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## **SUBCHAPTER 4. LICENSING OF DIFFUSE NATURALLY OCCURRING OR DIFFUSE ACCELERATOR PRODUCED RADIOACTIVE MATERIALS**

### 7:28-4.1 Scope and general provisions

(a) This subchapter shall apply to persons who manufacture, produce, transfer, distribute or arrange for the distribution, sell, lease, receive, acquire, own, possess or use any diffuse naturally occurring or diffuse accelerator produced radioactive materials, including TENORM, in this State.

(b) No person shall manufacture, produce, transfer, distribute or arrange for the distribution, sell, lease, receive, acquire, own, possess or use any diffuse naturally occurring or diffuse accelerator produced radioactive materials, including TENORM, in this State unless authorized by a specific license issued by the Department as provided by N.J.A.C. 7:28-4.7 and 4.8, a general license as provided in N.J.A.C. 7:28-4.5, or an exemption as provided in N.J.A.C. 7:28-4.3. Excepted from this provision are by-product, source and special nuclear materials.

(c) A person who sells, transfers, distributes or arranges for the distribution of a device containing diffuse naturally occurring or diffuse accelerator produced radioactive materials manufactured by another person, but which is sold, transferred or distributed under its own name, shall obtain a license in accordance with this subchapter.

### 7:28-4.2 Recognition of licenses for diffuse NARM from other jurisdictions

(a) Any person who possesses a specific license or equivalent licensing document issued by a Federal agency or any other state is granted a general license in this State provided that the provisions of (b)1 through 4 below have been met.

(b) Any person who possesses a specific license or equivalent licensing document issued by a Federal agency or any other state may, pursuant to the general license in (a) above, transport, receive, possess, or use the radioactive materials specified in such license within this State for a period not in excess of 180 days in any period of 12 consecutive months without obtaining a specific license from the Department provided that

1. The license does not limit the activity to specified installations or locations;

2. The licensee notifies the Department in writing at least three days prior to the time that such radioactive material is brought into this State. Such notification shall indicate the location, period, and type of proposed possession and use within this State, and shall be accompanied by a copy of the pertinent licensing document. If in a specific case the three-day period would impose an undue hardship on the user, he may, upon application to the Department, obtain permission to proceed sooner;

3. The licensee complies with all the terms and conditions of the license;

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4. The licensee provides such other information as the Department may request;  
and

(c) The Department may withdraw, limit or qualify its acceptance of such licenses issued by another agency, or any product distributed pursuant to such licensing documents, upon determining that such action is necessary in order to prevent undue hazard to public health and safety or property.

7:28-4.3 Exemption from requirement for a license for manufacture, production, transfer, distribution or arrangement of distribution, sale, lease, receipt, acquisition, ownership, possession or use of all diffuse naturally occurring or diffuse accelerator produced radioactive materials

(a) A person shall be exempt from the requirement to obtain a license for the following activities:

1. The person is a plant or laboratory owned by or operated on behalf of a Federal agency;

2. The person is a common or contract carrier and is transporting or storing radioactive materials covered by *N.J.A.C. 7:28-4.7* in the regular course of carriage for another, or storage incident thereto;

3. The person manufactures, produces, receives, possesses, uses, transfers, distributes or arranges for the distribution, sells, leases, owns or acquires products or materials containing diffuse naturally occurring or diffuse accelerator produced radioactive materials in concentrations not in excess of those exempted in *N.J.A.C. 7:28-4.3(b)*;

4. The person owns or possesses naturally occurring radioactive materials, occurring in natural abundance and which are not technologically enhanced naturally occurring radioactive materials, whether intentionally or unintentionally;

5. The person who receives, owns, possesses, uses, processes, transfers, distributes, arranges for the distribution, sells or leases technologically enhanced naturally occurring radioactive materials (TENORM) if the TENORM contain any combination of Radium-226 and Radium-228 at concentrations less than five pCi/g (185 Bq/kg) (dry weight) above background and less than the quantity listed in (c) below;

6. The person owns property where radon gas is being expelled to the outside atmosphere as part of a radon remediation system installed in accordance with the provisions of *N.J.A.C. 7:28-27*;

7. The person owns a domestic treatment works where sewage sludge is present which may contain TENORM from the separation of liquids and solids which is the outcome of normal operations of the domestic treatment works;

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8. The person is involved with the distribution, including custom blending, possession, and use of fertilizers containing TENORM; and

9. The person owns property where residual contamination remaining at the site was remediated under the Radiation Protection Act (N.J.S.A. 26:2D-1 et seq.) and/or the other authorities listed in the Soil Remediation Standards at N.J.A.C. 7:28-12.2(a). Such residual concentrations may be greater than the limits specified in (a)5 above, but be under restricted conditions imposed by the Department (such as engineering and institutional controls), and meet the dose criteria specified in N.J.A.C. 7:28-12.8.

(b) The following concentrations of diffuse naturally occurring radioactive materials, including TENORM, and diffuse accelerator-produced radioactive materials, when obtained from naturally occurring materials or when produced by an accelerator are exempt from the requirements for a license:

Exempt Concentrations

Element (nuclide)	Column 1 Gas concentration (uCi/ml)	Column 2 Liq. & solid Concentration (uCi/ml) ***[*]
Argon (Ar-37)	$1 \times 10^{-3}$	--
Arsenic (As-73)	--	$5 \times 10^{-3}$
(As-74)	--	$5 \times 10^{-4}$
Barium (Ba-131)	--	$2 \times 10^{-3}$
Beryllium (Be-7)	--	$2 \times 10^{-2}$
Bismuth (Bi-206)	--	$4 \times 10^{-4}$
(Bi-207) *	--	$2 \times 10^{-4}$
Cadmium (Cd-109)	--	$2 \times 10^{-3}$
Chromium (Cr-51)	--	$2 \times 10^{-2}$
Cobalt (Co-56) *	--	$1.2 \times 10^{-4}$
(Co-57)	--	$5 \times 10^{-3}$
(Co-58)	--	$1 \times 10^{-3}$
Dysprosium (Dy-159) *	--	$4 \times 10^{-3}$
Fluorine (F-18)	$2 \times 10^{-6}$	$8 \times 10^{-3}$
Gallium (Ga-67) *	--	$2 \times 10^{-3}$
Germanium (Ge-68) *	--	$1.2 \times 10^{-3}$
(Ge-71)	--	$2 \times 10^{-2}$
Gold (Au-196)	--	$2 \times 10^{-3}$
(Au-199)	--	$2 \times 10^{-3}$
Indium (In-111) *	--	$1.2 \times 10^{-3}$
(In-113m)	--	$1 \times 10^{-2}$
Iodine (I-123) *	$4 \times 10^{-7}$	$2 \times 10^{-3}$
(I-124) *	$8 \times 10^{-9}$	$4 \times 10^{-5}$
Iridium (Ir-190)	--	$2 \times 10^{-3}$
(Ir-192)	--	$4 \times 10^{-4}$
Iron (Fe-55)	--	$8 \times 10^{-3}$

