

**FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS****INSTRUCTIONS:**

Public Officers must complete this form in full. Public employees who hold positions in the Executive Branch of State Government are not required to complete this form, but must complete and file form FDS08/1.

The completed form must be forwarded to the State Ethics Commission, PO Box 082, Trenton, NJ 08625-0082. (Hand delivery address: 28 West State Street, Room 1407, Trenton, NJ 08608) The form must contain an original signature and an original notarization unless electronically filed.

Questions should be directed to the Director of the State Ethics Commission, PO Box 082, Trenton, NJ 08625-0082, Telephone (609) 292-1892.

**a. General Information**Filing Year: **2008**Date of Statement: June 9, 2008First Name: **Alain** M.I. **L**Last Name: **Kornhauser****Business Mailing Address**Street Address: E-407 Engineering Quadrangle, Princeton University

P.O. Box: \_\_\_\_\_

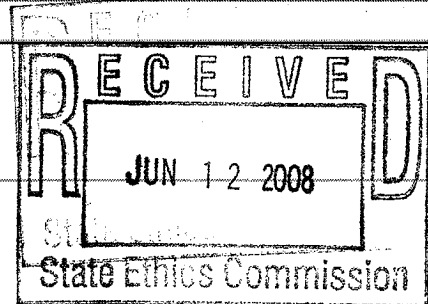
City: Princeton State: NJ Zip Code: 08540Email Address: alaink@princeton.edu

Position Held: \_\_\_\_\_ Member, \_\_\_\_\_

Department or Agency: Commission on Science and TechnologyDaytime Telephone Number: 609-980-1427

Other compensated or uncompensated governmental positions you hold:

none



- b.** Please list below any occupation, trade, business, profession or employment engaged in by you, your spouse, your domestic partner, or your dependent children.

Professor (me), Executive (my wife and I)

Do you hold a license issued by a State agency that entitles you to engage in a particular business, profession, trade or occupation (e.g., law, real estate, engineering, medicine, plumbing)? If yes, please so indicate:

License	License is active	License is inactive
none		

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

Do you have any personal contractual or business relationship with another officer or employee or special State officer or employee of your agency?

☐

Yes

☒

No

If yes, please explain.

### c. State Employment - Relatives

For the purposes of this question, "relative" means your spouse or your or your spouse's parent, child, brother, sister, aunt, uncle, niece, nephew, grandparent, grandchild, son-in-law, daughter-in-law, stepparent, stepchild, stepbrother, stepsister, half brother or half sister, whether the relative is related to you or your spouse by blood, marriage or adoption.

1. Is any relative employed in a State office or position?

☐

Yes

☒

No

2. If yes, name of relative(s) and employing agency.

3. Do you exercise authority, supervision or control (including personnel actions) over the individual(s) named above?

☐

Yes

☒

No

### d. Ethics Training

1. Have you completed ethics training?

☐

Yes

☒

No

2. If yes, indicate nature of training:

☐

in-person

☐

on-line

3. If in-person, training provided by:

☐

agency

☐

State Ethics Commission

4. Date training completed

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## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

**e. Assets**

List all assets, both tangible and intangible, in which you, your spouse, your domestic partner or your dependent children hold an interest as of the date of this statement.

Identify assets with check mark. Do not indicate dollar value. If asset is held jointly, place a check mark in the Public Officer box. The word "joint" should then be noted in the Spouse/Domestic Partner box.

Please fill in each line; indicate not applicable with "N/A" or "None."

ASSETS (Valued at more than \$1,000)	Public Officer:	Spouse/ Domestic Partner:	Dependent Children:
CASH ON HAND IN BANK		joint	
NOTES RECEIVABLE- Indicate Nature of Note on Item h.5 on page 4.			
ACCOUNTS RECEIVABLE - Indicate Nature of Account on Item h.5 on page 4.			
GOVERNMENT BONDS Itemize on Schedule A		joint	
STOCKS & CORPORATE BONDS (Include Mutual Funds) Itemize on Schedule A		joint	
INTEREST IN CONTRACTS WITH GOVERNMENT INSTRUMENTALITIES Itemize on Schedule B			

