



**State of New Jersey**  
**DEPARTMENT OF BANKING AND INSURANCE**  
**LICENSING SERVICES BUREAU - INSURANCE**  
 PO Box 327  
 TRENTON, NJ 08625-0327

TEL (609) 292-7272  
 FAX (609) 984-5263

**Individual Registration to Negotiate Viatical Settlement Contracts**  
 (Please Print or Type)

Pursuant to N.J.S.A. 17B:30B-3: No person or business entity, unless a licensed viatical settlement provider, shall act on behalf of a viator residing in New Jersey unless that person is a life insurance producer licensed in New Jersey and has notified the Commissioner and paid the appropriate fees not less than 30 days from the first date of negotiating a viatical settlement on behalf of a viator. Please complete the following information and return it with the fees indicated to the address on this registration form. Once processed, the registration remains in effect as long as the NJ Life Producer License is in good standing.

Social Security Number		New Jersey Life Producer #		National Producer #	
Last Name			First Name		
Resident Address (Physical Location)				PO Box	
City		State	Zip Code	Home Email Address	
Home Phone #		Employer Name			
Business Address			City	State	Zip Code
Business Phone #		Business Fax #		Business Email Address	
Mailing Address <input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Other (Specify)					
List any name other than your legal name under which you are doing business					
List the Viatical Providers with whom you will be transacting business					
Viatical Provider _____					
Viatical Provider _____					
Viatical Provider _____					
1.) Since the last renewal of your life producer license in this state, or if you have not yet renewed, since the time of application, have you been convicted of, or are you currently charged with, committing a crime, whether or not adjudication was withheld?    Yes <input type="checkbox"/> No <input type="checkbox"/> "Crime" includes a misdemeanor, disorderly person, felony or a military offense. You may exclude misdemeanor traffic citations and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.					
If you have a felony conviction, have you applied for a waiver as required by 18 USC 1033? N/A <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>					
If yes, was that waiver granted? (Attach copy of 1033 waiver approved by home state.)    N/A <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>					

If you answer yes, you must attach to this application:

- a) a written statement explaining the circumstances of each incident,
- b) a certified copy of the charging document, and
- c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment

2.) Since the last renewal of your life producer license in this state, or if you have not yet renewed, since the time of application, have you or any business in which you are or were an owner, partner, officer, or director ever been involved in an administrative proceeding regarding any professional or occupational license? Yes \_\_\_\_ No \_\_\_\_

“Involved” means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, placed on probation or surrendering a license to resolve an administrative action. “Involved” also means being named as a party to an administrative or arbitration proceeding, which is related to a professional or occupational license. “Involved” also means having a license application denied or the act of withdrawing an application to avoid a denial. You may exclude terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

If you answer yes, you must attach to this application:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a certified copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a certified copy of the official document which demonstrates the resolution of the charges or any final judgment.

3.) Since the last renewal of your life producer license in this state, or if you have not yet renewed, since the time of application, do you have a child support obligation in arrearage? Yes \_\_\_\_ No \_\_\_\_

If you answer yes to Question 3, by how many months are you in arrearage? \_\_\_\_\_ Months

4.) Since the last renewal of your life producer license in this state, or if you have not yet renewed, since the time of application, are you the subject of a child support related subpoena or warrant? Yes \_\_\_\_ No \_\_\_\_

**Attach copies of all viatical settlement contract forms, application forms and disclosure statements used in negotiating viatical settlements with viators residing in New Jersey that have not been previously approved by the New Jersey Department of Banking and Insurance.** Pursuant to N.J.S.A. 17B:30B-5, a person shall not use a viatical settlement contract form or provide a disclosure statement or application form to a viator in this State unless it has been filed with and approved by the commissioner.

**Certification and Attestation**

- 1.) I hereby certify that, under penalty of perjury, all of the information submitted in this registration and attachments is true and complete. I am aware that submitting false information or omitting pertinent or material information in connection with this application is grounds for license/registration revocation or denial of the license or registration and may subject me to civil or criminal penalties.
- 2.) Where required by law, I hereby designate the Commissioner of Banking and Insurance to be my agent for service of process regarding any cause of action or legal proceedings arising within this State out of transactions under this registration and agree that service upon the Commissioner or other appropriate party of that jurisdiction is of the same legal force and validity as personal service upon myself.
- 3.) I grant permission to the Commissioner of Banking and Insurance, or designee to verify information with any federal, state or local government agency, current or former employer, or insurance company.
- 4.) I further certify that, under penalty of perjury, either a) I have no child-support obligation, or b) I have a child-support obligation and I am currently in compliance with that obligation or c.) I have disclosed my child support arrearage on this registration.
- 5.) I authorize the NJ Department of Banking and Insurance to give any information concerning me, as permitted by law, to any federal, state or municipal agency, or any other organization and I release the NJ Department of Banking and Insurance and any person acting on its behalf from any and all liability of whatever nature by reason of furnishing such information.
- 6.) **I acknowledge that I understand and will comply with the insurance laws and regulations of the State of New Jersey and that I will operate in accordance with the provisions of the Viatical Settlements Act, N.J.S.A. 17B:30B-1 et seq.**

\_\_\_\_\_ Signature  
 \_\_\_\_\_  
 Date \_\_\_\_\_  
 \_\_\_\_\_  
 Print full legal name

**Fees:** Attach one check or money order made payable to "State of New Jersey Treasury"  
**Registration fee: \$100 Processing fee: \$20 (non-refundable) = \$120.00 Total**  
 Disabled Veterans: For a waiver of fees, attach a DD-214 or recent certification from the US Veterans Administration confirming current service related disability.