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NJSEC FDS18/1 FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC EMPLOYEES

INSTRUCTIONS:

b.

Detailed instructions for completing this form are available on the Commission's website, nj.gov/ethics/disclosure/financial/.

Public Employees must complete this form in full and file it electronically. Public officers, including members of certain State boards, commissions, authorities and public corporations, are not required to complete this form, but must complete and file form FDS18/2.

Questions should be directed to the State Ethics Commission, PO Box 082, Trenton, NJ 08625-0082, Telephone (609)

	ion					
Date of Statement:	May	y 15, 2018	Filing Yea	ar: 20	18	
First Name:	Philip			И.I	D.	
Last Name:	Murphy					
osition Held:			Governor			
epartment or Agend	ey: Office of the C	Governor				
epartment or Agend	y: Office of the C	Governor				
		Governor ed governmental pos	sitions you hold:			
			sitions you hold:			
Other compensate			sitions you hold:			
Other compensated N/A Please list below a	d or uncompensate	ed governmental pos de, business, profes	sitions you hold: sion or employment engaged		•	
Other compensated N/A Please list below a	d or uncompensate ny occupation, trad n "a" above), your	ed governmental pos de, business, profes	sion or employment engaged		•	

occupation (e.g., law, real estate, engineering, medicine, plumbing)? If yes, please so indicate:

License	License is active	License is inactive
N/A		

Do you have any personal contractual or business re employee of your agency?	lationship with another c	officer or employee or special State officer or
☐ Yes ✓ No		
If yes, please explain.		
c. State Employment - Relatives		
For the purposes of this question, "relative" means you parent, child, brother, sister, aunt, uncle, niece, nephrostepchild, stepbrother, stepsister, half brother or half marriage or adoption.	ew, grandparent, grando	child, son-in-law, daughter-in-law, stepparent,
1. Is any relative employed in a State office or position	n?	
☐ Yes ✓ No		
2. If yes, name of relative(s) and employing agency.		
3. Do you exercise authority, supervision or control (in Yes No	ncluding personnel actio	ns) over the individual(s) named above?
d. Ethics Training		
Have you completed ethics training?	√ Yes	No
2. If yes, indicate nature of training:	in-person	on-line
3. If in-person, training provided by:	√ agency	State Ethics Commission
Date most recent training completed:	In-Person, Dec	c. 19, 2017; Online, May 14, 2018

e. Assets Page 3

List all assets, both tangible and intangible, in which you, your spouse/civil union partner, your domestic partner or your dependent children hold an interest as of the date of this statement; provided, however, that when the value cannot be determined as of that date, a separate valuation date shall be specified for the particular asset. Public employees and their spouses/civil union partners or their domestic partners must indicate the value of the asset in accordance with the value classifications listed below. Assets held by dependent children must be identified but need not be valued. If asset is held jointly, the value class of the asset should be disclosed in the Public Employee box. The word "joint" should then be noted in the Spouse/Domestic Partner box. Please fill in each line; indicate not applicable with "N/A" or "None."

ASSETS (Valued at more than \$1,000)	yee: ss	:. ss	en:
	Public Employee: Indicate Value Class	Spouse/ Civil Union Partner/ Domestic Partner: Indicate Value Class	Dependent Children Check if Asset is Held
CASH ON HAND IN BANK	F	Joint	Х
NOTES RECEIVABLE- Indicate Nature of Note on Item h.5 on page 4.	Α	N/A	N/A
ACCOUNTS RECEIVABLE - Indicate Nature of Account on Item h.5 on page 4.	N/A	N/A	N/A
GOVERNMENT BONDS Itemize on Schedule A	N/A	N/A	N/A
STOCKS & CORPORATE BONDS (Include Mutual Funds) Itemize on Schedule A	G	N/A	Х
INTEREST IN CONTRACTS WITH GOVERNMENT INSTRUMENTALITIES Itemize on Schedule B	N/A	N/A	N/A
The Philip D. Murphy Blind Trust	G	N/A	N/A
Tammy S. Murphy Blind Trust	N/A	G	N/A
Philip D. Murphy 2000 Fam. Trst	N/A	N/A	Х

ate not applicable with IN/A or None.							
ASSETS (Valued at more than \$1,000)	Public Employee: Indicate Value Class	Spouse/ Civil Union Partner/ Domestic Partner: Indicate Value Class	Dependent Children: Check if Asset is Held				
NEW JERSEY REAL ESTATE INTERESTS- Itemize on Schedule C	G	Joint	N/A				
REAL ESTATE INTERESTS OUTSIDE OF NEW JERSEY	G	Joint	N/A				
TOTAL VALUE OF PENSION FUNDS (include IRA,Keogh, Annuities, State Pension, etc.)	G	С	N/A				
ACCUMULATED CASH VALUE OF LIFE INSURANCE	N/A	N/A	See p20				
VEHICLES	N/A	Е	N/A				
OTHER ASSETS (Itemize Below)							
Murphy 2007 Family Trust	N/A	N/A	Х				
The Snyder Family Trust	N/A	G	N/A				
See attached for add'l assets							

VALUE CLASS

A =	greater	than	\$1,000	but no	t more	than	\$5,000
-----	---------	------	---------	--------	--------	------	---------

B = greater than \$5,000 but not more than \$25,000

C = greater than \$25,000 but not more than \$50,000

D = greater than \$50,000 but not more than \$100,000

E =greater than \$100,000 but not more than \$250,000

F = greater than \$250,000 but not more than \$500,000

G = greater than \$500,000

held corporation, partnership, sole proprietorship, or similar business entity?

•		your spouse/civil union partner, your domestic partner or your dependent children a blind trust agreement? If yes, please provide the name and address of the Trustee.	√ Yes	No
	Name:	Stephen M. Snyder		
	Address:	1311 N. Bay Shore Drive, Virginia Beach, VA 23451		
a .	Do vou. v	your spouse/civil union partner or your domestic partner have any interest in any closely	✓ Voc	□ No

h. Income

Public employees, their spouses/civil union partners or their domestic partners must indicate the source and the value class of their incomes. The sources of income of dependent children must be identified, but the value class of income need not be disclosed. Public employees filing before July 1 of any year must provide this information for the preceding calendar year. Public employees filing after July 1 of any year must provide this information for the 12-month period prior to filing. Income from any source totaling less than \$1,000 need not be disclosed. The following gifts need not be reported: (1) cash gifts of less than \$100 in the aggregate received from a person, (2) non-cash gifts with fair market value of less than \$200 in the aggregate received from a person, and (3) gifts of less than \$3,000 in the aggregate received from a relative. Please fill in each line; indicate not applicable with "N/A" or "None."

VALUE CLASS A = greater than \$1,000 but not more than \$5,000 B = greater than \$5,000 but not more than \$25,000 C = greater than \$25,000 but not more than \$50,000 D = greater than \$50,000 but not more than \$100,000 E = greater than \$100,000 but not more than \$250,000 F = greater than \$250,000 but not more than \$500,000 G = greater than \$500,000	Public Employee: Indicate Value Class	Partner:	Dependent Children: Check if Asset is Held
1. All compensated employment of whatever nature, including current State or other Please list commencement and, if applicable, termination date. Be sure to include the employer(s):			
Governor - Public Employee	E	N/A	N/A
2. All directorships and other fiduciary positions for which compensation has or will b	pe received:		
N/A			
3. All contractual arrangements producing or expected to produce income, including to buyout agreements and severance payments:	but not limited		
N/A			
		<u> </u>	
All capital gains: (Itemize on Schedule D)			
Capital gains (see attached - Schedule D)	G	N/A	Х
5. All honoraria, lecture fees, gifts and other gratuities (cash or non-cash) and other sources of income, including but not limited to Social Security and pensions, interest royalties, rents and accounts and notes receivable:			
Interest income	G	F	Х
Dividend income	G	D	Х
Rent	N/A	В	X
Notes receivable (unsecured)	l A	N/A	N/A

i. List any offices, trusteeships, directorships or positions of any nature, whether compensated or uncompensated, held by you, your spouse/civil union partner, your domestic partner or dependent children, with any firm, corporation, association, partnership or business.

If such firm, corporation, association, partnership or business does business with a casino or does business with the State, or is regulated, licensed or inspected by any State agency, please identify the State agency or casino.

				
Position Held	Firm, Corporation, Association, Partnership or Business	State Agency	Casino	Indicate whether held by public employee, spouse, civil union partner, domestic partner, or dependent children.
Secretary & Member	The Climate Reality Action Fund	N/A	N/A	Spouse
Board of Directors	American Council on Germany	N/A	N/A	Spouse
Board of Visitors	UVA and UVA Medical System	N/A	N/A	Spouse
Board of Advisors	Tisch College of Civic Life, Tufts Univ.	N/A	N/A	Spouse
Trustee Emerita	Fdn. for Art & Preservation in Embassies	N/A	N/A	Spouse
Manager	Murphy Endeavors, LLC	N/A	N/A	Employee/Spouse
Manager	Murphy Invest, LLC	N/A	N/A	Employee
Trustee	Philip and Tammy Murphy Family Fdn.	N/A	N/A	Empl./Spouse/DC
Trustee/Chair	Inaugural 2018, Inc.	ELEC	N/A	Spouse/DC
			1	ı

j. Liabilities

List all of your liabilities and those of your spouse/civil union partner, your domestic partner or your dependent children and identify the creditor. List value class of liabilities of public employee and spouse/civil union partner or domestic partner only. Include all liabilities that have been forgiven by any creditor within the last twelve months, stating the name of the creditor to whom the liability was owed. Liabilities include, but are not limited to, notes, accounts payable, past due taxes, mortgages or liens, and loans on life insurance.

The following liabilities need not be reported: less than \$10,000 owed to a relative; less than \$1,000 owed to any other person; loans secured by a personal motor vehicle, household furniture or appliances where the loan did not exceed the purchase price of the item and the outstanding balance did not exceed \$10,000 as of the close of the preceding calendar year; and revolving charge accounts where the outstanding liability did not exceed \$10,000 as of the close of the preceding calendar year. Indicate not applicable with "N/A" or "None."

Obligation of: (check) Spouse / Civil Union Partner / Domestic Partner: Check if Description Dependent Employee: Children: Value Forgiven in (Type of obligation and nature of Creditor Class Past 12 security, if any) Months N/A

VALUE CLASS

A = greater than \$1,000 but not more than \$5,000

B = greater than \$5,000 but not more than \$25,000

C = greater than \$25,000 but not more than \$50,000

D = greater than \$50,000 but not more than \$100,000

E = greater than \$100,000 but not more than \$250,000

F =greater than \$250,000 but not more than \$500,000

G = greater than \$500,000

SCHEDULE A - STOCKS AND BONDS HELD

List the type and value class of securities owned by you, your spouse/civil union partner or your domestic partner; list the type of stocks and bonds of your dependent children; list the name of the company, mutual fund, holding company or government agency issuing the stocks and bonds. If your ownership interest exists through a mutual fund or holding company, you need not list the individual stocks so owned; you must, however, list the mutual fund or holding company. If your ownership interest exists through a beneficial interest in a trust, list the stocks and bonds so owned if you have such knowledge; otherwise list the name of the trustee. Indicate not applicable with "N/A" or "None."

		Н	eld by:(che	ck)	Valu	dicate le Class leld by	
Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Public Employee:	Spouse / Civil Union Partner / Domestic Partner:	Dependent Children:	Public Employee:	Spouse / Civil Union Partner / Domestic Partner:	Percentage Ownership*
Trust	The Philip D. Murphy Blind Trust (Stephen Snyder)	Х	N/A	N/A	G	N/A	
Trust	The Tammy S. Murphy Blind Trust (Stephen Snyder)	N/A	Х	N/A	N/A	G	
Trust	Philip D. Murphy 2000 Family Trust (Kevin Kennedy)	N/A	N/A	Х	N/A	N/A	
Trust	The Murphy 2007 Family Trust (Kevin Kennedy)	N/A	N/A	Х	N/A	N/A	
Mutual Fund	IRA - GS High Yield Floating Rate Fund Instit. Shares	Х	Х	N/A	G	В	
Mutual Fund	IRA - GS High Yield Fund Institutional Shares	Χ	N/A	N/A	G	N/A	
Mutual Fund	UTMA - GS Tax-Advantaged Global Equity Portfolio	N/A	N/A	Χ	N/A	N/A	
Mutual Fund	UTMA - Borussia Dortmund GMBH & Co. K CMN	N/A	N/A	Х	N/A	N/A	
Mutual Fund	UTMA - GS High Yield Floating Rate Fund Inst. Share	N/A	N/A	Х	N/A	N/A	
Stock	UTMA - Goldman Sachs Group, Inc. (The) CMN	N/A	N/A	Х	N/A	N/A	
Stock	Tango Publishing Corporation	Χ	N/A	N/A	G	N/A	
Stock	Aravo Solutions, Inc.	Х	N/A	N/A	G	N/A	
Stock	Diversified Nat'l Products/Gourmet Mushrooms East	Χ	N/A	N/A	G	N/A	
Stock	IREO INV. Holding III and IV CO-INV., Ltd.	Χ	N/A	N/A	G	N/A	
Stock	Sky Blue Women's Soccer Inc.	Χ	N/A	N/A	G	N/A	67.17%
Stock	RANE Corporation	Χ	N/A	N/A	G	N/A	
Stock	Artisan: Dynamic Equity (Non-US Equity) Series Cl. 1	Χ	N/A	N/A	G	N/A	

*Individuals who own more than 10% of the total stock of any company must disclose the percentage of ownership.

