SECTION III.  URBAN EDUCATION REFORM REGULATIONS IN NEW JERSEY

This section of the *Guide for Implementing Urban Education Reform in Abbott Districts* does the following:

- Presents the amended Abbott regulations for urban education reform in the Abbott districts effective July 1, 2000;
- Provides a timetable of requirements; and
- Summarizes the roles and responsibilities of the Chief School Administrator, Principal, and School Management Team.

A.  URBAN EDUCATION REFORM REGULATIONS

The Commissioner of Education has issued revised regulations to facilitate implementation of education reform in the 30 Abbott districts. According to the Commissioner, “These regulations provide a blueprint for school-wide reform in our disadvantaged urban areas and will help Abbott districts achieve our Core Curriculum Content Standards.” The Commissioner stated that the regulations build on the experiences of the past twenty-four months in the Abbott districts, as well as the input received from many groups and individuals who participated in focus groups, submitted written comments or spoke at public hearings.

The regulations are contained on the pages that follow.
SUBCHAPTER 1. GENERAL PROVISIONS

6A:24-1.1 Purpose and Applicability of Rules

These rules are adopted pursuant to N.J.S.A. 18A:4-15 and P.L. 1999, c. 142 and P.L. 1999, c.138 in order to implement educational programs necessary to provide urban education reform initiatives that ensure that public school children, including students with disabilities and limited English proficient students, from the poorer urban districts receive the educational entitlements guaranteed them by the Constitution and to meet the requirements of the decision of the New Jersey Supreme Court in Abbott v. Burke, decided May 21, 1998. The rules apply to “Abbott districts” as defined in N.J.A.C. 6A:24-1.2, and are adopted to ensure the provision of a thorough and efficient system of education (T&E), as defined by the Core Curriculum Content Standards, to the students attending the public schools of those districts. The rules apply to Abbott districts, in addition to the requirements of the Comprehensive Educational Improvement and Financing Act and rules otherwise promulgated to implement that act; except that where differences in rules occur, the rules herein shall take precedence.

6A:24-1.2 Definitions

As used in this chapter, unless the context clearly indicates otherwise, the following words shall have these meanings:

“Abbott district,” means one of the following 28 urban districts in district factor group A and B specifically identified in the appendix to Raymond Abbott, et al. v. Fred G. Burke, et al. decided by the New Jersey Supreme Court on June 5, 1990 (119 N.J. 287, 394) as follows: Asbury Park City, Bridgeton City, Burlington City, Camden City, East Orange City, Elizabeth City, Garfield City, Gloucester City, Harrison Town, Hoboken City, Irvington Township, Jersey City, Keansburg Borough, Long Branch City, Millville City, New Brunswick City, Newark City, City of Orange Township, Passaic City, Paterson City, Pemberton Township, Perth Amboy City, Phillipsburg Town, Pleasantville City, Trenton City, Union City, Vineland City, and West New
York Town, and the following districts not included above but designated Abbott districts pursuant to P.L. 1999, c.110, Neptune Township and Plainfield, and such other districts as may qualify in the future. Abbott district shall not include a charter school located within any of these districts;


“Abbott VI” means the Supreme Court decision in Abbott v. Burke dated March 7, 2000 relating to early childhood education programs;

“Area cost allowance” means $131 per square foot for the school year 1999-2000 and shall be adjusted annually according to a market index selected by the State Treasurer unless a different amount is established by statute enacted subsequent to the effective date of this chapter. The area cost allowance used in determining preliminary eligible costs of school facilities projects shall be that of the year of application for approval of the project;

“Board of education” or “board” means the local board of education, or the State district superintendent in the case of a State-operated school district, of an Abbott district;

“Chief School Administrator ” means the superintendent or administrative principal of an Abbott district, or the State district superintendent in the case of a State-operated school district;

“Core Curriculum Content Standards” means the standards of achievement established for the provision of a thorough and efficient education pursuant to N.J.S.A. 18A:7F-4;

“Commissioner” means the Commissioner of Education or the Commissioner’s designee;

“Department” means the New Jersey Department of Education;

“Developer” means an expert or team of experts that has effectively integrated research-based programs and strategies to develop a Department-approved whole school reform model;

“DHS-licensed child care provider” means a child care provider licensed by the Department of Human Services pursuant to N.J.S.A. 30:5B-1 et seq.;

“Early childhood expenditures” means those expenditures related to the provision of kindergarten for five-year-olds and approved early childhood education programs for three- and four-year-olds;

“Facilities efficiency standards” means standards of educational adequacy for the delivery of programs and services necessary for the attainment of the Core Curriculum Content Standards used to determine the necessity and efficiency of school construction or renovation;

"FTE" means a full-time equivalent student which shall be calculated as follows: each student in grades kindergarten through 12 shall be counted at 100% of the actual count of students; and the number of preschool students approved by the Commissioner to be served in...
the district shall be counted at 50% or 100% of the actual count of preschool students for an approved half-day or full-day program, respectively. In addition, each preschool handicapped child who is entitled to receive a full-time program pursuant to N.J.S.A. 18A:46-6 shall be counted at 100% of the actual count of these students in the district;

“Functional capacity” means the number of students that can be housed in a building in order to have sufficient space for it to be educationally adequate for the delivery of programs and services necessary for student achievement of the Core Curriculum Content Standards. Functional capacity is determined by dividing the existing gross square footage of a school building by the minimum area allowance per full time equivalent (FTE) student for the grade level students contained therein. The existing gross square footage for the purposes of defining functional capacity is exclusive of existing spaces that are not contained in the facilities efficiency standards but which are used to deliver programs and services aligned to the Core Curriculum Content Standards or to provide support services directly to students or other existing spaces that the district can demonstrate would be structurally or fiscally impractical to convert to other uses contained in the facilities efficiency standards;

“Illustrative budget” means a budget that was developed in consultation with the WSR model developer to provide guidance to schools in preparing school-based budgets. It includes all costs that are generally believed to be necessary to implement the model consistent with department requirements, including the requirements of Abbott v. Burke and the Core Curriculum Content Standards, at a specified enrollment level;

“Long-range facilities plan" means the plan required to be submitted to the Commissioner by all districts pursuant N.J.A.C. 6A:24-8.1 et seq.;

“Needs assessment” means an evaluation of impediments and deficiencies that prevent students from achieving the Core Curriculum Content Standards identified by a valid assessment methodology. Once identified, the needs are compared to existing programs and services using data to determine their effectiveness in addressing each need. If the needs are not addressed by existing programs and services, the needs assessment is expanded to identify a proven program or strategy that should be implemented;

“Particularized need” means a need that is supported by an assessment of needs of a specified population of students in a given school or for early childhood programs in the district, that has been demonstrated to be the cause of student failure in achieving the Core Curriculum Content Standards, that can be remedied or corrected by a program or service, which has been formally evaluated to demonstrate its effectiveness, and is a need that is not effectively
addressed by a WSR model or a whole school alternative program design and by the required supplemental programs;

“School-based budget” means a school budget for an individual school that includes the instructional and support, staffing, programs and services designated for that school site;

"School facility" means and includes any structure, building or facility used wholly or in part for academic purposes by a district but shall exclude athletic stadiums, grandstands, and any structure, building or facility used solely for school administration;

"School facilities project" means the acquisition, demolition, construction, improvement, repair, alteration, modernization, renovation, reconstruction or maintenance of all or any part of a school facility or of any other personal property necessary for, or ancillary to, any school facility, and shall include fixtures, furnishings, equipment, site acquisition, site development, services of design professionals such as engineers and architects, construction management, legal services and financing costs incurred in connection with the school facilities project;

“School Management Team” or “SMT” means a school-based planning and decision-making team established pursuant to N.J.A.C. 6A:24-2.1 et seq.;

“School Review and Improvement Team” or “SRI Team” means a team of Department of Education staff assigned by the Commissioner to work with Abbott schools in implementing the Court’s decision pursuant to N.J.A.C. 6A:24-1.3;

“Secondary” means middle and high school grades 6 through 12, except in districts having an elementary structure incorporating grades 6 through 8, in which case it means grades 9 through 12;

“Success for All – Roots and Wings” or “SFA/R&W” means a nationally-proven research-based whole school reform model developed by Dr. Robert Slavin and other researchers at Johns Hopkins University to serve students in high-poverty urban schools who are at risk of academic failure. As used in this chapter, “SFA/R&W shall include the enhancements made by Dr. Slavin to the model so as to meet New Jersey requirements;

“Supplemental Program or Service” means any existing or requested program or service that is in addition to the components of the chosen WSR model, required programs in secondary schools or the alternative program design that may result from the needs that are essential to ensure educational success for a specified population of students and without which such students cannot achieve the Core Curriculum Content Standards;

"Unapproved costs" means the additional costs, if any, of a school facilities project which result from design factors that are not required to meet the facilities efficiency standards and
were not approved by the Department as a particularized need pursuant to N.J.A.C. 6A:24-5.1 et seq., and which shall be borne by the district;

“Unhoused students” means the number of students to be housed in a school building, but which cannot be housed in an existing building without additional space or a new building in order to maintain educational adequacy. Unhoused students are calculated by subtracting the projected enrollment for a school building from its functional capacity;

“Whole school alternative program design” or “design” means that whole school alternative program or “home grown proposal” established pursuant to N.J.A.C. 6A:24-4.2;

“Whole school reform implementation plan” means a comprehensive school plan that includes the necessary components to implement the WSR model or the whole school alternative program design and the required secondary programs, as well as the integration of all state, federal and local resources to support those components;

“Whole school reform model” or “WSR model” or “model” means the whole school reform model as established in N.J.A.C. 6A:24-4.1 et seq.;

“Whole school reform school” or “WSR school” means any school approved by the Department to implement a whole school reform model and any school approved by the Department to begin implementation of a whole school reform or a whole school alternative program design;

“Zero-based budget” means a budget in which there has been a concentration of all available resources to support a district’s or school’s current objectives and strategies for achieving the Core Curriculum Content Standards, after an annual assessment and determination of those objectives and strategies. It also means a budget in which resources are allocated and reallocated to support those objectives and strategies, and individual budget items are justified on the basis of needs, directly related to the achievement of those objectives and strategies.

6A:24-1.3 Assignment of Department School Review and Improvement Teams

(a) The Commissioner shall designate Department staff to serve on School Review and Improvement (SRI) Teams. An SRI Team shall be assigned to each elementary and secondary school implementing Whole School Reform (WSR) pursuant to N.J.A.C. 6A:24-4. The primary role of such teams shall be to work with the School Management Teams established pursuant to N.J.A.C. 6A:24-2, schools, school district administrators, and with the developers and experts identified by the Department, in order to implement the directives of the
Court. SRI Teams shall additionally serve as liaisons between schools and the Department and as sources of technical assistance in programmatic and fiscal areas.

(b) The Commissioner shall ensure that SRI Team members complete appropriate training in WSR.

6A:24-1.4 Responsibilities of Local District

(a) The board, administration, teaching staff and support staff of each district shall cooperate fully with the Department and its assigned SRI Team(s) in effectuating the directives of Abbott V and VI, including but not limited to implementation of whole school reform, school-based decision making, school-based budgeting, and identification of reallocations necessary for the implementation of required or Department approved new programs and services.

