

The New Jersey Department of Banking and Insurance has prepared instructions to help you complete on-line reporting.

1. Requesting access to DOBI GUDPA on-line
2. Linking your DOBI GUDPA service to your myNewJersey account (and setting up a myNewJersey account)
3. Enrolling for DOBI GUDPA on-line reporting
 - a. Institution verification
 - b. Primary and Secondary GUDPA Contact set-up
4. GUDPA on-line filing and collateral calculation
5. GUDPA on-line filing verification
6. Confirmation information

Please note that filings are not complete until a collateral schedule and certification have been received by the Department.

Department instructions are in red.

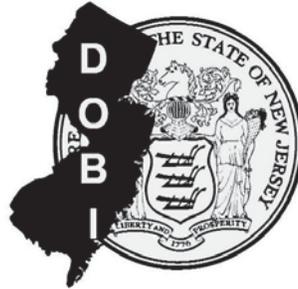
Your institution should have received an email from the Department of Banking and Insurance with your FDIC Cert number or NCUA number and a GUDPA PIN number. If you have not received these items please contact the following individuals at (609) 292-7272 during normal business hours to set up a second transmission:

Keena Jones - extension 50669

Marcy Spinrad - extension 50440

Richard Poliner - extension 50062

Clifford S. Cooper III - extension 50571



**Guide for using the
New Jersey
Department Of
Banking and
Insurance
GUDPA
Online Filing
System**

SUMMARY SECTION

EXPLANATION SUMMARY:

The purpose of this document is to provide an illustrated example of:

1. The registration process
2. A walk-thru of an online filing

NJDOBI is providing this detailed explanation to help filers better understand the process of getting access to the GUDPA Online Filing system and completing an online financial filing.

Once your financial institution has been approved and certified to participate in the NJ GUDPA program, the registered GUDPA contacts (two are required by NJ law) will receive correspondence in an email from the NJDOBI Office of Depositories which contains either your employing institution's Federal Certificate Number or your NCUA number, and a GUDPA PIN#. To utilize the online GUDPA Member Filing Application you will need to first REGISTER using these two items of information. You MUST have these items on hand when you register. **Registration thru the myNewJersey portal is a one-time event per user.** It is recommended that BOTH GUDPA contacts for each financial entity register and create their own logon ID and password.

After a successful registration, the user ID is linked with that financial entity automatically when they log into NJ GUDPA.

Once a user logs into the GUDPA Online Filing System and they indicates that they are there to file, the user must verify their entity's name on the certificate, mailing address and the primary and secondary GUDPA contacts. If any of this data needs to be updated, the user should execute the updates at this time.

The user then enters in the financial information including the entity's Capital Designation. The system will then calculate the minimum total collateral required. The user is asked to enter in the Total Market Value of Collateral Pledged and the Total NJ Public Funds on Deposit as of the Valuation Date. The user has a chance to print out their filing for their records or make changes.

When the filer is satisfied that the information they entered is correct, they click the submit button and receive a confirmation number and certification attachment if their submission went through. They will then need to submit the signed certification and (if necessary) an Excel collateral reporting spreadsheet to GUDPA@dobi.nj.gov.

PLEASE NOTE: *The system should be used when you are ready to submit your filing. There is no saving your work and coming back to it. The server will time-out after 30 minutes of inactivity and you will lose your work and have to start over.*

NJDOBI GUDPA Staff is here to help! Just email GUDPA@dobi.nj.gov with an appropriate subject line and description of your problem.