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Krypton (Kr-85m)	$1 \times 10^{-6}$	--
Lead (Pb-201) *	--	$2 \times 10^{-3}$
(Pb-203)	--	$4 \times 10^{-3}$
(Pb-210) *	--	$2 \times 10^{-7}$
Manganese (Mn-52)	--	$3 \times 10^{-4}$
(Mn-54)	--	$1 \times 10^{-3}$
Mercury (Hg-197m)	--	$2 \times 10^{-3}$
(Hg-197)	--	$3 \times 10^{-3}$
Neptunium (Np-237) *	--	$4 \times 10^{-7}$
Palladium (Pd-103)	--	$3 \times 10^{-3}$
Platinum (Pt-191)	--	$1 \times 10^{-3}$
(Pt-193m)	--	$1 \times 10^{-2}$
(Pt-197m)	--	$1 \times 10^{-2}$
Radium (Ra-226) *	--	$1.2 \times 10^{-6}$
(Ra-228)	--	$4 \times 10^{-11}$
Rhenium (Re-183)	--	$6 \times 10^{-3}$
Rubidium (Rb-81) *	--	$1 \times 10^{-2}$
(Rb-83) *	--	$1.8 \times 10^{-4}$
(Rb-84) *	--	$1.4 \times 10^{-4}$
Ruthenium (Ru-97)	--	$4 \times 10^{-4}$
Samarium (Sm-153)	--	$8 \times 10^{-4}$
Scandium (Sc-48)	--	$3 \times 10^{-4}$
Silver (Ag-105)	--	$1 \times 10^{-3}$
(Ag-111)	--	$4 \times 10^{-4}$
Sodium (Na-22) *	--	$1.2 \times 10^{-4}$
Tantalum (Ta-179) *	--	$6 \times 10^{-3}$
Technetium (Tc-96)	--	$1 \times 10^{-3}$
Thallium (Tl-200)	--	$4 \times 10^{-3}$
(Tl-201)	--	$3 \times 10^{-3}$
(Tl-202)	--	$1 \times 10^{-3}$
** Thorium (Th-228) *	--	$4 \times 10^{-6}$
(Th-230) *	--	$2 \times 10^{-6}$
(Th-232) *	--	$6 \times 10^{-7}$
(Th-234) *	--	$1 \times 10^{-4}$
Thulium (Tm-170)	--	$5 \times 10^{-4}$
Tungsten (Wolfram)	--	$4 \times 10^{-3}$
(W-181)		
** Uranium (U-234) *	--	$6 \times 10^{-6}$
(U-235) *	--	$6 \times 10^{-6}$
(U-238) *	--	$6 \times 10^{-6}$
Vanadium (V-48)	--	$3 \times 10^{-4}$
Yttrium (Y-88) *	--	$2 \times 10^{-4}$
(Y-92)	--	$6 \times 10^{-4}$
Zinc (Zn-69m)	--	$7 \times 10^{-4}$
Any other beta/gamma emitter with half-life <3 years	$1 \times 10^{-10}$	$1 \times 10^{-6}$

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\* The values for those diffuse naturally occurring radioactive materials and diffuse accelerator produced radioactive materials, including TENORM, that are followed by a single asterisk(\*) are based upon multiplying 20 times the most restrictive release concentrations specified in 10 CFR 20 Appendix B, Table 2, Columns 1 (air) and 2 (water).

\*\* These concentrations do not apply to source material for thorium and uranium.

\*\*\* uCi/g for solids

1. Many radioisotopes disintegrate into isotopes which are also radioactive. In expressing the concentrations in this section, the value given is that of the parent isotope and takes into account the radioactivity of the daughters.

2. For purposes of *N.J.A.C. 7:28-4.3(a)3*, where a combination of isotopes is involved, the limit for the combination shall be computed as follows:

(c) If a person manufactures, produces, transfers, distributes or arranges for the distribution, sells, leases, receives, acquires, owns, possesses or uses diffuse naturally occurring radioactive materials or diffuse accelerator produced radioactive materials, including TENORM, in quantities less than those listed in *N.J.A.C. 7:28-4.5(c)*, they are exempt from the requirement for a license.

7:28-4.4 Types of licenses for manufacture, production, transfer, distribution or arrangement for distribution, sale, lease, receipt, acquisition, ownership, possession or use of all diffuse naturally occurring or diffuse accelerator produced radioactive materials

(a) General licenses described in *N.J.A.C. 7:28-4.5* are effective without the filing of an application with the Department or the issuance of licensing documents to particular persons.

(b) Specific licenses are issued to named persons upon application filed pursuant to the requirements of this subchapter.

7:28-4.5 General licenses for the transfer, distribution or arrangement for distribution, sale, lease, receipt, acquisition, ownership, possession or use of diffuse naturally occurring or diffuse accelerator produced radioactive materials and certain devices and equipment

(a) Any person who uses, transfers, distributes or arranges for the distribution, sells, leases, receives, acquires, owns or possesses the following devices and equipment incorporating diffuse naturally occurring or diffuse accelerator produced radioactive material, when manufactured, tested and labeled by the manufacturer in accordance with the specifications contained in a specific license issued by the Department, or a specific license of a Federal agency or any other state, shall be deemed to have a general license:

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1. Devices designed for use as static eliminators and which contain, as a sealed source or sources, radioactive material consisting of a total of not more than 500 microcuries of Polonium 210 or 50 microcuries of Radium 226 per device;

2. Spark gap tubes and electronic tubes which contain radioactive material consisting of not more than one microcurie of Radium per tube;

3. Devices designed for ionizing of air and which contain, as a sealed source or sources, radioactive material consisting of a total of not more than 500 microcuries of Polonium 210 or 50 microcuries of Radium 226 per device.

(b) The devices described in (a) above shall not be transferred, abandoned or disposed of except by transfer to a person duly authorized to receive such device by a specific license issued by the Department, a Federal agency, or any other state.

(c) The following quantities of radioactive substances, when obtained from diffuse naturally occurring materials or diffuse accelerator produced radioactive materials, are generally licensed provided that no person shall at any one time possess or use more than a total of 10 such quantities:

Radioactive Material	Column A Not as a Sealed Source (microcuries)	Column B As a Sealed Source (microcuries)
Beryllium (Be-7)	50	50
Bismuth 207 (Bi-207)	1	10
Cadmium 109-Silver 109 (Cd 109 + Ag 109)	10	10
Cerium 141 (Ce-141)	1	10
Chromium 51 (Cr-51)	50	50
Cobalt 57 (Co-57)	20	20
Germanium 68 (Ge-68)	1	10
Iron 55 (Fe-55)	50	50
Manganese 52 (Mn-52)	1	10
Polonium 210 (Po-210)	0.1	1
Radium and daughters	0.1	1
Sodium 22 (Na-22)	10	10
Vanadium 48 (V-48)	1	10
Zinc 65 (Zn-65)	10	10
Beta and/or gamma emitting radioactive material not listed above	1	10

(d) There are no generally licensed quantities for alpha-emitting materials other than those set forth in *N.J.A.C. 7:28-4.5(c)*.

(e) Any person who owns, receives, acquires, possesses or uses radioactive material when contained in a device designed and manufactured for the purpose of detecting, measuring, gauging or controlling thickness, density, level, interface location, radiation, leakage, or qualitative or quantitative chemical composition or for producing light or an ionized atmosphere, when such devices are manufactured in accordance with the specifications contained in a specific license authorizing distribution under a general

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license issued to the supplier by the Department, a Federal agency, or any other state, is deemed to have a general State license, provided that:

1. The device is labeled in accordance with the provisions of the specific license which authorizes the distribution of the devices;

2. The device bears a label containing the following or a substantially similar statement:

"This device contains radioactive material and has been manufactured for distribution as a generally licensed device pursuant to

.....  
(identify appropriate section of the rules)

.....  
(name of licensing agency and state)

License No. .... by ..... (name of supplier)

This device shall not be transferred, abandoned or disposed of except by transfer to a person duly authorized to receive such device by a specific license issued by the Department, a Federal agency, or any other state.

Removal of this label is prohibited."; and

3. The devices requiring special installation shall be installed on the premises of the general licensee by a person authorized to install the devices under a specific license issued to the installer by the Department, a Federal agency, or any other state.

(f) Persons who transfer, distribute or arrange for the distribution, sell, lease, receive, acquire, own, possess or use items and quantities of radioactive materials set forth in N.J.A.C. 7:28-4.5(a) and (c) pursuant to a general license shall not:

1. Effect an increase in the radioactivity of such scheduled items or quantities by adding other radioactive material thereto, by combining radioactive material from two or more such items or quantities, or by altering them in any other manner so as to increase the rate of radiation emission;

2. Administer or direct the administration of the scheduled items or quantities or any part thereof to a human being, either externally or internally, for any purpose, including, but not limited to, diagnostic, therapeutic and research purposes;

3. Add or direct the addition of the scheduled items or quantities or any part thereof to any food, beverage, cosmetic, drug or other product designed for ingestion or inhalation by, or application to, a human being; or

4. Include the scheduled items or quantities or any part thereof in any device, instrument, apparatus, including component parts and accessories intended for use in diagnosis, treatment or prevention of disease in human beings or animals or otherwise intended to affect the structure or any function of the body of human beings or animals.