(b) The Chief School Administrator shall ensure that each school is led by an effective principal. Where a principal is not effective, the Chief School Administrator, in consultation with the SMT and the SRI Team, shall recommend to the board the transfer or removal of that principal. The Chief School Administrator shall be guided by the Standards for School Leaders, developed by the Interstate School Leaders Licensure Consortium, or any successor document, in assessing principal effectiveness.

1. Copies of The Standards for School Leaders can be obtained from the following sources:

   The Department of Education Council of Chief School Officers
   Division of Executive Services 1 Massachusetts Ave. Northwest
   100 River View Plaza, Route 29 Washington, D.C. 20001-1431
   Trenton, NJ 08625-0500 www.ccsso.org

(c) The Chief School Administrator shall notify the SMT and the SRI Team assigned to a WSR school prior to the effective date of any transfer or removal of any teacher in schools implementing WSR.

(d) The board shall accord the programs and services required pursuant to this chapter the highest priority in development of the school budget and shall make such reallocations and dedicate such resources as are necessary to ensure their full implementation within the prescribed time frames. To the extent resources are insufficient after all possible
reallocation at the school and district levels, the board shall apply for additional funding pursuant to N.J.A.C. 6A:24-7.

(e) The board shall seek from the Commissioner such equivalency or waiver determinations in accordance with N.J.A.C. 6:3A as are necessary to permit it to implement required or approved programs in an efficient and effective manner, or to effectuate necessary reallocations.

(f) The board shall provide for a full-time media specialist responsible for ensuring that school libraries have appropriate materials to supplement the curriculum and to address the Core Curriculum Content Standards.

(g) The board shall provide for a full-time technology coordinator at each secondary school in the district and each WSR elementary school. The technology coordinators shall assist with the development and integration of educational technology consistent with the requirements of N.J.A.C. 6A:24-2, 6A:24-4 and 24-6. The coordinator shall, in addition to such other duties as he or she may be assigned, provide assistance to the SMT as needed.

(h) The board shall provide for a full-time dropout prevention officer and a full-time staff member responsible for the coordination of health and social services and the referral of students to such services for each secondary school within the district. These staff members shall, in addition to such other duties as they may be assigned, provide assistance to the SMT as needed.

(i) The board shall implement a Department approved district wide security plan that includes a Code of Student Conduct, and one security guard for each elementary school building and one for each 225 students at the secondary level as part of the board’s plan. As part of the board’s plan, it may apply for a waiver of the required number of security guards pursuant to (e) above.

(j) The board shall implement its Department-approved plan providing for the establishment of an alternative middle school and high school, or other comparable program, to meet the needs of students who are disaffected or disruptive or who have not been successful in traditional learning environments.
(k) The board shall implement its plan to accommodate the transition to, and eventual full implementation of, school-based management. The board shall support changes in structure and function of central administrative staff as are necessitated by the decentralization of planning, budgeting and decision making in the district.

(l) Any early childhood program aid (ECPA) or demonstrably effective program aid (DEPA) awarded to a district, including ECPA funds placed in a reserve account, and neither expended nor encumbered, nor anticipated as revenue, in the current year budget, shall be appropriated in the subsequent year at the direction of the Commissioner. The board shall provide an explanation for all such balances, and the Commissioner shall consider such explanation prior to directing appropriation of funds. The Commissioner shall further consider such balances during a review of any application from the district for additional funds pursuant to N.J.A.C. 6A:24-7.

(m) As provided in the annual Appropriations Act, there shall be a deduction from state aid of the expenses required to manage, control and supervise the implementation of that state aid. Such expenses may include, but shall not be limited to, the cost of SRI Teams assigned to the district and such consultants, developers, investigators or experts as may be required for this purpose. The board shall budget for this purpose an amount equal to two percent of the district’s Abbott v. Burke Parity Remedy funding. Such deduction shall support expenses required to manage, control, supervise and implement the effective and efficient expenditure of state aid, including implementation of the educational reforms directed by the Court.

(n) The Chief School Administrator shall ensure that collaboration, articulation and continuity occurs between the early childhood program, including preschool and kindergarten, the WSR school, the middle school and the high school to ensure a smooth transition for students between grades and between schools.

(o) The Chief School Administrator shall ensure that all WSR models and all whole school alternative program designs adopted in the district are aligned to the Core Curriculum Content Standards. The Chief School Administrator shall work with the developer, the principal, and the SMT, in consultation with the Department, to ensure that all instructional materials and
all instructional processes of either the WSR model or the whole school alternative program design are aligned with the Core Curriculum Content Standards.

6A:24-1.5 Accountability

Each district and school shall implement its approved district wide system of rewards to recognize schools, teachers, parents, and administrators who contribute to helping students attain the Core Curriculum Content Standards pursuant to a district plan approved by the Department. In districts and/or schools that do not maintain a pattern of improved student achievement, the Department shall pursue sanctions provided for in N.J.S.A. 18A:7F-6(b).

6A:24-1.6 Abbott Districts Established Pursuant to P.L. 1999, c.110

The Department shall work with those districts designated as Abbott districts pursuant to P.L. 1999, c. 110 to implement the requirements for Abbott districts established by this chapter by July 1, 2002.

SUBCHAPTER 2. SCHOOL MANAGEMENT TEAMS

6A:24-2.1 Establishment of School Management Teams

(a) The Chief School Administrator in all Abbott districts shall submit annually to the Department for approval, with a copy to the board, a procedure, or an amendment to their approved procedure, for the selection, training and operation of a school-based School Management Team (SMT) in every school. The procedures submitted shall be consistent with this chapter. The purpose of the SMT is to ensure participation of staff, parents and the community in the school level decision making and to develop a culture of cooperation, accountability and commitment, all with a focus on improving student achievement.

1. Terms of office for SMT members shall be a minimum of 2 years except that for initial selection or election of the SMT, staggered terms shall be provided to ensure continuity of the SMT.

2. A mechanism shall be established for the selection and removal of team members when appropriate. No member of the SMT may be removed without
the concurrence of the SRI Team except as provided for in N.J.A.C. 6A:24-2.3(d).

3. A member of the SMT shall receive no compensation for his/her services except that:
   i. This provision shall not preclude rights and payments available to an employee pursuant to a collective bargaining agreement for hours beyond the school work day; and
   ii. A member of the SMT may be reimbursed for reasonable expenses on an actual or a per diem basis if no payment is provided to that member pursuant to i. above.

4. The SMT shall notify the SRI Team if any difficulties arise as to the functioning of the SMT.

(b) Throughout the school year, the Chief School Administrator shall provide adequate training and technical support to the SMT regarding the district’s internal operating policies and procedures. The Chief School Administrator shall ensure that sufficient time and resources are allocated to the team to enable it to perform its work, including development of a WSR implementation plan pursuant to N.J.A.C. 6A:24-4.3.

(c) The membership of the SMT shall be broad based and representative of the student population and of the community’s racial and ethnic composition.

(d) The SMT shall include the building principal, teachers, school-level support staff, parents, and community members. The SMT may include students. No one group identified above may constitute 50 percent or more of the SMT membership. Board members shall not serve on the SMT. A school district employee shall not serve as either a parent or a community member on an SMT in that district.

(e) SMT members shall be chosen either by selection or election as follows:
   1. The community shall be meaningfully involved in the process;
   2. The principal shall provide a broad-based notice prior to initiation of the process; and
   3. Teaching staff members shall be chosen by teachers, and school-level support staff members shall be chosen by school-level support staff. Parent members
shall be chosen by parents except that in the event that a sufficient number of parents are not chosen by the parents, the principal may select the remaining parent members. If a student is on the SMT, that student shall be chosen by students.

4. Community members shall be selected by the principal after the principal solicits a broad base of community members for their interest in participating on the SMT.

(f) The number of meetings, dates, locations, and times shall be decided by a majority vote of the SMT members with a goal of maximizing attendance of members. The SMT shall make all reasonable efforts to notify the community of the meeting dates, locations and times, and the meetings shall be accessible to the public. An SMT may not hold a meeting unless a majority of the SMT members are present.

(g) Any currently constituted SMT which is not in compliance with this chapter shall, jointly with the SRI Team, implement a transition plan to bring the SMT into compliance, which may include requests for appropriate waivers of these requirements pursuant to N.J.A.C. 6:3A.

6A:24-2.2 Responsibilities of School Management Teams

(a) It shall be the primary responsibility of the SMT of each WSR school to develop a WSR implementation plan based on a needs assessment pursuant to N.J.A.C. 6A:24-4.3.

(b) The SMT of each WSR school, in addition to the development of the WSR implementation plan and the specific duties set forth elsewhere in this chapter, shall undertake the following in consultation with its assigned SRI Team:

1. Ensure that curriculum, instruction, and the instructional delivery system are aligned with the Core Curriculum Content Standards;

2. Review the Statewide assessment results at school and grade levels to determine program and curriculum needs and to take appropriate action to improve and enhance student achievement;

3. Ensure that a program of professional development to assist staff in the implementation of all aspects of WSR is being utilized by the school;
4. Ensure implementation of a school-level educational technology plan approved by the Department that is integrated with the district-level educational technology plan demonstrating how educational technology will be infused throughout all aspects of curriculum and instruction to support achievement of the Core Curriculum Content Standards, and providing for acquisition and maintenance of necessary equipment and infrastructure, appropriate professional development activities and designation of staff to implement technology activities;

5. Ensure that education programs, including co-curricular and extracurricular activities, are provided to address the Cross-Content Workplace Readiness Standards of the Core Curriculum Content Standards. At the secondary level, the curriculum should integrate academic and occupational concepts, the opportunity for students to participate in a structured learning experience, as well as programs that link the secondary years to post-secondary educational opportunities;

6. Implement a school-based system of rewards consistent with the district wide system of rewards established pursuant to N.J.A.C. 6A:24-1.5; and

7. Establish work groups as needed that include SMT members and non-SMT members to maximize participation by non-SMT members.

(c) Annually, each SMT of a WSR school shall be authorized to undertake the following responsibilities after a majority vote of its members and upon approval of the SRI Team. The SRI Team shall not approve the SMT to undertake these responsibilities unless and until the SMT has had specific training in these areas.

1. Approve a school-based budget in accordance with the requirements of N.J.A.C. 6A:24-4.4; and

2. Make recommendations for the appointment of a building principal, of teaching staff members, and of instructional aides for early childhood programs providing not less than three (3) candidates to the Chief School Administrator, who may select one of the three candidates for recommendation to the board pursuant to N.J.S.A. 18A:27-4.1. The Chief School Administrator may request additional candidates from the SMT. The Chief School Administrator shall not recommend to the board any such candidates for appointment unless the SMT has recommended that candidate to the Chief School Administrator.
(d) If the SMT in a WSR school does not exercise its option pursuant to (c) above to be included in the decision making on the identified areas, the SMT shall be advised of the actions to be taken and given an opportunity to provide input and the principal shall be responsible for making decisions and/or recommendations in those areas.

6A:24-2.3 Training of School Management Team Members

(a) Each new member of an SMT shall obtain training pursuant to this subchapter.

(b) The district shall provide training to SMT members in all WSR schools in consultation with the SRI Team.
   1. The training shall include, but not be limited to, instruction regarding the roles, relationships and responsibilities of the SMT, development and implementation of the WSR implementation plan, needs assessment, particularized need, curriculum and instruction, teamwork, and consensus building.
   2. The training, if necessary, shall also include personnel and school-based budgeting.
   3. The training shall provide support for continued implementation of a WSR model or design.

