copy on CD** of the required documents and forms. The hard copy must have original signatures in **blue ink**.

Arrange the application and attachments in the following manner:

- Cover Letter
- Completed Application Form signed by the Mayor or official designated in the Governing Body Resolution and the Chief Financial Officer. These signatures must be certified, dated, and raised seal by the municipal clerk
- Governing Body Resolution (Appendix E)
- Scope of Work
- Line Item Budget
- Resumes and/or Job Descriptions
- List of member of the Historic Preservation Commission (HPC) with their contact information (mailing address, daytime phone, and e-mail address).
- Any applicable maps, photographs, significance statements required for survey and registration applications.

## APPLICATION TRANSMITTAL

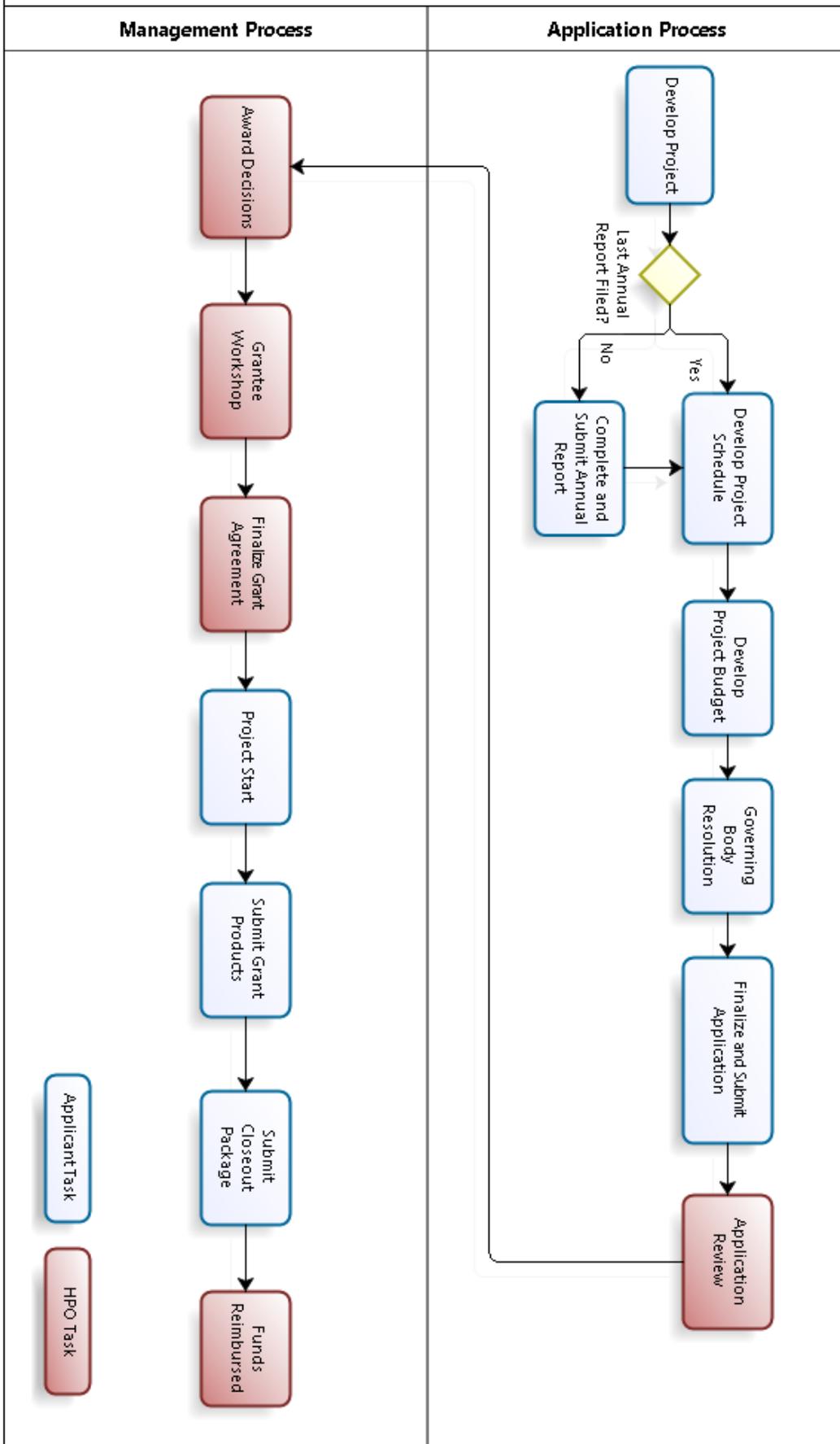
All applications must be received (stamped and dated) by the Historic Preservation Office on or before 5:00 pm on the day of the grant deadline. Applications may be mailed or hand-delivered to:

Mail Code 501-04B  
State of New Jersey  
Department of Environmental Protection  
Historic Preservation Office  
P.O. Box 420  
Trenton, NJ 08625-0420

The HPO will acknowledge receipt of all applications upon request.

Late applications will be returned without being reviewed.

## CLG Grant Application Process



## APPLICATION CHECKLIST\*

- Have you included all required attachments?
  - Budget Worksheet?
  - DI Form 1350 with addendum?
  - Statement of Adequacy of Accounting System?
  - Governing Body Resolution?
  - COE or HPO Opinion letter (for National Register nomination projects)?
  - Recommendation Report (for survey projects)?
- Have you double checked your math?
- Will someone who is unfamiliar with your project understand the scope of work, expected products, time schedule, personnel, and budget from the information you have given in this application?
- Have you demonstrated that you have sufficient personnel to successfully manage and complete the project as described?
- Does your application include all of the appropriate signatures in **blue ink**?

\*This checklist is intended for the preparer's use only.  
Please **do not** submit it with your application

## GLOSSARY

### **Certification Agreement**

The document signed by the chief elected official and the State Historic Preservation Officer that specifies the responsibilities agreed to as a condition of being a Certified Local Government.

### **Certified Local Government (CLG)**

A local government whose local historic preservation ordinance has been certified by the HPO and the NPS pursuant to Section 101(c) of the National Historic Preservation Act.

### **CLG Program**

The Certified Local Government (CLG) program is designed to provide an opportunity for local governments to become more directly involved in identifying, evaluating, protecting, promoting and enhancing the educational and economic value of local properties of historic, architectural and archeological significance.

### **CLG Program Coordinator**

In New Jersey the Historic Preservation Office represents the State in the general administration of the awarded grant projects, and answers questions relating to general grant administration. To contact, call Jonathan Kinney at 609-984-0141 or [Jonathan.Kinney@dep.nj.gov](mailto:Jonathan.Kinney@dep.nj.gov).

### **Federal Fiscal Year (FFY)**

The Federal Fiscal Year runs from October 1 to September 30.

### **Grant Period**

The total time for which a project has been approved by the grant agreement, and any subsequent amendments as applicable, for support with federal funds and during which all work is to be accomplished. For the 2018 round, the grant period begins with the grant agreement execution; the grant period ends on September 30, 2019 by which all reimbursement requests must be made.

### **Historic Preservation Fund (HPF)**

The source from which monies are appropriated to fund the program of matching grants-in-aid to the State and Tribal Historic Preservation Offices for carrying out the purposes of the National Historic Preservation Act, as amended (16 USC 470 et seq.). By law, a minimum ten percent (10%) of each state's allocation must be subgranted to the certified local government program.

### **Historic Preservation Fund Grants Manual**

The manual that sets forth National Park Service administrative procedures and guidelines for activities concerning the federally related historic preservation programs for states and local governments. This manual includes guidelines and procedures for the administration of the historic preservation grants-in-aid program. Available at [http://www.nps.gov/preservation-grants/HPF\\_Manual.pdf](http://www.nps.gov/preservation-grants/HPF_Manual.pdf).

### **National Park Service (NPS)**

The bureau of the Department of the Interior through which the Secretary of the Interior administers the National Historic Preservation programs.

### **National Register of Historic Places (NRHP)**

The official national list of properties (districts, buildings, structures, sites, and objects) that possess special significance in terms of history, architecture, culture, or archaeology. The NRHP is maintained by the National Park Service. Properties are nominated to the NRHP by the HPO in each state.

### **National Register Nomination (nomination)**

The document containing the information necessary to nominate a property to the NRHP. It includes a physical description, statement of significance, maps, photographs, legal description, and geographic data that together adequately document an individual property or district. To nominate is to propose that a district, site, building, structure, or object be listed in the National Register of Historic Places or, where a private owner or majority of owners object to listing, that property be determined eligible by the Keeper of the National Register.

### **Product**

A tangible result of the local preservation program, including but not limited to a brochure, a public presentation, a survey, a National Register nomination, a PowerPoint presentation or

other audio/video production, development and maintenance of a website for the CLG's program, etc.

### **Project Coordinator**

All Historic Preservation Fund grant projects require a Project Coordinator who is a paid professional or salaried municipal employee. The Project Coordinator will be the single point liaison with the HPO and with project professionals and will obtain, coordinate and submit reports, authorize signatures, and prepare financial documentation and other project information. Grantees may not delegate grant administration responsibilities to volunteers or historic preservation commission members.