VALUE CLASS

A = greater than \$1,000 but not more than \$5,000

B = greater than \$5,000 but not more than \$25,000

C = greater than \$25,000 but not more than \$50,000

D = greater than \$50,000 but not more than \$100,000

E = greater than \$100,000 but not more than \$250,000

greater than \$100,000 but not more than \$200,000

F = greater than \$250,000 but not more than \$500,000

G = greater than \$500,000

If you, your spouse/civil union partner or your domestic partner has any interest in any closely held corporation,

business en		nip, or similar busine eans ANY ownershi N/A" or "None."				•			
Interest held	by:	Public Employee	√	Spouse / C	ivil Union F	Partner [Do	omestic Partner	•
Business Na	me: Murphy	Endeavors, LLC							
Address:	21 East Front S	Street							
City:	Re	ed Bank		State:			NJ		
General descrip	tion of business a	activity of entity:							
Private family of	fice.								
Duties and resp	onsibilities of you	r State position:							
GOVERNMENT	INSTRUMENTA	LITIES:							
transactions invo as compliance v union partner, y	olving the sale, co vith regulatory pro our domestic part	usiness with any of onveyance, or renta ocedures. In addition ther, or dependent of appropriate instrun	ol of ang n, if yo childrer	y goods or s u indicated on the has an inte	services, an on page 3,	id does no Assets, th	ot includ nat you,	de such activitie your spouse/ci	es
Sta	ate of New Jersey	/		Yes*			No 🗸		
Ne	w Jersey Local G	Sovernment Entity					\checkmark		
Inte	erstate Entity (Ne	ew Jersey members	hip)				\checkmark		
	-	checked "yes" for any tt(s) with the governme		-	-	ies of the			
Is the purchase, subject to public		agreement with the etetive bidding?	gover	nment entity	′ 🔲	Yes		√ No	

"Interest" mear	or similar business entity, please p ns ANY ownership or control of AN' "N/A" or "None."	•		•
Interest held by:	✓ Public Employee	Spouse	Domestic Part	ner
Business Name	: Cohere Communications, LL	.C		
Address: 8	345 Third Avenue			
City:	New York	State:	N	Υ
General description	n of business activity of entity:			
Voice, data, and in	formation services.			
Duties and respons	sibilities of your State position:			
Governor				
GOVERNMENT IN	STRUMENTALITIES:			
transactions involv as compliance with	ed above do business with any of the sale, conveyance, or rental regulatory procedures. In addition or dependent children have an interpate instrumentality.	of any goods or s , if you indicated o	ervices, and does not i on page 3, Assets, that	nclude such activities you, your spouse, your
		Yes*	N	0
State	of New Jersey		V	
New .	Jersey Local Government Entity		▼	7
Inters	tate Entity (New Jersey membersh	ip)	V	
	*If you checked "yes" for any or regarding such business activi	•		
-	e, contract, or agreement with the tice and competetive bidding?	government entity	Yes	√ No

"Interest" me	p, or similar business entity, please prease ANY ownership or control of ANY th "N/A" or "None."	•		•
Interest held b	py:	Spouse	Domestic Parti	ner
Business Nan	ne: GS Mezzanine Partners V, L	Р		
Address:	30 Hudson Street, 15th Floor			
City:	Jersey City	State:	NJ	J
General descript	ion of business activity of entity:			
Private equity.				
Duties and respo	onsibilities of your State position:			
Governor				
GOVERNMENT	INSTRUMENTALITIES:			
transactions invo as compliance w domestic partner	cified above do business with any of the sale, conveyance, or rental with regulatory procedures. In addition, or dependent children have an interpriate instrumentality.	of any goods or s if you indicated o	ervices, and does not in page 3, Assets, that	nclude such activities you, your spouse, your
		Yes*	No	0
Sta	te of New Jersey		✓	
Nev	w Jersey Local Government Entity		✓	
Inte	erstate Entity (New Jersey membershi	p)	✓	
	*If you checked "yes" for any o regarding such business activit	-	-	
	sale, contract, or agreement with the one contract, or agreement with the one contract with the contra	government entity	Yes	√ No

"Interest" me	ip, or similar business entity, pleas eans ANY ownership or control of ith "N/A" or "None."	· ·		-
Interest held	by: Public Employee	e Spouse	Domestic Par	tner
Business Nar	me: PDM I, LLC			
Address:	21 East Front Street			
City:	Red Bank	State:	N	IJ
General descrip	tion of business activity of entity:			
Inactive busines	s entity.			
Duties and resp	onsibilities of your State position:			
Governor				
GOVERNMENT	INSTRUMENTALITIES:			
transactions invo as compliance v domestic partne	tified above do business with any plying the sale, conveyance, or rewith regulatory procedures. In addir, or dependent children have an ipriate instrumentality.	ntal of any goods or tion, if you indicated	services, and does not on page 3, Assets, tha	include such activities t you, your spouse, your
Sta	ate of New Jersey	Yes	* N	✓
Ne	w Jersey Local Government Entity	′	Ţ.	
Inte	erstate Entity (New Jersey member	ership)		
	*If you checked "yes" for a regarding such business a	•	-	
-	sale, contract, or agreement with to notice and competetive bidding?	the government enti	iy Yes	√ No

If you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity, please provide the requested information for each business entity.

	means AN e with "N/A		•	ol of ANY	profits or asse	ts of suc	h business e	ntity. Indica	ate not
Interest he	eld by:	✓	Public Empl	loyee	Spouse		Domestic F	Partner	
Business I	Name:	Vintage	: VI LP						
Address:	30 Hu	dson Str	eet, 15th Flo	or					
City: _		Jer	sey City		State:			NJ	
General desc	ription of b	usiness	activity of en	tity:					
Private equity	<i>'</i> .								
Duties and re	sponsibiliti	es of you	ır State posit	ion:					
Governor									
GOVERNME	NT INSTR	UMENT <i>A</i>	ALITIES:						
transactions i as complianc domestic part	Does entity identified above do business with any of the following? "Doing Business" means business or commercial transactions involving the sale, conveyance, or rental of any goods or services, and does not include such activities as compliance with regulatory procedures. In addition, if you indicated on page 3, Assets, that you, your spouse, your domestic partner, or dependent children have an interest in a contract with a government instrumentality, please check the appropriate instrumentality.							such activities ur spouse, your	
					Yes) *		No	
	State of Ne	ew Jerse	у					\checkmark	
	New Jerse	y Local (Government I	Entity				\checkmark	
	Interstate I	Entity (Ne	ew Jersey me	embership)) <u> </u>			\checkmark	
		-	•	•	the above, pleas es under separat	•	documentatio	on	
Is the purchas subject to pub			-	_	overnment enti	ty [Yes	√] No

	similar business entity, please p ANY ownership or control of AN' I/A" or "None."	•		•
Interest held by:	✓ Public Employee	Spouse	Domestic Parti	ner
Business Name:	Vintage V/Real Estate Mezz	anine Access LP		
Address: 30	Hudson Street, 15th Floor			
City:	Jersey City	State:	NJ	J
General description o	f business activity of entity:			
Private equity.				
Duties and responsible	ilities of your State position:			
Governor				
GOVERNMENT INST	RUMENTALITIES:			
transactions involving as compliance with re	above do business with any of to the sale, conveyance, or rental egulatory procedures. In addition dependent children have an inter e instrumentality.	of any goods or so , if you indicated o	ervices, and does not in page 3, Assets, that	nclude such activities you, your spouse, your
		Yes*	No	0
State of	New Jersey		✓	
New Jer	sey Local Government Entity		✓	
Interstat	e Entity (New Jersey membersh	ip)	✓	
	*If you checked "yes" for any or regarding such business activi	-		
	contract, or agreement with the e and competetive bidding?	government entity	Yes	√ No

If you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity, please provide the requested information for each business entity.

"Interest" r applicable			•	OI OF ANY P	orofits or asset	is of Suci	n business e	entity. Indi	cate not
Interest held	d by:	√	Public Emp	loyee 🔽	/ Spouse		Domestic F	Partner	
Business N	ame:	Murphy	Invest, LLC						
Address:	21 Ea	st Front S	Street						
City:		Re	ed Bank		State:			NJ	_
General descri	ption of b	usiness a	activity of en	tity:					
Inactive busine	ess entity								
Duties and res	ponsibilit	es of you	ır State posi	tion:					
Governor									
GOVERNMEN	IT INSTR	UMENTA	ALITIES:						
transactions in	volving the with reguler, or de	ne sale, consiste sale, consiste sale, consiste sale sale sale sale sale sale sale sal	onveyance, ocedures. In children have	or rental of addition, if	any goods or you indicated	services on page	, and does r 3, Assets, t	not include that you, y	ss or commercial such activities our spouse, your ality, please
					Yes	*		No	
S	tate of No	w Jersey	y					\checkmark	
N	lew Jerse	y Local G	Sovernment	Entity				\checkmark	
Ir	nterstate l	Entity (Ne	ew Jersey m	embership)				\checkmark	
		-	-	-	ne above, pleas s under separat	-	documentati	on	
Is the purchase subject to public			-	_	vernment entit	ty [Yes	v	No

If you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity, please provide the requested information for each business entity.

"Interest" means ANY ownership or control of ANY pro applicable with "N/A" or "None."	ofits or assets of	f such business entity. Indicate not
Interest held by:	Spouse	Domestic Partner
Business Name: JECS, Limited		
Address:		
City: London	State:	United Kingdom
General description of business activity of entity:		
Entity that owns residential property in Italy.		
Duties and responsibilities of your State position:		
Governor		
GOVERNMENT INSTRUMENTALITIES:		
Does entity identified above do business with any of the fortransactions involving the sale, conveyance, or rental of ar as compliance with regulatory procedures. In addition, if you domestic partner, or dependent children have an interest in check the appropriate instrumentality.	ny goods or serv ou indicated on	vices, and does not include such activities page 3, Assets, that you, your spouse, your
	Yes*	No
State of New Jersey		\checkmark
New Jersey Local Government Entity		\checkmark
Interstate Entity (New Jersey membership)		\checkmark
*If you checked "yes" for any of the regarding such business activities u		
Is the purchase, sale, contract, or agreement with the gove subject to public notice and competetive bidding?	rnment entity	☐ Yes ✓ No

If you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity, please provide the requested information for each business entity. "Interest" means ANY ownership or control of ANY profits or assets of such business entity. Indicate not applicable with "N/A" or "None."								
Interest held t	oy:	Public Employee	✓ Sp	ouse	Dor	nestic Partne	er	
Business Nar	ne:	Evergreen Virginia, LLC						
Address:	5225 \	/irginia Beach Boulevard						
City:		Virginia Beach	Sta	ate:		VA		
		usiness activity of entity:						
Car dealership re	eal esta	te.						
Duties and respo	onsibiliti	es of your State position:						
Governor								
GOVERNMENT	INSTRU	JMENTALITIES:						
transactions invo	olving the ith regue, or dep	ove do business with any of the sale, conveyance, or rental latory procedures. In addition bendent children have an interstrumentality.	of any go , if you in	oods or se	ervices, and n page 3, A	does not inc ssets, that yo	clude such a ou, your spo	ctivities use, your
Sta	te of Ne	w Jersey		Yes*		No ✓		
Ne	w Jerse	y Local Government Entity				\checkmark		
Inte	erstate E	Entity (New Jersey membersh	ip)			\checkmark		
		*If you checked "yes" for any c regarding such business activi		-	-	mentation		
•		ntract, or agreement with the good competetive bidding?	governm	ent entity		Yes	√ No	

SCHEDULE C - NEW JERSEY REAL ESTATE INTERESTS

1. List all of your real estate holdings in New Jersey and those of your spouse/civil union partner or domestic partner and
dependent children. New Jersey real estate holdings must include the county, and current use of any real property in which any
direct, indirect, vested or contingent interest is held by you, your spouse/civil union partner or domestic partner or dependent
children, along with the names of all individuals or entities who share a direct or indirect interest therein.