(c) The Chief School Administrator shall notify the SRI Team in writing when an SMT member has attended a training program that satisfies the training requirement.

(d) The Chief School Administrator shall remove an SMT member who has not fulfilled the training requirement. Any member so removed may appeal by filing a petition with the Commissioner pursuant to N.J.A.C. 6A:24-1.1 et seq.
   1. The Chief School Administrator shall notify the SRI Team in writing when SMT members have been removed pursuant to this provision.
6A:24-3.1 Early Childhood Education General Provisions

These rules will assist the Department in reaching its goal to ensure that all children in Abbott districts enter kindergarten ready to learn. The purpose of these rules is to ensure that quality early childhood education programs are established in New Jersey. There is overwhelming evidence that an early childhood education will have long-term benefits for children, especially in disadvantaged districts.

6A:24-3.2 Full-Day Kindergarten

The board shall offer a full-day kindergarten program to all five-year-old children, and shall provide one teacher and one teacher aide for every 21 children. Class size shall not exceed 21 children.

6A:24-3.3 Early Childhood Education Programs

(a) The board shall offer a half-day early childhood education program to all three- and four-year-old children. The board shall also implement a plan to provide a full-day, full-year early childhood education program by the 2001-2002 school year.

1. The board shall determine age eligibility for enrollment in early childhood education programs provided pursuant to this subchapter using the same date it uses in determining age eligibility for kindergarten programs.

2. The board shall offer early childhood education programs, once implemented, for the duration of the school year.

3. The board shall provide one teacher and one aide for every 15 children. Class size shall not exceed 15 children.

4. The board shall provide programs that meet the Department’s Early Childhood Education Program Expectations that are linked to the Core Curriculum Content Standards and are to be integrated by the board with the WSR model(s) or the whole school reform alternative program design(s) utilized in that district.
5. Any board which establishes an early childhood education program and employs a new teacher must require the new teacher, by September 1, 2001, to hold the new teacher of Preschool through Grade 3 endorsement.

6. The board shall provide master teachers at a recommended ratio of 1 to every 20 early childhood education classrooms. Master teachers shall coordinate and facilitate early childhood education programs and assist in the provision of early childhood education professional development.

7. The board shall ensure that family referral services are available for district-operated early childhood education programs and that family workers are provided by all DHS-licensed child care programs with which the board contracts.

8. The board shall develop, in consultation with the Department, community outreach and recruitment strategies to maximize participation in early childhood education programs. If enrollments in the early childhood programs do not exceed 50 percent of the projected preschool population in the district, the board shall develop a corrective action plan to increase enrollments which shall be approved by the Commissioner and then implemented by the district.

(b) The board shall cooperate with or utilize a DHS-licensed child care provider whenever practical to implement required early childhood education programs and shall not duplicate programs or services otherwise available in the community. When the board enters into a contract with a DHS-licensed child care provider, the contract shall be in a form provided by or approved by the Department and shall include clear expectations, necessary supports and accountability measures. The board shall contract with a DHS-licensed child care provider to provide services to preschool children when that provider is able and willing to comply with the following requirements:

1. Provide one teacher and one aide for every 15 children. Class size shall not exceed 15 children;

2. In consultation with a master teacher, provide programs that meet the Department’s Early Childhood Education Program Expectations that are linked to the Core Curriculum Content Standards and are integrated with the WSR model(s) or the whole school alternative program design(s) utilized in the district;

3. Require existing teachers who have experience working with young children but who otherwise lack academic credentials to make annual progress toward
obtaining a bachelor’s degree and a teacher of Preschool through Grade 3 endorsement and to obtain that endorsement by September 2004.

4. Require any newly hired teachers to have a bachelor’s degree, enroll in the Department’s provisional teacher program and obtain appropriate early childhood education courses, and obtain a teacher of Preschool through Grade 3 endorsement by September 2001; or
   ii. Have a K-8 teacher’s certificate, enroll in early childhood courses now, and obtain the proposed preschool-3 certificate no later than September 2001.

5. Encourage all teacher aides, both new hires and existing staff to:
   i. Pursue an associate’s degree in early childhood education, if they already have Child Development Associate/Certified Childcare Professional (CDA/CCP) credentials; and
   ii. Pursue either the CDA or the CCP credential if they do not have CDA/CCP credentials.

6. Provide one family worker for every 40 children and their families being served by the center. The family worker shall work with the center and the parents to ensure that the parents and their children obtain necessary health and social services.

   (c) The board may receive a waiver on an annual basis from the Department to contract with a DHS-licensed child care provider that cannot meet the requirements of (b)4 above. The DHS provider shall document its inability to hire an appropriately credentialed teacher and agree to hire a teacher for the school year who holds, at a minimum, the CDA or CCP credential.

   1. The board’s request for a waiver shall include the following information on a form provided by the Department:
      i. Explanation of the circumstances that require the employment of a person who does not meet the requirements of (b)4 above;
      ii. Demonstration of the process used in attempting to secure the services of an individual with standard certification to fill the vacancy;
iii. Documentation of communication notices and recruitment efforts (e.g. advertisements placed, colleges that were contacted, etc.) to employ a qualified individual;

iv. Identification of all appropriately certified individuals who applied for this position, if any; and

iv. Provision of a reason/justification, if applicable, why the certified applicant(s) is (are) not suitable for employment in the position.

2. The board shall receive a waiver only upon the approval of the Commissioner.

(d) The board shall ensure that DHS-licensed child care providers comply with the contract requirements including the requirements of 6A: 24-3.3(b) above and shall conduct on going, on site monitoring to assess compliance.

6A:24-3.4 Early Childhood Education Program Operational Plan

(a) The board shall operate its early childhood education program consistent with its early childhood education plan approved by the Department, which was developed pursuant to requirements specified in N.J.A.C. 6A:24-3.3(a). The board shall amend its plan so that all four-year-olds and all three-year-olds are offered full-day, full-year early childhood education programs by the 2001-2002 school year. The district shall provide any necessary information to the Department to ensure that the program implementation is consistent with that plan.

1. Upon offering full day, full year early childhood education programs, the district may request a waiver of this requirement under N.J.A.C. 6:3A, as applicable, to provide families with options to enroll their children in a half-day program and/or a program that follows the school year calendar, providing that program meets all of the requirements of this subchapter.

(b) No additional early childhood education programs or services shall be approved unless a particularized need is demonstrated pursuant to N.J.A.C. 6A:24-5.

SUBCHAPTER 4. WHOLE SCHOOL REFORM

6A:24-4.1 Implementation of Whole School Reform Model
(a) To be an approved whole school reform (WSR) model developer, a WSR model developer must align all instructional materials and all instructional processes in the model with the Core Curriculum Content Standards. The department shall provide an analysis of that alignment for use by schools implementing the model. All schools that are WSR schools shall continue to implement the WSR model selected, except as provided in N.J.A.C. 6A:24-4.5.

(b) By January 30, 2001, all secondary schools shall submit to the department an application for implementation of whole school reform for the 2001-2002 school year. The application must be for:

1. a research-based WSR model; or
2. a whole school alternative program design

(c) In addition to the requirements in (a) and (b) above, a high school shall identify its assessed needs and consider its unique characteristics by incorporating an effective combination of the following research-based strategies for high schools:

1. Create small learning communities so that schools are organized into small units of students and use a variety of instructional strategies that engage students and accommodate individual learning styles;
2. Utilize flexible time for teaching and learning so that schools may organize the day, week and month to lengthen blocks of instructional time;
3. Develop instructional content so that schools are able to structure learning around careers and student interest and link out-of-school experiences to classroom instruction;
4. Develop student assessment so that schools assess student progress by what they are capable of doing and use rich assessments that include portfolios, performance tasks and examples of student accomplishments to measure progress in meeting HSPA and other state assessments;
5. Establish community partnerships so that schools work collaboratively with parents, community and business for the purpose of enabling students to achieve the Core Content Curriculum Standards; and
6. Establish partnerships with institutions of higher education so that the schools establish an articulation agreement with institutions of higher education to implement a WSR model or the whole school alternative
program design, use a variety of assessments and evaluations to measure the effectiveness of WSR implementation and improve the transition between high school and post-secondary education.

(d) Upon selection of a model, secondary schools shall incorporate the required programs for secondary schools pursuant to 6A:24-6.1 into that model.

(e) The Commissioner shall assign an intervention team to work with any elementary school that has not selected a WSR model or alternative program design by June 1, 2000 and any secondary school that has not selected a WSR model or alternative program design by January 30, 2001, the Commissioner shall direct the team to:

1. identify barriers which are affecting the school’s ability to implement comprehensive school reform; and
2. make recommendations for corrective action.

(f) Based on the team’s recommendation, the Commissioner may direct the following actions to occur at the school site under the supervision of designated Department staff:

1. restructuring of curriculum and all school based services and programs;
2. redirection of all building allocations and expenditures to support comprehensive school reform which will result in student attainment of the Core Curriculum Content Standards;
3. assessment of the roles and responsibilities of all school personnel;
4. reallocation of staff, including reallocation to other schools in the district, to support school reform; and
5. development and implementation of a comprehensive professional development plan for all staff, which will ensure increased student achievement as demonstrated by the results of the state assessments and other assessment tools.

(g) The SRI shall work with the building principal, SMT, central office and local board of education to ensure the effective implementation of site-based management and comprehensive school reform. If, after the intervention, the school fails to select a model or
have a design approved, the Commissioner shall direct the school to implement a whole school reform model during the 2000-2001 school year that meets the particular needs of the school.

(h) Any elementary or secondary school that opens after the effective date of these regulations shall, within 90 days, consult with the Department to develop a plan for submitting an application to participate in the implementation of WSR.

(i) Each of the following elements of whole school reform shall be addressed in a WSR model adopted by a school in an Abbott district:

1. Improved Student Performance: The model shall lead to improved student achievement focused on the Core Curriculum Content Standards, as measured by the State assessment program (Elementary School Proficiency Assessment, Grade Eight Proficiency Assessment, and High School Proficiency Test/High School Proficiency Assessment).

2. Research-Based Program: Each school shall provide a research-based program of curriculum and instruction supported by, and integrated with, an appropriate array of research-proven supplemental strategies (e.g., SFA/R&W).

3. School-Based Leadership and Decision Making: The school shall maintain its own planning and decision-making structure, including establishment of an SMT pursuant to N.J.A.C. 6A:24-2 et seq., and shall be led by a strong, effective principal. The principal shall involve parents and teaching staff members in setting annual student achievement targets. School staff and members of the community shall be committed to working together in a comprehensive, concerted effort to ensure that each child achieves success and maintains his or her self-confidence and enthusiasm for learning.

4. Integration and Alignment of School Functions: The school shall use a comprehensive approach, rather than a piecemeal one, to assure effective school-level implementation pursuant to N.J.A.C. 6A:24-4.3. All school functions shall collectively support student attainment of the Core Curriculum Content Standards. The school shall have an effective and compatible program of curriculum and instruction, supported
systematically by a well-planned school budget pursuant to N.J.A.C. 6A:24-4.4.