### **Public Participation**

The input of local citizens in the planning process leading to the formal funding application, including consideration of environmental and other possible effects. A public participation plan/program provides an opportunity for citizens to participate in the development of the application; provides citizens with adequate information concerning program purposes and funding requirements; provides for public meetings or hearings to obtain the views of citizens on the substance of the program; and provides an opportunity to submit comments.

### **Recommendation Report**

The recommendation report is required as part of a complete application for a survey project. It identifies, by block and lot, the historic resources to be surveyed at the intensive-level and indicating whether they are to be surveyed individually or as part of a potential historic district(s). The report shall discuss the method and justification for the selected resources. At minimum factors for consideration shall include protection from development and established historical or architectural significance. Resources may include buildings, structures, bridges, and objects. The report shall also include a detailed work schedule and summary that specifies dates for completion.

### **SOI Standards**

Secretary of the Interior's Standards and Guidelines for Archeology and Historic Preservation which provide technical information and guidance about historic preservation activities and methods. The subjects include: Preservation Planning, Identification, Evaluation, Registration, Historic Research and Documentation, Architectural and Engineering Documentation, Archeological Documentation, Treatment of Historic Properties (Restoration, Rehabilitation, Stabilization, and Reconstruction), Professional Qualifications, and Preservation Terminology.

**Sole versus Single Source Providers**

A sole source purchase is one where there is only one supplier capable of providing an item or service, and therefore it is not possible to obtain competitive bids. A single source purchase is one where there are multiple sources of supply, but for specific reasons the item or service must be purchased from a specified supplier.

**Subgrant**

A pass-through award of financial assistance from the federal government, under the National Historic Preservation Act, as amended (16 USC 470 et seq.) through HPO to a certified local government to carry out specific objectives for a specified period of time consistent with the terms of a subgrant agreement.

**Subgrantee**

The agency, institution, organization, other legal entity, or individual to which a subgrant is made by the state and is accountable to the State for use of the funds provided.

**Survey**

The inventory and preliminary evaluation of the historic properties in a county, municipality, neighborhood, or some other defined area. Surveys may be conducted of historic properties which have historic and architectural significance or of properties with historic or pre-historic archaeological significance.

**ATTACHMENT A**

List of New Jersey's Certified Local Governments

As of September 2017, New Jersey's 44 CLGs are:

1. Atlantic County, Hamilton Township
2. Atlantic County, Somers Point City
3. Bergen County, Closter Borough
4. Bergen County, Mahwah Township
5. Bergen County, River Edge Borough
6. Bergen County, Teaneck Township
7. Burlington County, Burlington City
8. Burlington County, Evesham Township
9. Burlington County, Mount Holly Township
10. Camden County, Berlin Borough
11. Camden County, Camden City
12. Camden County, Collingswood Borough
13. Camden County, Gloucester City
14. Camden County, Haddon Heights Borough
15. Camden County, Haddonfield Borough
16. Cape May County, Cape May City
17. Cape May County, Ocean City
18. Essex County, Glen Ridge Borough
19. Essex County, Maplewood Township
20. Essex County, Montclair Township
21. Essex County, Verona Township
22. Essex County, West Orange Township
23. Gloucester County, Harrison Township
24. Gloucester County, Swedesboro Borough
25. Hunterdon County, Tewksbury Township
26. Mercer County, Ewing Township
27. Mercer County, Hopewell Township
28. Mercer County, Lawrence Township
29. Middlesex County, Cranbury Township
30. Middlesex County, South Brunswick Township
31. Monmouth County, Freehold Township
32. Monmouth County, Middletown Township
33. Morris County, Montville Township
34. Morris County, Washington Township
35. Ocean County, Beach Haven Borough
36. Passaic County, Paterson City
37. Passaic County, Pompton Lakes Borough
38. Passaic County, West Milford Township
49. Salem County, Salem City
40. Somerset County, North Plainfield Borough
41. Sussex County, Hamburg Borough
42. Sussex County, Newton Town
43. Union County, Fanwood Borough
44. Union County, Plainfield City

An interactive map of New Jersey's CLGs is available online at  
[http://www.nj.gov/dep/hpo/3preserve/clg\\_links.htm](http://www.nj.gov/dep/hpo/3preserve/clg_links.htm)

**ATTACHMENT B**

CLG Grant Application Form

**Historic Preservation Fund  
FFY2018 CLG Grant-In-Aid Application**

**(1) APPLICANT**

Municipality: \_\_\_\_\_ County: \_\_\_\_\_

Applicant Federal Employer Identification Number: \_\_\_\_\_ - \_\_\_\_\_

DUNS Number: \_\_\_\_\_

**CLG Chief Administrative Official:**

**Chief Financial Officer:**

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Fax: \_\_\_\_\_

Email \_\_\_\_\_

Email \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Signature** \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**Commission Chair:**

**Project Coordinator:**

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

Fax: \_\_\_\_\_

Email \_\_\_\_\_

Email \_\_\_\_\_

Address: \_\_\_\_\_

Address: \_\_\_\_\_

**Signature:** \_\_\_\_\_

**Signature** \_\_\_\_\_

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**Name(s) of State Legislator(s):**

Senate: \_\_\_\_\_

Assembly: \_\_\_\_\_

**Legislative District(s)**

(Only the district(s) where grant-funded work is taking place should be listed).

District: \_\_\_\_\_ District: \_\_\_\_\_

**(2) CERTIFICATION**

*I certify that the information presented in this application is true and accurate.*

Authorized Signature: \_\_\_\_\_

*Print or Type:*

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Street: \_\_\_\_\_

Municipality: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone: \_\_\_\_\_

**(3) PROJECT NAME**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**(4) PROJECT IMPACT**

Local       County       State       National

Explain: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**(5) PROJECT TYPE**

Survey       Planning       National Register       Public Education

Other

(explain):

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**(6) SCOPE OF WORK:**

Please attach a scope of work for the project you are proposing. Be sure to address the following four points:

- Goal: What will the project achieve? What activities are needed to achieve that goal?
- Coordination: Describe the division of labor and project responsibilities of the consultant, project coordinator, staff, and historic preservation commission members.
- Work Products: Include a list of tangible products for your type of project. Identify additional intangible products or benefits.
- Schedule: List appropriate deadlines for completing different parts of the project, such as: hiring a consultant, conducting meetings, submission of draft and final products.

**(7) BUDGET**

Total Project Budget: \$\_\_\_\_\_

Show that the budget is reasonable and that budget items are necessary to accomplish major project tasks/activities. Provide a sufficiently detailed budget to show basis for cost items, including a breakdown of consultant and staff hours by task. Indicate how these numbers were calculated. You may use one or more pages of the Budget Worksheet, on following page, for recording specifics.

BUDGET WORKSHEET

| <i><b>BUDGET CATEGORIES</b></i>     | <i><b>DESCRIPTION</b></i> | <i><b>TASK COST</b></i> | <i><b>TOTAL COST</b></i>           |
|-------------------------------------|---------------------------|-------------------------|------------------------------------|
| <i><b>Consultant Services:</b></i>  |                           |                         |                                    |
| Consultant Type:                    |                           |                         |                                    |
| Task 1:                             |                           |                         |                                    |
| Task 2:                             |                           |                         |                                    |
| Task 3:                             |                           |                         |                                    |
| Task 4:                             |                           |                         |                                    |
| Task 5:                             |                           |                         |                                    |
|                                     | Sub-Total:                |                         |                                    |
| Consultant Type:                    |                           |                         |                                    |
| Task 1:                             |                           |                         |                                    |
| Task 2:                             |                           |                         |                                    |
| Task 3:                             |                           |                         |                                    |
| Task 4:                             |                           |                         |                                    |
| Task 5:                             |                           |                         |                                    |
|                                     | Sub-Total:                |                         |                                    |
| Consultant Type:                    |                           |                         |                                    |
| Task 1:                             |                           |                         |                                    |
| Task 2:                             |                           |                         |                                    |
| Task 3:                             |                           |                         |                                    |
| Task 4:                             |                           |                         |                                    |
| Task 5:                             |                           |                         |                                    |
|                                     | Sub-Total:                |                         |                                    |
| <i><b>Administrative Costs:</b></i> |                           |                         |                                    |
| Legal Notices:                      |                           |                         |                                    |
| Printing:                           |                           |                         |                                    |
| Copying:                            |                           |                         |                                    |
| Photo Processing:                   |                           |                         |                                    |
| Other:                              |                           |                         |                                    |
|                                     |                           |                         |                                    |
|                                     |                           |                         |                                    |
|                                     |                           |                         |                                    |
|                                     |                           |                         | <i><b>TOTAL GRANT REQUEST:</b></i> |

**DI FORM 1350:**

**U. S. Department of the Interior  
Assurance of Compliance  
(Title VI, Civil Rights Act of 1964)**

\_\_\_\_\_ (hereinafter called "Applicant")

Hereby Agrees That It will comply with Title VI of the Civil Rights Act of 1964 (P. L. 88-352) and all requirements imposed by or pursuant to the Department of the Interior Regulation (43 CFR 17) issued pursuant to that title, to the end that, in accordance with Title VI of the Act and the Regulation, no person in the United States shall, on the ground of race, color or national origin be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives financial assistance from the Department of the Interior (NPS) and Hereby Gives Assurance that will immediately take any measures to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department of the Interior (NPS). This assurance obligates the Applicant, or in the case of any transfer of such property, any transferee for the period during which the real property or structure is used for a purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance obligates the Applicant for the period during which it retains ownership or possession of the property. In all other cases, this assurance obligates the Applicant for the period during which the Federal financial assistance is extended to it by the Department of the Interior (NPS).