Ind	ndicate not applicable with "N/A" or "None."						Held by: (check)		
	County	Individuals or Entities Sharing Interest	Current Use*	Acquisition Date	Public Employee:	Spouse / Civil Union Partner / Domestic Partner:	Dependent Children:	Public Employee:	Spouse / Civil Union Partner / Domestic Partner:
	Monmouth	Joint	Residential	11/1998	Х	Х	N/A	G	N/A
								·	

VALUE CLASS

A = greater than \$1,000 but not more than \$5,000

B = greater than \$5,000 but not more than \$25,000

C = greater than \$25,000 but not more than \$50,000

D = greater than \$50,000 but not more than \$100,000

E = greater than \$100,000 but not more than \$250,000

F = greater than \$250,000 but not more than \$500,000

G = greater than \$500,000

2. Do any individuals listed above as sharing a real estate interest with the public employee, spouse/civil union partner,
domestic partner or dependent children of the public employee work for the same State agency as the public
employee? If so, identify the individual and indicate if there is a supervisor/subordinate relationship between the public
employee and the individual.

	Supervisor / Subordinate Relationship? Ye	s	No	\checkmark
Name:				

3. List the name of any government instrumentality that is a tenant in 1. above and any government instrumentality that has before it an application, complaint or proceeding directly affecting any real property listed above.

County	Government Instrumentality Tenant	Government Instrumentality with Proceeding Pending
N/A		

^{*}Specify if commercial, industrial, residential, rental, farm or vacant.

SCHEDULE D - CAPITAL GAINS

Indicate not applicable with "N/A" or "None."

Gain Received By: (check)

						(check)	
Item Sold	Description (e.g., real property, stock name)	Date Acquired	Date Sold	Name of Purchaser If Known	Public Employee:	Spouse / Civil Union Partner / Domestic Partner:	Dependent Children:
See attached	for additional capital gains						
Stock	3M COMPANY CMN	10/18/16	11/17/17	N/A	Χ	N/A	N/A
Stock	3M COMPANY CMN	09/29/15	11/17/17	N/A	Χ	N/A	N/A
Stock	ABBOTT LABORATORIES	02/16/16	11/17/17	N/A	Χ	N/A	N/A
Stock	AERCAP HLDGS NV ORD	02/16/16	03/21/17	N/A	Χ	N/A	N/A
Stock	AETNA INC. CMN	02/16/16	03/21/17	N/A	Χ	N/A	N/A
Stock	AGILENT TECHNOLOGIES	10/18/16	11/17/17	N/A	Х	N/A	N/A
Stock	AGILENT TECHNOLOGIES	02/16/16	11/17/17	N/A	Х	N/A	N/A
Stock	ALASKA AIR GROUP INC.	08/02/16	08/08/17	N/A	Χ	N/A	N/A
Stock	ALBEMARLE CORP CMN	10/18/16	11/17/17	N/A	Χ	N/A	N/A
Stock	ALCOA CORPORATION	02/16/16	03/21/17	N/A	Х	N/A	N/A
Stock	AMEREN CORPORATION	10/18/16	11/17/17	N/A	Χ	N/A	N/A
Stock	AMERICAN AIRLINES GRP	07/15/16	08/08/17	N/A	Χ	N/A	N/A
Stock	AMERICAN EXPRESS CO.	02/16/16	03/21/17	N/A	Х	N/A	N/A
Stock	AMERICAN INTL GROUP	02/16/16	02/15/17	N/A	Χ	N/A	N/A
Stock	AMERICAN INTL GROUP	08/06/13	02/15/17	N/A	Χ	N/A	N/A
Stock	AMERICAN TOWER CORP.	02/16/16	03/21/17	N/A	Χ	N/A	N/A
Stock	AMERICAN WATER WORKS	10/18/16	11/17/17	N/A	Χ	N/A	N/A
Stock	AMERIPRISE FINANCIAL	05/06/16	05/31/17	N/A	Χ	N/A	N/A
Stock	AMGEN INC. CMN	08/06/13	03/21/17	N/A	Х	N/A	N/A
Stock	AMGEN INC. CMN	02/16/16	03/21/17	N/A	Х	N/A	N/A
Stock	AMPHENOL CORP CL-A	02/16/16	03/21/17	N/A	Х	N/A	N/A
Stock	ANADARKO PETROLEUM	02/16/16	05/31/17	N/A	Χ	N/A	N/A
Stock	ANALOG DEVICES, INC.	05/06/16	05/31/17	N/A	Χ	N/A	N/A

ATTESTATION

I hereby certify that I have read the foregoing statement and any addendum pages attached hereto and to the best of my knowledge and belief, they are true, correct and complete. I further certify that I have not and will not transfer any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest therein. I understand that I am subject to penalties for perjury.

Enter your full name:	Gov. Philip D. Murphy
This Financial	Displaces Ctatament was announced by (about one).
inis Financiai	Disclosure Statement was prepared by (check one):
Public Emp	ployee
Spouse / C	Civil Union Partner
Domestic P	Partner
✓ Other (Plea	ase identify) Public employee with assistance of counsel.

Governor Philip D. Murphy 2018 Financial Disclosure Statement

e. Assets (continued)

ASSETS (Valued at more than \$1,000)	Public Employee: ndicate Value Class	Spouse/Civil Union Partner/Domesti c Partner: Indicate Value Class	Dependent Children: Check if Asset is Held
	<u> </u>	Par	Chi if A
The Philip D. and Tammy S. Murphy 2002 Life Insurance Trust	N/A	N/A	Х
The R1 Irrevocable Trust No. 2	N/A	G	N/A
The R2 Irrevocable Trust No. 2	N/A	G	N/A
The Snyder Grandchildren's Trust - DC1	N/A	N/A	Х
The Snyder Grandchildren's Trust - DC2	N/A	N/A	Х
The Snyder Grandchildren's Trust - DC3	N/A	N/A	Х
The Snyder Grandchildren's Trust - DC4	N/A	N/A	Х

R1 and R2 = Abbreviations for Relatives DC = Abbreviation for Dependent Child

Note: The value class assignments for part (h) are based on financial information for calendar year 2017 that was made available to the filer at the time of submission.