5. Educational Technology: Educational technology shall be infused in all aspects of curriculum and instruction, throughout the entire WSR model, to support achievement of the Core Curriculum Content Standards pursuant to N.J.A.C. 6A:24-1.4(f) and N.J.A.C. 6A:24-2.2(b)4. Educational technology includes acquisition and maintenance of necessary equipment and infrastructure, provision of appropriate professional development activities and designation of appropriate staff to implement plans and activities.

6. Professional Development: All staff of the school shall be engaged in an organized, continuous program of staff training, focused on the acquisition of knowledge and skills directly related to the achievement of the Core Curriculum Content Standards and the implementation of the selected WSR model.

7. Safe School Environment Conducive to Learning: The school climate must be safe and conducive to learning. There shall be a code of conduct that clearly defines acceptable and unacceptable student behaviors and the consequences for them. The district shall provide required security staff and other necessary protective devices as set forth in N.J.A.C. 6A:24-1.5(h).

8. Student and Family Services/Coordination of Resources: Each elementary school shall maintain a Family Support Team or other comparable entity that encourages parent involvement in the school and in students’ learning; trains parents for volunteer roles; intervenes to resolve behavioral, nutritional, attendance and other issues; receives teacher referrals of students who are not making progress; and makes referrals to appropriate health and human services agencies, including KidCare and Medicaid. Each middle and secondary school shall provide health and social services in accordance with N.J.A.C. 6A:24-1.4(i) and N.J.A.C. 6A:24-6.

9. Reward System: Each school shall establish and maintain a reward system, consistent with the district reward system established pursuant to N.J.A.C. 6A:24-1.5, that includes a system of rewards to recognize
teachers, parents, and administrators who contribute to helping students attain the Core Curriculum Content Standards.

(j) Additionally, the following requirements shall be met:

1. The school principal and staff shall make an informed choice to use the WSR model;
2. The district administration and school staff must agree to fully implement the model within three years and maintain implementation of the model thereafter;
3. All requirements of the developer shall be addressed. An agreement shall be signed by the district, the school and the developer to implement the model in accordance with the developer’s and the Department’s requirements;
4. There shall be a clear commitment by the district administration, school staff, parents and community to faithful replication of the model selected;
5. Extensive professional development shall be implemented according to the requirements of the developer and the Department;
6. The district shall allocate the necessary resources to implement the model, and the school shall use the allocated resources for this purpose;
7. The school shall integrate all local, state and federal resources into the funding of one WSR implementation plan;
8. The school shall restructure all existing programs to focus on the WSR model being implemented; and
9. A plan shall be in place to continue to reduce class size by September 2002 to 1:21 for grades K-3 and 1:23 for grades 4-8 and 1:24 for grades 9-12. The plan shall also include an aide in kindergarten.

(k) Requirements 1, 2 and 4 above are not applicable where the Commissioner directs implementation pursuant to subsection g of this section.

6A:24-4.2 Whole School Alternative Program Design

(a) Any new elementary school and any secondary school that is not a WSR school may apply to adopt and implement a whole school alternative program design, rather than
select an established WSR model. The school shall submit an application to the Commissioner that demonstrates the following:

1. There is substantial evidence of high student achievement in that school for at least the last three years;
2. The whole school alternative program design addresses the elements of whole school reform set forth in N.J.A.C. 6A:24-4 by meeting each of the whole school alternative program design criteria set forth in this subsection; and
3. The school has informed the district of the school’s commitment to adopt and implement a whole school alternative program design, and the district has demonstrated its commitment to support the school and its implementation of the whole school alternative program design. The school may continue to implement the whole school alternative program design as long as there is evidence of progress toward achievement of the Core Curriculum Content Standards.

(b) Eligible secondary schools shall submit an application by January 30, 2001 for the 2001-2002 school year. The Department shall develop a rigorous process, involving outside experts, to review applications for approval to implement a whole school alternative program design. A school shall be notified of the Department’s decision by May 2001. A school whose application is not approved shall select a Department-approved WSR model pursuant to this subchapter.

(c) An application for approval to implement a whole school alternative program design shall include the following:

1. A Needs Assessment: Each school shall conduct a needs assessment that is based on the elements of Whole School Reform set forth in N.J.A.C. 6A:24-4, and on student achievement of the Core Curriculum Content Standards. The needs assessment shall describe which elements of whole school reform currently exist, which ones are missing, and how the missing components will be addressed. The needs assessment shall include:
   i. The academic needs of students;
   ii. The health and social needs of students;
iii. The availability, current capacity and needs of community resources;
iv. The curricular, instructional (regular and specialized), programmatic, and staffing needs of the school in the Core Curriculum Content Standards, including visual and performing arts, comprehensive health/physical education, language arts literacy, mathematics, science, social studies and world languages;
v. The professional development needs of teachers;
vi. The facilities needs; and
vii. An estimate of the resources at the school level to fund the design.

2. Effective Research-Based Methods and Strategies: There shall be reliable research supporting the key components of a design and evidence of effective practices, including evidence that the program has worked successfully in the applicant school and/or in other schools with similar characteristics. The design shall identify the research that supports each of its key components;

3. A Comprehensive Program Design: The design shall:
   i. Align and coordinate all instructional programs to the Core Curriculum Content Standards;
   ii. Address educational needs of children with limited English proficiency, children with disabilities and early childhood education programs;
   iii. Address student needs during the instructional day and include strategies for early intervention for those students who are not making progress (for example ongoing assessment of student achievement);
   iv. Address summer and after-school supplemental programs where needed, through the application for particularized need established in N.J.A.C. 6A:24-5.1;
   v. Integrate educational technology in all aspects of curriculum and instruction to support achievement of the Core Curriculum Content Standards as set forth N.J.A.C. 6A:24-4.1(f)2;
   vi. Ensure that there shall be sufficient management and support personnel to facilitate planning and operating of all instructional programs; and
   vii. Be comprehensive. The design shall incorporate a common vision and mission for the school and include the integration of effective programs and strategies;
4. Emphasis on Reading Literacy: The design shall include an intensive reading literacy component. The design shall be based upon national models to ensure that every elementary student will be reading at grade level by the end of the third grade and that every secondary student is expected to meet state standards in Language Arts Literacy as determined by the GEPA and HSPA;

5. Class Size Reduction: The design shall reduce class size in grades K-3 to 21 children and in grades 4-8 to 23 children and in grades 9-12 to 24 children or demonstrate a program of smaller class sizes geared to more effective learning.

6. Faculty Buy-In and Support Within the School: The school shall demonstrate that the school staff is committed to the design. Additionally, the school staff shall have a meaningful involvement in decision making, development and implementation of the design. The school shall provide evidence that support is based on consensus or on an 80 percent, including reading class size reduction; approval vote by school staff;

7. Parental Involvement: The design shall provide for meaningful parental involvement in decision making, including participation on the SMT, instructional program, and implementation of the design;

8. An Instructional Facilitator: A teaching staff member shall be designated to ensure that all the elements of the design are properly implemented and coordinated;

9. Professional Development: The design shall provide high-quality and continuous staff professional development and training and shall comport with current continuing education regulations. Teachers shall be provided with the knowledge and resources necessary to implement the design, including in-service training and support in the form of facilitation and peer assistance. Professional development shall be designed to help teachers assist students in achieving and sustaining significantly higher levels of proficiency;

10. Goals, Benchmarks, Evaluation and Accountability: Student performance shall be tied to the Core Curriculum Content Standards and the State’s proficiency tests. The design shall establish interim benchmarks and assessment mechanisms to achieve the goals. A continuous evaluation
mechanism and an accountability system shall be developed as part of the design;

11. Coordination and Referral of Resources in the Community: There shall be a mechanism in place to ensure effective utilization, referral and coordination of school and community resources, including federal, state and local, including KidCare and Medicaid, to address problems faced by the child and to maximize the child’s opportunity to learn. This shall include a school-based family support team trained to meet health, nutritional and social services needs of the child;

12. Adequate Facilities: The district’s long-range facilities plan shall demonstrate adequate facilities to ensure the successful implementation of the design. There shall be facilities sufficient for the delivery of art, special education, music, science and world languages consistent with the approved curriculum and instruction;

13. School-Based Budgeting: The design shall require the use of school-based budgeting to ensure that an analysis of the entire school budget is conducted to allocate funds sufficient to support the school curriculum based on the Core Curriculum Content Standards and all required and needed secondary programs;

14. A Safe and Secure Learning Environment: The design shall ensure that sufficient security is provided to the school so that a safe and secure environment shall be provided for learning. The districts’ student code of conduct shall be implemented as part of the design;

15. Articulation Among Whole School Reform Programs and Schools: The school’s design shall address the need for articulation within the school, between the school and other schools in the district, and with the central office;

16. School-Based Leadership: A School Management Team shall be established and function in each school in accordance with N.J.A.C. 6A:24-2; and

17. Required Programs in Secondary Schools: Each secondary school shall identify how it integrates the required programs in secondary schools pursuant to N.J.A.C. 6A:24-6.1.
6A:24-4.3 Submission of WSR Implementation Plan

(a) Annually, on or before December 1, the SMT of each school participating in WSR shall develop and submit to the Department, with a copy to the Chief School Administrator and the board, a WSR implementation plan based on a needs assessment which integrates all local, state and federal resources and has been developed in accordance with the guidelines and requirements of the Department and the program developer. At a minimum, the plan shall:

1. Be developed with the involvement of school staff, parents, community members and other stakeholders, in consultation with the Department and with the developer;
2. Be consistent with the school’s Department-approved application for participation in WSR;
3. Include goals, measurable objectives, activities, timelines, budget data, and an evaluation plan;
4. Include a timeline leading to full implementation of WSR within three (3) years of Department selection;
5. Ensure that curriculum is aligned with the Core Curriculum Content Standards and is articulated among the grades in the school;
6. Include collaboration, articulation and continuity with the middle or high school, as appropriate, that the students will attend to ensure a smooth transition to the next grade level;
7. Be approved by the Chief School Administrator, school principal and the SMT; and
8. Include a plan for developing a progress report.

(b) The WSR implementation plan shall also include the following:

1. The school-level educational technology plan required in N.J.A.C. 6A:24-2.2(b)4; and

(c) A WSR implementation plan adopted at the secondary level shall incorporate all programs and services specified in N.J.A.C. 6A:24-6.1. Additionally, the plan shall address reducing the dropout rate, increasing the graduation rate, improving attendance and reducing class size.
(d) No programs or services beyond those required by the WSR model, approved design, or required programs shall be approved unless a particularized need is demonstrated pursuant to N.J.A.C. 6A:24-5.1.

6A:24-4.4 School-Based Budgets

(a) The principal shall prepare annually, on or before December 1, a school-based budget as follows:

1. The principal shall consult with staff and the SMT as to the programs, staff and other goods and services that will be needed to implement or maintain the selected WSR model, or the design and required secondary programs.