ASSETS (Valued at more than \$1,000)	Public Officer:	Spouse/ Domestic Partner:	Dependent Children:
REAL ESTATE INTERESTS- Itemize on Schedule C		joint	
LIFE INSURANCE		joint	
PENSION FUND (include IRA, Keogh, Annuities, State Pension, etc.)		joint	
VEHICLES		joint	
OTHER ASSETS (Itemize Below)			
Household furnishings		joint	

- f. Are you, your spouse, your domestic partner or your dependent children a party to a blind trust agreement? If yes, please provide the name and address of the Trustee.

☐ Yes

☒ No

Name: \_\_\_\_\_

Address: \_\_\_\_\_

- g. Do you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity?

☒ Yes

☐ No

If yes, itemize on Schedule D.

**FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS****h. Income**

Public officers, their spouses or their domestic partners, and dependent children must indicate the source of their incomes. Public officers filing before July 1 of any year must provide this information for the preceding calendar year. Public officers filing after July 1 of any year must provide this information for the 12-month period prior to filing. Income from any source totaling less than \$1,000 need not be disclosed. The following gifts need not be reported: (1) cash gifts of less than \$100 in the aggregate received from a person, (2) non-cash gifts with fair market value of less than \$200 in the aggregate received from a person, and (3) gifts of less than \$3,000 in the aggregate received from a relative. Please fill in each line; indicate not applicable with "N/A" or "None."

Indicate sources of income with a check mark. Do not indicate dollar value.

	Public Officer:	Spouse/ Domestic Partner:	Dependent Children:
1. All compensated employment of whatever nature, including current State or other employment. Please list commencement and, if applicable, termination date. Be sure to include the name of the employer(s):			
Princeton University	X		
ALK Technologies, Inc.		X	
ALK Technologies, Inc.		X	
2. All directorships and other fiduciary positions for which compensation has or will be received:			
none			
3. All contractual arrangements producing or expected to produce income, including but not limited to buyout agreements and severance payments:			
none			
4. All capital gains: (Itemize on Schedule E)			
Smith Barney		X	
Smith Barney	X		
5. All honoraria, lecture fees, gifts and other gratuities (cash or non-cash) and other miscellaneous sources of income, including but not limited to Social Security and pensions, interest, dividends, royalties, rents and accounts and notes receivable:			
Interest Smith Barney		X	
Interest Smith Barney	X		
Dividends Smith Barney		X	
Dividends Smith Barney	X		

[illegible]

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

**j. Liabilities:**

List all of your liabilities and those of your spouse, your domestic partner or your dependent children and identify the creditor. List value class of liabilities of public officer and spouse or domestic partner only. Include all liabilities that have been forgiven by any creditor within the last twelve months, stating the name of the creditor to whom the liability was owed. Liabilities include, but are not limited to, notes, accounts payable, past due taxes, mortgages or liens, and loans on life insurance.

The following liabilities need not be reported: less than \$10,000 owed to a relative; less than \$1,000 owed to any other person; loans secured by a personal motor vehicle, household furniture or appliances where the loan did not exceed the purchase price of the item and the outstanding balance did not exceed \$10,000 as of the close of the preceding calendar year; and revolving charge accounts where the outstanding liability did not exceed \$10,000 as of the close of the preceding calendar year. Indicate not applicable with "N/A" or "None."

				Obligation of : (check)		
Description (Type of obligation and nature of security, if any)	Creditor	Value Class	Check if Forgiven in Past 12 Months	Public Officer:	Spouse / Domestic Partner:	Dependent Children:
home mortgage	Princeton University	D		x	x	

## VALUE CLASS

- A = greater than \$1,000 but not more than \$5,000
- B = greater than \$5,000 but not more than \$25,000
- C = greater than \$25,000 but not more than \$50,000
- D = greater than \$50,000 but not more than \$100,000
- E = greater than \$100,000 but not more than \$250,000
- F = greater than \$250,000 but not more than \$500,000
- G = greater than \$500,000

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

## SCHEDULE A - STOCKS AND BONDS HELD

List the type of securities owned by you, your spouse, your domestic partner or your dependent children; list the name of the company, mutual fund, holding company or government agency issuing the stocks and bonds. If your ownership interest exists through a mutual fund or holding company, you need not list the individual stocks so owned; you must, however, list the mutual fund or holding company. If your ownership interest exists through a beneficial interest in a trust, list the stocks and bonds so owned if you have such knowledge; otherwise list the name of the trustee. Do not indicate dollar value.