NNG	Annuel CORNEL COM						Gain	Gain Received By:	y:
MATCHE CORN MATCHE CORN	AND COUNTY CONTINUED AND COUNTY COUNTY CONTINUED AND COUNTY CONTINUED AND COUNTY CO	Sold	Description (e.g., real property, stock name)		Date Sold	Name of Purchaser	Public Employee	Spouse	Dependents
MATERIAN	MATERIAN COMM		APACHE CORP. CMN	8-Dec-15	15-Feb-17	N/A	×	N/A	A/N
March Record Color	MICHAEL COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COMM. PAPER INC. COM		APPLE INC. CMN	6-Aug-13	31-May-17	N/A	×	N/A	A/N
MATERIAN	MICHAEL CONN RECORD RECO		APPLE INC. CMN	6-May-16	31-May-17	N/A	×	N/A	N/A
MATCHES INCOME AUTORIS RECORD AUTO	MATERIANCE CONTROLLED MATE		ARCONIC INC CMN	8-Dec-15	15-Feb-17	N/A	×	N/A	N/A
MAIN COMMAN CO	A ME A COMM.		ARCONIC INC CMIN	16-Feb-16	21-Mar-17	N/A	×	N/A	A/N
Mainty Cooker Mainty Cooke	ATRIET COMPANY CONTRICTORY ATRIET CONTRICTORY		A RET IN CIVIN	8-Dec-15	21-Mar-17	N/A	×	N/A	A/N
A DECESTION CONTRICTORS 2 MAY 2	ATTENDES MECONN ATTENDES M		AT&T INC CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
MACRES STATES S	AUTOMATIC CORN PROCESSING INC COMM BARRO CE IN K. A LL OWN BARRO CENTRAL COMM BARRO		AT&T INC CMN	3-Nov-15	21-Mar-17	N/A	×	N/A	V/N
Management Man	AUTOMATIC DATA PROCESSING IN COMB AUTOMATIC DATA PROCESSING IN COMB BANDET OR HOLD IN COMB		ALTODESK INC CMN	16-Feb-16	21-Mar-17	A/N	×	N/A	N/A
March Marc	International Content of the Conte		AUTOMATIC DATA PROCESSING INC CMIN	29-Sep-15	15-Feb-1/	N/A	× >	N/A	N/A
Manual Control Colonization C	DESCRIPTION CONTROLLED CONTROLL		ACI DIVINATILE ACRESSING INC CIVIN	1 Apr 16	15-rep-1/	N/A	< >	N/A	4/N
DECENTACE CONCEST CONC	BANDE OF BILLY CONN. BANDE OF BILLY CONN.		ASAN OF ANTENIOR CONFUNIN	1-Apr-10	42 MI-147	4/N	< >	N/A	4/N
MAY	BANDLE IN COMM. 19 ANTER INTERNATIONAL INC COMM. 19 ANTER INTERNATIONAL COMM. 19 ANTER INTERNATI		SARD C. RINC NJ. CMIN	18-Oct-16	17-NON-11	N/A	× ;	N/A	N/A
SERVICTORY CONTINUE	MATERIAN COMMAN COMMAN 125-121 12-Mar 17 12-		SARD CRINCING	16-Feb-16	17-Nov-17	N/A	×	N/A	A/A
SEGUELONIANO MICHOLANS SEGUENCA SEGUELONIANO MICHOLANS SEGUELONIANO MICHOLANS SEGUELONIA	BERSHREE LATHAWAYN NC CANS B BERSHREE LATHAWAYN NC CANS B		SAX LEK INI LIKHA I LONG I LIKHA	29-Sep-15	21-Mar-1/	N/A	× ;	N/A	N/A
BONDONE CONTROLLED DATA OF THE PROPERTY INC. CANA DATA OF THE PROPERTY INC. CANA OF THE PR	BERNSHIEE LINTHANNE INC. LASS 8 BERNSHIEE LINTHANNE INC. LANK 1 LASS 8 LANK 1 LASS 8 LANK 2		SERIOR CURPORATION CUM	16-reb-16	21-Mar-1/	N/A	× >	N/A	A/N
STATESTIME CONTINUE	BEGGEN HIC CANN		ERENDIK BER HAWAN INC. CLASS B PERENDIK DATUMAN INC. CLASS B	Ib-rep-1b	21-Mar-1/	N/A	× >	N/A	4/2 2/2
BIOCHAINEC, CAMA	BOOGEN INC. CMN BOOGEN INC		SERVINE HAL HAWAY INC. CLASS B	6-Aug-13	21-Mar-1/	N/A	× ;	N/A	N/A
SECTION OF COMMINION CONTINUES OF CONTINUE	BIOLOGY NEW, CANN		SIGGEN INC. CMN	6-Aug-13	21-Mar-1/	N/A	× >	N/A	N/A
STATES ALONG NO. NO.	BACKROCK INC CANN		SIGLERN IN CLVIN	16 Feb 16	21 Mar 17	A/N	× >	N/A	N/A
SECTION CONTINUE CO	BINCHONNING, CANN BINCHONNING, CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK, INC. CANN BOKKNOCK,		SIOURANIE TAKENAACH INC. CIVIN	11 Con 13	21 Mar 17	Y/N	< >	N/N	4/N
SECTION CONTRINCE CONTRI	BORGOVANIE IN COMPANY COMMON STOCK		ALACKACIA, INC. CAMIN	16 Feb 16	21-Mar-17	A/N	< >	N/A	A/N
Decision Science Conference Conference	BISTOLA, AWERS SQUIBE COMPANY CANN		DECAUDATION OF CAMINA ODGENING DISC CAMINA	16 Feb 16	/T-INI41-17	A/N	< >	N/A	4/N
BRISTICA AND CONTROL	BRISTICAL-AWERS SQUIBE COMPANY CANN		DOCATON ECIENTIFIC CODE COMMANDA STOCK	16 Feb 16	31 Mar 17	N/N	< >	N/A	4/N
SECTION OF CONTROL C	BROADCOM INC. CMN CARINAL CORPORATION CANN STRES A CELARES CORPORATION CANN STRES A CHERRO INC. CMN COLGATE-PALMOLIVE CO CMN		SOCIOU SCIENTI TE COMPANDA STOCIA SOCIOU SCIENTI TE COMPANDA STOCIA	19 Oct 16	17 Nov 17	V/N	< >	V/N	V/N
BENDACOM INC. CUMN	BROADCOM INC. CMN		DSNS TO STUDIE COAD AND COAD AND CAAN	18 Oct 16	17-NOV-17	Y/N	< >	N/N	4/N
STATE CONTROL CANN	BROADCOM INC. CMN CAPITAL ONE FIRINANCIAL CORP CMN CAPITAL ONE FIRINANCIAL CORP CMN CENTERPOINT ENERGY, INC CMN CENTERPOINT ENERGY, INC CMN CHUBB ILMITED CMN CHUBB ILMITED CMN CHUBB ILMITED CMN CHUBB ILMITED CMN CINCAS CORPORATION CMN CROS OSTETIONS, INC. CMN CROS OSTETIONS, INC. CMN CAME GROUP INC. CMN CASS A CAPITAL CORPORATION CMN CASS A COUGATE-PAIMOUNE CO CMN CASS A VOTING COMM-ST CORPORATION CMN CLASS A VOT		DAILS OLIVITATE SAUDE CUMPANY CIVIN	7 Aug 16	1/-NOV-1/	4/N	< >	N/A	4/2 2
BENDACIONINC.COMN	BROADCOM INC. CMN CAPITAL ONE FINANCIAL CORP CMN CENTREPOINT FENENCY CENTREPOINT FENENCY CHUBBE ILMITED CMN CONSTENSI, INC. CMN CONSTENSI, INC. CMN CASS A CHUBBE ILMITED CMN CONSTENSI, INC. CMN CASS A CHUBBE ILMITED CMN CONSTENSI, INC. CMN CASS A CONSTENSI, INC. CMN CONSTENSI CONSTENSI, INC. CMN CONSTENSI		SHOULD IN IN CAME	2-Aug-10	0 Aug-17	4/N	< >	N/A	4/N
STATE OF THE PROPERTY OF CAPE OF CAP	BROADCOM INC. CMN CANTAL ONE FINANCIAL CORP CMN CELAMEST CORPORATION CMN SRIES A CHUBB LIMITED CMN CANTAL CORPORATION CMN CANTAL C		SECADOLOMI INC. CANIN	15-JUI-16	8-Aug-1/	N/A	× >	N/A	4/X
CANTIAL CORPORATION CANN CHRISTON CORPORATION CANN CHRISTON CORPORATION CANN CHRISTON CANN CHRISTO	STANDAY CANADA CORP CANA		SECADOLOMI INC. CANIN	3-NOV-15	8-Aug-1/	N/A	× >	N/A	A/N
CHENTOL CORPORATION CAN CHENTOL	CEANTES CORPORATION CANN SERIES A CELANEST CORPORATION CANN CANS ENERGY CORPORATION CANN CANS ENERGY CORPORATION CANN CANS A VOTING CONCAST CORPORATION CANN CANS A VOTING COMCAST CORPORATION CANN CANN CANN CANN CANN CANN CANN CA		DROADCHON INC. LININ	0-IVIAY-ID	0-Aug-1/	Y/N	< >	N/N	4/N
CENTERPOINT EMPIRED. NIANA CORNORMINO CANN SRIES A CENTERPOINT EMPIRED. NIANA CENTERPOINT EMPIRED. A NIANA CENTERPOINT EMPIRED. NIANA CONFORMED. NIANA NIA	CELAMESE CORPORATION CANN SRIES A CELAMESE CORPORATION CANN CANN CANN CANN CANN CANN CANN CA		AND THE FINANCIAL COST COST COST	16-reb-16	21-Mar-1/	N/A	× >	N/A	N/A
CHEMONIC CONNIC CANN LANGE AND A CENTREPOUNT ENROPY, INC CANN CANN LANGE AND A CENTREPOUNT ENROPEN, INC CANN CANN CANN CANN CANN CANN CANN	CHENRON CORPORATION CANN BERIES A CHENRON CORPORATION CANN BERIES CANN CASS A CHENRON CORPORATION CANN BERIES CANN CASS A CICLOS SYSTEMS, INC. CANN CASS A CICLOS SYSTEMS, INC. CANN CASS A CICLOS SYSTEMS, INC. CANN CASS A CICLOS CONTING CANN CASS A CICLOS CORPORATION CANN CASS A CICLOS CORPORATION CANN CASS A CONCAST CORPORATION CANN CASS A VOTING COMMAN CONCAST CORPORATION CANN CASS A VOTING COMCAST		JAKIN'AL CORPORATION CMN	18-Oct-16	1/-NON-11	N/A	× ;	N/A	N/A
CHURRON CORPORATION CANN CHORDON CONN CHORDON CORPORATION CANN CHURRON CORPORATION CANN CANN CASS A VOTING CONCAST CORPORATION CANN CASS	CHUBB LIMITED CMN		ELENDRO AND TOTAL ON SERIES A	18-Oct-16	1/-NOV-1/	N/A	× >	N/A	N/A
CHUBB LIMITED CNAIN CHUB LIMITED CNAIN CHUBB LIMITED CNAIN CHUBB LIMITED CNAIN CHUBB CNAIN CHUBB LIMITED CNAIN CHUBB CNAIN CHUBB LIMITED CNAIN CHUB LIMITED CNAIN CHUB CNAIN CHUBB LIMITED CNAIN CHUBB LIBREACH CNAIN	CHUBB LIMITED CMN 27-569-13 1.47-67-17 CHUBB LIMITED CMN 3-Nov-15 15-69-17 CHUBB LIMITED CMN 18-Oct-16 15-69-17 CHUBB LIMITED CMN 18-Oct-16 17-Nov-17 CISCO SYSTEMS, INC. CMN 18-Oct-16 17-Nov-17 CISCO SYSTEMS, INC. CMN 28-Jan-1 21-Mar-17 CISCO SYSTEMS, INC. CMN 18-Oct-16 17-Nov-17 CISCO SYSTEMS, INC. CMN 17-Nov-17 17-Nov-17 CISCO SYSTEMS, INC. CMN 17-Nov-17 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 CMS ENERGY CORPORATION CMN 11-Aug-14 21-Mar-17 COLGATE-PALMOLIVE CO CMN 11-Aug-14 11-Aug-14 11-Nov-17 COLGATE-PALMOLIVE CO CMN COLGATE-PALMOLIVE CO CMN 11-Aug-14 11-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING 11-Aug-14 11-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING 11-Aug-14 11-Aug-17 COMC		CENTER CONTROL CIVIL	8-Dec-15	15-reb-17	N/A	< >	N/A	4/N
CHORDS LIMITED CANN	CHUBB LIMITED CANN 13-0Ct-16 15-70C-17 CINTAS CORPORATION CANN 18-0Ct-16 17-Nov-17 CISCO SYSTEMS, INC. CANN 18-0Ct-16 17-Nov-17 CISCO SYSTEMS, INC. CANN 16-76b-16 21-Mar-17 CISCO SYSTEMS, INC. CANN 18-0Ct-16 17-Nov-17 CINE GROUP INC. CAN CLASS A 6-May-16 17-Nov-17 CANS ENERGY CORPORATION CANN 18-0Ct-16 17-Nov-17 CANS ENERGY CORPORATION CANN 18-0Ct-16 17-Nov-17 COLGATE-PALMOLIVE CO CANN 18-0Ct-16 17-Nov-17 COLGATE-PALMOLIVE CO CANN 11-Adg-14 21-Mar-17 COLGATE-PALMOLIVE CO CANN 11-Adg-14 21-Nar-17 COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING 29-Sep-15		THEY WON'T COMMITTEE CAME	29-Sep-15	21-Mar-1/	A/N	× >	N/A	A/N
CIGNAS CORPORATION CANN CANN CANN CANN CANN CANN CANN CA	CINDAD ENVIRON CANADA 18-OCT-10 1-NOV-17 CINCAD SYSTEMS, INC. CANI CISCO SYSTEMS, INC. CANI 16-Feb-16 21-Mar-17 CISCO SYSTEMS, INC. CANI CISCO SYSTEMS, INC. CANI 28-Jan-14 21-Mar-17 CINE GROUP INC. CANI CLASS A 6-May-16 17-Nov-17 CAME GROUP INC. CANI CLASS A 17-Nov-17 18-Oct-16 17-Nov-17 CAMS ENERGY CORPORATION CANI 18-Oct-16 17-Nov-17 18-Oct-16 17-Nov-17 CAMS ENERGY CORPORATION CANI COLGATE-PALMOLIVE CO CANI 18-Oct-16 17-Nov-17 18-Oct-16 17-Nov-17 COLGATE-PALMOLIVE CO CANI COLGATE-PALMOLIVE CO CANI 11-Aug-17 18-Oct-16 17-Nov-17 COMGATE CORPORATION CANN CLASS A VOTING COMAGAT CORPORATION CANN CLASS A VOTING COMAGAT CORPORATION CANN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CANN CLASS A VOTING COMACAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING COMCAST CORPORATION CANN CLASS A VOTING 17-Apr-16 17-Apr-17		CHODD INMITED CAMIN	3-140V-T3	15 Fob 17	V/N	< >	1/N	X / Z
CISCO SYSTEMS, INC. CANN CISCO SYSTEMS, INC.	CINTAD STERNAL CONN CANN CANN CASTA CONCAST CORPORATION CANN CASTA CONCAST CORPORATION CANN CASTA CONCAST CORPORATION CANN CASTA CONCAST CORPORATION CANN CASS A CONCAST CORPORATION CANN CASS A CONCAST CORPORATION CANN CASS A VOTING CONCAST CORPORATION CANN CLASS A VOTING CANN CANN CLASS A VOTING CANN CLASS A VOTING CANN CLASS A VOTING CANN CANN CANN CANN CANN CANN CANN CA		ORDER LIVINITION CANN	18 Oct 16	12-rep-1/	A/N	< >	N/A	4/N
CHARGE CONTRICTORNING CONTRICTORNI	CINCLO SYSTEMS, INC. CMN CINCLO STATE PALMOLIVE CO CMN COLGATE PALMOL		IN THE STATE OF TH	16-Feb-16	21-Mar-17	4/N	< ×	4/N	₹/N
CME GROUP INC. CMN CLASS A CME GROUP INC. CMN CLASS A N/A X N/A CME GROUP INC. CMN CLASS A CME GROUP INC. CMN CLASS A N/A X N/A CMS ENREGY CORPORATION CMN 18-Oct-16 17-Nov-17 N/A X N/A CMS ENREGY CORPORATION CMN 18-Oct-16 17-Nov-17 N/A X N/A CMS ENREGY CORPORATION CMN 18-Oct-16 17-Nov-17 N/A X N/A CMS ENREGY CORPORATION CMN 18-Oct-16 17-Nov-17 N/A X N/A CMS ENREGY CORPORATION CMN 11-Aug-14 21-Mar-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Mar-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 11-Aug-14 11-Aug-17 N/A X N/A COLGATE-PALMOLIVE CO CMN COLGATE-PALMOLIVE CO CMN X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING	CME GROUP INC. CMN CLASS A 6-May-16 17-Nov-17 CME GROUP INC. CMN CLASS A 18-Oct-16 17-Nov-17 CMS ENERGY CORPORATION CMN 29-Sep-15 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Mar-17 COLGATE-PALMOLIVE CO CMN 22-Sep-15 21-Mar-17 COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Mar-17 COLGATE-PALMOLIVE CO CMN 22-Sep-15 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17		STATE OF STA	28-Jan-14	21-Mar-17	N/A	× ×	N/A	N/A
CME GROUP INC. CMN CLASS A (N/A CLASS A)	CME GROUP INC. CMN CLASS A 18-Oct+16 17-Nov-17 CMS ENERGY CORPORATION CMN 29-Sep-15 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct+16 17-Nov-17 CMGATE-PALMOLIVE CO CMN 18-Oct+16 17-Nov-17 COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Mar-17 COLGATE-PALMOLIVE CO CMN 22-Sep-15 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 CONCCOPHILLIPS CMN 1-Apr-16 31-May-17		CME GROUP INC. CMN CLASS A	6-May-16	17-Nov-17	N/A	×	N/A	N/A
CMS ENERGY CORPORATION CMIN 29-Sep-15 17-Nov-17 N/A X N/A CMS ENERGY CORPORATION CMIN CMS ENERGY CORPORATION CMIN 18-Oct-16 17-Nov-17 N/A X N/A CMS ENERGY CORPORATION CMIN CMS ENERGY CORPORATION CMIN 18-Oct-16 17-Nov-17 N/A X N/A COLGATE-PALMOLIVE CO CMIN 11-AMB-17 N/A X N/A X N/A COLGATE-PALMOLIVE CO CMIN COMEATE-PALMOLIVE CO CMIN X N/A X N/A COMCAST CORPORATION CMIN CLASS A VOTING COMCAST CORPORATION CMIN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMIN CLASS A VOTING COMCAST CORPORATION CMIN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMIN CLASS A VOTING COMCAST CORPORATION CMIN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMIN CLASS A VOTING COMCAST CORPORATION CMIN CLASS A VOTING X N/A X N/A CONCOSPHILLIPS CMN CONCOSPHILLIPS CMN 1-Apr-16 31-Apr-17 N/A X N/A<	CMS ENERGY CORPORATION CMN 29-Sep-15 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 COLGATE-PALMOLIVE CO CMN 15-Oct-13 21-Mar-17 COLGATE-PALMOLIVE CO CMN 29-Sep-15 21-Mar-17 COLGATE-PALMOLIVE CO CMN 29-Sep-15 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17		CME GROUP INC. CWIN CLASS A	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 N/A X N/A CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 11-ABr-17 N/A X N/A X N/A COLGATE-PALMOLIVE CO CMN 11-ABr-17 N/A X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A CONCOPHILLIPS CMN CONCOPHILLIPS CMN X N/A X N/A X N/A	CMS ENERGY CORPORATION CMN 18-Oct-16 17-Nov-17 CMS ENERGY CORPORATION CMN 13-Oct-16 17-Nov-17 COLGATE-PALMOLIVE CO CMN 15-Oct-13 21-Mar-17 COLGATE-PALMOLIVE CO CMN 29-Sep-15 21-Mar-17 COLGATE-PALMOLIVE CO CMN 29-Sep-16 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17		CMS ENERGY CORPORATION CMN	29-Sep-15	17-Nov-17	N/A	×	N/A	N/A
COLGATE-PALMOLIVE CO CMN 18-Oct-16 17-Nov-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 11-Aug-13 21-Ma-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Ma-17 N/A X N/A COLGATE-PALMOLIVE CO CMN COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A CONCCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A CONCOCOPHILLIPS CMN 1-Apr-16 31-Ma-17 N/A X N/A	CMS ENERGY CORPORATION CANN 18-Oct-16 17-Nov-17 COLGATE-PALMOLIVE CO CMN 11-Aug-12 11-Aug-12 COLGATE-PALMOLIVE CO CMN 21-Aba-17 11-Aug-12 COLGATE-PALMOLIVE CO CMN 21-Aba-17 11-Aug-12 COLGATE-PALMOLIVE CO CMN 21-Aba-17 11-Aug-17 COLGATE-PALMOLIVE CO CMN 5-Aba-16 11-Aug-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-18 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-18 17-Nov-17		CMS ENERGY CORPORATION CMN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
COLGATE-PALMOLIVE CO CMN 15-Oct-13 21-Ma-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Ma-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 29-Sep-15 21-Ma-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A CONCCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A CONCOCOPHILLIPS CMN 1-Apr-16 31-Ma-17 N/A X N/A	COLGATE-PALMOLIVE CO CMN 11-Aug-12 21-Mar-17 COLGATE-PALMOLIVE CO CMN 21-Aug-14 21-Mar-17 COLGATE-PALMOLIVE CO CMN 21-Aug-14 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 CONCOPHILLIPS CMN 1-Apr-16 31-May-17		CMS ENERGY CORPORATION CMN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Mar-17 N/A X N/A COLGATE-PALMOLIVE CO CMN 29-Sep-15 21-Mar-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 6-Mary-16 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A CONCOPHILLIPS CMN 1-Apr-16 31-May-17 N/A X N/A N/A	COLGATE-PALMOLIVE CO CMN 11-Aug-14 21-Mar-17 COLGATE-PALMOLIVE CO CMN 29-Sep-15 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 13-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 17-Nov-17 CONCOPHILLIPS CMN 1-Apr-16 31-May-17		COLGATE-PALMOLIVE CO CMN	15-0ct-13	21-Mar-17	N/A	×	N/A	N/A
COLGATE-PALMOLIVE CO CMN COLGATE PALMOLIVE CO CMN N/A X N/A X N/A	COLGATE-PALMOLIVE CO CMN 29-5ep-15 21-Mar-17 COMCAST CORPORATION CMN CLASS A VOTING 6-May-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 COMCAST CORPORATION CMN CLASS A VOTING 17-Nov-17 17-Nov-17 CONCOPHILLIPS CMN 1-Apr-16 31-May-17		COLGATE-PALMOLIVE CO CMN	11-Aug-14		N/A	×	N/A	N/A
COMCAST CORPORATION CMN CLASS A VOTING COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 18-Oct-16 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 8-Dec-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CMN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A CONCOPHILLIPS CMN 1-Apr-16 31-May-17 N/A X N/A	COMCAST CORPORATION CAN CLASS A VOTING 6-May-16 17-Nov-17 17-Nov-17 17-Nov-17 18-Oct-16		COLGATE-PALMOLIVE CO CMN	29-Sep-15		N/A	×	N/A	N/A
COMCAST CORPORATION CAN CLASS A VOTING N/A X N/A X N/A COMCAST CORPORATION CAN CLASS A VOTING 18-Oct-16 17-Nov-17 N/A X N/A COMCAST CORPORATION CAN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CAN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A CONCOOPHILLIPS CANN 1-Apr-16 31-May-17 N/A X N/A	COMCAST CORPORATION CMN CLASS A VOTING 13-Oct-16 17-Nov-17 18-Oct-16 17-Nov-17		COMCAST CORPORATION CMN CLASS A VOTING	6-May-16		N/A	×	N/A	N/A
COMCAST CORPORATION CAN CLASS A VOTING N/A X N/A X N/A COMCAST CORPORATION CAN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A COMCAST CORPORATION CAN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A CONOCOPHILLIPS CANN 1-Apr-16 31-May-17 N/A X N/A	COMCAST CORPORATION CMN CLASS A VOTING 13-Oct-16 17-Nov-17		COMCAST CORPORATION CMN CLASS A VOTING	18-Oct-16		N/A	×	N/A	N/A
COMCAST CORPORATION CAN CLASS A VOTING N/A X N/A X N/A COMCAST CORPORATION CAN CLASS A VOTING 29-Sep-15 17-Nov-17 N/A X N/A CONOCOPHILLIPS CAN 1-Apr-16 31-May-17 N/A X N/A	COMCAST CORPORATION CMN CLASS A VOTING R-Dec-15 17-Nov-17		COMCAST CORPORATION CMN CLASS A VOTING	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
COMCAST CORPORATION CMN CLASS A VOTING X N/A X N/A CONOCOPHILLIPS CMN 1-Apr-16 31-May-17 N/A X N/A	COMCAST CORPORATION CMN CLASS A VOTING 22-Sep-15 17-Nov-17		COMCAST CORPORATION CMN CLASS A VOTING	8-Dec-15	17-Nov-17	N/A	×	N/A	N/A
CONOCOPHILIPS CWN X N/A X N/A X N/A	CONOCOPHILLIPS CMN		COMCAST CORPORATION CMN CLASS A VOTING	29-Sep-15	17-Nov-17	N/A	×	N/A	A/N
			CONOCOPHILLIPS CMN	1-Apr-16	31-May-17	N/A	×	N/A	N/A