2. The principal shall prepare a budget proposal in a format prescribed by the Commissioner that compares the proposed budget to both the current year appropriations and to either the illustrative budget for the selected WSR model or the components of the proposed budget for the alternative program design, as applicable;

3. Where proposed staff or other expenditures exceed the illustrative budget standards, the principal shall advise the SMT and specifically identify where those standards are exceeded so that the SMT can prepare a demonstration of particularized need pursuant to N.J.A.C. 6A:24-5.1 et seq. for each category that exceeds the illustrative budget for approval by the Department;

4. Except where prohibited by federal law, all local, state and federal funds, shall be considered general funds available for WSR activities, notwithstanding any restrictions that would otherwise apply;

5. The budget shall be developed assuming available revenues based on audited amounts of the year preceding the pre-budget year and those allocated for the pre-budget year. Additionally, it shall account for anticipated revenue increases and reflect the resources necessary to implement WSR and required secondary programs and Department-approved particularized needs as applicable; and

6. Where funds available within the pre-budget year’s budget, together with anticipated revenue increases, are not sufficient to support the budget, all available school-level resources shall be reallocated for the purpose of
implementing WSR, required secondary programs, and, as applicable, particularized needs approved pursuant to N.J.A.C. 6A:24-5.1.

(b) The Chief School Administrator shall provide the principal with all appropriate resources and assistance as needed to develop the school-based budget.

(c) As appropriate, the school business administrator shall work with the principal in development of the school-based budget. The school business administrator shall provide the SMT with a school-based budget orientation that, at a minimum, shall include a workshop on the chart of accounts, budget forms, and the illustrative budget for the selected WSR model or the components of the proposed budget for the alternative program design, as applicable.

(d) The principal shall seek input from the SMT on the proposed school-based budget. Once SMT input has been provided, the principal shall submit the budget to the Chief School Administrator and board for comments.

(e) In consultation with the SMT, the principal shall prepare a response that addresses each comment received from the Chief School Administrator and the board along with the action taken, if any.

(f) If the SMT has exercised its option to be involved in decision making on the school-based budget pursuant to N.J.A.C. 6A:24-2.2(c), then it shall take action to approve the budget. If the SMT has not exercised its option pursuant to N.J.A.C. 6A:24-2.2(c), the principal shall certify the budget.

(g) The principal shall submit the approved or certified budget to the Department with the Chief School Administrator’s and the board’s comments and the SMT’s responses to those comments.

(h) Upon approval of the school-based budget by the Commissioner, the board shall allocate adequate funds in the district budget for those elements approved by the Commissioner, and may allocate additional funds for those elements not approved by the Commissioner, provided the board does not apply for additional state aid pursuant to N.J.A.C. 6A:24-7.1.
6A:24-4.5  WSR Implementation Issues

(a) A WSR school that is having difficulty with implementation of the whole school reform model that was selected shall convene the superintendent, principal and SMT to meet as a team to discuss issues; to determine, in consultation with the SRI Team and developer, necessary next steps based on the needs of the school; and to explore all possible options for addressing identified needs, including application to the Department for approval to select another WSR model. The Department shall approve an application to implement another WSR model pursuant to this subchapter only if the school demonstrates to the satisfaction of the Commissioner that:

1. Implementation of the WSR model was not consistent with the elements of whole school reform as set forth in this chapter;
2. The model or design that the school is applying to implement is capable of producing student results which exceed the results that the WSR model is capable of achieving; and
3. The model or design meets all of the criteria set forth in N.J.A.C. 6A:24-4.2 or 4.1 and resolves the problems which led to the selection of the model or design.

(b) The principal or the developer shall bring to the attention of the Department any school not demonstrating satisfactory progress in student achievement and a meeting shall be convened with the SRI Team, the developer and the SMT to develop a corrective action plan that includes a timeline and sets benchmarks toward reaching the goal of improving student achievement in that school.

(c) The Department shall conduct a comprehensive evaluation of Whole School Reform in participating Abbott districts and schools to determine the effectiveness of implementation efforts and to begin assessing the impact of WSR on improving student achievement in meeting the Core Content Curriculum Standards.

SUBCHAPTER 5. SUPPLEMENTAL PROGRAMS AND SERVICES

6A:24-5.1  Demonstration of Particularized Need
(a) The SMT, in consultation with the principal and Chief School Administrator in any elementary or secondary school participating in implementation of WSR, may consider whether there exists a demonstrated particularized need for additional supplemental educational programs or services over and above existing WSR or required secondary programs which are essential to ensure educational success for a specified population of students, and without which program or service such students cannot achieve the Core Curriculum Content Standards.

(b) To determine whether a particularized need exists, the SMT shall first undertake a needs assessment which shall include:

1. An assessment of student achievement in meeting Core Curriculum Content Standards and identification of particular populations of students not meeting such standards;

2. Where the Core Curriculum Content Standards are not being met, a determination that failure of those students is caused by particularized needs which are not capable of being addressed by existing WSR or required secondary programs at the school level, and an explanation as to why the existing WSR or required secondary programs are insufficient to meet the identified need(s);

3. An inventory of currently used programs and services targeted to the area(s) of need, together with an assessment of their effectiveness and efficiency in meeting such need, and an explanation as to why they are insufficient to meet the identified need(s); and

4. A review of community resources which could be used to address the identified area(s) of need and an explanation as to how they are being used or why they are not being used.

(c) Upon finding a particularized need, the SMT shall recommend to the board, with a copy to the SRI Team, the appropriate supplemental programs and services, which shall be documented by evidence that the programs and services have worked successfully in the school and/or in other schools with similar characteristics and proven to address the identified need.

1. In those instances where a board does not agree that the SMT has demonstrated a particularized need for a program or service or does not
agree that the recommended program or service is essential in order to enable those students to achieve the Core Curriculum Content Standards, the board shall provide to the SMT a detailed statement of the reasons for its determination.

2. In those instances where the board determines that a particularized need for a recommended supplemental program or service has been demonstrated, and that the program or service is essential for success by a specific population of students in achieving the Core Curriculum Content Standards over and above existing WSR or required secondary programs or services, the board shall submit its proposed plan for the program to the Department for approval in accordance with the provisions of N.J.A.C. 6A:24-5.2.

3. In those instances where the board determines that resources are insufficient to support the supplemental programs or services approved pursuant to N.J.A.C. 6A:24-5.2 after all possible reallocation at the school and district levels have been made, the board shall apply to the Department for additional state aid in accordance with the requirements of N.J.A.C. 6A:24-7.

6A:24-5.2 Application for Supplemental Programs or Services

(a) Upon determination by the board that a particularized need for a recommended supplemental program or service has been demonstrated to the board pursuant to N.J.A.C. 6A:24-5.1 and that the program or service is essential for the success of a specific population of students in achieving the Core Curriculum Content Standards, over and above existing WSR or required secondary programs or services, the board shall submit its proposed plan for the program or service to the Department for approval. Applications for such approval shall be submitted on forms provided by the Department and shall at a minimum include:

1. The particularized needs assessment undertaken pursuant to N.J.A.C. 6A:24-5.1(b);

2. A demonstration that one or more public hearings have been held in order to obtain parent, student, teaching staff and citizen input on the application;
3. A general description of the supplemental program(s) or service(s) and an explanation of the particularized need(s) which shall be met in order to enable those students to achieve the Core Curriculum Content Standards;

4. A demonstration that the supplemental program(s) or service(s) is documented by evidence that the program(s) or service(s) have worked successfully in the school and/or in other schools with similar characteristics and is proven to address the identified need(s);

5. A plan for evaluating the continuing effectiveness and efficiency of the supplemental program(s) or service(s);

6. A demonstration that the requested supplemental program(s) or service(s) will not delay or impede implementation of, and does not duplicate, WSR or secondary programs and services required elsewhere in this chapter;

7. A recommendation of elimination or modification of existing programs or services identified as less than effective and efficient, or which would overlap with the proposed new program or service; and

8. An operating budget for the purpose of the supplemental program(s) or service(s).

(b) The Chief School Administrator may apply for supplemental programs and/or services for the district’s preschool program if a demonstrated particularized need exists for further supplemental educational programs or services over and above existing WSR or required secondary programs which are essential to ensure educational success for a specified population of preschool children, and without which such preschool children cannot achieve the Core Curriculum Content Standards. To determine whether a particularized need exists, the district shall undertake a needs assessment pursuant to N.J.A.C. 6A:24-5.1. Upon a determination by the board that a particularized need exists for an early childhood education supplemental program(s) or service(s), the board shall submit its proposed plan for the program(s) or service(s) to the Department for approval pursuant to (a) above.

(c) Supplemental programs or services that are not approved by the Department pursuant to N.J.A.C. 6A:24-5.2 cannot be included in a district wide budget that requests additional state aid pursuant to N.J.A.C. 6A:24-7.
Any application for supplemental programs or services denied by the Department may be appealed to the Commissioner pursuant to the provisions of N.J.A.C. 6A:24-9.

SUBCHAPTER 6. REQUIRED PROGRAMS IN SECONDARY SCHOOLS

6A:24-6.1 Implementation of Required Programs in Secondary Schools

(a) Annually, prior to December 1, the SMT of a secondary school, in consultation with the Chief School Administrator, the principal and the SRI Team, shall submit a revised plan for implementation of required programs in secondary schools to the Department for approval, with a copy to the Chief School Administrator and the board. The plan shall include the means of implementing the following required secondary programs and services and shall include:

1. A mechanism for access to the health and social services identified by the SMT as being essential for the educational achievement of students, through utilization of existing district staff, programs and services and through coordination of and referral to community-based providers;

2. A school security program, consistent with the requirements of N.J.A.C. 6A:24-1.4(h), appropriate to the building’s circumstances and needs, including development of a Code of Student Conduct and review of any existing student behavior policies to ensure maximum effectiveness;

3. A mechanism for identifying students requiring placement in the district’s alternative education program(s) as required in N.J.A.C. 6A:24-1.4(i);

4. School-to-Work or college transition programs that address the Cross-Content Workplace Readiness Standards of the Core Curriculum Content Standards. The curriculum should integrate academic and occupational concepts, provide an opportunity for students to participate in a structural learning experience, as well as include programs that link the secondary years to postsecondary educational opportunities;

5. Infusion of educational technology into all aspects of the curriculum and instructional program to support achievement of the Core Curriculum Content Standards, including provision for acquisition and maintenance of necessary equipment and infrastructure, appropriate professional development activities and designation of staff to implement technology activities; and

6. A focused, ongoing program of professional development for all building staff, including administrative, teaching and support staff, designed to meet the specific needs of the
school and its students as such needs relate to implementation of WSR and achievement of the Core Curriculum Content Standards.

(b) The revised plan shall be submitted to the Department on the form provided for this purpose, and shall at a minimum include:
1. An inventory of existing supplemental programs and services targeted to the area(s) of need, together with an assessment of their efficacy and efficiency;
2. Recommendations for elimination or modification of programs or services judged less than efficacious and efficient, or which overlap with the proposed new program or service;
3. A review of community resources which could be used to address the area(s) of need;
4. Recommendations for the programs and services needed to implement the SMT’s plan, and the operating budget necessary to provide them;
5. Approval by the Chief School Administrator, the principal and the head of the SMT, if other than the principal; and
6. The Department-approved plan set forth in N.J.A.C. 6A:24-4.1(c).

(c) The board shall accord the programs and services listed under (a) above the highest priority in development of each school budget, making such reallocations and dedicating such resources as are necessary to ensure their full implementation in the budgeted year. To the extent resources are insufficient, after all possible reallocations at the school and district levels to support the required secondary programs, the board shall apply to the Department of Education for additional funding pursuant to N.J.A.C. 6A:24-7.

(d) No additional secondary programs and services shall be approved unless a particularized need is demonstrated pursuant to N.J.A.C. 6A:24-5.