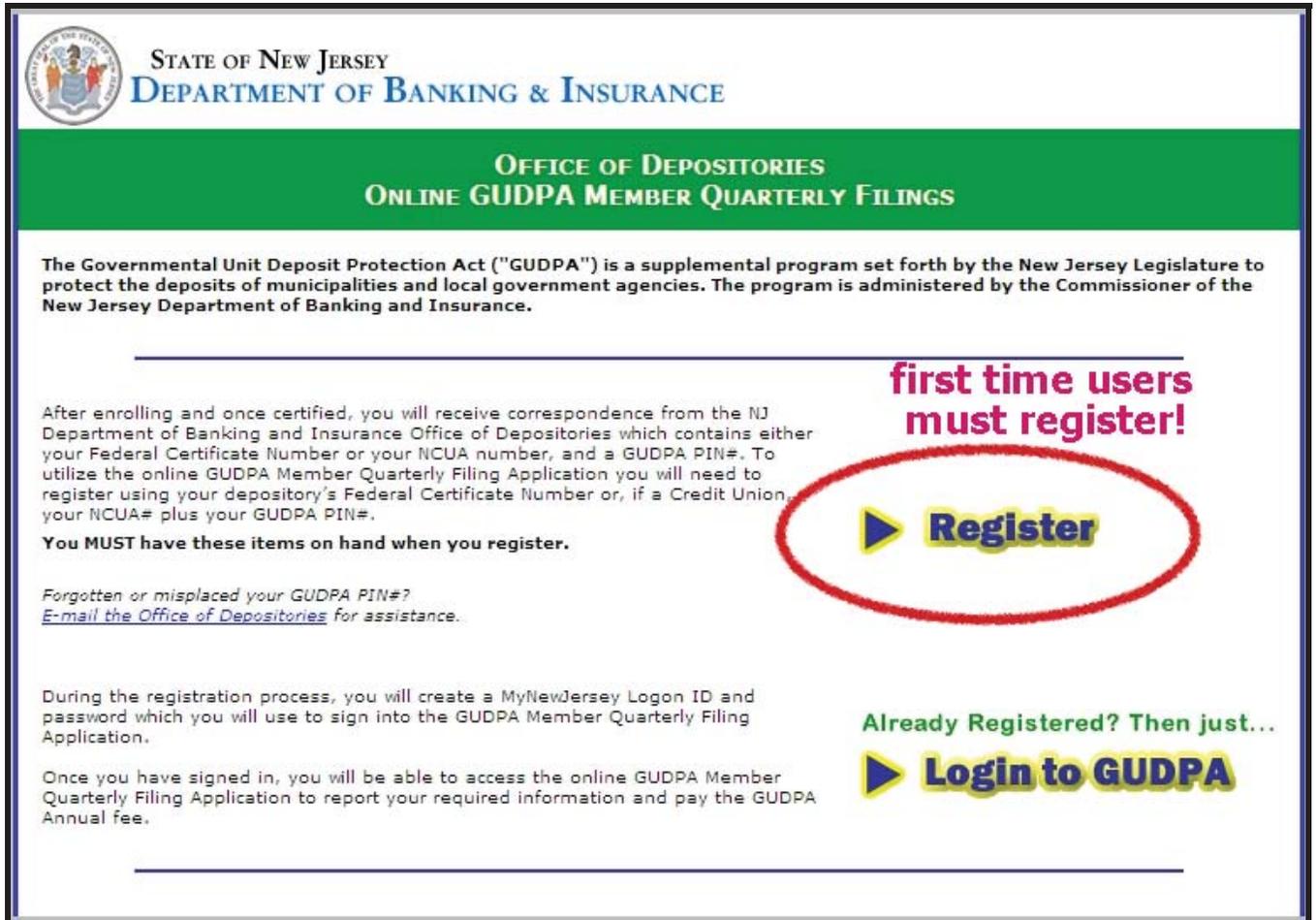
REGISTRATION SECTION

REGISTRATION: (a one-time event per user)

To get to the GUDPA Start page, type the URL <http://bankgudpa.nj.gov> into your browser. It is strongly recommended that you use Internet Explorer as your browser when completing an online filing through NJGUDPA.

You will need your GUDPA PIN# issued to you by NJDOBI as well as your entity's FDIC# or NCUA#.

If you have already registered skip to the Filing Section of this guide (Page 6).



The screenshot shows the official website for the State of New Jersey Department of Banking & Insurance, Office of Depositories. The page is titled "ONLINE GUDPA MEMBER QUARTERLY FILINGS". It provides information about the Governmental Unit Deposit Protection Act (GUDPA) and includes instructions for registration. A prominent red oval highlights a "Register" button, with the text "first time users must register!" above it. Below the registration instructions, there is a "Login to GUDPA" button for already registered users.

STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE

OFFICE OF DEPOSITORIES
ONLINE GUDPA MEMBER QUARTERLY FILINGS

The Governmental Unit Deposit Protection Act ("GUDPA") is a supplemental program set forth by the New Jersey Legislature to protect the deposits of municipalities and local government agencies. The program is administered by the Commissioner of the New Jersey Department of Banking and Insurance.

After enrolling and once certified, you will receive correspondence from the NJ Department of Banking and Insurance Office of Depositories which contains either your Federal Certificate Number or your NCUA number, and a GUDPA PIN#. To utilize the online GUDPA Member Quarterly Filing Application you will need to register using your depository's Federal Certificate Number or, if a Credit Union, your NCUA# plus your GUDPA PIN#.

You MUST have these items on hand when you register.

*Forgotten or misplaced your GUDPA PIN#?
E-mail the [Office of Depositories](#) for assistance.*

During the registration process, you will create a MyNewJersey Logon ID and password which you will use to sign into the GUDPA Member Quarterly Filing Application.

Once you have signed in, you will be able to access the online GUDPA Member Quarterly Filing Application to report your required information and pay the GUDPA Annual fee.

first time users must register!

▶ Register

Already Registered? Then just...

▶ Login to GUDPA

Click the "Register" link to start the registration steps.

REGISTRATION SECTION

You are now asked to enter in the name and contact details of the person registering as well as some entity information.



STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE

GOVERNMENTAL UNIT DEPOSIT PROTECTION ACT

1. Request access to DOBI GUDPA 2. Link Your DOBI GUDPA service to your myNewJersey account 3. Use Your DOBI GUDPA Service

**ENTER THE FOLLOWING INFORMATION TO REGISTER FOR GUDPA ON-LINE FILING SERVICES.
ALL FIELDS ARE REQUIRED FOR REGISTRATION.**

BANK/THRIFT/CREDIT UNION ENTITY NAME:

ENTITY FEDERAL CERTIFICATE# OR NCUA#:

GUDPA PIN#:
(SUPPLIED TO YOU VIA EMAIL)

YOUR FIRST NAME:

YOUR LAST NAME:

EMAIL ADDRESS:

RE-ENTER EMAIL ADDRESS:

Remember, your GUDPA PIN# was issued to you in an email from the NJDOBI Office of Depositories.

Complete the information and click on the "Continue Registration" button.

REGISTRATION SECTION

You are now creating your myNewJersey account (Login ID) that will link to our GUDPA online filing system.

If you DO have a myNewJersey account, simply click "Yes" and follow the directions on the screen.

If you DON'T have a myNewJersey account, click "No" and follow the instructions that are detailed below.

Here, you will create a **logon ID** and **password**. **Remember these for future filings as these are the credentials you will sign in with.** Logon ID's must be at least 6 alpha-numeric characters. Passwords are case-sensitive. Passwords must be at least 8 characters long, chosen from at least two of these groups: lowercase letters, uppercase letters, digits, and other characters (except space, quotes, <, >, & and \).

STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE + mynewjersey

Help
More information on myNewJersey

Governmental Unit Deposit Protection Act

1. Request access to DOBI GUDPA 2. Link Your DOBI GUDPA service to your myNewJersey account 3. Use Your DOBI GUDPA Service

Do you have a myNewJersey Logon ID?

Yes
 No

**Write down and remember these!
You will use them when you
file NEXT quarter!**

Information for your new myNewJersey account

Pick a Logon ID If you forget your ID or password later, we'll ask you the following question. If you answer it correctly, we'll send your ID or a new password to your email address.

Pick a password

Question you want us to ask

Retype your password

Your answer

First name

Email address

Last name

Retype your email address

Forgot the Logon ID to a myNewJersey account you already set up?
If you created a myNewJersey Logon ID before but forgot what it is, we can send it to you by [clicking here](#). Don't create another logon ID.

Enter in your responses and when finished click the "Create this myNewJersey..." button to create the account. Repeat these steps if necessary.

You will now have created your myNewJersey logon ID and password to get you into the NJ GUDPA online filing application!

FILING SECTION

FILING STEPS:

To begin go to the GUDPA start page. **To get to the GUDPA Start page, type the URL <http://bankgudpa.nj.gov> into your browser.** It is strongly recommended that you use Internet Explorer as your browser when completing an online filing through NJGUDPA.



The screenshot shows the official website for the State of New Jersey Department of Banking & Insurance, specifically the Office of Depositories' online GUDPA Member Quarterly Filings page. The page features the state seal and the department's name at the top. A green banner below the header reads "OFFICE OF DEPOSITORIES ONLINE GUDPA MEMBER QUARTERLY FILINGS". The main content area includes a paragraph explaining the GUDPA program, followed by instructions on how to register and what items are needed. A "Register" button with a play icon is visible. Below that, there is a link for those who have forgotten their GUDPA PIN. Further down, there is a section for already registered users, with a "Login to GUDPA" button highlighted by a red box. The page is framed by a blue border.

**STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE**

**OFFICE OF DEPOSITORIES
ONLINE GUDPA MEMBER QUARTERLY FILINGS**

The Governmental Unit Deposit Protection Act ("GUDPA") is a supplemental program set forth by the New Jersey Legislature to protect the deposits of municipalities and local government agencies. The program is administered by the Commissioner of the New Jersey Department of Banking and Insurance.

After enrolling and once certified, you will receive correspondence from the NJ Department of Banking and Insurance Office of Depositories which contains either your Federal Certificate Number or your NCUA number, and a GUDPA PIN#. To utilize the online GUDPA Member Quarterly Filing Application you will need to register using your depository's Federal Certificate Number or, if a Credit Union, your NCUA# plus your GUDPA PIN#.

You MUST have these items on hand when you register.

*Forgotten or misplaced your GUDPA PIN#?
[E-mail the Office of Depositories](#) for assistance.*

During the registration process, you will create a MyNewJersey Logon ID and password which you will use to sign into the GUDPA Member Quarterly Filing Application.

Once you have signed in, you will be able to access the online GUDPA Member Quarterly Filing Application to report your required information and pay the GUDPA Annual fee.