					Gain	Gain Received By:	ły:
Item Sold	Description (e.g., real property, stock name)	Date Acquired	Date Sold	Name of Purchaser	Public Employee Spouse Dependents	Spouse	Dependents
Stock	CONSOLIDATED EDISON INC CMIN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
Stock	CONSTELLATION BRANDS INC CMN CLASS A	14-Oct-14	15-Feb-17	N/A	×	N/A	N/A
Stock	CONTINENTAL RESOURCES, INC CMN	16-Feb-16	31-May-17	N/A	×	N/A	N/A
Stock	COSTCO WHOLESALE CORPORATION CMN	6-May-16	8-Aug-17	N/A	×	N/A	N/A
Stock		1-0ct-15	5-Oct-17	N/A	×	N/A	N/A
Stock	CREDIT SUISSE AG LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 10/05/2017	1-0ct-15	5-0ct-17	N/A	×	N/A	N/A
Stock	CREDIT SUISSE AG LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 12/06/2017	2-Dec-15	6-Dec-17	N/A	×	N/A	N/A
Stock	CREDIT SUISSE AG LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 12/06/2017	2-Dec-15	6-Dec-17	N/A	×	N/A	N/A
Stock	CROWN CASTLE INTL CORP CMN	29-Sep-15	21-Mar-17	N/A	×	N/A	N/A
Stock	CUMMINS INC COMMON STOCK	8-Dec-15	21-Mar-17	N/A	×	N/A	N/A
Escrow Interest	CURRENT MEDIA, LLC	2004	3-Nov-17	N/A	×	N/A	N/A
Stock	D.R. HORTON, INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	DANAHER CORPORATION CMN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
Stock	DEVON ENERGY CORPORATION (NEW) CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	DUKE ENERGY CORPORATION CMN	3-Nov-15	15-Feb-17	N/A	×	N/A	N/A
Stock	EBAY INC. CMN	6-May-16	8-Aug-17	N/A	×	N/A	N/A
Stock	EBAY INC. CMN	15-Jul-16	8-Aug-17	N/A	×	N/A	N/A
Stock	EBAY INC. CMN	6-May-16	8-Aug-17	N/A	×	N/A	N/A
Stock	ELI LILLY & CO CMIN	1-Apr-16	25-Apr-17	N/A	×	N/A	N/A
Stock	EMERSON ELECTRIC CO. CMN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
Stock	ENBRIDGE INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	EOG RESOURCES INC CMN	6-May-16	31-May-17	N/A	×	N/A	N/A
Stock	EOG RESOURCES INC CMN	1-Apr-16	31-May-17	N/A	×	N/A	N/A
Stock	EQUIFAX INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	EQUINIX, INC. REIT	29-Sep-15	21-Mar-17	N/A	×	N/A	N/A
Stock	EQUINIX, INC. REIT	13-Mav-15	21-Mar-17	N/A	×	N/A	N/A
Stock	F5 NETWORKS, INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	FACEBOOK, INC. CMN CLASS A	22-Jul-15	21-Mar-17	N/A	×	N/A	N/A
Stock	FACEBOOK, INC. CMN CLASS A	14-Oct-14	21-Mar-17	N/A	×	N/A	N/A
Stock	FACEBOOK, INC. CMN CLASS A	11-Aug-14	21-Mar-17	N/A	×	N/A	N/A
Stock	FACEBOOK, INC. CMN CLASS A	29-Sep-15	21-Mar-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	31-Dec-15	12-Jul-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	29-Feb-16	12-Jul-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	29-Jan-16	12-Jul-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	11-Dec-15	14-Mar-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	17-Dec-15	10-Aug-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	11-Dec-15	26-May-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	11-Dec-15	12-Jul-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	11-Dec-15	17-Mar-17	N/A	×	N/A	N/A
Mutual Fund	FEDERATED HIGH YIELD BOND FUND MUTUAL FUND CLASS INST SHARES	11-Dec-15	10-May-17	N/A	×	N/A	N/A
Stock	FEDEX CORP CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	FISERY, INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	FISERV, INC. CMN	27-Feb-15	21-Mar-17	N/A	×	N/A	A/N
Stock	FISERV, INC. CMN	29-Sep-15	21-Mar-17	N/A	×	N/A	A/N
Stock	FISERV, INC. CMN	14-Oct-14	21-Mar-17	N/A	×	N/A	N/A
Stock	FLEX LTD. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	FMC TECHNOLOGIES, INC. CMN	2-Aug-16	17-Jan-17	N/A	×	N/A	N/A
Stock	FORD MOTOR COMPANY CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	FORTIVE CORPORATION CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	Franklin resources inc cmn	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	GENERAL ELECTRIC CO CMN	6-Aug-13	31-May-17	N/A	×	N/A	N/A
Stock	GENERAL MOTORS COMPANY CMN	1-Apr-16	25-Apr-17	N/A	×	N/A	N/A
Stock	GENERATION IM GLOBAL EQUITY FUND LLC (LESS THAN \$1,000 CAP GAIN)	2006	25-Apr-17	N/A	×	N/A	N/A
Stock	GENESEE & WYOMING INC CMN CLASS A	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Mutual Fund	GS HIGH YIELD FLOATING RATE FUND INSTITUTIONAL SHARES	2-Feb-16	7-Dec-17	N/A	×	N/A	N/A