SUBCHAPTER 7. DISTRICT BUDGET AND REQUEST FOR ADDITIONAL STATE AID

6A:24-7.1 Application for Additional State Aid

(a) The board shall submit to the Department for approval, pursuant to N.J.S.A. 18A:7F-5(c) and 6(c), a balanced, zero-based budget consistent with N.J.S.A. 18A:4-14 and 22-8. When necessary, for the application for additional state aid pursuant to the requirements of
this subchapter, a board shall submit an application for additional Abbott v. Burke state aid in a format prescribed by the Commissioner as part of the original district-wide budget to be submitted pursuant to N.J.S.A. 18A:7F-5(c) and 6(c).

(b) A board shall submit an application for additional Abbott v. Burke state aid in a format prescribed by the Commissioner as part of the original district-wide budget to be submitted pursuant to N.J.S.A. 18A:7F-5(c) and 6(c). The application shall include a demonstration that resources are insufficient to support all programs required by Abbott V or Abbott VI, and further reallocation would weaken the district’s foundational education programs; and/or the board has determined that resources are insufficient to support Department-approved supplemental program(s) or service(s) and further reallocation would weaken the district’s foundational education programs.

(c) As part of an application for additional Abbott v. Burke state aid the board shall provide an itemized accounting of priorities in reverse priority order in an amount equal to the additional Abbott v. Burke state aid requested. The reverse prioritization ensures elimination of potential duplicative and ineffective programs or services and demonstrates that additional state aid is needed for those programs or services to comply with Abbott V or Abbott VI or to maintain the district’s underlying foundational education program prior to the Commissioner seeking new appropriations. Requests for additional Abbott v. Burke state aid shall be approved by the Commissioner when reallocation or elimination of all or part of such requests will undermine or weaken the district’s foundational education program or Court-ordered requirements. The reverse prioritization will not result in elimination of Court-ordered requirements, but ensures that all funding and concomitant programs and services effectively and efficiently improve student achievement.

(d) The board shall consider all available resources, reallocations and other factors before submitting an application for additional Abbott v Burke state aid as follows:

1. Undesignated general fund balances in excess of 3 percent;
2. Salary appropriations for vacant positions in excess of the district’s average salary for the position title;
3. Salary breakage for replacement of retiring staff during the upcoming budget year;
4. Positions or other expenditures not approved by the Commissioner as part of the school-budget budget during the review of WSR school-based budgets;

5. All new programs and services not approved by the Department as required for WSR, early childhood education, required secondary programs or local particularized needs. Nothing shall preclude the board from seeking additional local school taxes or other local funding over and above the minimum school tax levy for such purposes;

6. Capital expenditures that should be amortized over several years or that can be deferred without jeopardizing the health or safety of the occupants of the buildings; and

7. Appropriations in excess of actual documented need.

(e) The Department shall review the itemized accounting of priorities submitted as required in (b) above, as well as the district wide budget, to determine that consideration has been given to all available resources, reallocations and other factors. The Department shall review the materials submitted and other district records and supporting documentation as considered necessary. In making the determination as to whether an existing program, service or expenditure should be exempt from reallocation, in whole or part, the Department shall consider whether:

1. The existing program, service or other expenditure is school-based and directly serving the students in the school;

2. The existing program, service or other expenditure has demonstrated measurable results in enhancing the achievement levels of students in the school;

3. Elimination of the existing program, service or other expenditure would undermine the foundational education program of the school; and

4. The existing program, service or other expenditure is being delivered in the most efficient possible manner.

(f) If during a school year the board determines the need for additional resources to implement the Department-approved programs, services and other expenditures due to unanticipated expenditures or unforeseen circumstances, a separate application for additional Abbott v. Burke state aid shall be filed consistent with the provisions of this subchapter. The separate application shall also document the nature of the unanticipated expenditures or unforeseen circumstances and the impact on the current approved budget. The Department's
review of the separate application shall be consistent with the provisions of (d) above and will consider the current status of the approved budget. Amounts approved by the Commissioner in response to the district’s separate application will be available for expenditure during school year. The revenue shall be recognized through the establishment of an accounts receivable subject to appropriation in the subsequent year’s Appropriations Act.

(g) Any board requesting additional state aid shall ensure that all spending is necessary and aligned with the objectives and strategies for achieving the Core Curriculum Content Standards for the budgeted school year. The board shall prioritize all programs, services and other expenditures. Expenditures related to Department-approved early childhood education plans, WSR school-based budgets, required secondary programs and local particularized needs, shall be given the highest priority. School level programs and services and other direct services to students shall be given priority over central office, other noninstructional and indirect costs. The cost of maintaining existing effective programs and services shall be accounted for separately from the expansion of existing programs and services unless required by Abbott V or Abbott VI. Maintenance of an existing program or service shall be given higher priority than expansion of that program or service. Nothing shall preclude a board from giving higher priority to an expanded program or service over the maintenance of another existing program or service.

SUBCHAPTER 8. FACILITIES

6A:24-8.1 Long-Range Facilities Plan

(a) Each district shall prepare and submit to the Commissioner a long-range facilities plan that details the district's school facilities needs and the district's plan to address those needs for the ensuing five years. This long-range facilities plan shall incorporate the facilities efficiency standards and demonstrations of local particularized needs established pursuant to N.J.A.C. 6A:24-5 and may include any other space to be funded locally.

(b) No school facilities project approval pursuant to this subchapter shall be granted unless and until the district has filed a long-range facilities plan and the plan has been approved by the Commissioner.
(c) Notwithstanding (b) above, where a district has filed a long-range facilities plan, the Commissioner may grant approval for specific priority projects that meet the following standards:

1. The project is necessary to protect the health and safety of students, including upgrading of the electrical system, or to serve preschool students, and the project involves a building which will continue its existing use, and the district agrees that the project should be approved separate from the long-range facilities plan; or

2. The project was submitted to the Department for review prior to the submission of the long-range facilities plan, and the project is consistent with the long-range facilities plan, and the district agrees that the project should be approved separate from the long-range facilities plan.

(d) An amendment to a long-range facilities plan may be submitted at any time to the Commissioner for review and approval.

(e) Each long-range facilities plan shall include an enrollment projection utilizing a cohort survival methodology, or other methodology approved by the Commissioner, accompanied by a certification of a qualified demographer retained by the district that serves as the basis for identifying the capacity and program needs detailed in the long-range facilities plan. The resume and any other professional credentials relied upon by the district to demonstrate that the person who prepared the enrollment projection had adequate qualifications and experience to be considered a qualified demographer shall be submitted as part of a district’s long-range facilities plan.

(f) The long-range facilities plan shall include an educational adequacy inventory of all existing school facilities in the district, the identification of all deficiencies in the district's current inventory of school facilities which includes the identification of those deficiencies that involve emergent health and safety concerns, and the district's proposed plan for future construction and renovation. The long-range facilities plan submissions shall conform to the guidelines, criteria and format prescribed by the Commissioner.

(g) Each district shall determine the number of "unhoused students" for the ensuing five-year period calculated pursuant to the provisions of this subchapter.
(h) The Commissioner shall review each proposed school facilities project to determine whether it is consistent with the district's long-range facilities plan and whether it complies with the facilities efficiency standards and the area allowances per FTE student derived from those standards.

(i) If the Commissioner determines that the school facilities project complies with the facilities efficiency standards and the district's long-range facilities plan and does not exceed the area allowance per FTE student derived from those standards, the Commissioner shall calculate the preliminary eligible costs of the project pursuant to the formulas set forth in this subchapter.

(j) If the Commissioner determines that the school facilities project is inconsistent with the facilities efficiency standards or exceeds the area allowances per FTE student derived from those standards, the Commissioner shall notify the district.

1. The Commissioner shall approve area allowances in excess of the area allowances per FTE student derived from the facilities efficiency standards if the board of education or State district superintendent, as appropriate, demonstrates that school facilities needs related to required programs cannot be addressed within the facilities efficiency standards and that all other proposed spaces are consistent with those standards.

2. The Commissioner may grant an exception to a facilities efficiency standard if the board of education or State district superintendent, as appropriate, demonstrates to the Commissioner's satisfaction that the exception will not adversely affect the educational adequacy of the school facility, including the ability to deliver the programs and services necessary to enable all students to achieve the Core Curriculum Content Standards.

3. The Commissioner shall approve spaces in excess of or inconsistent with the facilities efficiency standards (nonconforming spaces) upon a demonstration by the district that such spaces are necessary to comply with federal or state laws concerning individuals with disabilities. A district may apply for approval to include in preliminary eligible costs nonconforming spaces that will permit pupils with disabilities to be educated to the greatest extent possible in the same buildings or classes with their nondisabled peers. Such nonconforming spaces may: (1) allow for the return of pupils with disabilities from private facilities, (2) permit the retention of pupils with disabilities that would otherwise be placed in private facilities, (3) provide space for regional programs in a host school building that houses both disabled and nondisabled pupils or (4) provide space for the coordination of regional programs by a county special services school district, educational services commission, jointure commission or other agency authorized by law to provide regional special education services in a school building that houses both disabled and nondisabled pupils.

4. To house the district's central administration, a district may request an adjustment to the approved areas for unhoused students of 2.17 square feet for each FTE student in the projected total district school enrollment, if the proposed administrative offices will be housed in a school facility and the district demonstrates either that the existing central administrative offices are obsolete or that it is more practical to convert those
offices to instructional space. These square footage figures shall be adjusted to coincide with any statute passed after adoption of this chapter. To the extent that existing administrative space will continue to be used for administrative purposes, the space shall be included in the formulas set forth in this subchapter. If the Commissioner approves excess facilities efficiency standards or additional area allowances pursuant to this paragraph and 1 and 2 above, the Commissioner shall calculate the preliminary eligible costs based upon the excess area allowances or facilities efficiency standards pursuant to the formulas set forth in this subchapter. In the event that the Commissioner does not approve the excess facilities efficiency standards or additional area allowances, the district may either modify its submission so that the school facilities project meets the facilities efficiency standards, or pay for the unapproved costs.

(k) The Commissioner shall establish, in consultation with the districts, a priority ranking of all school facilities projects in the districts based upon his determination of critical need. School facilities projects in the districts involving health and safety factors including upgrading of the electrical systems, or preschool and other projects as necessary shall be given the highest priority among all district projects.

(l) Preliminary eligible costs for construction of new school facilities and additions to school facilities, characterized by an increase in the square footage of the school facility, shall be approved only if necessary for reasons of unhoused students and shall be calculated as follows.

Preliminary eligible costs = AU x C

where

AU is the approved area for unhoused students; and
C is the area cost allowance;

(m) Preliminary eligible costs shall be the actual costs for reconstruction, remodeling, alteration, modernization, renovation or repair of school facilities that were originally constructed by the district or that the district purchased more than five years prior to the date of approval by the Commissioner. Costs shall be reimbursed only when necessary for compliance with the Uniform Construction Code, health and safety, conformance with the Facilities Efficiencies Standards or other spaces approved pursuant to (j)1 above. Notwithstanding the provisions of this subchapter, all school facilities shall be deemed suitable for rehabilitation unless a pre-construction evaluation undertaken by the district demonstrates to the satisfaction of the Commissioner that the structure might pose a risk to the safety of the occupants even after rehabilitation, or that rehabilitation is not cost-effective.
(n) Preliminary eligible costs for new construction done in lieu of renovations shall be determined in accordance with the methodology for new construction, with preliminary eligible costs determined pursuant to (l) above, only when the decision to replace a school facility is supported by a feasibility study conducted by the district, and either the age of the building being replaced is 41 years or greater or the Commissioner determines that extraordinary circumstances apply. When new construction done in lieu of renovations qualifies as new construction, the approved area for unhoused students shall be determined by the Commissioner, with consideration of the existing school facilities in the district.