This Assurance is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property discounts or other Federal financial assistance extended after the date hereof to the Applicant by the bureau or office, including installment payments after such date on account of arrangements for Federal financial assistance which were approved before such date. The Applicant recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this Assurance, and that the United States shall reserve the right to seek judicial enforcement of this Assurance. This Assurance is binding on the Applicant, its successors, transferees, and assignees, and the person or persons whose signature appears below (is) are authorized to sign this Assurance on behalf of the Applicant.

\_\_\_\_\_  
*Name of Applicant*

By:

\_\_\_\_\_  
*Authorized Signature / Title*

\_\_\_\_\_  
*Date*

**ADDENDUM DI FORM 1350**

**U. S. Department of the Interior  
National Park Service  
Civil Rights Assurance of Compliance**

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*Name of Applicant*

Also Agrees to comply with the Rehabilitation Act of 1973 and the Age Discrimination Act of 1975 and all requirements imposed by or pursuant to these titles, to the end that, no person in the United States shall, on the grounds of age or handicap be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives financial assistance from the National Park Service and hereby gives assurance that it will immediately take any measures to effectuate this agreement.

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*Name of Applicant*

By:

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*Authorized Signature / Title*

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*Date*

Applicants Mailing Address:

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**STATEMENT OF ADEQUACY OF ACCOUNTING SYSTEM:**

Section A: Governmental Agency

I am the \_\_\_\_\_ of \_\_\_\_\_ and,  
*Print or Type title of Chief Financial Officer* *Name of Applicant*

in this capacity, I will be responsible for establishing and maintaining the financial statements for the project. The accounting system that will be established and maintained for the purpose of this agreement will be adequate to:

1. Provide for accurate identification of the receipts and expenditures of funds by approved budget cost categories;
2. Provide for documentation supporting each book entry, filed in such a way that it can be easily located;
3. Provide accurate and current financial reporting information;
4. Be integrated with a strong system of internal controls; and
5. Conform to any and all requirements or guidelines that Department may issue.

---

*Signature of Chief Financial Officer*

---

*Name of Chief Financial Officer (Print or Type)*

---

*Date*

**ATTACHMENT C**

Governing Body Resolution

**Governing Body Resolution**

**Resolution #** \_\_\_\_\_

The governing body of \_\_\_\_\_ desires to  
*(Print or Type Grantee's name)*

further the public interest by obtaining a grant from the State of New Jersey in the amount of approximately \$ \_\_\_\_\_ to fund the following project:  
*(Total project cost)*

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Therefore, the governing body resolves that

\_\_\_\_\_  
*(Print or type person's name)*

or the successor to the title of \_\_\_\_\_ is authorized  
*(Print or type title of authorized official)*

- (a) to make application for such a grant,
- (b) if awarded, to execute a grant agreement with the State for a grant in an amount not less than \$ \_\_\_\_\_ and not more than \$ \_\_\_\_\_ and
- (c) to execute  any amendments thereto  any amendments thereto which do not increase the Grantee's obligations.

The Grantee agrees to comply with all applicable federal, State, and municipal laws, rules, and regulations in its performance pursuant to the agreement.

Introduced and passed \_\_\_\_\_, \_\_\_\_\_.

Ayes: \_\_\_\_\_  
Noes: \_\_\_\_\_  
Absent: \_\_\_\_\_

(Seal)

**ATTACHMENT D**

Sample Scopes of Work

**D-1** CAMP

**D-2** Cultural Resources Survey

**D-3** National Register Nomination for individual property

**D-4** Design Guidelines

**D-5** Preservation Plan

**D-6** Master Plan Historic Preservation Element

## **D-1 Scope of Work for Proposed CAMP**

### General Overview

The proposed project is a one-day training session for historic preservation commissions and other individuals involved in local historic preservation efforts throughout New Jersey. The session is to be hosted by the *(Insert Name of Municipality)* Historic Preservation Commission and located in *(Insert Name of Municipality)*, NJ. The proposed attendance for the session is 100. The session will be open to attendees statewide.

For the proposed Commission Assistance Mentoring Program (CAMP), *(Insert Name of Municipality)* will contract with the National Alliance of Preservation Commissions (NAPC) to craft a customized one-day (eight (8) hour) CAMP session to be hosted in *(Insert Name of Municipality)*.

The proposed CAMP session will combine the services of two (2) NAPC trainers with the services of an expert in New Jersey preservation and land use law.

### Goal

The goal of the proposed project is the successful creation and provision of a customized training session that explores the essential concepts of local historic preservation theory and practice to provide commissioners, staff, public officials, and others with the best tools and knowledge available. This type of regular education is an imperative component of effective local historic preservation programs, and a required component of Certified Local Government (CLG) programs. This project will meet and exceed training expectations and requirements via its customization to New Jersey commissioner needs. Additionally, the training session will benefit both attendees and those who cannot attend via the take-home manuals.

### Coordination

*(Insert Name of Municipality)*, as the host municipality, is responsible for providing the matching funds for the project. As the CLG grant applicant, they will contract with NAPC for provision of the proposed CAMP training session.

Project Coordinator *(Insert Name of Paid Municipal Staff Person)*, will be responsible for administration of the grant. All invoices for services provided will be addressed to *(Insert Name of Municipality)*, and delivered to *(Insert Name of Paid Municipal Staff Person)*. These will include invoices from NAPC, the chosen event facility, the chosen trainer lodging facility, and the legal trainer.

NAPC will contract with *(Insert Name of Municipality)* for the provision of a CAMP training session in *(Insert Month and Year of anticipated workshop)*. NAPC will work with the project coordinator to negotiate and finalize a contract and craft an agenda. NAPC will select and provide two (2) trainers for the proposed session, and will manage their travel arrangements. NAPC will invoice *(Insert Name of Municipality)* for all associated costs.

## Work Products

Registration list: A list of the registrants for the proposed CAMP training session will be supplied to demonstrate the diversity of commissioners who attend. CAMP training sessions are designed to offer something for commissioners and members of the interested public at all levels of experience, and commissions at all stages of development.

Training session manual: A copy of the several hundred-page manual of distinguished reference materials and case studies will be supplied. This carefully organized collection of articles, fact sheets, and model resources helps break the training session down into manageable portions, and is designed to serve as a handbook for commissioners to continue to use long after the CAMP session. Participants can reference these sources in the future and can extract resources for inclusion in their own educational materials, expanding the positive impact of one CAMP session to countless individuals over years to come.

PowerPoint presentations: Each element of the training session is presented using a PowerPoint presentation. After the proposed CAMP, these presentations will be posted on the *(Insert Name of Municipality)* website, accessible to the general public.

All products to be provided to HPO on CD-ROM in the format prepared for the CAMP session, one hard copy of the training manual shall be provided to the HPO for the HPO reference library.

## Schedule

*(Insert Schedule)*

## D-2 Scope of Work for Cultural Resources Survey

The Grantee shall employ at a minimum the services of an Architectural Historian qualified in accordance with the National Park Service *Professional Qualification Standards*, to produce an intensive-level architectural survey of a minimum of *(Insert Number)* historic resources. Surveyed resources may be documented individually or as part of an historic district.

Information generated from this Intensive-level Architectural Survey will enable the Grantee's Planning Board, Zoning Board of Adjustment and Historic Preservation Commission to make informed land use decisions in accordance with the municipal Master Plan, local ordinances, and the Municipal Land Use Law. It will also assist the *(Insert Name of Municipality)* Historic Preservation Commission in making reasonable, consistent and justifiable decisions.

The survey must be conducted in accordance with the HPO *Guidelines for Architectural Survey* (Guidelines). The survey data will be gathered using a database application provided by the HPO and mapping will be based on GIS data. The results of the survey shall be compiled in a report that presents intensive-level research, eligibility findings, and summarizes the overall survey effort.

The following products shall be produced as part of the survey effort:

### I. Intensive-level Survey Documentation

The Grantee shall conduct an Intensive-level Survey consisting of:

#### Survey Forms

- preparation of HPO approved forms for a minimum of *(Insert Number)* historic resources. **All forms must be submitted in electronic and hard copy. The electronic copy must be submitted as a Microsoft Access database as provided by the HPO. The hard copy inventory forms will be generated from the database**
- preparation of attachments and eligibility worksheets for those properties identified as potentially individually eligible
- assessment of "key-contributing" (i.e. individually eligible), "contributing" and "non-contributing" status for all properties surveyed as part of historic district(s)

#### Photography

- Survey photography shall include at least one digital color photograph of the entire principal elevation or view for every historic property in the intensive-level survey (this photograph shall appear on the Base Survey Form for the subject property).
- Additional photographs, which clearly contribute to an understanding of the property's significance, are strongly recommended. These photographs shall appear on continuation sheets following the survey form for the subject property.
- Survey photographs shall be submitted as color digital images in JPEG format with a minimum pixel array of 1200 by 1800 (approximately 4" by 6" at 300dpi).