			:		Gain Re		
Item Sold	Description (e.g., real property, stock name)		Date Sold	Name of Purchaser	Public Employee Spouse		Dependents
Mutual rund	SO SHOUTE LOURS HAVE TOUND INSTITUTIONAL SHARES	20-3ep-13	12-Juli-17	Y/N	< >	W/N	N/A
Stock	HALIBOKI ON COMPANY CMIN	1-Apr-16	31-IVIay-17	N/A	× :	N/A	A/N
Stock	HALLIBORI ON COMPANY CMIN	6-May-16	31-May-17	N/A	×	N/A	N/A
Stock	HARMAN INTL IND, INCORP CMN	16-Feb-16	13-Mar-17	N/A	×	N/A	N/A
Stock	HARRIS CORP CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	HCA HEALTHCARE, INC CMN	16-Feb-16	31-May-17	N/A	×	N/A	N/A
Stock	HCA HEALTHCARE, INC CMN	8-Dec-15	15-Feb-17	N/A	×	N/A	N/A
Stock	HCP, INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	HEWLETT PACKARD ENTERPRISE CO CMIN	16-Feb-16	17-Nov-17	N/A	×	N/A	N/A
Stock	HEWLETT PACKARD ENTERPRISE CO CMN	19-Nov-13	15-Feb-17	N/A	×	N/A	N/A
Stock	HEWLETT PACKARD ENTERPRISE CO CMIN	8-Dec-15	15-Feb-17	N/A	×	N/A	N/A
Stock	HP INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	HUMANA INC. CMN	15-Jul-16	17-Nov-17	N/A	×	N/A	N/A
Stock	ILLINOIS TOOL WORKS CMN	8-Dec-15	17-Nov-17	N/A	×	N/A	N/A
Stock	ILLINOIS TOOL WORKS CMN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
Stock	ILLINOIS TOOL WORKS CMN	16-Feb-16	17-Nov-17	N/A	×	N/A	N/A
Stock	ILLUMINA, INC CMN	6-May-16	31-May-17	N/A	×	N/A	N/A
Stock	INCYTE CORPORATION CMN	6-May-16	31-May-17	N/A	×	N/A	N/A
Stock	INGERSOLL-RAND PLC CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	INTEL CORPORATION CMN	6-Aug-13	15-Feb-17	N/A	×	N/A	N/A
Stock	INTERNATIONAL PAPER CO. CMN	8-Dec-15	15-Feb-17	N/A	×	N/A	N/A
Stock	INTERNATIONAL PAPER CO. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	INTL BUSINESS MACHINES CORP CMN	16-Feb-16	25-Apr-17	N/A	×	N/A	N/A
Stock	INTUIT INC CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	INTUITIVE SURGICAL, INC. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	INTUITIVE SURGICAL, INC. CMN	8-Dec-15	21-Mar-17	N/A	×	N/A	N/A
Stock	INVESCO LTD. CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	J B HUNT TRANS SVCS INC CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	JOHNSON & JOHNSON CMN	6-Aug-13	21-Mar-17	N/A	×	N/A	N/A
Stock	JOHNSON & JOHNSON CMIN	8-Dec-15	15-Feb-17	N/A	×	N/A	N/A
Stock	JOHNSON & JOHNSON CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	JPMORGAN CHASE & CO CMN	6-May-16	31-May-17	N/A	×	N/A	N/A
Stock	JPMORGAN CHASE & CO CMN	6-May-16	31-May-17	N/A	×	N/A	N/A
Stock	KEYCORP CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	KINDER MORGAN INC CMN CLASS P	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	KLA-TENCOR CORPORATION CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A
Stock	LAM RESEARCH CORPORATION CMN	2-Aug-16	8-Aug-17	N/A	×	N/A	N/A
Stock	LAM RESEARCH CORPORATION CMN	6-May-16	8-Aug-17	N/A	×	N/A	N/A
Stock	LEGG MASON INC CMIN	16-Feb-16	21-Mar-17	N/A	×	N/A	A/N
Stock	LINCOLIN NATL'CORP, INC. CMN	16-Feb-16	21-Mar-17	A/N	×	N/A	A/N
Stock	LOCKHED MARTIN CORPORATION CWIN	16-Feb-16	21-Mar-17	N/A	× ;	A/A	A/N
Stock	TEP FINIS TO ANNO CANN MACT TO ANNO CANN TO ANNO CANNO CANN	16 Fob 16	21-Mar-17	N/A	< >	N/A	4 < Z
Stock Stock	INCLUDED OUR CONTROL OF THE TOTAL OF THE TOT	10-reb-10	7T-INIGI-T7	() ()	< >	¥/N	Y/N
Stock	MARATHON OIL CONTON CAMIN	16-reb-16	8-Aug-1/	N/A	× >	N/A	A/A
Stock	MAKATHON UIL CURPORATION CWIN	TP-FED-TP	ZI-Mar-17	N/A	× :	N/A	N/A
Stock	MAKA HON PE INDUCEDIA CONTROLL CINN	1-Apr-16	8-Aug-1/	N/A	× ;	N/A	A/A
Stock	MAKATHON PERKOLEUN CORPORATION CONTROLLED	2-Aug-16	8-Aug-1/	N/A	× ;	N/A	N/A
Stock	MAKATHON PERNOLEMATION CONTINUE AND CONTINUE	b-iviay-1b	8-Aug-1/	N/A	< >	N/A	A/A
Stock	MARKIOI I INIEKNATIONAL, INC. CON CLASS A	16-reb-16	1/-NON-/I	N/A	× :	N/A	N/A
Stock	MARKIOTT INTERNATIONAL, INC CMN CLASS A	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
Stock	MARSH & MCLENNAN CO INC CMN	18-Oct-16	15-Feb-17	N/A	×	N/A	N/A
Stock	MARSH & MCLENNAN CO INC CMN	29-Sep-15	15-Feb-17	N/A	×	N/A	A/A
Stock	MEDITAL PUBLIC LIMITED COMPA CIMN	29-Sep-15	15-Feb-1/	N/A	× ;	N/A	A/A
Stock	MELLIE, INC. CHIN METTIC PRODUINT CAM	16-Feb-16	8-Aug-1/	N/A	× >	A/A	A <
Stock	METILER-TOLEDO INTLUMIN	18-Oct-16	1/-NON-1/	N/A	×	N/A	N/A

			F	-	Gain R	Gain Received By:	
Item Sold	Description (e.g., real property, stock name)	Date Acquired Dat	Name	haser Public	Employee	pouse D	Spouse Dependents
Stock	WORD RESULT IS IN LETHING HONG. LUMIN	16-Feb-16 21	ZT-IVIAI-17		< ;	N/A	X/X
Stock	MICROCAILP FECHNOLOGY INCORPORA CIVIN				× ;	N/A	N/A
Stock	MICKON IECHNOLOGY, INC. CIMIN				×	N/A	N/A
Stock	MOODY'S CORPORATION CMIN				×	N/A	N/A
Stock	MORGAN STANLEY CMIN				×	N/A	N/A
Stock	MORGAN STANLEY CMN		31-May-17 N/A		×	N/A	N/A
Stock		_	31-May-17 N/A		×	N/A	N/A
Stock	MORGAN STANLEY LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/07/2017	31-Jul-15	7-Jul-17 N/A		×	N/A	N/A
Stock	MORGAN STANLEY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/07/2017		7-Jul-17 N/A		×	N/A	N/A
Stock	MORGAN STANLEY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/07/2017	31-Jul-15	7-Jul-17 N/A		×	N/A	N/A
Stock	NCR CORPORATION CMN	16-Feb-16 21	21-Mar-17 N/A		×	N/A	N/A
Stock	NETAPP, INC. CMN		2		×	N/A	N/A
Stock	NETFLIX, INC. CMN	18-Oct-16 17	17-Nov-17 N/A		×	N/A	N/A
Stock	NEWELL BRANDS INC CMN	16-Feb-16 21	21-Mar-17 N/A		×	N/A	N/A
Stock	NEWMONT MINING CORPORATION CMN	29-Sep-15 21	21-Mar-17 N/A		×	N/A	N/A
Stock	NEXTERA ENERGY, INC. CMIN	29-Sep-15 21	21-Mar-17 N/A		×	N/A	N/A
Stock	NOBLE ENERGY INC CMN	16-Feb-16 21			×	N/A	N/A
Stock	NOBLE ENERGY INC CMN	8-Dec-15 15	15-Feb-17 N/A		×	N/A	N/A
Stock	NORWEGIAN CRUISE LINE HLDG LTD CMN	15-Sep-16 16	16-0ct-17 N/A		×	N/A	N/A
Stock	NXP SEMICONDUCTORS N.V. CMN	16-Feb-16 25	25-Apr-17 N/A		×	N/A	N/A
Stock	OLD DOMINION FGHT LINES INC CMN	16-Feb-16 21	21-Mar-17 N/A		×	N/A	N/A
Stock	Ö'REILLY AUTOMOTIVE, INC. CMN				×	N/A	N/A
Stock	PAYCHEX, INC. CMN	16-Feb-16 21	21-Mar-17 N/A		×	N/A	N/A
Stock	PAYPAL HOLDINGS, INC. CMN	7-May-14 15	15-Feb-17 N/A		×	N/A	N/A
Stock	PAYPAL HOLDINGS, INC. CMN		,		×	N/A	N/A
Stock	PENTAIR PLC. CMN	16-Feb-16 21	21-Mar-17 N/A		×	N/A	N/A
Stock	PHILIP MORRIS INTLINC CMN		21-Mar-17 N/A		×	N/A	N/A
Stock	PHILIP MORRIS INTL INC CMN		21-Mar-17 N/A		×	N/A	N/A
Stock	PIONEER NATURAL RESOURCES CO CMN		31-May-17 N/A		×	N/A	N/A
Stock	PIONEER NATURAL RESOURCES CO CMN				×	N/A	N/A
Stock	PPG INDUSTRIES, INC. CMN	18-0ct-16 17	17-Nov-17 N/A		×	N/A	N/A
Stock	PPG INDUSTRIES, INC. CMN	16-Feb-16 17	17-Nov-17 N/A		×	N/A	N/A
Stock	PRICELINE GROUP INC/THE CMN				×	N/A	N/A
Stock	PRINCIPAL FINANCIAL GROUP, INC CMN				×	N/A	N/A
Stock	PROCTER & GAMBLE COMPANY (THE) CMN		_		×	N/A	N/A
Stock	PROCTER & GAMBLE COMPANY (THE) CMN	_			×	N/A	N/A
Stock	PROCTER & GAMBLE COMPANY (THE) CMN		,		×	N/A	N/A
Stock	PROCTER & GAMBLE COMPANY (THE) CMN				×	N/A	A/A
Stock	PROLOGIS INC CMN				× ;	N/A	N/A
Stock	PRUDEN I AL FINANCIAL INC. CMN		25-Apr-1/ N/A		× ;	N/A	N/A
Stock	PUBLICAVC EN IERTRISE ENVOY PULLING CU BUTTERN AGGE EVEN ACTACIONAL		15-reb-17 N/A		< >	4/N	N/N
Options		11-NOV-16	12-lan-17 N/A		< ×	4/N	X/X
Options					< >	V/N	() ()
Options		1			< >	V / V	V \
Options					< ×	V/N	X \ X
Options					< ×	N/A	N/A
Options					×	N/A	A/N
Options		┖			×	N/A	. V
Options	(e)		_		×	N/A	N/A
Options					×	N/A	N/A
Options		Ļ			×	N/A	N/A
Options		4			×	N/A	N/A
Options					×	N/A	N/A
Options		<u> </u>			×	N/A	N/A
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Item Sold	Description (e.g., real property, stock name)	Date Acquired	Date Sold	Name of Purchaser	Public Employee	Spouse	Dependents
Options		18-May-17		N/A	×	N/A	N/A
Options	PUT/SPX @ 2270 EXP 06/30/2017	7-Apr-17	28-Jun-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2290 EXP 05/19/2017	2-Mar-17	11-May-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2290 EXP 05/19/2017	2-Mar-17	18-May-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2320 EXP 07/21/2017	11-May-17	21-Jul-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2320 EXP 07/21/2017	11-May-17	17-Jul-17	N/A	×	N/A	A/N
Options	PUT/SPX @ 2330 EXP 11/17/2017	29-Aug-17	17-Oct-17	N/A	×	N/A	N/A
Options		29-Aug-17	17-Nov-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2350 EXP 08/25/2017	6-Jul-17	25-Aug-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2360 EXP 10/20/2017	22-Aug-17	12-Oct-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2380 EXP 07/07/2017	13-Jun-17	6-Jul-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2380 EXP 10/20/2017	9-Aug-17	17-Oct-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2380 EXP 10/20/2017	9-Aug-17	19-Oct-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2390 EXP 08/04/2017	28-Jun-17	4-Aug-17	N/A	×	N/A	N/A
Options	PUT/SPX	17-Jul-17	15-Sep-17	N/A	×	N/A	N/A
Options		3-Aug-17	29-Sep-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2450 EXP 11/03/2017	21-Sep-17	17-Oct-17	N/A	×	N/A	N/A
Options		21-Sep-17	3-Nov-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2470 EXP 12/15/2017	6-0ct-17	15-Dec-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2470 EXP 12/15/2017	6-0ct-17	17-Oct-17	N/A	×	N/A	N/A
Options		6-0ct-17	12-Dec-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2490 EXP 02/16/2018	9-Nov-17	31-Dec-17	N/A	×	N/A	N/A
Options		19-Oct-17	21-Nov-17	N/A	×	N/A	N/A
Options		19-Oct-17	1-Dec-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2500 EXP 01/19/2018	3-Nov-17	31-Dec-17	N/A	×	N/A	N/A
Options		12-Oct-17	9-Nov-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2540 EXP 01/05/2018	1-Dec-17	31-Dec-17	N/A	×	N/A	N/A
Options		21-Nov-17	22-Dec-17	N/A	×	N/A	N/A
Options		21-Nov-17	20-Dec-17	N/A	×	N/A	N/A
Options	PUT/SPX @ 2610 EXP 02/16/2018	12-Dec-17		N/A	×	N/A	N/A
Stock	QUALCOMM INC CMN	6-May-16		N/A	×	N/A	N/A
Stock	QUALCOMM INC CMN	1-Apr-16	31-May-17	N/A	×	N/A	N/A
Stock	QUANTA SERVICES INC CMN	16-Feb-16		N/A	×	N/A	N/A
Stock	RAYTHEON COMPANY CMN	16-Feb-16		N/A	×	N/A	N/A
Stock	RAYTHEON COMPANY CMN	3-Nov-15		N/A	×	N/A	N/A
Stock	REALTY INCOME CORPORATION CWN	29-Sep-15	` '	N/A	×	N/A	N/A
Stock	REGENERON PHARMACEUTICAL INC CMIN	15-Jul-16		N/A	×	N/A	N/A
Stock	REGIONS FINANCIAL CORPORATION CMN	16-Feb-16	7	N/A	×	N/A	N/A
Stock	REYNOLDS AMERICAN INC CMN	22-Nov-16		N/A	×	N/A	V/Ν
Stock	ROPER TECHNOLOGIES INC CMN	16-Feb-16		N/A	×	N/A	A/N
Stock	RYDER SIZE EN INC. CMN	16-Feb-16	21-Mar-1/	N/A	× ;	N/A	A/N
Stock	Sep Gingel Inc Chair	29-Sen-15		4/N	< ×	4/N	X/N
Stock	THE STATE TECHNOLOGY PLC CMN	6-Mav-16	8-Aug-17	N/A	: ×	N/A	A/N
Stock	SERVICENDY INC. CMM	16-Feb-16	6	N/A	: ×	N/A	A/N
Stock	SKYWORKS SOLUTIONS. INC. CMN	2-Aug-16		N/A	×	N/A	N/A
Stock	SKYWORKS SOLUTIONS, INC. CMN	16-Feb-16		N/A	×	N/A	N/A
Stock	SPLUNK INC CMN	16-Feb-16	2	N/A	×	N/A	N/A
Stock	ST JUDE MEDICAL INC CMN	16-Feb-16	5-Jan-17	N/A	×	N/A	N/A
Stock	STAPLES, INC. CMN	15-Sep-16	13-Sep-17	N/A	×	N/A	A/N
Stock	STARBUCKS CORP. CMN	18-Oct-16		N/A	×	N/A	N/A
Stock	STARBUCKS CORP. CMN	18-Oct-16	17-Nov-17	N/A	×	N/A	N/A
Stock	STATE STREET CORPORATION (NEW) CMN	16-Feb-16		N/A	×	N/A	N/A
Stock	SUNTRUST BANKS INC CMN	16-Feb-16		A/N	×	N/A	۷/۷ ۲
Stock	SVB FINANCIAL GROUP CMN	16-Feb-16	21-Mar-17	N/A	×	N/A	N/A