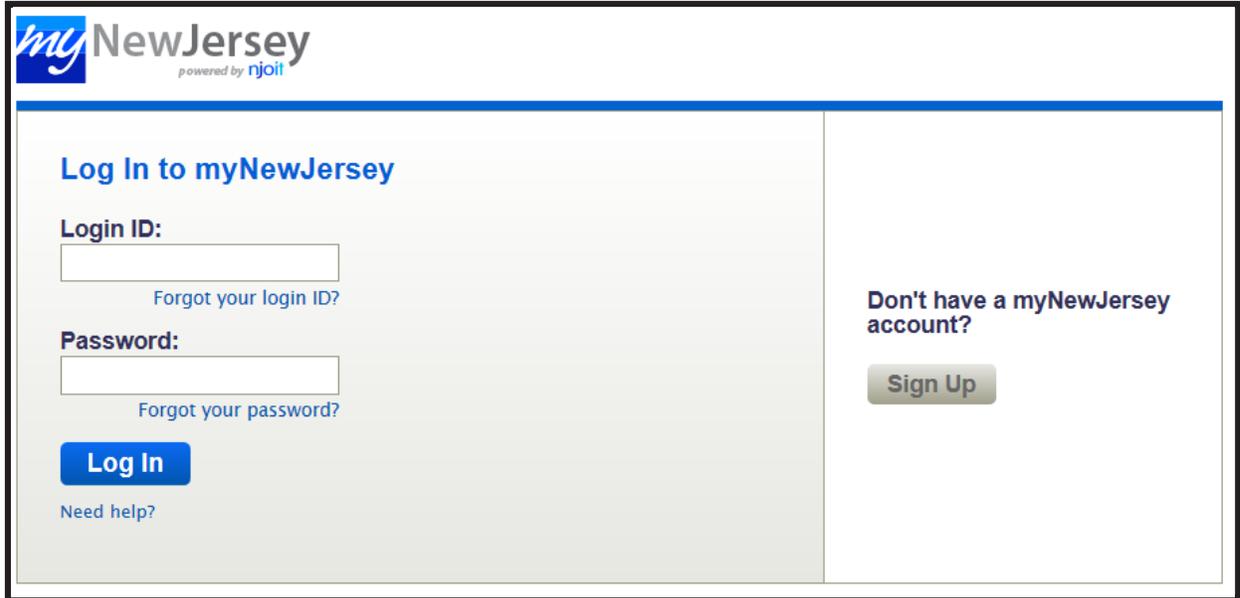
▶ Register

▶ Login to GUDPA

To begin the filing (if you have already registered and created a myNewJersey ID & Password) click the "Login to GUDPA" link to get to the sign in page.

FILING SECTION

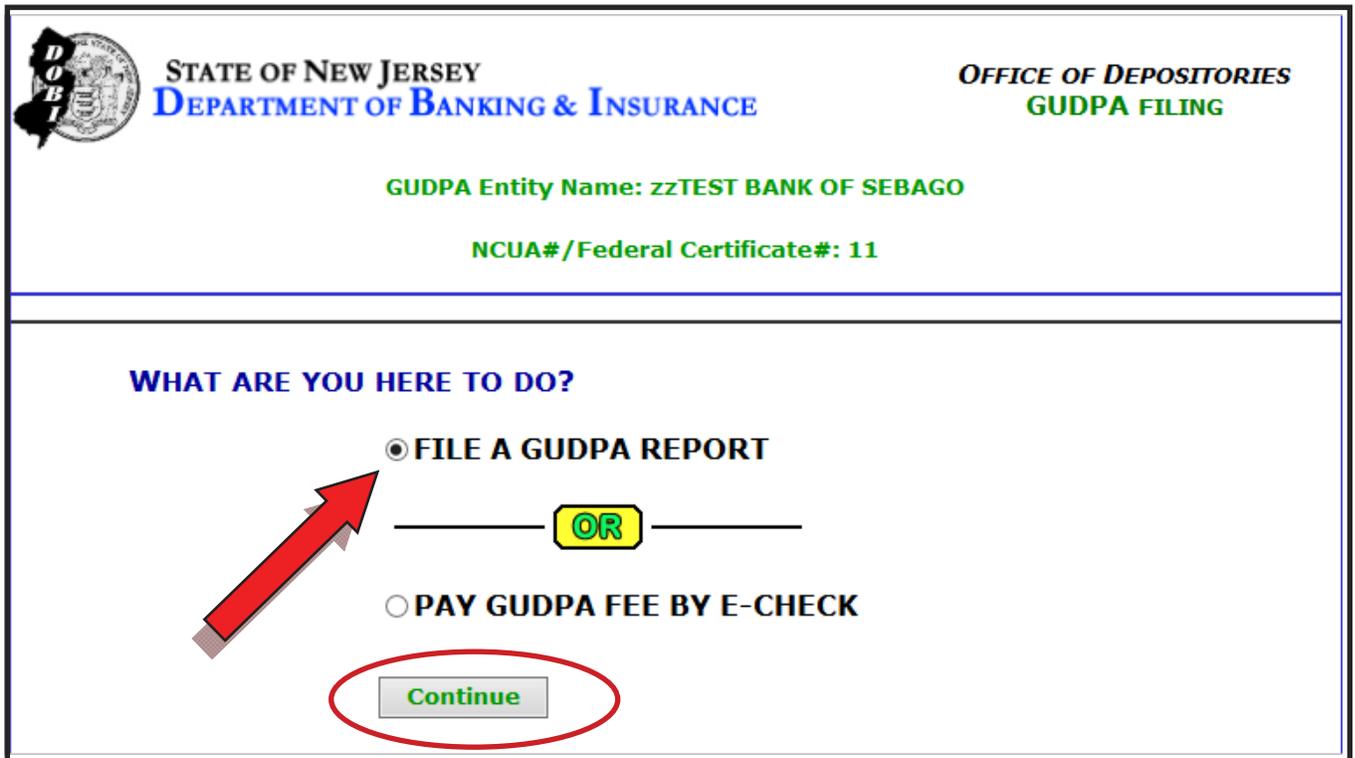
At this page use your Id and password that you created when you registered.