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(g) Persons who receive, acquire, possess or use a device pursuant to a general license specified in N.J.A.C. 7:28-4.5(a):

1. Shall not transfer, abandon or dispose of the device except by transfer to a person duly authorized to receive such device by a specific license issued by the Department, a Federal agency, or any other state;
2. Shall assure that all labels affixed to the device at the time of receipt and bearing the statement, "Removal of this label is prohibited", are maintained thereon and shall comply with the instructions contained in such labels;
3. Shall have the device tested for leakage of radioactive material and proper operation of the on-off mechanism and indicator, if any, at intervals not to exceed six months;
4. Shall have the tests required by *N.J.A.C. 7:28-4.5(g)3* and all other services involving the radioactive material, its shielding and containment, performed by the supplier or other person duly authorized by a specific license issued by the Department, a Federal agency, or any other state to manufacture, install or service such devices;
5. Shall maintain records of all tests performed on the devices as required under *N.J.A.C. 7:28-4.5(g)3*, including the dates and results of the tests and the names and addresses of the persons conducting the tests;
6. Upon the occurrence of a failure of or damage to, or any indication of a possible failure of or damage to, the shielding or containment of the radioactive material or the on-off mechanism or indicator, shall immediately suspend operation of the device until it has been either:
7. Shall be exempt from the requirements of this subchapter, except the provisions of N.J.A.C. 7:28-4.4(a), 4.9, 4.14, 4.19, records of surveys, records of radioactive materials, and reports of theft, loss, or incidents pursuant to the requirements in N.J.A.C. 7:28-6, Standards for protection against radiation.

7:28-4.6 Application for and renewal of specific licenses for manufacture, transfer, distribution or arrangement for distribution, sale, lease, receipt, acquisition, ownership, possession or use of diffuse naturally occurring or diffuse accelerator produced radioactive materials

(a) Upon approval of an initial or renewal application, a specific license may be issued by the Department for a period of ten years commencing on the date the license is issued.

(b) Application for specific licenses and renewals shall be filed with the Department, on forms available from the Department.

(c) All applications shall contain the following signature and certification:



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1. "I certify under penalty of law that the information provided in this document is true, accurate and complete. I am aware that there are significant civil and criminal penalties for submitting false, inaccurate or incomplete information, including fines and/or imprisonment."

2. The certification shall be signed by the highest ranking corporate, partnership, or governmental officer or official at the facility or the individual for which or for whom the specific license is requested.

(d) An application for a specific license may include a request for a license authorizing one or more activities.

(e) Information included in the specific license application will be incorporated in and made a part of the terms and conditions of such license by reference.

(f) All applicants for initial and renewal applications for specific licenses shall complete the application in its entirety with no reference to previously filed documents. The Department may accept photocopies of previous relevant applications.

(g) No initial or renewal specific licenses shall be issued unless the appropriate annual license fee required by N.J.A.C. 7:28-64.4 is paid.

(h) Except as provided in N.J.A.C. 7:28-4.19, applications and documents submitted to the Department will be made available for public inspection.

(i) Upon the request of the Department at any time after the filing of the original or renewal specific license application, and before the expiration of the license, the applicant shall submit further information to enable the Department to determine whether the application should be granted or denied or whether a license should be modified or revoked.

(j) All applications for a license or amendment shall be signed by the applicant or licensee or a person duly authorized to act for and on his behalf.

(k) The Department may deny an application for a specific license if the applicant:

1. Fails to comply with any provisions of the Act or any rules promulgated hereunder;
2. Falsifies or makes misleading statements in the application for license; or
3. Falsifies or makes misleading statements in any documents which were utilized to obtain a license.

7:28-4.7 General requirements for approval of an application for an initial specific license or renewal of a specific license for use of diffuse naturally occurring or diffuse accelerator produced materials

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(a) If the Department determines that an applicant meets the requirements of this subchapter and the Act, it may issue an initial specific license or renew a specific license for non-human use of radioactive materials provided:

1. The applicant is qualified by reason of training and experience to use the radioactive material for the purpose requested in such manner as to protect health, minimize danger to life or property and prevent unnecessary radiation;
2. The applicant's proposed equipment, facilities and procedures are adequate to protect health, minimize danger to life or property and prevent unnecessary radiation; and
3. The applicant satisfies special requirements as may be applicable in *N.J.A.C. 7:28-4.8*.

7:28-4.8 Special requirements for approval of an application for an initial specific license or renewal of a specific license for use of diffuse naturally occurring or diffuse accelerator produced radioactive materials

(a) If the Department determines that an applicant meets the requirements of this subchapter and the Act, an initial specific license or renewal of a specific license may be issued for use of multiple quantities or types of radioactive material provided:

1. The applicant satisfies the general requirements for approval of specific license applications in *N.J.A.C. 7:28-4.7*;
2. The applicant's staff has had substantial training and experience with a variety of radioisotopes for various research and development uses;
3. The applicant has established an isotope committee, composed of a radiological safety officer, a representative of management and one or more persons trained or experienced in the safe use of radioactive materials, which will review and approve or disapprove proposals for use of radioactive materials in the advance of purchase of such materials; and
4. The applicant has appointed a radiological safety officer who shall be responsible for rendering advice and assistance on radiological safety.

(b) If the Department determines that an applicant meets the requirements of this subchapter and the Act, an initial specific license or renewal of a specific license may be issued for use of multiple quantities or types of radioactive material in processing for distribution to other authorized persons provided:

1. The applicant satisfies the general requirements for approval of specific license application in *N.J.A.C. 7:28-4.7*;
2. The applicant's staff has had training and experience in the processing and distribution of a variety of radioisotopes; and

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3. The applicant has appointed a radiological safety officer who shall be responsible for rendering advice and assistance on radiological safety.

(c) If the Department determines that an applicant meets the requirements of this subchapter and the Act, an initial specific license or renewal of a specific license may be issued to distribute certain devices to persons generally licensed under N.J.A.C. 7:28-4.5(a) and (e) provided:

1. The applicant satisfies the general requirements for approval of specific license applications in N.J.A.C. 7:28-4.7;

2. The applicant submits sufficient information relating to the design, manufacturer prototype testing, quality control procedures, labeling, proposed uses and potential hazards of the device to provide reasonable assurance that:

i. The radioactive material contained in the device cannot be easily removed from the device;

ii. No person possessing, using, transporting or exposed to the device will receive a radiation dose to a major portion of his body in excess of 0.1 rem in any one year under ordinary circumstances of use;

iii. The device can be safely operated by persons not having training in radiological protection; and

iv. The radioactive material within the device would not be accessible to unauthorized persons; and

3. In describing the label or labels and contents thereon to be affixed to the device, the applicant shall separately indicate those instructions and precautions which are necessary to assure safe operation of the device. Such instructions and precautions shall be contained on labels as described in *N.J.A.C. 7:28-4.5(e)*.