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20	D - Capital Gains (contin
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Item Sold	Description (e.g., real property, stock name)	_	Name of Purchaser	Public Employee Spouse		Dependents
Stock	STATE CONFORMING LINING	29-3ep-13 21-INIdI-17	4/N	< >	4/N	4/2 2
Stock	I D AWERI RADE FICEUMS CORP CIVIN		A/N	< ×	N/A	A/N
Stock	TEYADAN INC DEL CANA		V/N	< >	V/N	() N
Stock	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 10/05/2017			×	N/A	N/A
Stock	THE HERSHEY COMPANY CMN	1		×	N/A	N/A
Stock	THE KRAFT HEINZ CO CMN		N/A	×	N/A	N/A
Stock	THE TRAVELERS COMPANIES, INC CMIN	16-Feb-16 21-Mar-17	N/A	×	N/A	A/N
Stock	THE WILLIAMS COMPANIES, INC. CMN	٠,	N/A	×	N/A	N/A
Stock	TIFFANY & CO CMN	15-Sep-16 16-Oct-17	N/A	×	N/A	N/A
Stock	TIME WARNER INC. CMN	16-Feb-16 17-Nov-17	N/A	×	N/A	N/A
Stock	TIME WARNER INC. CMN	J	N/A	×	N/A	N/A
Stock	TOLL BROTHERS, INC. CMN	15-Jul-16 8-Aug-17	N/A	×	N/A	N/A
Stock	TRANSOCEAN LTD. CMN		N/A	×	N/A	A/A
Stock	TWENTY-FIRST CENTURY FOX, INC. CMN CLASS A		N/A	×	N/A	N/A
Stock	U.S. BANCORP CMN	_	N/A	×	N/A	N/A
Stock	ULTA BEAUTY INC CMN	'n	N/A	×	N/A	N/A
Stock	UNION PACIFIC CORP. CMN			×	N/A	N/A
Stock	UNION PACIFIC CORP. CMN	8-Dec-15 8-Aug-17	N/A	×	N/A	N/A
Stock	UNION PACIFIC CORP. CMN		N/A	×	N/A	N/A
Stock	UNITED CONTINENTAL HOLDING INC CMN	6-May-16 31-May-17	N/A	×	N/A	N/A
Stock	UNITED RENTALS, INC. CMN		N/A	×	N/A	A/A
Stock	UNITED RENTALS, INC. CMN			×	N/A	N/A
Stock	UNITED STATES STEEL CORP CMN		N/A	×	N/A	N/A
Stock	UNITED TECHNOLOGIES CORPORATIO CMN		N/A	×	N/A	N/A
Stock	UNITED TECHNOLOGIES CORPORATIO CMN		N/A	×	N/A	N/A
Stock	UNITEDHEALTH GROUP INCORPORATE CMIN		N/A	×	N/A	N/A
Stock	UNITEDHEALTH GROUP INCORPORATE CMN	_	N/A	×	N/A	N/A
Stock	UNITEDHEALTH GROUP INCORPORATE CMN		N/A	×	N/A	∀\Z
Stock	UNITEDHEALTH GROUP INCORPORATE CMN		N/A	×	N/A	A/N
Stock	UNIVERSAL HEALTH SVC CL B CMIN CLASS B	``	N/A	×	N/A	N/A
Stock	UNUM GROUP CMN	16-Feb-16 25-Apr-17	N/A	×	N/A	N/A
Stock	VALERO ENERGY CORPORATION CMN		N/A	×	N/A	N/A
Stock	VALERO ENERGY CORPORATION CMIN		N/A	×	N/A	N/A
Stock	VENTAS, INC. CMN		N/A	×	N/A	N/A
Stock	VERISK ANALYTICS, INC. CMN		N/A	×	N/A	N/A
Mutual Fund	VOYA FLOATING RATE FUND CLASS I		N/A	×	N/A	N/A
Mutual Fund	VOYA FLOATING RATE FUND CLASS I		N/A	×	N/A	N/A
Mutual Fund	VOVA FLOATING RATE FUND CLASS I	7	N/A	×	N/A	N/A
Mutual Fund	VOVA FLOATING RATE FUND CLASS I	_	N/A	×	N/A	A/N
Mutual Fund	VOYA FLOATING RAILE CHAINS I	_	N/A	× ;	N/A	A/N
Mutual Fund	VOTA FLOATING KALIE FUND LLASS I VUIL CAN LACTEDATE COMMI	11-Dec-15 26-INIay-17	A/N	< >	A/N	4/N
Stock Stock	VOLCERIA INTO ALLIANDE OF THE CAMIN		V/N	< >	(/ 2	
Stock	WALDARE IN CAME AND THE CAME AND THE CONTRACT OF THE CAME AND THE CAME	Ļ	V/N	< >	(/ N	2 2
Stock	V PALIVANI IMAN IMAC ANN IMAN IMAC ANN		V/N	< >	(/ N	(<u> </u>
Stock	WALUNDER INCLUIN WATERNEY COMBONN THE CANN		4/N	< >	4 /N	4/2
Stock	I WALL IDJONE CONTRADANT (THE) CANN I MALL INFORMEX CONTRADANT (THE) CANN		4/N	< >	1/2	(<u> </u>
Stock	WALL DANGE CONDENSITION COMMAND STOCK		4/N	< >	1 V	(<u> </u>
Stock	WATERS CONTOUR INON STOCK		4/N	< >	¥/N	1 ×
Stock	WELL DUNIN	_	A/N	× ;	N/A	4/N
Stock	WEX INC. CVIN		N/A	× ;	N/A	A/N
Stock	WHINDE PROPER CARACTER CAND CONTROL CONTROL CONTROL CONTROL CANDA TO CONTROL CONTROL CONTROL CONTROL CONTROL CANDA TO CONTROL CONTROL CANDA TO	7	A/A	× >	N/A	4/Z
Stock	WHOLE FOODS MARKET INC CMN	21-Mar-1/ 12-Jul-1/ 16-Eeb-16 21-Mar-17	A/A	× ×	A/A	A/N
Stock	WINDERDAIN WORLDWING CURF. CURIN		۲/NI ۷/N	< >	۲/N ۷/N	1 /N
SIOUR	TOIN BRANDS, INC. CININ		IN/A	<	N/A	N/A