The image shows the login page for myNewJersey, powered by njOit. The page has a blue header with the logo. Below the header, there is a section titled "Log In to myNewJersey". On the left side, there are two input fields: "Login ID:" and "Password:". Below each field is a link: "Forgot your login ID?" and "Forgot your password?". A blue "Log In" button is positioned below the password field, with a "Need help?" link underneath it. On the right side, there is a section titled "Don't have a myNewJersey account?" with a grey "Sign Up" button.

Click the Log On button.

You will now be signed into our online GUDPA filing application. Note your financial institution's name and FDIC/NCUA# in the header.



The image shows the header and main content area of the GUDPA Filing application. The header includes the State of New Jersey Department of Banking & Insurance logo on the left, the text "STATE OF NEW JERSEY DEPARTMENT OF BANKING & INSURANCE" in the center, and "OFFICE OF DEPOSITORIES GUDPA FILING" on the right. Below the header, the "GUDPA Entity Name: zzTEST BANK OF SEBAGO" and "NCUA#/Federal Certificate#: 11" are displayed in green. The main content area is titled "WHAT ARE YOU HERE TO DO?" and contains two radio button options: "FILE A GUDPA REPORT" (which is selected) and "PAY GUDPA FEE BY E-CHECK". A red arrow points to the "FILE A GUDPA REPORT" option. Between the two options is a yellow "OR" button. Below the options is a grey "Continue" button, which is circled in red.

To begin a filing select the "FILE A GUDPA REPORT" option (it's the default) and click the "Continue" button.

FILING SECTION

Verify / Update all information as requested. Be sure that the Name on the GUPDA Certificate is accurate as this is how it will appear on your Eligibility Certificate.



STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE

OFFICE OF DEPOSITORIES
GUDPA FILING

[ENTITY INFO](#) . . . [CONTACT INFO](#) . . . [FILING DETAILS](#) . . . [SUBMISSION](#) . . . [CONFIRMATION](#)

Entity Specific Information for: zzTEST BANK OF SEBAGO
Federal Certificate/NCUA#: 11

PLEASE VERIFY THE FOLLOWING INFORMATION AND UPDATE WHERE APPROPRIATE.
ALL FIELDS MARKED WITH AN ASTERISK(*) ARE REQUIRED.

ENTITY SPECIFICS:

*NAME ON GUDPA CERT:

MAILING ADDRESS:

*ADDRESS 1:

ADDRESS 2:

ADDRESS 3:

CITY/STATE/ZIP:

* city * state * zip

VERIFY ENTITY DATA

Click the "Verify Entity Data" button to continue.

FILING SECTION

Verify / Edit all GUDPA Contact information. You MUST specify two DIFFERENT contacts.

ENTITY INFO . . . **CONTACT INFO** . . . FILING DETAILS . . . SUBMISSION . . . CONFIRMATION

**Entity Contacts for: zzTEST BANK OF SEBAGO
Federal Certificate/NCUA#: 11**

**UPDATE GUDPA PRIMARY AND SECONDARY CONTACTS WHERE APPROPRIATE.
Two GUDPA CONTACTS MUST REMAIN ON FILE WITH NJ DOBI BY LAW.
ALL FIELDS ARE REQUIRED!**

GUDPA PRIMARY CONTACT :

NAME:
first last

CONTACT TITLE:

CONTACT PHONE: () - EXT.

CONTACT EMAIL:

GUDPA SECONDARY CONTACT :

NAME:
first last

CONTACT TITLE:

CONTACT PHONE: () - EXT.

CONTACT EMAIL:

VERIFY ALL CONTACT DATA

Click "Verify All Contact Data" to continue.

(If you are a filing for an FDIC insured entity, skip to page 12).

FILING SECTION

(CREDIT UNION ENTITIES)

Fill out the online form as directed. Some of the fields will be calculated automatically. All fields are required.

Detailed explanations of each field are found in the appendix of this guide.

GUDPA Financial Filing for: NORTHEAST UNION FEDERAL CREDIT UNION
NCUA#: 66001

ALL FIELDS WITH AN ASTERISK (*) ARE REQUIRED!
ALL DOLLAR AMOUNTS SHOULD BE REPORTED AS THOUSANDS. (000'S OMITTED).

