

## Renewal Application for Viatical Settlement Providers

Pursuant to N.J.A.C. 11:4-35.6 please find a copy of the Renewal Application to the New Jersey Department of Banking and Insurance for Viatical Settlement Providers. The application, renewal fee of \$250.00 and any attachments shall be submitted to the Department by June 15, 2021.

If your organization does not desire to renew, please follow the instructions at: [https://www.state.nj.us/dobi/division\\_insurance/viatsetprov.htm](https://www.state.nj.us/dobi/division_insurance/viatsetprov.htm)

to surrender the Viatical License.

The application, renewal fee and attachments shall be mailed to:

Department of Banking & Insurance  
Life & Health Division  
Viatical Renewal

**Express Mail:**  
20 West State Street  
Trenton, NJ 08608-1206

**US Mail**  
P.O. Box 325  
Trenton, NJ 08625-0325

## Checklist of Items Required for Renewal of Viatical Settlement Provider License

1. Renewal Application to New Jersey Department of Banking and Insurance for Viatical Settlement Provider including Certification.
2. Renewal Application fee of \$250.00. Make check or money order payable to "State of New Jersey – General Treasury".
3. Provide a copy of ByLaws and/or Articles of Incorporation or Charter if amended since last filing with the Department.
4. Provide a current copy of a letter of good standing obtained from the filing officer of the licensee's state of incorporation/organization.
5. Biographical affidavit of any director, senior officer or shareholder of record who controls five (5) percent or more of the outstanding shares of the corporation, directly or indirectly, not currently on file with the Department. (Biographical Affidavit can be found on the Department's web site at [https://www.state.nj.us/dobi/division\\_insurance/viatsettprov.htm](https://www.state.nj.us/dobi/division_insurance/viatsettprov.htm) )
6. If your organization has moved or changed their name since the last filing with the Department, please complete and have notarized the "Irrevocable Consent to Jurisdiction of the Commissioner of Banking and Insurance and New Jersey Courts", and the "Appointment of Attorney for the State of New Jersey". These forms can be found on the Department's web site at [https://www.state.nj.us/dobi/division\\_insurance/viatsettprov.htm](https://www.state.nj.us/dobi/division_insurance/viatsettprov.htm)
7. Pursuant to N.J.S.A. 17:27A-1, control is presumed to exist if any person, directly or indirectly, owns, controls, or holds with power to vote 10% or more of the voting securities. Has there been a change in control since the last filing with the Department?

Send the Renewal Application package of information to:

Department of Banking & Insurance  
Division of Life & Health  
Viatical Renewal

**Express Mail:**  
20 West State Street  
Trenton, NJ 08608-1206

**US Mail**  
P.O. Box 325  
Trenton, NJ 08625-0325

RENEWAL APPLICATION TO NEW JERSEY DEPARTMENT OF BANKING and  
INSURANCE FOR VIATICAL SETTLEMENT PROVIDER

Name of Licensee: \_\_\_\_\_

Physical Address of Licensee: \_\_\_\_\_

\_\_\_\_\_

Mailing Address of Licensee: \_\_\_\_\_  
(if different from physical address)

\_\_\_\_\_

Contact Person: \_\_\_\_\_

Phone: \_\_\_\_\_ Toll Free Number: \_\_\_\_\_

Fax: \_\_\_\_\_ E-Mail Address: \_\_\_\_\_

Officers and Directors	Title	Biographical Affidavit On File Or Attached
_____	_____	_____
_____	_____	_____
_____	_____	_____
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**Certifications**

I certify that the Licensee, and all officers, directors, controlling shareholders or partners of the Licensee, and all other individuals employed or affiliated with the Licensee who are performing any of the acts listed in the definition of viatical settlement provider contained in N.J.S.A. 17B:30B-1 et seq.:

1. Have not misrepresented any fact in this renewal application for the certificate of registration.
2. Have not been convicted of a felony or other crime involving fraud in any jurisdiction since the last filing with the Department.
3. Are not conducting the Licensee's financial affairs in such a manner as to jeopardize a viator's rights under a viatical settlement with the Licensee, or under N.J.S.A. 17B:30B-1 et seq.
4. Are engaging in the business of viatical settlement lawfully in all states.
5. Have not violated any provision of N.J.S.A. 17B:30B-1 et seq., any other insurance law made applicable to the business of viatical settlements by N.J.S.A. 17B:30B-1 et seq., or any state or federal securities laws applicable to the business of viatical settlements.

\_\_\_\_\_  
Signature of Licensee

\_\_\_\_\_  
Full Legal Name ( Type or Print )

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date