(o) The number of unhoused students shall be calculated as the number of FTE students who are projected to be enrolled in preschool handicapped, preschool, kindergarten, grades 1 through 12, and special education services pupil educational programs provided in a district within five years, which are in excess of the functional capacity of the district's current school facilities or the functional capacity of the school facilities which will be available within five years other than the school facilities for which the preliminary eligible costs are determined, based upon the district's long-range facilities plan. This calculation shall be adjusted to coincide with any statute passed after adoption of this chapter. The determination of unhoused capacity shall separately consider projected enrollments and functional capacities at the early childhood and elementary (preschool through grade 5), middle (grades six through eight), and high school (grades nine through 12) levels. For the purpose of calculating the district's unhoused students, special education services students shall be considered part of the grade level to which the students’ chronological age corresponds. In the event that the Commissioner approves a school facilities project which involves the construction of a new school facility to replace an existing school facility, which shall accommodate both the unhoused students and the students in the existing school facility, the calculation of the number of unhoused students shall include the number of students currently attending the existing facility which is to be replaced.

(p) Approved area for unhoused students (AU) shall be determined according to the following formula and shall be adjusted to coincide with any statute passed after adoption of this chapter:

\[
AU = (UEC \times SEC) + (UE \times SE) + (UM \times SM) + (UH \times SH)
\]

Where

- UEC, UE, UM, UH are the numbers of unhoused students in the early childhood, elementary, middle, and high school enrollment categories, respectively; and
SEC, SE, SM, SH are the area allowances per FTE student in preschool through grade 5, grades 6 through 8, and grades 9 through 12, respectively. Area allowances shall be determined based on the grade level of a student regardless of the grade configurations used in the school buildings of the district. The minimum area allowance per FTE student shall be as follows:

- Preschool through grade 5: 125 sq. ft.
- Grades 6 through 8: 131 sq. ft.
- Grades 9 through 12: 151 sq. ft.

(q) The facilities efficiency standards for determining spaces requiring a demonstration of particularized need established pursuant to N.J.A.C. 6A:24-5.1 and excess cost areas shall be the school building space assumptions used to calculate the area allowances per FTE student in (p) above. The Commissioner shall provide each district with a copy of the facilities efficiency standards and shall publish them in the New Jersey Register.

SUBCHAPTER 9. APPEALS

6A:24-9.1 Applicability of Subchapter

An aggrieved applicant for Department authorization to improve or amend an existing program, adopt a supplemental program or service, implement a required secondary program, build or renovate a school facility or seek additional Abbott v. Burke state aid may appeal to the Commissioner in accordance with the provisions of this subchapter.

6A:24-9.2 Filing, Service and Documentation of Petition

(a) Any appeal filed pursuant to this subchapter shall, except as noted in 1 below, meet the filing, service and format requirements for petitions of appeal as set forth in N.J.A.C. 6:24-1.1 et seq., and shall generally proceed as a contested case except as noted in this subchapter. Service of the petition is required on the Attorney General of the State of New Jersey, and should be directed to Department of Law and Public Safety, Division of Law, P.O. Box 112, Trenton, New Jersey 08625-0112; Attention: Education Section.
1. Any appeal filed pursuant to this subchapter shall be filed within 30 days of the date of the decision which is the subject of the requested contested case hearing.

(b) Any appeal filed pursuant to this subchapter shall include, in addition to the petition required under (a) above, a copy of the complete application submitted to the Department and a copy of the determination from which the appeal is taken.

(c) Appeals may be filed by the entity that submitted the application under dispute, or by the board in the case of applications filed by entities other than the board. In any appeal in which the board is not the petitioner, the board shall be named as an indispensable party to the appeal.
6A:24-9.3  Filing, Service and Documentation of Answer

Answers to petitions of appeal filed pursuant to this subchapter shall meet the filing, service and format requirements for answers as set forth in N.J.A.C. 6A:24-1.1 et seq. Nothing herein shall preclude the filing of a motion to dismiss in lieu of an answer.

1. Any answer filed pursuant to this subchapter shall be filed within 20 days of the date of receipt of the petition.

6A:24-9.4  Review of Pleadings

Upon review of the petition, answer and supporting documentation, the Commissioner may use the procedure set forth in N.J.A.C. 6A:24-9.5 to decide the matter. If so, he shall notify the parties and they shall be provided the opportunity to submit any additional documents submitted to the Department or considered by the Department in rendering the decision.

6A:24-9.5  Submission of Position Statements and Replies

If the Commissioner requires the submission of position statements and replies, within 20 days after receipt of the notice from the Commissioner pursuant to N.J.A.C. 6A:24-9.4, the petitioner shall file a letter memorandum setting forth with particularity the basis for its position, referencing the criteria established for the application process and the materials submitted in conjunction with it. Within 10 days of receipt of petitioner's memorandum, each respondent shall file such reply as it may wish to make. Within 5 days of receipt of any reply, petitioner may file a final response thereto. All submissions shall be filed in triplicate (original and two copies) and served upon all other parties to the appeal at the same time they are filed with the Commissioner.

6A:24-9.6  Commissioner Review and Decision

(a) If the Commissioner retains the matter pursuant to N.J.A.C. 6A:24-9.5, upon receipt of the filings set forth above, or expiration of the time for their submission, the Commissioner shall review the total record before him and render a written decision. If the
Commissioner transmits the matter to the Office of Administrative Law, such transmission shall be done on an expedited basis to resolve material factual disputes.

(b) The Commissioner's decision shall include an appropriate order. Where the relief ordered includes additional funding, the Commissioner shall make the necessary request to the Governor and the Legislature.

(c) In rendering decisions pursuant to this subchapter, the Commissioner shall apply the same standards as are set forth for Department review in the operative rules for the type of application in dispute. The burden of proof shall be on the petitioning party to demonstrate that these standards were met by the applicant notwithstanding the Department’s determination to the contrary. The record on appeal shall consist of those documents and information submitted to the Department in support of its application and any additional information relied upon by the Department in making the determination at issue.
The New Jersey Department of Education recommends that first and second cohort schools submit their WSR Implementation Plan on the above date, instead of the required deadline of December 1st.
The following table provides a listing of dates by which requirements identified in the regulations are to be addressed:

### B. TIMETABLE OF REQUIREMENTS 2000-2001

<table>
<thead>
<tr>
<th>Date Required</th>
<th>Responsibility</th>
<th>Task</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annually on or before Dec. 1</td>
<td>SMT</td>
<td>Prepare and submit to the DOE, with a copy to the CSA and the board, a WSR implementation plan, which integrates all local, state and federal resources developed in accordance with the guidelines and requirements of the DOE and the program developer. (6A:24-4.3)</td>
</tr>
<tr>
<td>Annually on or before Dec. 1</td>
<td>Principal in consultation with SMT</td>
<td>Prepare and submit as part of the WSR implementation plan a school-based budget that includes staff, and other goods and services needed to implement or maintain WSR. (6A:24-4.4)</td>
</tr>
<tr>
<td>Annually on or before Dec. 1</td>
<td>SMT</td>
<td>Develop and submit a school-level educational technology plan to be included with the WSR implementation plan. (6A:24-4.3)</td>
</tr>
<tr>
<td>Annually on or before Dec. 1</td>
<td>Secondary School SMT (in consultation with CSA, principal, and SRI team)</td>
<td>Prepare and submit as part of the WSR Implementation Plan a revised plan for implementation of Required Programs in Secondary Schools. (6A:24-4.3 and 6.1)</td>
</tr>
<tr>
<td>By Jan. 30, 2001</td>
<td>SMT</td>
<td>All secondary schools submit application to the DOE, with copies to the CSA and the board, a plan for implementation of a research-based WSR model or a whole school alternative program design. (6A:24-4.1)</td>
</tr>
<tr>
<td>By September 2001</td>
<td>District Board of Education</td>
<td>All four-year-olds and all three-year-olds are offered full-day, full-year preschool. (6A:24-3.4)</td>
</tr>
<tr>
<td>By July 1, 2002</td>
<td>District Board of Education</td>
<td>Any districts not currently implementing whole school reform must implement the requirements in the Abbott regulations. (6A:24-1.6)</td>
</tr>
<tr>
<td>By September, 2000</td>
<td>District Board of Education</td>
<td>Early childhood education programs shall achieve class size of one teacher and one aide for every 15 children in preschool and shall include one teacher and an aide for every 21 children in kindergarten. (6A:24-3.3)</td>
</tr>
<tr>
<td>Date Required</td>
<td>Responsibility</td>
<td>Task</td>
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<tr>
<td>By September, 2004</td>
<td>District Board of Education &amp; DHS provider</td>
<td>Existing teachers in DHS-licensed child care providers contracted with Abbott districts who have experience working with young children but who otherwise lack academic credentials must obtain the Preschool-Grade 3 endorsement and must demonstrate annual progress toward obtaining a bachelor's degree. <em>An existing teacher is a teacher hired as of Sept. 1, 1999 or as of the date a provider entered into agreement with a district, whichever is later.</em></td>
</tr>
<tr>
<td>By September 1999</td>
<td>District Board of Education &amp; DHS provider</td>
<td>Newly hired teachers in DHS-licensed child care providers contracted with Abbott districts must hold a baccalaureate degree. <em>Newly hired means any teacher other than an existing teacher.</em></td>
</tr>
<tr>
<td>By September 2001</td>
<td>District Board of Education &amp; DHS provider</td>
<td>Newly hired teachers in DHS-licensed child care providers contracted with Abbott districts must obtain a teacher of Preschool-Grade 3 endorsement.</td>
</tr>
</tbody>
</table>

Guide for Implementing Urban Education Reform in Abbott Districts
C. RESPONSIBILITIES

The following table provides a list of responsibilities that have been identified in the regulations for various district and school personnel. The table is subdivided by responsibilities designated for the district/chief school administrator/board of education, for the school principal, and for the school management team.