* VALUATION DATE OF FILING:

* TOTAL CAPITAL FUNDS:

(A) * NEW JERSEY CAPITAL FUNDS: (ALLOCATED PER NJAC 3:31-1-6(E))

(B) ^75% OF LINE (A):

THREE MONTH PERIOD:

(C) * TOTAL AVERAGE BALANCE OF NJ PUBLIC FUNDS DURING THE 3 MONTH PERIOD PRECEDING THE VALUATION DATE:

* AVERAGE TYPE USED:

(D) * LESS: NJ PUBLIC FUNDS COVERED BY INSURANCE:

(E) ^ AVERAGE NJ PUBLIC FUNDS ON DEPOSIT IN EXCESS OF INSURANCE ACCOUNTS:

FIFTEEN DAY PERIOD:

(F) * TOTAL AVERAGE BALANCE OF NJ PUBLIC FUNDS DURING THE 15 DAY PERIOD PRECEDING THE VALUATION DATE:

(G) * LESS: NJ PUBLIC FUNDS COVERED BY INSURANCE:

(H) ^ AVERAGE NJ PUBLIC FUNDS ON DEPOSIT IN EXCESS OF INSURANCE ACCOUNTS:

FROM CURRENT CALL REPORT:

* NET WORTH RATIO:

TOTAL RISK-BASED CAPITAL RATIO:

* CAPITAL DESIGNATION:

^ = INDICATES A LOCKED CALCULATED FIELD

When finished click on the "Calculate Total Collateral Required" button.

Skip to page 13 of this guide.

FILING SECTION

(FDIC INSURED ENTITIES)

Fill out the online form as directed. Some of the fields will be calculated automatically.

Detailed explanations of each field are found in the appendix of this guide.

GUDPA Financial Filing for: zzTEST BANK OF SEBAGO Federal Certificate#: 11	
ALL FIELDS ARE REQUIRED! ALL DOLLAR AMOUNTS SHOULD BE REPORTED AS THOUSANDS. (000's OMITTED).	
VALUATION DATE OF FILING:	click on select button => <input type="button" value="select"/>
TOTAL CAPITAL FUNDS:	<input type="text"/>
(A) NEW JERSEY CAPITAL FUNDS: (ALLOCATED PER NJAC 3:31-1-6(E))	<input type="text"/>
(B) ^75% OF LINE (A):	<input type="text"/>
THREE MONTH PERIOD:	
(C) TOTAL AVERAGE BALANCE OF NJ PUBLIC FUNDS DURING THE 3 MONTH PERIOD PRECEDING THE VALUATION DATE:	<input type="text"/>
AVERAGE TYPE USED:	Daily ▾
(D) LESS: NJ PUBLIC FUNDS COVERED BY INSURANCE:	<input type="text"/>
(E) ^ AVERAGE NJ PUBLIC FUNDS ON DEPOSIT IN EXCESS OF INSURANCE ACCOUNTS:	<input type="text"/>
FIFTEEN DAY PERIOD:	
(F) TOTAL AVERAGE BALANCE OF NJ PUBLIC FUNDS DURING THE 15 DAY PERIOD PRECEDING THE VALUATION DATE:	<input type="text"/>
(G) LESS: NJ PUBLIC FUNDS COVERED BY INSURANCE:	<input type="text"/>
(H) ^ AVERAGE NJ PUBLIC FUNDS ON DEPOSIT IN EXCESS OF INSURANCE ACCOUNTS:	<input type="text"/>
FROM CURRENT CALL REPORT:	
TIER ONE LEVERAGE CAPITAL RATIO:	<input type="text"/>
TIER ONE RISK-BASED CAPITAL RATIO:	<input type="text"/>
TOTAL RISK-BASED CAPITAL RATIO:	<input type="text"/>
CAPITAL DESIGNATION:	<input type="text"/>
<input type="button" value="Calculate Total Collateral Required"/>	
^ = INDICATES A LOCKED CALCULATED FIELD	

When finished click on the "Calculate Total Collateral Required" button.

FILING SECTION

The total collateral required is calculated and shown. If the 'Filing of Collateral Detail Required' is indicated as "YES" then you must provide your collateral detail information in an Excel spreadsheet after submitting your GUDPA filing. (This is normal).



STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE

OFFICE OF DEPOSITORIES
GUDPA FILING

ENTITY INFO . . . CONTACT INFO . . . **FILING DETAILS** . . . SUBMISSION . . . CONFIRMATION

GUDPA Financial Filing for: zzTEST BANK OF SEBAGO

CONTINUE DATA ENTRY OF YOUR FILING. ALL FIELDS ARE REQUIRED!
ALL DOLLAR AMOUNTS SHOULD BE REPORTED AS THOUSANDS. (000'S OMITTED).