(d) If the Department determines that an applicant meets the requirements of this subchapter and the Act, an initial specific license or renewal of a specific license will be issued to transfer, possess, or control products or materials containing exempt concentrations of radioactive material specified in N.J.A.C. 7:28-4.3(b) which the transferor has introduced into the product or material provided:

1. The applicant satisfies the general requirements for approval of specific license applications in N.J.A.C. 7:28-4.7;

2. The applicant submits:

i. A description of the product or material into which the radioactive material will be introduced;

ii. The intended use of the radioactive material and the product into which it is introduced;

iii. The method of introduction;

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- iv. The initial concentration of the radioactive material in the product or material;
- v. The control methods to assure that no more than the specified concentration is introduced into the product or material;
- vi. The estimated time interval between introduction and transfer of the product or material; and
- vii. The estimated concentration of the radioisotope in the product or material at the time of proposed transfer by the applicant;

3. The applicant provides:

- i. Reasonable assurance that the concentrations of the radioactive material at the time of transfer will not exceed the exempt concentrations listed in *N.J.A.C. 7:28-4.3(b)*;
- ii. That reconcentration of the radioactive material in concentrations exceeding those exempted under *N.J.A.C. 7:28-4.3(b)* is not likely;
- iii. That the product or material is not likely to be inhaled or ingested; and
- iv. That use of the lower concentration(s) is not feasible; and

4. Within 30 days subsequent to the end of the reporting period, each specific licensee shall file an annual report with the Department describing kinds and quantities of products transferred, the concentration of radioactive material contained and the quantity of radioactive material transferred during the reporting period which shall be the 12-month period ending June 30 of each calendar year.

7:28-4.9 Terms and conditions of general and specific licenses

(a) Each license issued pursuant to this subchapter shall be subject to all the provisions of the Act, now or hereafter in effect, and to this chapter and orders of the Department.

(b) No license to possess or utilize radioactive material pursuant to this subchapter shall be transferred or assigned.

(c) Each person licensed by the Department pursuant to this subchapter shall confine his or her possession and use of radioactive material to the locations and purposes authorized by such license, and shall not use or permit the use of radioactive materials contrary to the applicable requirements of this chapter. Persons licensed under the provisions of this subchapter may transfer radioactive material within the State only to the persons licensed to receive such material or as otherwise authorized by the Department in writing.

(d) The Department may incorporate in any license at the time of issuance, or thereafter, all such additional requirements and conditions with respect to the licensee's

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manufacture, distribution or arrangement for the distribution, sale, lease, receipt, possession, use, ownership or transfer of radioactive material as it deems appropriate or necessary in order to assure compliance with this chapter and the Act.

(e) Each licensee authorized under N.J.A.C. 7:28-4.8(c) to distribute certain devices to generally licensed persons shall:

1. Report to the Department all transfers of such devices to persons in New Jersey generally licensed under *N.J.A.C. 7:28-4.5(a)* and (c). Such report shall identify each general licensee by name and address, the type and number of device(s) transferred, and the quantity and kind of radioactive material contained in each device. The report shall be submitted within 30 days after the end of each calendar quarter in which such a device is transferred to generally licensed persons; and

2. Furnish to each general licensee to whom such device is transferred a copy of N.J.A.C. 7:28-4.5(a), (e) and (g), 8.3 and 8.5 *{ref to Subchapter 8 will be removed}*, records of surveys and records of radioactive materials pursuant to the requirements in N.J.A.C. 7:28-6, Standards for protection against radiation.

#### 7:28-4.10 Expiration of specific license

Except as provided in N.J.A.C. 7:28-4.11, each specific license shall expire at 12:01 A.M. of the day, in the month and year stated in the license.

#### 7:28-4.11 Status of specific licenses pending renewal

In any case in which a specific licensee has filed a complete application in proper form for renewal of a specific license not less than 30 days prior to expiration of the existing specific license, such specific license and all its existing conditions shall not expire until the Department has acted upon the application.

#### 7:28-4.12 Amendment of a specific license at request of licensee

(a) Applications for amendment of a specific license shall be filed in accordance with N.J.A.C. 7:28-4.6 and shall specify the amendment desired and the grounds for such amendment.

(b) The Department will evaluate only amendment applications submitted by personnel authorized by the licensee.

(c) The applicant for an amended specific license shall not engage in the activities for which an amendment has been requested until approval has been granted by the Department.

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#### 7:28-4.13 Records

All persons licensed pursuant to this subchapter shall keep records in accordance with N.J.A.C. 7:28-6, Standards for protection against radiation.

#### 7:28-4.14 Inspections

(a) All licensees shall allow the Department or its agents to inspect radioactive material and the facilities and premises where radioactive material is used or stored.

(b) No person shall prevent, prohibit, obstruct, hinder, delay or interfere with personnel of this Department or its agents in performing their duties.

(c) Upon request by the Department, or its agents, [State] licensees shall make available for inspection by the Department records kept pursuant to this chapter.

#### 7:28-4.15 Tests

(a) At the request of the Department or its agents, each licensee shall perform, or allow the Department to perform if the Department so desires, such tests as the Department deems appropriate or necessary for the administration of this subchapter, including tests of the following:

1. Radioactive material;
2. Facilities where radioactive material is utilized or stored;
3. Radiation detection and monitoring instruments; and
4. Equipment and devices used in connection with the utilization or storage of radioactive material.

*The US Nuclear Regulatory Commission's Title 10 Code of Federal Regulations Part 35.35 have been incorporated by reference with specific wording changes as appropriate. To cite any provisions in this subchapter, use N.J.A.C. 7:28-4.16. The citations that follow are taken directly from 10 CFR 35.5.*

#### 7:28-4.16 Financial assurance and recordkeeping for decommissioning

### **§ 30.35 Financial assurance and recordkeeping for decommissioning.**

(a)(1) Each applicant for a specific license authorizing the possession and use of diffuse NARM of half-life greater than 120 days and in quantities exceeding  $10^5$  times the applicable quantities set forth in appendix B to part 30 shall submit a decommissioning funding plan as described in paragraph (e) of this section. The decommissioning funding plan must also be submitted when a combination of isotopes is involved if  $R$  divided by  $10^5$  is greater than 1 (unity rule), where  $R$  is defined here as the

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sum of the ratios of the quantity of each isotope to the applicable value in appendix B to part 30.

(2) Each holder of, or applicant for, any specific license authorizing the possession and use of diffuse NARM of half-life greater than 120 days and in quantities exceeding  $10^{12}$  times the applicable quantities set forth in appendix B to part 30 (or when a combination of isotopes is involved if R, as defined in § 30.35(a)(1), divided by  $10^{12}$  is greater than 1), shall submit a decommissioning funding plan as described in paragraph (e) of this section. The decommissioning funding plan must be submitted to the Department by December 2, 2005.

(b) Each applicant for a specific license authorizing possession and use of diffuse NARM of half-life greater than 120 days and in quantities specified in paragraph (d) of this section shall either--

(1) Submit a decommissioning funding plan as described in paragraph (e) of this section; or

(2) Submit a certification that financial assurance for decommissioning has been provided in the amount prescribed by paragraph (d) of this section using one of the methods described in paragraph (f) of this section. For an applicant, this certification may state that the appropriate assurance will be obtained after the application has been approved and the license issued but before the receipt of licensed material. If the applicant defers execution of the financial instrument until after the license has been issued, a signed original of the financial instrument obtained to satisfy the requirements of paragraph (f) of this section must be submitted to the Department before receipt of licensed material. If the applicant does not defer execution of the financial instrument, the applicant shall submit to the Department, as part of the certification, a signed original of the financial instrument obtained to satisfy the requirements of paragraph (f) of this section.

(c)(1) Each holder of a specific license issued on or after July 27, 1990, which is of a type described in paragraph (a) or (b) of this section, shall provide financial assurance for decommissioning in accordance with the criteria set forth in this section.

(2) Each holder of a specific license issued before July 27, 1990, and of a type described in paragraph (a) of this section shall submit a decommissioning funding plan as described in paragraph (e) of this section or a certification of financial assurance for decommissioning in an amount at least equal to \$1,125,000 in accordance with the criteria set forth in this section. If the licensee submits the certification of financial assurance rather than a decommissioning funding plan, the licensee shall include a decommissioning funding plan in any application for license renewal.

(3) Each holder of a specific license issued before July 27, 1990, and of a type described in paragraph (b) of this section shall submit, on or before July 27, 1990, a decommissioning funding plan as described, in paragraph (e) of this section, or a

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certification of financial assurance for decommissioning in accordance with the criteria set forth in this section.