<table>
<thead>
<tr>
<th>6A:24</th>
<th>Regulation</th>
<th>District/Chief School Administrator/Board of Education</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.4</td>
<td>Responsibilities of Local Districts</td>
<td>• Cooperate fully with the DOE and SRI Team</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Make required WSR programs and services the highest priority and identify reallocations necessary for implementation of necessary programs</td>
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<tr>
<td></td>
<td></td>
<td>• Assign each school an effective principal</td>
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<td></td>
<td></td>
<td>• Notify SMT and SRI prior to effective date of transfer or removal of any teacher</td>
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<td></td>
<td></td>
<td>• Seek authorizations, equivalency determinations or waivers</td>
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<tr>
<td></td>
<td></td>
<td>• Provide full-time media specialist</td>
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<td>• Provide for full-time technology coordinator</td>
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<td></td>
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<td>• Provide for coordinator of health and social services</td>
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<td></td>
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<td>• Provide for full-time dropout prevention officer</td>
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<td></td>
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<td>• Formulate department-approved district-wide security plan</td>
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<td></td>
<td></td>
<td>• Provide department-approved alternative middle and high schools</td>
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<td></td>
<td>• Fully implement school-based management</td>
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<td></td>
<td></td>
<td>• Articulate continuity among early childhood program, WSR model, elementary, middle and high schools</td>
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<td></td>
<td></td>
<td>• Align WSR and alternative programs with CCCS</td>
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<tr>
<td></td>
<td></td>
<td>• Explain DEPA and ECPA unexpended balances</td>
</tr>
<tr>
<td>1.5</td>
<td>Accountability</td>
<td>• Establish department-approved district-wide system of rewards</td>
</tr>
<tr>
<td>2.1</td>
<td>Establishment of School Management Teams</td>
<td>• Annually submit to department procedure for the establishment of SMT or amendment of approved procedure</td>
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<tr>
<td></td>
<td></td>
<td>• Provide mechanism for the selection and removal of SMT members</td>
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<tr>
<td></td>
<td></td>
<td>• Train and provide technical support to SMT</td>
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<tr>
<td></td>
<td></td>
<td>• Allocate resources to SMT</td>
</tr>
<tr>
<td>6A:24</td>
<td>Regulation</td>
<td>District/Chief School Administrator/Board of Education</td>
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<td>-------------------------------------------------------</td>
</tr>
<tr>
<td>2.2</td>
<td>Responsibilities of SMTs</td>
<td>- CSA has option to recommend to board one candidate for teaching staff or principal from not less than three candidates submitted by SMT</td>
</tr>
<tr>
<td>2.3</td>
<td>Training of SMT</td>
<td>- Provide training to SMT in responsibilities of SMT, development and implementation of WSR, needs assessment, particularized need, curriculum and instruction, teamwork and consensus building and, if necessary, budget and personnel</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- Notify SRI team when an SMT member has attended training</td>
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<td></td>
<td>- Remove a member who has not fulfilled training requirement and notify SRI team in writing</td>
</tr>
<tr>
<td>3.2</td>
<td>Full-Day Kindergarten</td>
<td>- Offer program to all five-year-old children</td>
</tr>
<tr>
<td>3.3</td>
<td>Early Childhood Education Programs</td>
<td>- Determine age eligibility by using the same criteria for kindergarten age eligibility</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- Contract with a DHS-licensed child care provider when the provider is able and willing to meet standards of 3.3b</td>
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<td>- Use contract form provided by or approved by DOE</td>
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<td>- Ensure that standards of 6A:24-3.3(b) are met by the DHS-licensed child care provider</td>
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<td></td>
<td></td>
<td>- Provide a master teacher at a ratio of 1 to every 20 early childhood education classrooms.</td>
</tr>
<tr>
<td>3.4</td>
<td>Early Childhood Education Program Operational Plan</td>
<td>- Operate program consistent with plan approved by DOE</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- Amend plan to provide full-day, full-year program for all three-and four-year-olds by the 2001-2002 school year</td>
</tr>
<tr>
<td>4.1</td>
<td>Adoption of WSR Model</td>
<td>- Allocate necessary resources to implement WSR model chosen in the school</td>
</tr>
<tr>
<td>4.2</td>
<td>Whole School Alternative Design</td>
<td>- Demonstrate commitment to support the school and its implementation of the whole school alternative design.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>- Demonstrate adequate facilities to ensure the successful implementation of the design in the long-range facilities plan.</td>
</tr>
<tr>
<td>4.3</td>
<td>Submission of WSR Implementation Plan</td>
<td>- Approve a copy of the plan</td>
</tr>
</tbody>
</table>
| 4.4   | School-based | - Provide principal and SMT with all appropriate resources and
<table>
<thead>
<tr>
<th>6A:24</th>
<th>Regulation</th>
<th>District/Chief School Administrator/Board of Education</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Budgets</td>
<td>assistance as needed</td>
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<tr>
<td></td>
<td></td>
<td>• Review proposed budget for input</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Allocate adequate funds for elements approved by Commissioner</td>
</tr>
<tr>
<td>4.5</td>
<td>WSR</td>
<td>• Meet as part of a team to determine steps to overcome difficulties with the implementation of WSR model</td>
</tr>
<tr>
<td></td>
<td>Implementation Issues</td>
<td></td>
</tr>
<tr>
<td>5.1</td>
<td>Demonstration of Particularized Need</td>
<td>• SMT determines if there is a particularized need</td>
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<td>• If the board determines that SMT has not demonstrated a particularized need, the board submits to the SMT a detailed statement of reasons for disagreement</td>
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<td>• If SMT has demonstrated a particularized need, submit Particularized Need application to DOE</td>
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<td>• Apply for additional state aid if needed</td>
</tr>
<tr>
<td>5.2</td>
<td>Application for Supplemental Programs or Services</td>
<td>• Submit proposed plan to the DOE following guidelines established by 6A:24-5.2(a)</td>
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<td>• Apply for supplemental programs and/or services needed for district's early childhood education program adhering to guidelines in 6A:24-5.2(b)</td>
</tr>
<tr>
<td>6.1</td>
<td>Implementation of Required Programs in Secondary Schools</td>
<td>• Consult with principal, SRI team and SMT</td>
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<td></td>
<td>• Accord required programs and services the highest priority in the development of the school-based budget</td>
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<tr>
<td>7.1</td>
<td>Applications for Additional State Aid</td>
<td>• Submit application considering all available resources, reallocations and other factors according to guidelines outlined in 6A:24-7.1(d)</td>
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<tr>
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<td>• Provide reverse priority order accounting</td>
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<td>• Apply zero-based approach in preparing district-wide budget</td>
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<td>• Submit balanced budget to DOE</td>
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<tr>
<td>8.1</td>
<td>Facilities</td>
<td>• Submit long-range facilities plan to address needs for 5 years following guidelines established in 6A:24-8.1(f,g,l-q)</td>
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<td>• Submit amendment to plan, if needed</td>
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<tr>
<td>9.1</td>
<td>Appeals</td>
<td>• If an appeal is requested, follow guidelines in Subchapter 9</td>
</tr>
<tr>
<td>6A:24</td>
<td>Regulation</td>
<td>School Principal</td>
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<tr>
<td>2.1</td>
<td>Establishment of School Management Team</td>
<td>- Parents choose parent members except in the event that a sufficient number of parents is not chosen by the parents, the principal may select the remaining parent members</td>
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<td></td>
<td>- The principal chooses community members after the principal solicits a broad base of community members for their interest in participating on the SMT</td>
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<tr>
<td>4.2</td>
<td>Whole School Alternative Design</td>
<td>- Submission of application that meets the requirements set forth in 6A:24-4.2</td>
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<tr>
<td>4.4</td>
<td>School-based Budgets</td>
<td>- Consult with staff and SMT about staff, goods, and services needed to implement selected WSR model</td>
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<td>- Propose budget in the format prescribed by Commissioner</td>
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<td>- Advise SMT when illustrative budget is exceeded</td>
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<td>- Reallocate school-level resources to meet budget requirements</td>
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<td>- Seek input from SMT and submit budget to CSA for comments</td>
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<td>- Respond to each comment of CSA</td>
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<td>- If SMT budget option is not exercised, certify budget</td>
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<td>- Submit budget to DOE with comments of CSA and board</td>
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<tr>
<td>4.5</td>
<td>WSR Implementation Issues</td>
<td>- Meet as part of a team including CSA, SMT, SRI and developer to determine steps to overcome difficulties with the implementation of WSR model including the choosing of a new WSR model</td>
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<td>- Bring to the attention of the DOE if the school is not demonstrating satisfactory progress in student achievement and develop corrective actions to be taken</td>
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<tr>
<td>6.1</td>
<td>Implementation of Required Programs in Secondary Schools (RPSS)</td>
<td>- Consult with CSA and SRI team annually to submit a revised RPSS plan</td>
</tr>
<tr>
<td>9.1</td>
<td>Appeals</td>
<td>- If appeal desired, follow guidelines in Subchapter 9</td>
</tr>
<tr>
<td>6A:24</td>
<td>Regulation</td>
<td>School Management Team</td>
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</tr>
<tr>
<td>2.1</td>
<td>Establishment of School Management Teams</td>
<td>• Principal selects community members after the principal solicits a broad base of community members for their interest in participating on the SMT</td>
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<td>• Set number, dates and locations of meetings</td>
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<td>• Notify the SRI Team if any difficulties arise as to the functioning of the SMT</td>
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<tr>
<td>2.2</td>
<td>Responsibilities of SMTs</td>
<td>• Develop a WSR implementation plan based on a needs assessment</td>
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<td>• Ensure alignment with CCCS</td>
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<td>• Review statewide assessment results</td>
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<td>• Take appropriate action to improve student achievement</td>
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<td>• Ensure a program of professional development for staff</td>
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<td>• Ensure school-level educational technology plan</td>
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<td>• Ensure education programs to address cross content workplace readiness standards</td>
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<td>• Develop school-based system of rewards consistent with district system</td>
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<td>• Establish work groups as needed</td>
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<td>• Establish school-based budget, if option chosen</td>
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<td>• Make recommendations on personnel, if option chosen</td>
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<td>2.3</td>
<td>Training of School Management Team Members</td>
<td>• The training shall include, but not be limited to, instruction regarding roles, relationships and responsibilities of the SMT, development and implementation of the WSR implementation plan, needs assessment, particularized need, curriculum and instruction, teamwork, and consensus building</td>
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<td>• The training, if necessary, shall also include personnel and school-based budgeting</td>
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<td>4.3</td>
<td>Submission of WSR Implementation Plan</td>
<td>• Submit annual implementation plan by December 1 based on needs assessment which integrates all local, state and federal resources</td>
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<td>• Ensure that the minimum requirements for the plan as outlined in 6A:24-4.3(a-d) are met</td>
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<tr>
<td>4.4</td>
<td>School-Based Budgets</td>
<td>• Prepare a demonstration of particularized need, if needed</td>
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<td>• Input on the proposed budget</td>
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<td>• Consult with principal on responses to CSA comments</td>
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<td>• If budget option exercised, take action to approve budget</td>
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<tr>
<td>6A:24</td>
<td>Regulation</td>
<td>School Management Team</td>
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<td>4.5</td>
<td>WSR Implementation Issues</td>
<td>• Meet as part of a team including CSA, principal, SMT, SRI and developer to determine steps to overcome difficulties with the implementation of WSR model</td>
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<tr>
<td>5.1</td>
<td>Demonstration of Particularized Need</td>
<td>• Consult with principal and SRI to determine if there is a particularized need</td>
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<td>• Conduct needs assessment before determining a particularized need using criteria in 6A:24-5.1(b)</td>
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<td>• If needs exist, the SMT shall recommend to the board, with a copy to the SRI Team, the appropriate supplemental programs and services, which shall be documented by evidence that the programs and services have proven to address the identified need.</td>
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<td>• Submit the Particularized Need application as part of the WSR Implementation Plan</td>
</tr>
<tr>
<td>6.1</td>
<td>Implementation of Required Programs in Secondary Schools</td>
<td>• In consultation with principal and SRI, submit plan for implementation of required programs in secondary schools as part of the WSR Implementation Plan</td>
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<td>• Ensure that plan includes the means of implementing the required program and services set forth in 6A:24-6.1(a)1-6</td>
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<td>• Submit reviews and recommendations outlined in 6A:24-6.1(b)</td>
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