COLLATERAL CALCULATION :

(I) [^] TOTAL COLLATERAL REQUIRED (CALCULATED):

(J) TOTAL MARKET VALUE OF COLLATERAL PLEDGED:

(K) TOTAL NJ PUBLIC FUNDS ON DEPOSIT AS OF VALUATION DATE:

FILING OF COLLATERAL DETAIL REQUIRED? Yes

[^]= INDICATES A LOCKED CALCULATED FIELD

Enter in the total market value of collateral you pledge as well as your Total NJ Public funds on deposit as of the valuation date and click "Continue".

For Credit Unions, skip to page 15.

FILING SECTION

(FDIC INSURED ENTITIES EXAMPLE)

At this point you review your filing entries for accuracy. You may print a copy for your records or click the "Make Changes" button to modify your financial filing if necessary.

REVIEW YOUR FILING BEFORE SUBMITTING. IF YOU NEED TO MAKE CHANGES CLICK THE 'MAKE CHANGES' BUTTON AT THE BOTTOM ELSE CLICK 'SUBMIT FILING' TO RECEIVE A CONFIRMATION NUMBER.

VERIFY YOUR FILING:

Valuation Date of Filing:	12/31/2018
Total Capital Funds:	42,000
New Jersey Capital Funds: (allocated per NJAC 3:31-1-6(e))	33,600
Total average balance of NJ public funds during 3 the month period preceding the valuation date:	12,000
Average type used:	Daily
Less: NJ public funds covered by insurance:	3,000
Total average balance of NJ public funds during the 15 day period preceding the valuation date:	12,500
Less: NJ public funds covered by insurance:	3,000
Tier One Leverage Capital Ratio:	8.75
Tier One Risk-based Capital Ratio:	11.25
Total Risk-based Capital Ratio:	12.46
Capital Designation:	Adequately Capitalized
Total collateral required	4,500
Total market value of collateral pledged:	4,500
Total NJ public funds on deposit as of valuation date	12,500
Collateral Detail Required:	Yes

[Print Filing](#)

[Make Changes](#)

[Submit Filing](#)

^ = INDICATES A LOCKED CALCULATED FIELD

If all information is complete and correct, press the "Submit Filing" button.

Skip to Page 16 in this guide.

FILING SECTION

At this point you review your filing entries for accuracy. You may print a copy for your records or click the "Make Changes" button to modify your financial filing if necessary.

(CREDIT UNION EXAMPLE)

ENTITY INFO . . . CONTACT INFO . . . FILING DETAILS . . . **SUBMISSION** . . . CONFIRMATION

GUDPA Financial Filing for: NORTHEAST UNION FEDERAL CREDIT UNION

REVIEW YOUR FILING BEFORE SUBMITTING. IF YOU NEED TO MAKE CHANGES CLICK THE 'MAKE CHANGES' BUTTON AT THE BOTTOM ELSE CLICK 'SUBMIT FILING' TO RECEIVE A CONFIRMATION NUMBER.

VERIFY YOUR FILING:

Valuation Date of Filing:	06/30/2012
Total Capital Funds:	42,000
New Jersey Capital Funds: (allocated per NJAC 3:31-1-6(e))	33,600
Total average balance of NJ public funds during 3 the month period preceding the valuation date:	12,000
Average type used:	Daily
Less: NJ public funds covered by insurance:	3,000
Total average balance of NJ public funds during the 15 day period preceding the valuation date:	12,500
Less: NJ public funds covered by insurance:	3,000
Net Worth Ratio:	6.82
Total Risk-based Capital Ratio:	0
Capital Designation:	Adequately Capitalized
Total collateral required	4,500
Total market value of collateral pledged:	4,500
Total NJ public funds on deposit as of valuation date	12,500
Collateral Detail Required:	Yes

[Print Filing](#) [Make Changes](#) [Submit Filing](#)

If all information is complete and correct, press the "Submit Filing" button.

FILING SECTION

If the filing was received then this page with a confirmation number will show.



STATE OF NEW JERSEY
DEPARTMENT OF BANKING & INSURANCE

OFFICE OF DEPOSITORIES
GUDPA FILING

ENTITY INFO . . . CONTACT INFO . . . FILING DETAILS . . . SUBMISSION . . . CONFIRMATION

GUDPA Financial Filing for: zzTEST BANK OF SEBAGO

Log out of [myNewJersey](#)



THANK YOU!

YOUR FINANCIAL SUBMISSION WAS ACCEPTED AND WILL BE REVIEWED FOR APPROVAL.

YOUR CONFIRMATION NUMBER IS: 5931

A confirmation e-mail with a GUDPA Filing Certification Form attached has been sent to both the primary and secondary GUDPA contacts on file for your depository.

REQUIREMENT #1:
THE GUDPA FILING CERTIFICATION FORM MUST BE PRINTED OUT, SIGNED, SCANNED AND RETURNED TO NJDOBI. SEE THE CERTIFICATION FORM FOR DETAILED INSTRUCTIONS.