(4) Any licensee who has submitted an application before July 27, 1990, for renewal of license in accordance with § 30.37 shall provide financial assurance for decommissioning in accordance with paragraphs (a) and (b) of this section. This assurance must be submitted when this rule becomes effective November 24, 1995.

(5) Waste collectors and waste processors, as defined in 10 CFR part 20, Appendix G, must provide financial assurance in an amount based on a decommissioning funding plan as described in paragraph (e) of this section. The decommissioning funding plan must include the cost of disposal of the maximum amount (curies) of radioactive material permitted by license, and the cost of disposal of the maximum quantity, by volume, of radioactive material which could be present at the licensee's facility at any time, in addition to the cost to remediate the licensee's site to meet the license termination criteria of 10 CFR part 20. The decommissioning funding plan must be submitted by December 2, 2005.

(d) Table of required amounts of financial assurance for decommissioning by quantity of material. Licensees required to submit the \$1,125,000 amount must do so by December 2, 2004. Licensees required to submit the \$113,000 or \$225,000 amount must do so by June 2, 2005. Licensees having possession limits exceeding the upper bounds of this table must base financial assurance on a decommissioning funding plan.

Greater than $10^4$ but less than or equal to $10^5$ times the applicable quantities of appendix B to part 30 in unsealed form. (For a combination of isotopes, if R, as defined in § 30.35(a)(1), divided by $10^4$ is greater than 1 but R divided by $10^5$ is less than or equal to 1.)	\$1,125,000
Greater than $10^3$ but less than or equal to $10^4$ times the applicable quantities of appendix B to part 30 in unsealed form. (For a combination of isotopes, if R, as defined in § 30.35(a)(1), divided by $10^3$ is greater than 1 but R divided by $10^4$ is less than or equal to 1.)	225,000
Greater than $10^{10}$ but less than or equal to $10^{12}$ times the applicable quantities of appendix B to part 30 in sealed sources or plated foils. (For a combination of isotopes, if R, as defined in § 30.35(a)(1), divided by $10^{10}$ is greater than, 1, but R divided by $10^{12}$ is less than or equal to 1)	113,000

(e) Each decommissioning funding plan must contain a cost estimate for decommissioning and a description of the method of assuring funds for decommissioning from paragraph (f) of this section, including means for adjusting cost estimates and associated funding levels periodically over the life of the facility. Cost estimates must be adjusted at intervals not to exceed 3 years. The decommissioning funding plan must also contain a certification by the licensee that financial assurance for decommissioning has been provided in the amount of the cost estimate for decommissioning and a signed



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original of the financial instrument obtained to satisfy the requirements of paragraph (f) of this section.

(f) Financial assurance for decommissioning must be provided by one or more of the following methods:

(1) Prepayment. Prepayment is the deposit prior to the start of operation into an account segregated from licensee assets and outside the licensee's administrative control of cash or liquid assets such that the amount of funds would be sufficient to pay decommissioning costs. Prepayment may be in the form of a trust, escrow account, government fund, certificate of deposit, or deposit of government securities.

(2) A surety method, insurance, or other guarantee method. These methods guarantee that decommissioning costs will be paid. A surety method may be in the form of a surety bond, letter of credit, or line of credit. A parent company guarantee of funds for decommissioning costs based on a financial test may be used if the guarantee and test are as contained in appendix A to this part. A parent company guarantee may not be used in combination with other financial methods to satisfy the requirements of this section. For commercial corporations that issue bonds, a guarantee of funds by the applicant or licensee for decommissioning costs based on a financial test may be used if the guarantee and test are as contained in appendix C to this part. For commercial companies that do not issue bonds, a guarantee of funds by the applicant or licensee for decommissioning costs may be used if the guarantee and test are as contained in appendix D to this part. For nonprofit entities, such as colleges, universities, and nonprofit hospitals, a guarantee of funds by the applicant or licensee may be used if the guarantee and test are as contained in appendix E to this part. A guarantee by the applicant or licensee may not be used in combination with any other financial methods used to satisfy the requirements of this section or in any situation where the applicant or licensee has a parent company holding majority control of the voting stock of the company. Any surety method or insurance used to provide financial assurance for decommissioning must contain the following conditions:

(i) The surety method or insurance must be open-ended or, if written for a specified term, such as five years, must be renewed automatically unless 90 days or more prior to the renewal date, the issuer notifies the Commission, the beneficiary, and the licensee of its intention not to renew. The surety method or insurance must also provide that the full face amount be paid to the beneficiary automatically prior to the expiration without proof of forfeiture if the licensee fails to provide a replacement acceptable to the Commission within 30 days after receipt of notification of cancellation.

(ii) The surety method or insurance must be payable to a trust established for decommissioning costs. The trustee and trust must be acceptable to the Commission. An acceptable trustee includes an appropriate State or Federal government agency or an entity which has the authority to act as a trustee and whose trust operations are regulated and examined by a Federal or State agency.

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(iii) The surety method or insurance must remain in effect until the Commission has terminated the license.

(3) An external sinking fund in which deposits are made at least annually, coupled with a surety method or insurance, the value of which may decrease by the amount being accumulated in the sinking fund. An external sinking fund is a fund established and maintained by setting aside funds periodically in an account segregated from licensee assets and outside the licensee's administrative control in which the total amount of funds would be sufficient to pay decommissioning costs at the time termination of operation is expected. An external sinking fund may be in the form of a trust, escrow account, government fund, certificate of deposit, or deposit of government securities. The surety or insurance provisions must be as stated in paragraph (f)(2) of this section.

(4) In the case of Federal, State, or local government licensees, a statement of intent containing a cost estimate for decommissioning or an amount based on the Table in paragraph (d) of this section, and indicating that funds for decommissioning will be obtained when necessary.

(5) When a governmental entity is assuming custody and ownership of a site, an arrangement that is deemed acceptable by such governmental entity.

(g) Each person licensed under this subchapter shall keep records of information important to the decommissioning of a facility in an identified location until the site is released for unrestricted use. Before licensed activities are transferred or assigned in accordance with N.J.A.C. 7:28-4.9, licensees shall transfer all records described in this paragraph to the new licensee. In this case, the new licensee will be responsible for maintaining these records until the license is terminated. If records important to the decommissioning of a facility are kept for other purposes, reference to these records and their locations may be used. Information the Commission considers important to decommissioning consists of--

(1) Records of spills or other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site. These records may be limited to instances when contamination remains after any cleanup procedures or when there is reasonable likelihood that contaminants may have spread to inaccessible areas as in the case of possible seepage into porous materials such as concrete. These records must include any known information on identification of involved nuclides, quantities, forms, and concentrations.

(2) As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials are used and/or stored, and of locations of possible inaccessible contamination such as buried pipes which may be subject to contamination. If required drawings are referenced, each relevant document need not be indexed individually. If drawings are not available, the licensee shall substitute appropriate records of available information concerning these areas and locations.

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(3) Except for areas containing only sealed sources (provided the sources have not leaked or no contamination remains after any leak) or byproduct materials having only half-lives of less than 65 days, a list contained in a single document and updated every 2 years, of the following:

(i) All areas designated and formerly designated restricted areas as defined in 10 CFR 20.1003 (For requirements prior to January 1, 1994, see 10 CFR 20.3 as contained in the CFR edition revised as of January 1, 1993.);

(ii) All areas outside of restricted areas that require documentation under § 30.35(g)(1).

(iii) All areas outside of restricted areas where current and previous wastes have been buried as documented under 10 CFR 20.2108; and

(iv) All areas outside of restricted areas that contain material such that, if the license expired, the licensee would be required to either decontaminate the area to meet the criteria for decommissioning in N.J.A.C. 7:28-12, or apply for approval for disposal under 10 CFR 20.2002.

(4) Records of the cost estimate performed for the decommissioning funding plan or of the amount certified for decommissioning, and records of the funding method used for assuring funds if either a funding plan or certification is used.