#### GIS Mapping

- GIS Mapping must be based existing digital parcel maps, or an HPO approved alternate, such as Global Positioning System (GPS) data.

- Digital submissions must include separate ArcView shapefiles in the data structure provided by the HPO:
  - a. Historic District boundaries (polygons). Where the Historic District boundary coincides with a municipal boundary, the Historic District Boundary must overlay the municipal boundary exactly.
  - b. Property locations (points).
- Geospatial metadata sufficient to satisfy the metadata reporting requirements of the NJDEP Mapping and Digital Data Standards (2013) available online at [http://www.nj.gov/dep/gis/assets/NJDEP\\_GIS\\_Spatial\\_Data\\_Standards\\_2013.pdf](http://www.nj.gov/dep/gis/assets/NJDEP_GIS_Spatial_Data_Standards_2013.pdf).  
**All digital data shall be submitted on CD-ROM in the formats referenced above.**
- The GIS data shall serve as the basis for creating the 2” x 3” location map for each surveyed property. The location map shall be saved as a digital image in JPEG format that will be included on the hard copy survey forms

## **II. Intensive-level Survey Report**

The Grantee shall prepare an intensive-level survey report in accordance with Section 3.5 of the *Guidelines for Architectural Survey*. The report will be comprised of at least 30 pages of typewritten text (12 point font in a single spaced format), not including survey forms, maps, photos and illustrations. **The final report must be submitted to *(Insert Name of Municipality)* in electronic and hard copy. The electronic copy must be submitted on CD-ROM as a Microsoft Word document and PDF.**

### **DELIVERABLES:**

For purposes of this Grant Agreement the Grantee shall produce two (2) hard copies of all survey products defined above. The HPO will receive one (1) complete hard copy set of the completed survey products and one electronic copy in accordance with the grant Schedule below. The Grantee will retain one (1) complete hard copy of the survey products for local use

### **SCHEDULE:** *(Insert Schedule)*

### **D-3 Scope of Work for National Register Nomination for an Individual Property**

The grant will provide the funding to fully research (*Insert Name of Individually Eligible Property to be Nominated*) in (*Insert Name of Municipality*) and to prepare a New Jersey and National Registers of Historic Places nomination. The Grantee shall obtain the services of a National Park Service qualified architectural historian to prepare the nomination on HPO and National Park Service (NPS) approved National Register forms. The nomination shall be prepared in accordance with *How To Complete the National Register Registration Form Bulletin* (available online at <http://www.nps.gov/nr/publications/bulletins/pdfs/nrb16a.pdf>). In addition, the final product shall comply with the requirements set forth in the HPO's Preparer's Checklist (attached). The final nomination must be submitted to the HPO in electronic and hard copy. The electronic copy must be submitted on CD-Rom as one or more Microsoft Word 2003 files.

Before engaging a professional consultant, the Grantee must schedule a site meeting with the HPO National Register Coordinator to review and approve the site boundaries. The HPO will confirm and approve the boundaries in writing.

The Grantee's consultant shall photograph in coordination with the National Register Coordinator the determined number of photos required to properly document the proposed site. Three (3) sets of all photographs shall be produced and a PowerPoint (or equivalent) presentation.

#### **Substantive Requirements:**

- Section 7 must begin with an initial paragraph that identifies what is being nominated, summarizes its appearance, and describes the property's integrity.
- Section 8 must begin with an initial summary paragraph that indicates the pertinent National Register Criteria (and criteria considerations if applicable), the period of significance, and the areas and level of significance of the property. This statement of significance must be written in a clear and complete manner.
- Bibliographic references must be included in Section 9.

#### **Photography Requirements:**

- All photographs must be well composed, clear, sharply focused, and properly exposed.
- All photographs must meet the current requirements of the National Register Photo Policy (available online at [http://www.nps.gov/nr/publications/guidance/Photo\\_Policy\\_final.pdf](http://www.nps.gov/nr/publications/guidance/Photo_Policy_final.pdf)).
- All photographic prints must be labeled in accordance with current National Register requirements.
- Photographs must depict the property free from obstruction by foliage or snow, to the greatest extent practicable.
- All photographs shall be keyed to a site map or to floor plans (or plan sketches), as appropriate (see Mapping Requirements below).
- Three (3) identical sets of photographs must be furnished (one for the National Park Service, one for the HPO, and one for the CLG). Each set of photographs must include one set of hard-copy, black & white or color prints of a minimum size of 4 inches by 6 inches as well as an archival-quality CD containing the photographic

images in the form of .tif files of six megapixels or greater (minimum 2000 x 3000 pixel image) at 300 dpi.

#### Mapping Requirements

- The nominated resource must also be delineated on an original USGS 7.5-minute topographic quadrangle map (1:24,000 scale) with the boundaries of the nominated resource precisely delineated by sharp pencil lines in accordance with National Park service requirements.
- The Grantee's consultant shall produce a site map utilizing a civil engineer's survey (if available) or the local tax map as a base, at a scale not less than 1 inch = 200 feet and meeting the requirements in the Preparer's Checklist.
- The map shall clearly indicate the location and orientation for all exterior photographs.
- The Final submission shall include three (3) copies all maps. The USGS map shall be folded to 8 ½ inch (portrait) size, with the nominated property situated in the top face of the map as folded. The other maps shall be either on 8 ½ by 11 inch paper (portrait orientation) or 11 by 17 inch paper (landscape orientation), depending on size and scale factors.

#### Other Documentation

- The Grantee's consultant shall furnish a PowerPoint presentation, or acceptable equivalent, summarizing the description and significance of the property.
- The Grantee shall provide the HPO with a copy of the property owner's approval to nominate (*Insert Name of Individually Eligible Property to be Nominated*).
- The Grantee shall provide the HPO with letters (signed and dated originals) from the Mayor and Historic Preservation Commission (HPC) endorsing the nomination and authorizing it to be processed for consideration on the New Jersey and National Registers of Historic Places. The letters must clearly explain how the HPC has afforded the public a reasonable opportunity for comment. Failure on the part of the Grantee to submit the letters to the HPO with the final products will result in termination of the grant.

#### NOMINATION PUBLIC MEETING REQUIREMENTS

- Grantee shall hold a minimum of one (1) public meeting to inform and educate the community about local designation and the New Jersey and National Register Programs. The open public meeting must be noticed and conducted in accordance with the requirements of New Jersey Open Public Meetings Act. The meeting may include but are not limited to the Historic Preservation Commission, Governing Body, Planning Board, and interested members of the public.
- The Grantee's consultant shall attend the meeting and present a brief history of the (*Insert Name of Individually Eligible Property to be Nominated*) and answer questions related to the designation or nomination. The presentation shall include a PowerPoint (or equivalent) presentation.
- The HPO shall be notified in writing of the time, date, and location of the meeting three (3) weeks prior to the meeting date.
- All public meetings must be located in an ADA accessible facility.

#### **Schedule**

(*Insert Schedule*)

# PREPARER'S CHECKLIST for National Register Nominations

New Jersey Historic Preservation Office

Use this checklist along with National Register Bulletin 16 Part A to help you properly complete the National Register Registration Form, the narratives, and the accompanying documentation that together comprise a Register nomination. This checklist contains reminders about the most frequently overlooked or incorrectly completed elements of Register nominations. **The items in boldface below describe New Jersey requirements that are not described in Bulletin 16 Part A.** Please be sure to have completed the following items or supplied the accompanying material in the manner indicated below. (Note that some items required for district nominations are *not* required for individual properties, and vice versa.) Check the appropriate line if you, the preparer, have:

## Registration Form reminders:

### SECTIONS 1 & 2. NAME and LOCATION

- Listed only the most important historic name (not the current name) on the "historic name" line.
- Listed **only** the name of the **municipality** on the "City/town" line (including "Borough", "Township", etc.), and
- Listed the proper codes for state and county (NJ code is "034").

### SECTIONS 5 & 6. CLASSIFICATION and FUNCTION or USE

- Accurately counted and reported contributing and non-contributing resources, and
- Listed the correct historic and current functions, according to Bulletin #16A (read the rules carefully).

### SECTION 7. DESCRIPTION

- Listed proper Architectural Classification(s) according to Bulletin #16A (read the rules carefully), and
- Listed all appropriate Materials according to the instructions in Bulletin #16A.