VUM CHIS MEET NOT CONN IN CONN TOUR SERVICE ON CLASS A COETIS INC CONN CLASS A COETIS INC CONN CLASS A COETIS INC CONN CLASS A ACCINITURE ELE CONN ALTARIA INC CONN CLASS A ACCINITURE ELE CONN ALTARIA INC CONN CLASS A ALTARIA INC CONN CLASS A ALTARIA INC CONN CLASS A ALTARIA INC CONN CLASS B BERSCHIRE HATHAWAY INC CASS B CHARLES CONDAINATOM CON CHARLES MANACAL GOOD FOUND CHARLES AND SCENIFIC CORP CONN CHERRE LIMITED OWN CHERR LIMITED OWN CHERRE LIMITED OWN CHERR LIMITED OWN	Date Acquired Date Sold February 6-May-16 17-Nov-17 16-Feb-16 21-May-17 18-Oct-16 17-Nov-17 17-Nov-17 16-Feb-16 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 17-Nov-17 11-Jan-16 17-Nov-17 26-Jan-15 17-Feb-17 26-Jan-15 17-Feb-17 11-Jan-16 1-Feb-17 11-Jan-16 1-Feb-17 </th <th> Name of Purchaser N/A N/</th> <th>oloyee</th> <th></th> <th>Dependents </th>	Name of Purchaser N/A N/	oloyee		Dependents
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CHEVRON CORPORATION CMN CHUBB LIMITED CMN CHUBB LIMITED CMN CITIZENS FUNENCY C. CMN CITIZENS FINANCIAL GROUP, INC. CMN CRECITIZENS FOR FOR FOR SOO INDEX UPSIDE LEVERED CAPPED ST CSX CORPORATION CMN CSX CORPORATION CMN CSX CORPORATION CMN DECON ENERGY CORPORATION (NEW) CMN DOWN CHEMICAL CO CMN EATON CORP PLC CMN EATON CORP PLC CMN EBAY INC. CMN		N/A	N/A	N/A	×
CHUBB LIMITED CMN CIMAREX ENERGY CO. CMN CITIZENS FINANCIAL GROUP, INC. CMN CREDIT SUISSE BELINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED ST CSX CORPORATION CMN CSX CORPORATION CMN CSX CORPORATION CMN CSX CORPORATION CMN COMMON STOCK DEERE & COMPANY CMN DEVON ENERGY CORPORATION (NEW) CMN DOWN CHEMICAL CO CMN E.I. DU PONT DE NEMOURS AND CO CMN EATON CORP PLC CMN EATON CORP PLC CMN		N/A	N/A	N/A	×
CITIAAREX ENERGY CO. CMN CITIZENS FINANCIAL GROUP, INC. CMN CRECINEDS FINANCIAL GROUP, INC. CMN CRECINEDS AGUINED TO S&P 500 INDEX UPSIDE LEVERED CAPPED ST CSX CORPORATION CMN CSX CORPORATION CMN CUMMINS INC COMMON STOCK DEVON ENERGY CORPORATION (NEW) CMN DEVON ENERGY CORPORATION (NEW) CMN E.I. DU PONT DE NEMOURS AND CO CMN EATON CORP PLC CMN EATON CORP PLC CMN EATON CORP PLC CMN		N/A	N/A	N/A	×
CRIZENS FINANCIAL GROUP, INC. CMN CREDIT SUISSE AG LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED ST CSX CORPORATION CMN CSX CORPORATION STOCK CUMMINIS INC COMMON STOCK DEERE & COMPANY CMN DEVON ENERGY CORPORATION (NEW) CMN E.I. DU PONT DE NEMOURS AND CO CMN EATON CORP PLC CMN EATON CORP PLC CMN EATON CORP PLC CMN		N/A	N/A	N/A	×
CREOIT SUISSE AG LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED ST CSX CORPORATION CMN CSX CORPORATION CMN CSX CORPORATION STOCK DEEKE & COMPANY CMN DEVON ENERGY CORPORATION (NEW) CMN DOW CHEMICAL CO CMIN E.I. DU PONT DE NEMOURS AND CO CMN EATON CORP PLC CMN EATON CORP PLC CMN EBAY INC. CMN	7-Sep-16 1	N/A	N/A	N/A	×
	7 1-Oct-15	N/A	N/A	N/A	×
		N/A	N/A	N/A	× :
		N/A	N/A	N/A	× :
		N/A	N/A	N/A	× :
		N/A	N/A	N/A	× :
	11-Jan-16 1-Feb-17	N/A	N/A	N/A	××
		V/N	4/N	Y/Y	< >
		A/N	4/N	N/A	< ×
		N/A	N/A	N/A	×
Stock FEDEX CORP CMN	ļ`.	N/A	N/A	N/A	×
		N/A	N/A	N/A	×
Stock FISERV, INC. CMN	11-Jan-16 1-Feb-17	N/A	N/A	N/A	×
Stock GENERAL DYNAMICS CORP. CMN	7-Sep-16 12-Sep-17	N/A	N/A	N/A	×
		N/A	N/A	N/A	×
Stock GENERAL MOTORS COMPANY CMN	1	N/A	N/A	N/A	×
		N/A	N/A	N/A	×
	1	N/A	N/A	N/A	×
		N/A	N/A	N/A	×
_		N/A	N/A	N/A	×
		N/A	N/A	N/A	×
		N/A	N/A	N/A	×
Stock INTUIT INC CMIN	11-Jan-16 12-Sep-17	N/A	N/A	N/A	×

					Gain R	Gain Received By:	"
Item Sold	Description (e.g., real property, stock name)	Date Acquired	Date Sold	Name of Purchaser	Public Employee Spouse Dependents	Spouse	Dependents
Stock	DATESON & JURISON CIVIL	23-Aug-13	7 L-G-1-7	Y/N	Y/N	¥ /N	< >
Stock	JUNIORGAN CHASE & CO CIVIN	LI-Jan-1b	1-reb-1/	N/A	A/A	N/A	× >
Stock	JPNICKGAN CHASE & CU CIVIN	6-Aug-13	T-reb-I/	N/A	N/A	N/A	×
Stock	JPMORGAN CHASE & CO CMN	26-Jan-15	1-Feb-17	N/A	N/A	N/A	×
Stock	KEYCORP CMN	11-Jan-16	/I-qə-I	N/A	N/A	N/A	×
Stock	KLA-1ENCOR CORPORATION CIVIN	25-Aug-15	1-Feb-1/	N/A	N/A	N/A	×
Stock	LEIDOS HLDGS INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	LEIDOS HLDGS INC CMN	17-Nov-14	5-Jul-17	N/A	N/A	N/A	×
Stock	LEIDOS HLDGS INC CMN	17-Nov-14	5-Jul-17	N/A	N/A	N/A	×
Stock	LEIDOS HLDGS INC CMN	25-Aug-15	1-Feb-17	N/A	N/A	N/A	×
Stock	LOWES COMPANIES INC CMN	17-Nov-14	1-Feb-17	N/A	N/A	N/A	×
Stock	MARATHON PETROLEUM CORPORATION CMN	7-Sep-16		N/A	N/A	N/A	×
Stock	MARRIOTT INTERNATIONAL, INC CMN CLASS A	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	MARSH & MCLENNAN CO INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	MARTIN MARIETTA MATERIALS,INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	MASTERCARD INCORPORATED CMN CLASS A	6-Apr-15	1-Feb-17	N/A	N/A	N/A	×
Stock	METLIFE, INC. CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	MORGAN STANLEY LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/07/2017	31-Jul-15		N/A	N/A	N/A	×
Stock	MORGAN STANLEY LINKED TO BASKET OF INDICES UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/07/2017	31-Jul-15	7-Jul-17	N/A	N/A	N/A	×
Stock	MORGAN STANLEY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 07/07/2017	31-Jul-15	7-Jul-17	N/A	N/A	N/A	×
Stock	NCR CORPORATION CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	NEXTERA ENERGY, INC. CMN	7-Sep-16	12-Sep-17	N/A	N/A	N/A	×
Stock	NORFOLK SOUTHERN CORP CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	ORACLE CORPORATION CMN	11-Jan-16	6-Jul-17	N/A	N/A	N/A	×
Stock	ORACLE CORPORATION CMN	6-Aug-13	6-Jul-17	N/A	N/A	N/A	×
Stock	PACCAR INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	PARKER-HANNIFIN CORP. CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	PHILIP MORRIS INTL INC CMN	6-Apr-15	1-Feb-17	N/A	N/A	N/A	×
Stock	PRICELINE GROUP INC/THE CMN	7-Sep-16	12-Sep-17	N/A	N/A	N/A	×
Stock	PROLOGIS INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	TIAL FINA	10-Jun-16	12-Sep-17	N/A	N/A	N/A	×
Options		7-Dec-16	17-Mar-17	N/A	N/A	N/A	×
Options		22-Nov-16	17-Feb-17	N/A	N/A	N/A	×
Options		12-Jan-17	23-Mar-17	N/A	N/A	N/A	×
Options	@ 	12-Jan-17	31-Mar-17	N/A	N/A	N/A	×
Options		22-Dec-16	3-Feb-17	N/A	N/A	N/A	×
Options		27-Jan-17	7-Apr-17	N/A	N/A	N/A	×
Options	@	27-Jan-17	12-Apr-17	N/A	N/A	N/A	×
Options		12-Apr-17	21-Jul-17	N/A	N/A	N/A	×
Options		23-Mar-17	13-Jun-17	N/A	N/A	N/A	×
Options		14-Feb-17	12-Apr-17	N/A	N/A	N/A	×
Options	FUL)SYX & 250 EVD 04/21/2011 DILITERX & 2750 EVD 104/21/2011	19-reb-1/	21-Apr-17	N/A	N/A	A/N	× >
Options		7 Apr 17	71-Sul-oc	4/N	V/N	1/N	< >
Options	8) (8	7-Apr-17	71 - Nus. 17	N/A	N/A	N/A	× >
Options		2-INIAR-17	11-IVIdy-17	N/A	N/N	N/A	< >
Options		71 May 17	18-IVIBY-17	N/A	N/N	4/N	< >
Options		29-A119-17	17-Nov-17	A/N	A/N	4/N	< >
Options		6-lul-17	25-Aug-17	V/N	V/N	V/N	< >
Options	<u>ම</u> ල	72-Aug-17	12-0ct-17	V/N	V/N	Z/N	< >
Options		22-Aug-17	ל ויין 12	4/N	Y/N	¥/N	< >
Options		13-Jull-1/	10-Oct-17	Y/N	V/N	۲/۷ ۷/۷	< >
Options	FU 3FA	9-Aug-17	19-Oct-17 Δ-Διια-17	N/A	A/N	N/A	< >
Options		17-Jul-17	4-Aug-17 15-Sep-17	A/N	Z/N	A/N	< ×
Options		3-Aug-17	29-Sep-17	A/N	Z/N	A/N	< ×
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					Gain Re	Gain Received By:	
Item Sold	Description (e.g., real property, stock name)	Date Acquired	Date Sold	Name of Purchaser	Public Employee Spouse	Spouse	Dependents
Options	PUT/SPX @ 2450 EXP 11/03/2017	21-Sep-17	3-Nov-17	N/A	N/A	N/A	×
Options	PUT/SPX	6-0ct-17		N/A	N/A	N/A	×
Options	PUT/SPX @ 2490 EXP 02/16/2018	9-Nov-17	31-Dec-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2490 EXP 12/01/2017	19-Oct-17	21-Nov-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2490 EXP 12/01/2017	19-Oct-17	1-Dec-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2500 EXP 01/19/2018	3-Nov-17	31-Dec-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2500 EXP 11/10/2017	12-0ct-17	9-Nov-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2540 EXP 01/05/2018	1-Dec-17	31-Dec-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2550 EXP 12/22/2017	21-Nov-17	22-Dec-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2550 EXP 12/22/2017	21-Nov-17	20-Dec-17	N/A	N/A	N/A	×
Options	PUT/SPX @ 2610 EXP 02/16/2018	12-Dec-17	31-Dec-17	N/A	N/A	N/A	×
Stock	QUALCOMM INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	QUEST DIAGNOSTICS INCORPORATED CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	REGIONS FINANCIAL CORPORATION CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	REYNOLDS AMERICAN INC CMN	7-Sep-16	25-Jul-17	N/A	N/A	N/A	×
Stock	ROCKWELL AUTOMATION INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	ROSS STORES, INC CMN	12-Sep-14	1-Feb-17	N/A	N/A	N/A	×
Stock	ROSS STORES,INC CMN	6-Aug-13	1-Feb-17	N/A	N/A	N/A	×
Stock	SEATTLE GENETICS, INC. CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	SKYWORKS SOLUTIONS, INC. CMN	10-Jun-16	5-Jul-17	N/A	N/A	N/A	×
Stock	STATE STREET CORPORATION (NEW) CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	STRYKER CORPORATION CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	SUNTRUST BANKS INC CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	SVB FINANCIAL GROUP CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	TE CONNECTIVITY LTD CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	THE BANK OF NY MELLON CORP CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×
Stock	THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 02/06/2017	30-Jan-15	6-Feb-17	N/A	N/A	N/A	×
Stock	THERMO FISHER SCIENTIFIC INC CMN	25-Aug-15	1-Feb-17	N/A	N/A	N/A	×
Stock	TIME WARNER INC. CMN	10-Jun-16	5-Jul-17	N/A	N/A	N/A	×
Stock	TIME WARNER INC. CMN	11-Jan-16	5-Jul-17	N/A	N/A	N/A	×
Stock	U.S. BANCORP CMN	11-Jan-16	5-Jul-17	N/A	N/A	N/A	×
Stock	UNION PACIFIC CORP. CMN	11-Jan-16	1-Feb-17	N/A	N/A	N/A	×