#### 7:28-4.17 Modification, revocation, suspension, and termination of general and specific licenses

(a) Each general license shall be subject to modification, suspension or revocation by reason of amendments to the Act, adoption of rules by the Commission or the Department, orders issued by the Department pursuant to authority of the Act, or for violation or failure to observe any of the terms and provisions of the Act, license or any rule of the Commission or the Department, or order of the Department.

(b) Each specific license shall be subject to modification, suspension or revocation by reason of:

1. Amendments to the Act;
2. Adoption of rules by the Commission;
3. Orders issued by the Department pursuant to the authority of the Act;
4. Conditions revealed by the application for a specific license or statement of fact or any report, records or inspection or other means which would warrant the Department to refuse to grant a specific license on an original application;

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5. Violation of or failure to observe any of the terms and provisions of the Act or the license, or any rule of the [Commission or] Department or order of the Department;

6. Falsification or misleading statements in any license application;

7. Alteration of licensing document;

8. Falsification of required records; or

9. Failure to make timely payment of licensing fees.

(c) If a specific license is not to be renewed or if a licensee requests a termination of its license, the licensee shall furnish to the Department, prior to the expiration date of the license, close-out surveys, wipe tests and/or soil samples demonstrating that the facility meets the requirements of N.J.A.C. 7:28-12. The facility shall also provide a disposition certificate attesting to the disposal of radioactive material.

#### 7:28-4.18 Requests for an adjudicatory hearing

(a) When the Department denies an initial application for or renewal of a specific license, or determines to modify, revoke, suspend or terminate a general or specific license, the Department shall send a notice of decision to the applicant or licensee by certified mail return receipt requested. The notice shall advise the applicant or licensee of the right to request a contested case hearing pursuant to the Administrative Procedure Act, N.J.S.A. 52:14B-1 et seq. and the New Jersey Uniform Administrative Procedure Rules, N.J.A.C. 1:1-1 et seq. The notice shall include the following information:

1. Where and whom hearing requests should be sent;

2. The deadline by which hearing requests must be submitted;

3. The information that is required to be in the hearing request under (c) below;  
and

4. The requirements for requesting a stay under N.J.A.C. 7:28-4.[18]19.

(b) All requests for a contested case hearing must be received by the Department within 30 calendar days of the date upon which the notice of decision was received.

(c) All requests for a contested case hearing shall be submitted in writing to the Department, at Office of Legal Affairs, ATTENTION: Adjudicatory Hearing Requests, Department of Environmental Protection, CN 402, Trenton, New Jersey 08625-0402. The request shall contain:

1. The name, address and telephone number of the person making such request;

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2. A statement of the legal authority and jurisdiction under which the request for a hearing is made;

3. A brief and clear statement of specific facts describing the Department decision appealed from as well as the nature and scope of the interest of the requestor in such decision; and

4. A statement of all facts alleged to be at issue and their relevance to the Department decision for which a hearing is requested. Any legal issues, associated with the alleged facts at issue, must also be included.

(d) The Department shall determine whether any request for a contested case hearing should be granted. In making such determination, the Department shall evaluate the request to determine whether a contested case, as defined by the Administrative Procedure Act, *N.J.S.A. 52:14B-1* et seq., exists and whether there are issues of fact which, if assumed to be true, might change the Department's decision. Where only issues of law are raised by a request for a hearing, the request will be denied. Denial by the Department of a request for a contested case hearing shall constitute the final decision of the Department for the purposes of judicial appeal.

#### 7:28-4.19 Requirements governing requests for stay of the effective date of the Department decision for which an adjudicatory hearing is requested

(a) The Department may grant a stay of the effective date of a decision to deny, modify, revoke or suspend any State license. The applicant for such a stay must submit evidence that one of the following circumstances exist:

1. The granting of such stay is required as a constitutional or statutory right; or
2. The potential impact on public health, safety, welfare or the environment which might result from a decision to grant a stay is greatly outweighed by immediate, irreparable injury to the specific party requesting such stay.

(b) The decision to grant a contested case hearing request shall not automatically result in a stay of the Department action appealed from absent an express decision to stay such action by the Director. The burden shall be upon the party requesting a hearing to explicitly request a stay of action within the same document as well as to disclose reasons why such stay should be granted.

(c) Department decisions are effective, according to their terms, unless stayed by the Department in writing, upon receipt of written request pursuant to this section.

(d) Written requests for a stay of the effective date of the Department's decision must be made to the Department within 30 calendar days of the date upon which the notice of decision was received.

(e) Any stay that is granted by the Department shall be temporary and in no case shall it extend beyond the date of the Department's final decision of the contested case.

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(f) Determinations made pursuant to this section shall be made in a writing mailed to the specific party making such request.

## **Appendix A to Part 30--Criteria Relating to Use of Financial Tests and Parent Company Guarantees for Providing Reasonable Assurance of Funds for Decommissioning**

### **I. Introduction**

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on obtaining a parent company guarantee that funds will be available for decommissioning costs and on a demonstration that the parent company passes a financial test. This appendix establishes criteria for passing the financial test and for obtaining the parent company guarantee.

### **II. Financial Test**

A. To pass the financial test, the parent company must meet the criteria of either paragraph A.1 or A.2 of this section:

1. The parent company must have:

(i) Two of the following three ratios: A ratio of total liabilities to net worth less than 2.0; a ratio of the sum of net income plus depreciation, depletion, and amortization to total liabilities greater than 0.1; and a ratio of current assets to current liabilities greater than 1.5; and

(ii) Net working capital and tangible net worth each at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof (Tangible net worth shall be calculated to exclude the net book value of the nuclear unit(s)); and

(iii) Tangible net worth of at least \$10 million; and

(iv) Assets located in the United States amounting to at least 90 percent of the total assets or at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof.

2. The parent company must have:

(i) A current rating for its most recent bond issuance of AAA, AA, A, or BBB as issued by Standard and Poor's or AAA, AA, A, or BAA as issued by Moody's; and

(ii) Tangible net worth each at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being

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assured by a parent company guarantee for the total of all reactor units or parts thereof (Tangible net worth shall be calculated to exclude the net book value of the nuclear unit(s)); and

(iii) Tangible net worth of at least \$10 million; and

(iv) Assets located in the United States amounting to at least 90 percent of the total assets or at least six times the current decommissioning cost estimates for the total of all facilities or parts thereof (or prescribed amount if a certification is used), or, for a power reactor licensee, at least six times the amount of decommissioning funds being assured by a parent company guarantee for the total of all reactor units or parts thereof.

B. The parent company's independent certified public accountant must have compared the data used by the parent company in the financial test, which is derived from the independently audited, year end financial statements for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure the licensee shall inform NRC within 90 days of any matters coming to the auditor's attention which cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

C. 1. After the initial financial test, the parent company must repeat the passage of the test within 90 days after the close of each succeeding fiscal year.

2. If the parent company no longer meets the requirements of paragraph A of this section, the licensee must send notice to the Commission of intent to establish alternate financial assurance as specified in the Commission's regulations. The notice must be sent by certified mail within 90 days after the end of the fiscal year for which the year end financial data show that the parent company no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

### **III. Parent Company Guarantee**

The terms of a parent company guarantee which an applicant or licensee obtains must provide that:

A. The parent company guarantee will remain in force unless the guarantor sends notice of cancellation by certified mail to the licensee and the Commission. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by both the licensee and the Commission, as evidenced by the return receipts.

B. If the licensee fails to provide alternate financial assurance as specified in the Commission's regulations within 90 days after receipt by the licensee and Commission of a notice of cancellation of the parent company guarantee from the guarantor, the guarantor will provide such alternative financial assurance in the name of the licensee.

C. The parent company guarantee and financial test provisions must remain in effect until the Commission has terminated the license.

D. If a trust is established for decommissioning costs, the trustee and trust must be acceptable to the Commission. An acceptable trustee includes an appropriate State or Federal Government agency or an entity which has the authority to act as a trustee and whose trust operations are regulated and examined by a Federal or State agency.