### SECTION 8. SIGNIFICANCE

#### **Summary NRIS data:**

- Checked proper Criteria and Criteria Considerations
- Listed appropriate Areas of Significance
  - Properly defined the Period of Significance and listed only one period
  - Listed Significant Dates cited in text
- Listed appropriate Cultural Affiliation (archeological properties only) or typed "N/A"
- Listed the Significant Person(s) only if Criterion B is checked (Last-name-first, with birth & death years, if known)
- Listed all Architects/Builders cited in text (last name first, then first name, or name of firm)

### SECTION 10. GEOGRAPHICAL DATA

- Accurately stated the Acreage to the nearest acre (or if less than one acre, to the nearest tenth of an acre)

## Continuation Sheet reminders

**ALL:**  Placed the proper running head (including NJ, county name, and name of resource) on *all* continuation sheets

### SECTION 7: DESCRIPTION NARRATIVE:

- Begun with a single summary paragraph containing the following items:
  - Begins with a sentence that defines the resource ("The...is [a/the]...");
  - General description of property's most important features;
  - Total number of resources, with a breakdown by type (i.e. buildings, structures, etc.) and how many contributing and non-contributing (Districts only)**
- Supported summary paragraph with additional narrative that addresses:
  - The original appearance of resource(s) inside and outside;
  - The subsequent alterations and present appearance, inside and outside;
  - Questions of physical integrity; and
  - Cross-references the photographs.**

Individual Resource Descriptions (*for District, or nominations with multiple resources*):

- Described all other resources, both contributing and not-contributing, including:
  - a resource number that is keyed to the site map or district map
  - Block and Lot numbers, and street addresses
  - Historic name (if any), and us (eg. "single-family dwelling"), number of stories and bays, principal material (eg. frame, brick, or stone), type, architectural styles, construction date, alterations, etc.
  - the immediate setting of the resource.
  - Evaluation of whether the resource is "contributing" or "non-contributing"

- Cross-references to the photographs
- Historical information about the building if not provided in Section #8 (*Districts only*)

**SECTION 8. NARRATIVE STATEMENT OF SIGNIFICANCE:**

- Begun with a Summary Paragraph which addresses the following items:
  - brief summation of the property's claims to significance or significant association
  - the Criteria under which the resource qualifies
  - the Categories of its Significance
  - the Period of Significance and its justification
  - Significant Persons (if Criterion B is checked)
- Supported the summary paragraph with additional narrative that adequately:
  - Demonstrates the identity of the resource
  - Explains the historic contexts in which the resource is significant
  - Explains how the resource qualifies under all Criteria and Considerations checked
  - Documents the history of the resource within its context(s), including Significant Persons, Significant Dates, and any Architect or Builders listed in the Registration Form
  - Evaluates the significance and integrity of the resource
- Adequately footnoted or referenced the Statement of Significance

**SECTION 9. MAJOR BIBLIOGRAPHIC REFERENCES**

- Included all important bibliographic references on which the Significance Statement depends
- Avoids citations of general architectural works
- Employs complete citations that consistently adhere to a recognized bibliographic style or format
- Indicates locations where copies of rare or unusual source materials may be found

**SECTION 10. GEOGRAPHICAL DATA**

- Included a complete, narrative Verbal Boundary Statement and/or a qualifying map of the nominated property
- Included a Boundary Justification Statement that defends the selected boundaries against potential arguments that they are too inclusive or exclusive

**Accompanying Documentation reminders**

- Included a USGS 7.5-minute quadrangle map *or acceptable substitute* with the resource precisely located
- Provided a large-scale Site Plan for individual properties, or, for districts, a map of 1: = 200' scale or larger, which adequately and properly indicates: **(Note: individual sheets should not be larger than 11x17 inches)**
  - Name of resource, month/year prepared, preparer's name
  - Scale (**bar scale required**)
  - North arrow (true north)
  - Block & Lot nos.** and street addresses
  - Bldgs. & Bldg. nos. (keyed to Individ. Descriptions)
  - Boundary of the nominated property, **clearly emphasized**
- Provided copy(ies) of above map indicating Photo coverage + distribution of non-contrib. properties (w/hatching)**
- Provided Historic Maps, if needed
- Provided a floor plan sketch annotated to show where the photos were taken**
- Furnished **two (2)** identical sets of photographs at a **minimum size of 4"x 6"**
  - Two options: 1.):  Digital option or 2.):  Traditional B/W option.
  - If (1), then submit **(2)** sets of hard-copy, digital color or B/W prints & **(2)** CDs with properly-labeled digital .tif files.
  - If (2), then print as "true" black-and-white prints on archival photo paper
    - Whether (1) or (2), hard-copy prints must be properly labeled on reverse using soft pencil or along (a) the bottom border of the face or (b) the bottom edge of the reverse side, using a permanent film marking pen (no adhesive labels are permitted)
  - Photos are accompanied by a continuation sheet(s) listing the required information for each photo

**Miscellaneous Additional Requirements**

- Furnished a PowerPoint presentation or acceptable equivalent, or a sufficient number of color slides, well labeled
- For districts, furnished in proper format an accurate list, certified by an appropriate municipal official, of all owners of the nominated property, their addresses and Block/Lot numbers
- For individual properties, the name and address of the property owner(s) on the Registration Form or in a cover letter
- If the owner of the subject property did not prepare or sponsor the nomination, a letter or other evidence has been furnished showing that the owner knows that the nomination is being prepared

## **D-4 Scope of Work for Design Guidelines**

### **Purpose**

The Grantee shall secure professional assistance for the enhancement and updating of preservation guidelines to help the public understand the mission and function of the municipal Historic Preservation Commission (HPC).

A set of updated design guidelines will provide the public with an understanding of the Secretary of the Interior's Standards for Rehabilitation, will facilitate the review and certification process, and will enable the Historic Preservation Commission to make fair, consistent and justifiable decisions.

The project shall produce design guidelines compliant with the Secretary of the Interior's Guidelines for Rehabilitation.

This proposed project is intended to facilitate the preservation of municipality's historic buildings and assist property owners, designers, and contractors as they plan changes, alterations, and/or additions to their historic properties. By highlighting the design and detailing of the styles, it will serve to increase public awareness of the quality and diversity of municipality's historic homes and in turn assist in their continued conservation. The final document will be a user-friendly resource for municipal staff, contractors, and property owners to identify and understand appropriate and inappropriate treatments for historic properties in the municipality.

### **Preparation of Design Guidelines**

The municipality is seeking professional services to develop guidelines that will address windows, doors, paving, siding, architectural details, cornices, storefronts, bulkheads, display windows, entrances, awnings, lighting, signs, new construction in historic districts, roofs and materials, porches and verandas, additions, masonry, landscaping, outdoor ornamentation, fencing, streetscape, exterior sheathing, windows and signs. The Grantee shall prepare a draft guideline containing photos and information using the Cape May City Guidelines as a model (available online at [http://www.capemaycity.com/documents/historicpreservation/hpc\\_guidelines.pdf](http://www.capemaycity.com/documents/historicpreservation/hpc_guidelines.pdf)).

Included will be a brief history of the municipality, a mission statement, an explanation and examples of architectural styles found within the Township, an explanation about Certificates of Appropriateness, an explanation of the Secretary of the Interior's Standards for Rehabilitation, and examples of acceptable practices and solutions. A glossary of terms and a listing of additional references will also be included. The end product Guideline Manual will be 8.5" x 11" and will consist of a minimum of fifty (50) double sided pages, of detailed, twelve (12) point, single spaced, text. The booklet must also contain at least two hundred (200) original pictures, drawings or illustrations, as required, to effectively document the guidelines proposed, including, but not limited to photographs, of all architectural styles in the municipality and before and after photographs of acceptable rehabilitation projects. In addition, the entire completed manual must also be provided to the grantee on a DVD or CD-ROM in both Microsoft Word (.doc or .docx) and pdf formats.

The HPO must review and approve the draft text and illustrations before the final publication copies are printed. A minimum of 30 copies of the final product will be printed: 25 copies will be submitted to the municipality, 5 copies will be submitted to the Historic Preservation Office.

The professional shall be available to meet with members of the HPC, Planning Board, Zoning Board of Adjustment and Governing Body. It is estimated that a minimum of six (6) meetings will be required.

The municipality will schedule an initial meeting with the professional consultant before work begins to discuss the scope of work, methodology, schedule, formatting issues, and other necessary or relevant matters. HPO staff will be invited to attend, but their participation is not mandatory.

The consultant will tour the Historic District in order to understand the issues and architectural styles within the Historic District.

The municipality and HPO staff will review a draft of the design guidelines. The public will also be afforded the opportunity to review and comment on the draft document. The consultant will address review comments in the final draft.

The consultant will provide the municipality with thirty (30) bound copies of the final Design Guidelines, plus one (1) unbound copy. The Design Guidelines should also be provided in digital Microsoft Word format so that the municipality may make future additions or changes.