REQUIREMENT#2:
YOU MUST PROVIDE COLLATERAL DETAIL INFORMATION IN A SPREADSHEET. BOTH OF THE ABOVE REQUIREMENTS SHOULD BE EMAILED AS ATTACHMENTS TO: GUDPA@DOBI.NJ.GOV

Download the Sample Collateral Reporting Spreadsheet and complete it by providing all of the requested information pertaining to the collateral pledged to the Commissioner of Banking and Insurance for GUDPA purposes.

Click the link below and select "Save" to download the form.

[Sample Collateral Reporting Spreadsheet \(MS-Excel\)](#)

A FILING IS NOT CONSIDERED COMPLETE WITHOUT A SIGNED CERTIFICATION FORM AND COLLATERAL SPREADSHEET SUBMISSION!

Print this page or make note of the confirmation number for future reference.

NOTE: The filing is NOT complete until the collateral schedule and/or the certification is submitted to the New Jersey Department of Banking and Insurance as instructed. A link is provided on this confirmation page.

This spreadsheet should be filled out and the certification should be signed and sent to: gudpa@dobi.nj.gov

APPENDIX A SECTION

APPENDIX A

Listed below are instructions and definitions used to complete the financial filing page. We recommend that you print them and keep them available as you complete the filing.

NEW JERSEY DEPARTMENT OF BANKING AND INSURANCE GOVERNMENTAL UNIT DEPOSIT PROTECTION ACT INSTRUCTIONS FOR THE FILING OF THE ON-LINE QUARTERLY FORM

Valuation Date of Filing: Choose the appropriate filing date from the calendar on the *select* drop down menu or type in the report date as shown (xx/xx/xxx for the initial filing).

Total Capital Funds: means (a) in the case of a State bank or national bank or capital stock savings bank, the aggregate of the capital stock, surplus and undivided profits of the bank or savings bank; (b) in the case of a mutual savings bank, the aggregate of the capital deposits, if any, and the surplus of the savings bank; and (c) in the case of an association, the aggregate of all reserves required by any law or regulation, and the undivided profits, if any, of the association. The terms "surplus" and "undivided profits" are described at NJAC 3:34-1.9.

(A) New Jersey Capital Funds: (allocated per NJAC 3:34-1-6(b)): For purposes of this subsection, the capital funds of a public depository located in New Jersey which has branches located outside New Jersey shall be its total capital funds multiplied by the percentage of deposits located in New Jersey to total deposits of the depository.

(B) 75% of line (A): Self-explanatory

(C) Total average balance of NJ public funds during the 3 month period preceding the valuation date: The total average balance of New Jersey public funds during the three-month period ending on the current valuation date.

(D) Less: NJ public funds covered by insurance: Insert the dollar amount to which New Jersey deposits of governmental units are insured by the FDIC or NCUSIF as of the valuation date. In no event may D exceed C.

(E) Average NJ public funds on deposit in excess of insurance accounts: Excess of C over D.

(F) Total average balance of NJ public funds during the 15 day period preceding the valuation date: The total average balance of New Jersey public funds during the 15 day period ending on the current valuation date.

(G) Less: NJ public funds covered by insurance: Insert the dollar amount to which New Jersey deposits of governmental units are insured by the FDIC or NCUSIF as of the valuation date. In no event may G exceed F.

(H) Average NJ public funds on deposit in excess of insurance accounts: Excess of F over G.

APPENDIX A SECTION

From Current Call Report: Do not enter a percent sign. Include two decimal places.

For FDIC insured institutions:

Tier One Leverage Capital Ratio: Call Report (FFIEC 031, 041, 051), Schedule RC-R, Line 44.

Tier One Risk-based Capital Ratio: Call Report (FFIEC 031, 041, 051), Schedule RC-R, Line 42.

Total Risk-based Capital Ratio: Call Report (FFIEC 031, 041, 051), Schedule RC-R, Line 43.

For NCUSIF insured institutions:

Net Worth Ratio: PCA net Worth Calculation worksheet, line 13 (Acct. code 998)

Total Risk-based Capital Ratio: Standard Components of Risk Based Net Worth Requirement Automated Worksheet, RBNW Requirement (Acct. code 999B).

For all institutions:

Capital Designation: Select the appropriate category from the drop down menu. Contact senior management at your institution to confirm the proper designation. When all fields have been correctly completed, press "Calculate Total Collateral Required" button.

(I) Total collateral required (calculated): The minimum collateral requirement will be calculated and entered into this line on the next page.

(J) Total market value of collateral pledged: Enter the total market value of all eligible collateral pledged to the New Jersey Commissioner of Banking and Insurance on the valuation date. The term eligible collateral is defined at NJSA 17:9-41(1) and NJAC 3:34-1.2. Please ensure that the amount entered on this line matches the total market value of collateral as listed on the supporting collateral schedule.

(K) Total NJ funds on deposit as of the valuation date: Enter the gross amount of all NJ public funds on deposit as of the valuation date.