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## Appendix B to Part 30--Quantities<sup>1</sup> of Licensed Material Requiring Labeling

Materials	Microcuries
Americium-241	.01
Antimony-122	100
Antimony-124	10
Antimony-125	10
Arsenic-73	100
Arsenic-74	10
Arsenic-76	10
Arsenic-77	100
Barium-131	10
Barium-133	10
Barium-140	10
Bismuth-210	1
Bromine-82	10
Cadmium-109	10
Cadmium-115m	10
Cadmium-115	100
Calcium-45	10
Calcium-47	10
Carbon-14	100
Cerium-141	100
Cerium-143	100
Cerium-144	1
Cesium-131	1,000
Cesium-134m	100
Cesium-134	1
Cesium-135	10
Cesium-136	10
Cesium-137	10
Chlorine-36	10
Chlorine-38	10
Chromium-51	1,000
Cobalt-58m	10
Cobalt-58	10
Cobalt-60	1
Copper-64	100



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Dysprosium-165	10
Dysprosium-166	100
Erbium-169	100
Erbium-171	100
Europium-152 9.2h	100
Europium-152 13 yr	1
Europium-154	1
Europium-155	10
Fluorine-18	1,000
Gadolinium-153	10
Gadolinium-159	100
Gallium-72	10
Germanium-71	100
Gold-198	100
Gold-199	100
Hafnium-181	10
Holmium-166	100
Hydrogen-3	1,000
Indium-113m	100
Indium-114m	10
Indium-115m	100
Indium-115	10
Iodine-125	1
Iodine-126	1
Iodine-129	0.1
Iodine-131	1
Iodine-132	10
Iodine-133	1
Iodine-134	10
Iodine-135	10
Iridium-192	10
Iridium-194	100
Iron-55	100
Iron-59	10
Krypton-85	100
Krypton-87	10
Lanthanum-140	10
Lutetium-177	100
Manganese-52	10

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Manganese-54	10
Manganese-56	10
Mercury-197m	100
Mercury-197	100
Mercury-203	10
Molbdenum-99	100
Neodymium-147	100
Neodymium-149	100
Nickel-59	100
Nickel-63	10
Nickel-65	100
Niobium-93m	10
Niobium-95	10
Niobium-97	10
Osmium-185	10
Osmium-191m	100
Osmium-191	100
Osmium-193	100
Palladium-103	100
Palladium-109	100
Phosphorus-32	10
Platinum-191	100
Platinum-193m	100
Platinum-193	100
Platinum-197m	100
Platinum-197	100
Plutonium-239	.01
Polonium-210	0.1
Potassium-42	10
Praseodymium-142	100
Praseodymium-143	100
Promethium-147	10
Promethium-149	10
Radium-226	.01
Rhenium-186	100
Rhenium-188	100
Rhodium-103m	100
Rhodium-105	100
Rubidium-86	10

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Rubidium-87	10
Ruthenium-97	100
Ruthenium-103	10
Ruthenium-105	10
Ruthenium-106	1
Samarium-151	10
Samarium-153	100
Scandium-46	10
Scandium-47	100
Scandium-48	10
Seleium-75	10
Silicon-31	100
Silver-105	10
Silver-110m	1
Silver-111	100
Sodium-24	10
Strontium-85	10
Strontium-89	1
Strontium-90	0.10
Strontium-91	10
Strontium-92	10
Sulphur-35	100
Tantalum-182	10
Technetium-96	10
Technetium-97m	100
Technetium-97	100
Technetium-99m	100
Technetium-99	10
Tellurium-125m	10
Tellurium127m	10
Tellurium-127	100
Tellurium129m	10
Tellurium-129	100
Tellurium-131m	10
Tellurium-132	10
Terbium-160	10
Thallium-200	100
Thallium-201	100
Thallium-202	100

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Thallium-204	10
Thorium (natural) <sup>1</sup>	100
Thulium-170	10
Thulium-171	10
Tin-113	10
Tin-125	10
Tungsten-181	10
Tungsten-185	10
Tungsten-187	100
Uranium (natural) <sup>2</sup>	100
Uranium-233	.01
Uranium-234--Uranium-235	.01
Vandium-48	10
Xenon-131m	1,000
Xenon-133	100
Xenon-135	100
Ytterbium-175	100
Yttrium-90	10
Yttrium-91	10
Yttrium-92	100
Yttrium-93	100
Zinc-65	10
Zinc-69m	100
Zinc-69	1,000
Zirconium-93	10
Zirconium-95	10
Zirconium-97	10
Any alpha emitting radionuclide not listed above or mixtures of alpha emitters of unknown composition	.01
Any radionuclide other than alpha emitting radio-nuclides, not listed above or mixtures of beta emitters of unknown composition	.1

<sup>1</sup>Based on alpha disintegration rate of Th-232, Th-230 and their daughter products.

<sup>2</sup>Based on alpha disintegration rate of U-238, U-234, and U-235.

Note: For purposes of § 20.303, where there is involved a combination of isotopes in known amounts, the limit for the combination should be derived as follows: Determine, for each isotope in the combination, the ratio between the quantity present in the combination and the limit otherwise established for the specific isotope when not in combination. The sum of such ratios for all the isotopes in the combination may not exceed "1" (i.e., "unity").

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[35 FR 6425, Apr. 22, 1970, as amended at 36 FR 16898, Aug. 26, 1971; 38 FR 29314, Oct. 24, 1973; 39 FR 23991, June 28, 1974; 45 FR 71763, Oct. 30, 1980. Redesignated at 56 FR 23391, May 21, 1991, and further redesignated at 58 FR 67659, Dec. 22, 1993]

## **Appendix C to Part 30--Criteria Relating to Use of Financial Tests and Self Guarantees for Providing Reasonable Assurance of Funds for Decommissioning**

### **I. Introduction**

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix. This appendix establishes criteria for passing the financial test for the self guarantee and establishes the terms for a self-guarantee.

### **II. Financial Test**

A. To pass the financial test, a company must meet all of the following criteria:

(1) Tangible net worth at least 10 times the total current decommissioning cost estimate for the total of all facilities or parts thereof (or the current amount required if certification is used), or, for a power reactor licensee, at least 10 times the amount of decommissioning funds being assured by a self guarantee, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor for the total of all reactor units or parts thereof (Tangible net worth shall be calculated to exclude the net book value of the nuclear unit(s)).

(2) Assets located in the United States amounting to at least 90 percent of total assets or at least 10 times the total current decommissioning cost estimate for the total of all facilities or parts thereof (or the current amount required if certification is used), or, for a power reactor licensee, at least 10 times the amount of decommissioning funds being assured by a self guarantee, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor for the total of all reactor units or parts thereof.

(3) A current rating for its most recent bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P), or Aaa, Aa, or A as issued by Moodys.

B. To pass the financial test, a company must meet all of the following additional requirements:

(1) The company must have at least one class of equity securities registered under the Securities Exchange Act of 1934.

(2) The company's independent certified public accountant must have compared the data used by the company in the financial test which is derived from the independently audited, yearend financial statements for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform NRC within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

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(3) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

C. If the licensee no longer meets the requirements of Section II.A. of this appendix, the licensee must send immediate notice to the Commission of its intent to establish alternate financial assurance as specified in the Commission's regulations within 120 days of such notice.

### **III. Company Self-Guarantee**

The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

A. The guarantee will remain in force unless the licensee sends notice of cancellation by certified mail to the Commission. Cancellation may not occur, however, during the 120 days beginning on the date of receipt of the notice of cancellation by the Commission, as evidenced by the return receipt.

B. The licensee shall provide alternative financial assurance as specified in the Commission's regulations within 90 days following receipt by the Commission of a notice of cancellation of the guarantee.

C. The guarantee and financial test provisions must remain in effect until the Commission has terminated the license or until another financial assurance method acceptable to the Commission has been put in effect by the licensee.

D. The licensee will promptly forward to the Commission and the licensee's independent auditor all reports covering the latest fiscal year filed by the licensee with the Securities and Exchange Commission pursuant to the requirements of section 13 of the Securities and Exchange Act of 1934.