#### Design Guideline Minimum Contents:

- Introduction
- Acknowledgements
- Contents
- Brief discussion of the role of the Historic Preservation Commission, New Jersey and National Registers, and National Historic Landmark Status.
- Map of the District(s) and individual landmarks
- General Discussion of Preservation methods/techniques
- Guide to Common Styles and character defining features
- Examples of Acceptable Preservation Strategies
- Resource Guide
- Architectural terms
- References
- Attachment: Secretary of the Interior's Standards and Guidelines for Rehabilitation

#### Additionally:

- The Guidelines shall generally follow the form and format of the Cape May City Design Standards available online at [http://www.capemaycity.com/documents/historicpreservation/hpc\\_guidelines.pdf](http://www.capemaycity.com/documents/historicpreservation/hpc_guidelines.pdf).
- The Guidelines must use historic and contemporary photographs to illustrate points.
- The Guidelines shall be a minimum of 100 total pages in length.
- The Guidelines shall contain a minimum of 200 photographs and /or illustrations.
- The Guidelines shall discuss strategies if different for preserving key, contributing and non-contributing resources in the historic district.

## The Professional Qualifications Standards

The following requirements are those used by the National Park Service, and have been previously published in the Code of Federal Regulations, 36 CFR Part 61 ([https://www.nps.gov/history/local-law/arch\\_stnds\\_9.htm](https://www.nps.gov/history/local-law/arch_stnds_9.htm)). The qualifications define minimum education and experience required to perform identification, evaluation, registration, and treatment activities. In some cases, additional areas or levels of expertise may be needed depending on the complexity of the task and the nature of the historic properties involved. In the following definitions, a year of full-time professional experience need not consist of a continuous year of full-time work but may be made up of discontinuous periods of full-time or part-time work adding up to the equivalent of a year of full-time experience. Either of the two professions defined below is acceptable.

- Architectural Historian

The minimum professional qualifications in architectural history are a graduate degree in architectural history, art history, historic preservation, or closely related field, with coursework in American architectural history; or a bachelor's degree in architectural history, art history, historic preservation or closely related field plus one of the following:

1. At least two years of full-time experience in research, writing, or teaching in American architectural history or restoration architecture with an academic institution, historical organization or agency, museum, or other professional institution; or,
2. Substantial contribution through research and publication to the body of scholarly knowledge in the field of American architectural history.

- Historic Architect

The minimum professional qualifications in historic architecture are a professional degree in architecture or a State license to practice architecture, plus one of the following:

1. At least one year of graduate study in architectural preservation, American architectural history, preservation planning or closely related field; or
2. At least one year of full-time professional experience on historic preservation projects.

Such graduate study or experience shall include detailed investigations of historic structures, preparation of historic structures research reports, and preparation of plans and specifications for preservation projects.

Grantee shall submit for HPO approval an interim schedule for draft submissions not later than thirty (30) days after execution of this amendment.

SCHEDULE:  
*(Insert Schedule)*

## D-5 Scope of Work for Preservation Plans

### Goal

The Grantee shall employ at a minimum the services of an Architectural Historian qualified in accordance with the National Park Service professional qualification standards to produce a Preservation Plan. The Plan will enable the Grantee to make informed planning, funding, contracting, and execution decisions that are consistent with the Secretary of the Interior's Standards for the Treatment of Historic Properties and ensures the continued preservation of this historic resource. The Preservation Plan should include as much historical research and existing conditions documentation as is necessary to substantiate its recommendations. Applicable existing documentation including, but not limited to, previous surveys, reports, guidelines, a New Jersey and National Registers of Historic Places nomination, and/or a National Historic Landmark designation will serve as foundations for the report and must be consulted and evaluated against current field conditions and additional research findings.

### Work Product

The Preservation Plan should follow the guidelines for preparing such a plan as detailed in the New Jersey State Historic Preservation Office's (HPO) publication *Historic Structure Reports and Preservation Plans: A Preparation Guide – Second Edition* at [http://www.state.nj.us/dep/hpo/4sustain/preparehsr\\_2015\\_11\\_02.pdf](http://www.state.nj.us/dep/hpo/4sustain/preparehsr_2015_11_02.pdf). The Plan should include the following sections (suggested sections are in parentheses):

- I. Identification of the Resource – Executive Summary
- II. Introduction
- III. Part I. Development History
  - a. Historical Background and Context History of Property
  - b. (Archaeological Evaluation)
  - c. (Analysis of Existing Conditions Site and Landscape Evaluation)
  - d. Architectural Description
    - i. Site Plan
      1. Exterior and Site photos keyed to Site Plan captioned with orientation, date, author, and source as appropriate.
    - ii. Exterior Description
    - iii. Floor Plan
      1. Interior photos keyed to Floor Plan captioned with orientation, date, author, and source as appropriate.
    - iv. Interior
  - e. Code and Accessibility Review
  - f. Structural Evaluation
  - g. (Building Systems Evaluation)
  - h. (Materials Analysis)
- IV. Part II. Treatment and Use
  - a. Treatment Philosophy
  - b. Use and Interpretation of the Resource
  - c. Room/Feature Treatment Recommendations
  - d. (Furnishings & Interior Decoration Recommendations)
  - e. Prioritization and Cost Estimate
  - f. (Maintenance Plan)
- V. Part III. Record of Treatment
  - a. Physical Project Completion Report
  - b. Additional Information/Bibliography
  - c. Glossary
  - d. Appendices

The final report will be a minimum of X pages in length and must contain X number of photographs and X number of detailed site plans. The consultant must submit two hard copies and two digital copies of the final report, with one set going to the Grantee and the other going to the HPO. In addition, the consultant must submit a draft report no later than X months after the beginning of the contract; the draft report must include X pages of text in addition to X number of photographs and X number of detailed site plans. The subjects to be covered within the report shall be at a minimum those identified in the SHPO publication noted above, and will be developed and agreed upon with the Project Coordinator at the onset of the project.

The consultant will provide customary services associated with a Preservation Plan, including an historical overview of construction; assessment of existing conditions, significant architectural features, and code review; structural overview; evaluation of the building's mechanical, electrical, plumbing, and fire protection systems; and conceptual cost estimate with prioritized recommendations. Services excluded from the Preservation Plan due to budget constraints will be investigation, identification, or mitigation of hazardous materials; civil or geotechnical engineering services; materials conservation services; diagrammatic drawings; and destructive testing or probes. These items may be recommended for future work on an as-needed basis.

### **Coordination**

All work will be conducted by a single, professional cultural resource management or architectural consulting firm that will meet or exceed the minimum professional qualification standards for Architectural Historian and/or Historic Architect, as defined by the NPS in the Code of Federal Regulations, 36 CFR Part 61. The consultant will develop their approach, work plan, and project schedule in coordination with the Grantee. They will also attend several meetings with key personnel for ongoing project management, coordination, and oversight; however public meetings or presentations will not be required. If additional professional services are necessary, such as engineering, the primary contractor will provide for these under subcontract. The municipal staff member who will serve as the project coordinator will be involved in all aspects of the process including contract drafting, request for proposals development and selection, grant administration, consultation, product review, and project meetings.

### **Schedule**

*(Insert Schedule)*

## **D-6 Scope of Work for Master Plan Historic Preservation Element**

**N.B. This scope of work meets the requirements for the Historic Preservation Element action in the Sustainable Jersey Program**

### **Goal**

The grant will be used to retain a qualified consultant to develop a Historic Preservation Plan Element of the Master Plan. The Element will evaluate the Grantee's historic resources and create a prioritized action plan for expanding the historic preservation program. Additionally, the historic preservation element will evaluate the public benefits of municipal historic preservation and examine the contribution and protection of historic sites and districts within the framework of community development, redevelopment, and conservation consistent with the New Jersey State Development and Redevelopment Plan (State Plan).

In addition to the broad goals and objectives cited above, specific objectives of this project are:

1. To prepare the historic preservation plan element of the municipal Master Plan in accordance with the requirements of the New Jersey Municipal Land Use Law, the New Jersey State enabling legislation for local historic preservation ordinances. The Municipal Land Use Law [N.J.S.A. 40:55D-28 b. (10)] requires that the historic preservation plan element of a Master Plan indicate the "location and significance of historic sites and districts," identify the "standards used to assess worthiness for historic site or district identification," and analyze the "impact of each component and element of the Master Plan on the impact of preservation of historic sites and districts;"
2. To analyze the compatibility of existing and proposed municipal historic preservation overlay zoning with the goals and objectives of the State Plan and the accommodation of future development, redevelopment and conservation within the municipality;
3. To identify the civic and economic benefits of the local historic preservation program, as well as the contribution of design review guidelines to the protection and preservation of historically and architecturally significant properties;
4. To identify a municipal historic preservation goal, a set of preservation objectives, and an implementation agenda to achieve that goal and objectives;
5. To provide the public with the opportunity to participate in the development and review of the historic preservation plan element.

### **Work Product**

The project should be completed within six months. The Historic Preservation Element should include the following or similar sections, not necessarily in this order:

#### **I. EXECUTIVE SUMMARY**

A one to two page summary of the document's purpose, contents, findings and recommendations.

#### **II. INTRODUCTION**

The HPE should not assume that the reader knows about historic preservation issues. Basic terms should be explained. The values and benefits of historic preservation should be stressed.

#### **III. CONTEXT – HISTORIC AND ARCHITECTURAL**

Don't assume that the reader knows the local context. Give the reader a broader understanding of the value of surviving cultural resources within the community. Summarize the town's local history and historic themes, development patterns, and architectural patterns. For additional information and details include cultural resource survey forms as well as copies of New Jersey and National Register nominations as appendices.