E. If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee will provide notice in writing of such fact to the Commission within 20 days after publication of the change by the rating service. If the licensee's most recent bond issuance ceases to be rated in any category of A or above by both Standard and Poors and Moodys, the licensee no longer meets the requirements of Section II.A. of this appendix.

F. The applicant or licensee must provide to the Commission a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Commission, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

[58 FR 68730, Dec. 29, 1993; 59 FR 1618, Jan. 12, 1994; 63 FR 50479, Sept. 22, 1998]

## **Appendix D to Part 30--Criteria Relating To Use of Financial Tests and Self-Guarantee for Providing Reasonable Assurance of Funds for Decommissioning by Commercial Companies That Have no Outstanding Rated Bonds**

### **I. Introduction**

Note: This is a courtesy copy and is not the official version of this rule. The official, legally effective version of this rule is available through [www.lexisnexis.com/bookstore](http://www.lexisnexis.com/bookstore) (Phone: (800) 223-1940). Should there be any discrepancies between this text and the official version, the official version will govern.

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the company passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix. This appendix establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

## **II. Financial Test**

A. To pass the financial test a company must meet the following criteria:

(1) Tangible net worth greater than \$10 million, or at least 10 times the total current decommissioning cost estimate (or the current amount required if certification is used), whichever is greater, for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.

(2) Assets located in the United States amounting to at least 90 percent of total assets or at least 10 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the company is responsible as self-guaranteeing licensee and as parent-guarantor.

(3) A ratio of cash flow divided by total liabilities greater than 0.15 and a ratio of total liabilities divided by net worth less than 1.5.

B. In addition, to pass the financial test, a company must meet all of the following requirements:

(1) The company's independent certified public accountant must have compared the data used by the company in the financial test, which is required to be derived from the independently audited year end financial statement based on United States generally accepted accounting practices for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform NRC within 90 days of any matters that may cause the auditor to believe that the data specified in the financial test should be adjusted and that the company no longer passes the test.

(2) After the initial financial test, the company must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

(3) If the licensee no longer meets the requirements of paragraph II.A of this appendix, the licensee must send notice to the NRC of intent to establish alternative financial assurance as specified in NRC regulations. The notice must be sent by certified mail, return receipt requested, within 90 days after the end of the fiscal year for which the year end financial data show that the licensee no longer meets the financial test requirements. The licensee must provide alternative financial assurance within 120 days after the end of such fiscal year.

## **III. Company Self-Guarantee**

The terms of a self-guarantee which an applicant or licensee furnishes must provide that:

A. The guarantee shall remain in force unless the licensee sends notice of cancellation by certified mail, return receipt requested, to the NRC. Cancellation may not occur until an alternative financial assurance mechanism is in place.

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B. The licensee shall provide alternative financial assurance as specified in the regulations within 90 days following receipt by the NRC of a notice of cancellation of the guarantee.

C. The guarantee and financial test provisions must remain in effect until the Commission has terminated the license or until another financial assurance method acceptable to the Commission has been put in effect by the licensee.

D. The applicant or licensee must provide to the Commission a written guarantee (a written commitment by a corporate officer) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Commission, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

[63 FR 29542, June 1, 1998]

## **Appendix E to Part 30--Criteria Relating to Use of Financial Tests and Self-Guarantee For Providing Reasonable Assurance of Funds For Decommissioning by Nonprofit Colleges, Universities, and Hospitals**

### **I. Introduction**

An applicant or licensee may provide reasonable assurance of the availability of funds for decommissioning based on furnishing its own guarantee that funds will be available for decommissioning costs and on a demonstration that the applicant or licensee passes the financial test of Section II of this appendix. The terms of the self-guarantee are in Section III of this appendix. This appendix establishes criteria for passing the financial test for the self-guarantee and establishes the terms for a self-guarantee.

### **II. Financial Test**

A. For colleges and universities, to pass the financial test a college or university must meet either the criteria in Paragraph II.A.(1) or the criteria in Paragraph II.A.(2) of this appendix.

(1) For applicants or licensees that issue bonds, a current rating for its most recent uninsured, uncollateralized, and unencumbered bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P) or Aaa, Aa, or A as issued by Moodys.

(2) For applicants or licensees that do not issue bonds, unrestricted endowment consisting of assets located in the United States of at least \$50 million, or at least 30 times the total current decommissioning cost estimate (or the current amount required if certification is used), whichever is greater, for all decommissioning activities for which the college or university is responsible as a self-guaranteeing licensee.

B. For hospitals, to pass the financial test a hospital must meet either the criteria in Paragraph II.B.(1) or the criteria in Paragraph II.B.(2) of this appendix:

(1) For applicants or licensees that issue bonds, a current rating for its most recent uninsured, uncollateralized, and unencumbered bond issuance of AAA, AA, or A as issued by Standard and Poors (S&P) or Aaa, Aa, or A as issued by Moodys.

(2) For applicants or licensees that do not issue bonds, all the following tests must be met:



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(a) (Total Revenues less total expenditures) divided by total revenues must be equal to or greater than 0.04.

(b) Long term debt divided by net fixed assets must be less than or equal to 0.67.

(c) (Current assets and depreciation fund) divided by current liabilities must be greater than or equal to 2.55.

(d) Operating revenues must be at least 100 times the total current decommissioning cost estimate (or the current amount required if certification is used) for all decommissioning activities for which the hospital is responsible as a self-guaranteeing license.

C. In addition, to pass the financial test, a licensee must meet all the following requirements:

(1) The licensee's independent certified public accountant must have compared the data used by the licensee in the financial test, which is required to be derived from the independently audited year end financial statements, based on United States generally accepted accounting practices, for the latest fiscal year, with the amounts in such financial statement. In connection with that procedure, the licensee shall inform NRC within 90 days of any matters coming to the attention of the auditor that cause the auditor to believe that the data specified in the financial test should be adjusted and that the licensee no longer passes the test.

(2) After the initial financial test, the licensee must repeat passage of the test within 90 days after the close of each succeeding fiscal year.

(3) If the licensee no longer meets the requirements of Section I of this appendix, the licensee must send notice to the NRC of its intent to establish alternative financial assurance as specified in NRC regulations. The notice must be sent by certified mail, return receipt requested, within 90 days after the end of the fiscal year for which the year end financial data show that the licensee no longer meets the financial test requirements. The licensee must provide alternate financial assurance within 120 days after the end of such fiscal year.

### **III. Self-Guarantee**

The terms of a self-guarantee which an applicant or licensee furnishes must provide that--

A. The guarantee shall remain in force unless the licensee sends notice of cancellation by certified mail, and/or return receipt requested, to the Commission. Cancellation may not occur unless an alternative financial assurance mechanism is in place.

B. The licensee shall provide alternative financial assurance as specified in the Commission's regulations within 90 days following receipt by the Commission of a notice of cancellation of the guarantee.

C. The guarantee and financial test provisions must remain in effect until the Commission has terminated the license or until another financial assurance method acceptable to the Commission has been put in effect by the licensee.

D. The applicant or licensee must provide to the Commission a written guarantee (a written commitment by a corporate officer or officer of the institution) which states that the licensee will fund and carry out the required decommissioning activities or, upon issuance of an order by the Commission, the licensee will set up and fund a trust in the amount of the current cost estimates for decommissioning.

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E. If, at any time, the licensee's most recent bond issuance ceases to be rated in any category of "A" or above by either Standard and Poors or Moodys, the licensee shall provide notice in writing of such fact to the Commission within 20 days after publication of the change by the rating service.

*The US Nuclear Regulatory Commission's Title 10 Code of Federal Regulations Part 20 has been incorporated by reference with specific wording changes as appropriate. **To cite any provisions in this subchapter, use N.J.A.C. 7:28-6.1.** The citations that follow are taken directly from 10 CFR 20. The numbering is not consecutive because the Department did not incorporate all of 10 CFR 20.*