#### IV. INVENTORY

Describe what an inventory is; describe which properties currently have inventory forms, which inventory forms need to be updated, and which properties should be documented on inventory forms; recommend a realistic and efficient strategy for developing a broad understanding of the Township's historic resources by neighborhood.

Cite existing sources of information about historic resources in the community. These may include, but should not be limited to:

- Municipal cultural resource surveys
- County cultural resource surveys
- State Historic Preservation Office (SHPO) files
- New Jersey and National Register nominations
- Collections of the Historic American Buildings Survey (HABS), the Historic American Engineering Record (HAER), and the Historic American Landscape Survey (HALS)
- Files and collections of local historical societies

#### V. SIGNIFICANCE

Not all old buildings are significant. Explain why properties are "historic." What are the evaluation criteria? The National Parks Service has promulgated the National Register Criteria for Evaluation <http://www.cr.nps.gov/nr/publications/bulletins/nrb15/>. How old do they need to be? The National Register Program imposes additional hurdles for properties less than 50 years of age. What level of significance must they have – local, state, or national? What does significance mean? Include what is currently listed on the National and State Registers of Historic Places and what may be eligible for listing; explain investment tax credits and applicable grant programs.

#### VI. PUBLIC POLICY REVIEW

Review the Master Plan's impact on historic resources. Briefly describe the Township's other planning documents and summarize their discussion, if any, of historic preservation. Review the existing municipal regulations that impact historic preservation including but not limited to zoning, local historic districts, demolition delay, minimum maintenance regulations, subdivision regulations, signage regulations, and site plan review;

recommend changes to existing regulations or additional bylaws that could help to promote the preservation, adaptive reuse, and sensitive rehabilitation of historic resources.

Include a list of all historic municipally-owned properties such as school buildings, libraries, or parks; assess their historic significance and architectural quality; describe current management practices and whether changes should be made to better protect these resources; investigate future capital improvement projects such as transportation projects, new municipal buildings, and sewer line extensions for their impact on historic resources.

Discuss the role of the Historic Preservation Commission; summarize and quantify the Township's historic preservation efforts to date, including but not limited to local historic districts, easements, and National Register properties; describe the historic resources the Township has lost, historic resources the Township still has, and historic resources that are at risk; describe how the Township can better protect its historic resources. Compare the local government's historic preservation policies to county and state historic preservation policies.

## VII. INTEGRITY & EXISTING CONDITIONS/ISSUES

Integrity represents the authenticity of a property's historic identity. It enables a property to illustrate significant aspects of its past. It is the survival of the physical characteristics that existed during the temporal period during which the property achieved its significance. The National Register Program describes it as composed of the following seven qualities: location, design, setting, materials, workmanship, feeling and association.

Identify existing conditions and issues. Equally identify what is going right ("successes") and what is going wrong ("threats"). What are the current and projected future demographic and market forces at work in the community? Are household size and housing expectations changing? Are teardowns an issue in your community? Is demolition by neglect a significant issue? An explanation of private sector threats to the above identified historic resources that may warrant public sector actions including, but not limited to, trends in deferred maintenance, abandonment, change of use and increased permitting for building demolitions, alterations, and additions.

Analyses of the potential direct and indirect threats that current local public sector (municipal, county, state, and federal) policies have on the Township's historic resources, including those policies and plans in the Township's land use plan and land development ordinances, redevelopment plans, circulation and utility plans, open space conservation and farmland preservation plans, and other elements of the municipal master plan.

## VIII. LOCAL HISTORIC PRESERVATION GOAL AND OBJECTIVES

Investigate how Montclair residents feel about the Township's historic resources. For example, do they appreciate the existing historic properties? Are there historic resources that are not well-protected, do residents understand how vulnerable they are to loss? Do they understand what can happen to the Township's historic resources under current zoning? An explanation of the community values that historic preservation may bring to a municipality, which values should inform the development of the municipality's historic preservation goal and objectives.

What does the community want? There should be just one goal. An example might be: To protect, enhance and, rehabilitate historic resources by identifying, evaluating, and designating significant places and ensuring that new growth and development is compatible with the municipality's historic and cultural values.

But there may be reasons that goal is desirable – these are objectives. Some will be tangible, some intangible. They may include: education, pleasure, fostering pride, community revitalization, beauty, reduction of carbon footprint, or discouraging unnecessary demolition.

## IX. EVALUATION OF PUBLIC POLICY CHOICES

A review of alternative public policy measures the Township should consider to address private and public sector threats, including, but not limited to, designation as a local historic site or district, amendment of an existing historic district, amendment of the existing historic preservation ordinance or ordinance guidelines, and amendment of the municipal land use plan and ordinance, redevelopment plan(s) and other elements of the municipal master plan.

Include an evaluation of public policy choices, including, but not limited to:

- Complete an architectural survey. Consider conforming to the *NJ Guidelines for Architectural Survey*  
<http://www.state.nj.us/dep/hpo/1identify/survarchit.htm>
- Educate residents and business owners about historic preservation
- Consider variances that support historic preservation
- Consider establishing historic preservation overlays in the municipality's zoning
- Complete preservation plans for municipally owned historic properties
- Comply with the requirements of the New Jersey Register of Historic Places Act  
<http://www.state.nj.us/dep/hpo/2protection/njsa13.htm>

- Promotion of the NJ Rehabilitation Subcode  
<http://www.state.nj.us/dca/divisions/codes/offices/rehab.html>
- Establishment and maintenance of a historic preservation commission
- Establish an awards program recognizing local historic preservation achievements
- Provide constituents with technical assistance resources
- Participate in the Certified Local Government Program  
<http://www.state.nj.us/dep/hpo/3preserve/local.htm>

#### X. ACTION PLAN/AGENDA

Recommended municipal private and public sector historic preservation strategies included in an implementation agenda. Outline a realistic year-by-year schedule for implementing all of the above recommendations, including the name of the organization or board responsible for implementation; could include ongoing and long-term goals.

This should be:

- Relatively easy to understand
- Relatively low/moderate in cost
- Accomplish multiple objectives
- Have a short turnaround time
- Be legally and politically viable
- Be publically supportable/favorable

Recommend organizing the Action Plan/Agenda by:

- Resource Commitments (time, labor, money)
- Scheduling (short, medium, long-term)
- Responsible parties/Stakeholders (government offices or agencies, institutions, private sector)

#### XI. SUGGESTED APPENDICES

- Copies of Completed Inventory/Survey forms
- Copies of New Jersey/National Register nominations
- The Secretary of the Interior's Standards for Rehabilitation  
<http://www.cr.nps.gov/tps/standards/four-treatments/treatment-rehabilitation.htm>
- NJ Office of State Planning Memo on Historic Preservation  
[http://www.nj.gov/dep/hpo/hpo\\_article.pdf](http://www.nj.gov/dep/hpo/hpo_article.pdf)
- A Five Minute Look at the New Jersey Register of Historic Places Act  
[http://www.state.nj.us/dep/hpo/2protection/sr\\_5minlook\\_b.pdf](http://www.state.nj.us/dep/hpo/2protection/sr_5minlook_b.pdf)

- NJ Municipal Land Use Law, Historic Preservation Related Sections  
[http://www.nj.gov/dep/hpo/3preserve/mlul\\_7\\_07.pdf](http://www.nj.gov/dep/hpo/3preserve/mlul_7_07.pdf)
- NJ Certified Local Government Program Guidelines  
[http://www.nj.gov/dep/hpo/3preserve/clguides8\\_07.pdf](http://www.nj.gov/dep/hpo/3preserve/clguides8_07.pdf)

The completed plan element will be a typewritten (12 point font) document of at least twenty-five (25) pages of text accompanied by maps and at least one photograph of each identified individually listed or eligible historic resources and each listed or eligible “contributing” historic resource in an identified district. The Grantee shall produce the maps for the plan element utilizing Geographic Information System (GIS) maps to delineate any existing and proposed historic districts and historic preservation overlay zones. Two (2) unbound paper copies of the plan element will be prepared, one for the Grantee and one for the New Jersey Historic Preservation Office.

### **Coordination**

The Consultant will have six months to complete the Historic Preservation Element. The consultant will meet with the Grantee prior to the commencement of the project and to review project progress and deliverables at agreed-upon times throughout the project. Plan element preparation will include at least one (1) public hearing before the Planning Board as required by New Jersey statutes.

### **Schedule**

*(Insert schedule)*

**ATTACHMENT E**

Professional Qualification Standards

## HISTORIC PRESERVATION PROFESSIONAL QUALIFICATIONS

In the following definitions, a year of full-time, professional experience need not consist of a continuous year of full-time work, but may be made up of discontinuous periods of full-time or part-time work adding up to the equivalent of a year of full-time experience.

**History:** The minimum professional qualifications in history are a graduate degree in history or closely related field; or a bachelor's degree in history or closely related field plus one of the following:

- at least two years of full-time experience in research, writing, teaching, interpretation or other demonstrable professional activity with an academic institution, historical organization or agency, museum or other professional institution; or
- substantial contribution through research and publication to the body of scholarly knowledge in the field of history.

**Archaeology:** The minimum professional qualifications in archaeology are a graduate degree in archaeology, anthropology, or closely related field plus:

- at least one year of full-time professional experience or equivalent specialized training in archaeological research, administration or management;
- at least four months of supervised field and analytic experience in general North American archaeology; and
- demonstrated ability to carry research to completion.

In addition, a professional in prehistoric archaeology shall have at least one year of full-time professional experience at a supervisory level in the study of archaeological resources of the prehistoric period. A professional in historic archaeology shall have at least one year of full-time professional experience at a supervisory level in the study of archaeological resources of the historic period.

**Architectural history:** The minimum professional qualifications in architectural history are a graduate degree in architectural history, art history, historic preservation, or closely related field, with coursework in American architectural history; or a bachelor's degree in architectural history, art history, historic preservation, or closely related field, plus one of the following:

- at least two years of full-time experience in research, writing, or teaching in American architectural history or restoration architecture with an academic institution, historical organization or agency, museum, or other professional institution; or
- substantial contribution through research and publication to the body of scholarly knowledge in the field of American architectural history.

**Architecture:** The minimum professional qualifications in architecture are a professional degree in architecture plus at least two years of full-time professional experience in architecture; or a license to practice architecture.

**Historic architecture:** The minimum professional qualifications in historic architecture are a professional degree in architecture or state license to practice architecture, plus one of the following:

- at least one year of graduate study in architectural preservation, American architectural history, preservation planning, or closely related field; or
- at least one year of full-time professional experience on historic preservation projects. (Such graduate study or experience shall include detailed investigations of historic structures, preparation of historic structures research reports, and preparation of plans and specifications for preservation projects.)

**ATTACHMENT F**

HPO Reimbursement Form

New Jersey Department of Environmental Protection  
Historic Preservation Office

REQUEST for GRANT REIMBURSEMENT CONTRACTS/MATERIALS  
PROJECT COMPLETION REPORT

Name of Subgrantee (Municipality): \_\_\_\_\_

Project Name: \_\_\_\_\_

Grant Identifier: **HE** \_\_\_\_\_ - \_\_\_\_\_ Federal Employer I.D. # \_\_\_\_\_

Work Period: from \_\_\_\_\_ to \_\_\_\_\_

Please itemize by approved budget category only. *Do not* combine items or categories.

| Vendor Name | Billing #<br>or Date | Municipal<br>Invoice or<br>Voucher # | Check # | Planned<br>Cost | Actual<br>Cost | Budget<br>Category |
|-------------|----------------------|--------------------------------------|---------|-----------------|----------------|--------------------|
|             |                      |                                      |         |                 |                |                    |
|             |                      |                                      |         |                 |                |                    |
|             |                      |                                      |         |                 |                |                    |
|             |                      |                                      |         |                 |                |                    |
|             |                      |                                      |         |                 |                |                    |
|             |                      |                                      |         |                 |                |                    |
|             |                      |                                      |         |                 |                |                    |

Total \$ \_\_\_\_\_

As Chief Financial Officer and/or authorized agent of the Grantee, I hereby certify that all expenses listed herein have been incurred solely in furtherance of the project approved by the New Jersey Department of Environmental Protection and the National Park Service, more particularly described in DEP-069G Grant Agreement or DEP-076 Amendment/Modification and any authorized revision.

\_\_\_\_\_  
Signature, Chief Financial Officer

\_\_\_\_\_  
Date

A hard copy of the completed reimbursement form (with original signature) and supporting documentation (government invoices, vendor billings, proof of payment) must be submitted to:

CLG Coordinator  
Mail Code 501-04B  
New Jersey Historic Preservation Office  
PO Box 420  
Trenton, NJ 08625-0420

**ATTACHMENT G**

Budget Instructions and Considerations

## BUDGET INSTRUCTIONS AND CONSIDERATIONS

All costs must be properly verified and documented prior to reimbursement. Appropriate documentation may include, but is not limited to, copies of cancelled checks, billing statements, invoices and receipts, and copies of computer printouts or monthly billing statements with the appropriate dates and figures noticeably highlighted.

**All costs must be in payment for obligations incurred during the project period.** Obligations made prior to the start date or after the ending date of the contract will not be approved or reimbursed.

All costs must represent expenditures which are necessary to the accomplishment of approved grant objectives and as agreed to in the contract.

No changes or revisions to the project budget may be made without prior written request to and approval from the Certified Local Government Coordinator or his/her delegee.

### Cost Categories:

1. **Personnel:** Project specific personnel are hired as regular employees by a CLG specifically for the grant project. Eligible costs may include salary expenses as well as other project related expenses incurred for the benefit of the project specific personnel. Costs included in a request for reimbursement **must** be supported by copies of records showing the employee name, dates and hours worked, dollar amount charged, description of work performed, as well as signatures of the employee and the employee's supervisor.
2. **Contractual Services:** Contractual services are defined as services necessary for the completion of the project that are contracted by the CLG. The CLG must follow the requirements of Attachment O of OMB Circular A-102 in arranging for this type of service. Documentation on this process (procurement standards) is subject to audit. Eligible costs may include rates only up to the maximum allowed by the U.S. Office of Personnel Management for Grade GS-15, Step 10 in the applicable locality. To determine that rate, please consult the General Schedule Salary Calculator (<https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/2016/general-schedule-gs-salary-calculator/>) and the General Schedule Locality Pay Tables (<https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/2017/general-schedule/>). **Consultant rates per hour that exceed the allowable standards cannot be charged to the project.** Costs within the allowable rates must be supported by copies of invoices or canceled checks.
3. **Supplies and Materials:** Purchased supplies and materials are those bought specifically for the project. The CLG must follow the requirements of OMB Circular A-102 in the purchase of supplies and materials. Documentation of this process is subject to audit. Cost reimbursement requests must be supported by invoices and copies of canceled checks.

4. **Mileage and Travel Expenses:** Mileage costs may be charged to the grant budget for necessary costs incurred. Costs included for reimbursement must be supported with information as follows: Project personnel name, date of travel, purpose of travel, number of miles traveled and rate per mile claimed (the maximum authorized federal rate in our state rate of **\$.535 per mile** (which may change by the time the project commences). Other travel costs that are approved must be supported by actual travel expense documents up to the maximum agreed upon in the contract.

**Remember, project costs are reimbursed and not paid in advance.**

**ATTACHMENT H**

New Jersey Certified Government Grant Review Sheet

## New Jersey Certified Local Government Grant Review Sheet

Applicant:

Reviewer Name:

| Criteria  | Possible Points | Points Awarded |
|---|-----------------|----------------|
| Extent to which the public will benefit from the project. Projects with broader or statewide impact will rank higher than those with only local impact.   | 15              |                |
| Extent to which the project meets the goals of the Statewide Historic Preservation Plan (2 points total possible per goal)  | 12              |                |
| Relative architectural and historic significance of the properties affected by the project activity. Projects involving properties which are eligible or listed in the New Jersey and National Registers of Historic Places receive priority. Rare, unique, or key landmarks merit special consideration.   | 10              |                |
| Clear, measurable, and attainable project goals within the grant period.  | 10              |                |
| Degree to which the project involves ideas or methods which could serve as a model for future projects in the community or state.   | 10              |                |
| Extent to which the project increases the capability and effectiveness of the Certified Local Government to address historic preservation needs.  | 8               |                |
| Extent to which the project addresses an urgent preservation need that will otherwise go unaddressed or unresolved.   | 5               |                |
| Demonstrated ability of the applicant to meet all program requirements, particularly with respect to matching funds and project timing. Demonstrated ability of the applicant to successfully complete grant projects within a given period. In other words, applicants must possess the administrative capacity to successfully carry out a grant project. | 5               |                |
| Realistic budget.   | 5               |                |
| Clear project description, tangible work products, and detailed project schedule.   | 5               |                |
| Qualifications of project personnel and clear understanding of the type(s) of consultant(s) required to undertake the project.  | 5               |                |
| Quality of the application in terms of adherence to format, completeness, and accuracy.   | 5               |                |
| Geographic distribution of grants awarded around the state.   | 5               |                |
| <b>Subtotal</b>   | <b>100</b>      |                |
| <i>Sustainable Jersey bonus points (Add 1 point if the municipality is Bronze Certified, Add 2 points if the municipality is Silver Certified)</i>  | <i>2</i>        |                |
| <b>Total Points</b>   | <b>102</b>      |                |

Score applications based on the following scales:

| Scale    | Not at all | Not Adequately | Generally True | Mostly True | Absolutely |
|----------|------------|----------------|----------------|-------------|------------|
| 5 point  | 0          | 1              | 2-3            | 4           | 5          |
| 8 point  | 0          | 1-2            | 3-5            | 6-7         | 8          |
| 10 point | 0          | 1-3            | 4-6            | 7-9         | 10         |
| 12 point | 0          | 1-4            | 5-7            | 8-11        | 12         |
| 15 point | 0          | 1-5            | 6-9            | 10-14       | 15         |