Indicate not applicable with "N/A" or "None."

Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by:(check)			Percentage Ownership*
		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
stock	ALK Technologies, Inc.	x			30%
stock	ALK Technologies, Inc.		x		60%
Fund	COLUMBIA MID CAP VALUE FUND	X			
Fund	EUROPACIFIC GROWTH FUND CLASS	x			
Fund	FUNDAMENTAL INVESTORS FUND	x			
Fund	GROWTH FUND OF AMERICA CLASS	x			
Fund	INVESTMENT COMPANY OF AMERICA AIVSX	x			
Fund	LORD ABBETT MID-CAP VALUE FUND LAVLX	x			
Fund	LORD ABBETT DEVELOPING GROWTH LAGWX	x			
Fund	OPPENHEIMER SMALL & MID-CAP QSCCX	x			
Fund	OPPENHEIMER SMALL & MID-CAP QSCCX	x			
CD	WASHINGTON MUTUAL BANK - NV	x			
CD	GMAC BANK - UT	x			
CD	FIRSTBANK OF PUERTO RICO - PR	x			
CD	DISCOVER BANK - DE	x			
Fund	EUROPACIFIC GROWTH FUND CLASS AEPGX		X		
Fund	FUNDAMENTAL INVESTORS FUND ANCFX		X		
Fund	GROWTH FUND OF AMERICA CLASS A AGTHX		X		
Fund	INVESTMENT COMPANY OF AMERICA AIVSX		X		

\*Individuals who own more than 10% of the total stock of any company must disclose the percentage of ownership.

## FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

## SCHEDULE C - REAL ESTATE INTERESTS

1. List all of your real estate holdings and those of your spouse or domestic partner and dependent children. New Jersey real estate holdings must include the county, size, and current use of any real property in which any direct, indirect, vested or contingent interest is held by you, your spouse or domestic partner or dependent children, along with the names of all individuals or entities who share a direct or indirect interest therein. Note if real estate interest is outside New Jersey. Indicate not applicable with "N/A" or "None."

County	Size (Acreage or Sq.Ft.)	Individuals or Entities Sharing Interest	Current Use*	Acquisition Date	Held by: (check)		
					Public Employee:	Spouse / Domestic Partner:	Dependent Children:
Mercer	1	none	home, residential	1977	x	x	

\*Specify if commercial, industrial, residential, rental, farm or vacant.

2. Do any of the individuals who are listed above as sharing a real estate interest with the public officer, spouse, domestic partner or dependent children of the public officer work for the same State agency as the public officer?

If so, identify the individuals.

Supervisor / Subordinate Relationship? Yes ☐ No ☒

Name:

3. List the name of any government instrumentality that is a tenant in 1. above and any government instrumentality that has before it an application, complaint or proceeding directly affecting any real property listed above.

County	Government Instrumentality Tenant	Government Instrumentality with Proceeding Pending
none		



**FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS****SCHEDULE D - INTEREST IN CLOSELY HELD CORPORATIONS OR SIMILAR BUSINESS ENTITIES**

If you, your spouse or your domestic partner have any interest in any closely held corporation, partnership, sole proprietorship, or similar business entity, please provide the requested information for each business entity. "Interest" means ANY ownership or control of ANY profits or assets of such business entity. Indicate not applicable with "N/A" or "None."

Interest Held By: ☒ Public Officer ☒ Spouse ☐ Domestic Partner

BUSINESS NAME: ALK Technologies, Inc.

ADDRESS: 1000 Herrontown Road

CITY: Princeton STATE: NJ

General description of business activity of entity:

Software and database developer and sales for the transportation industry

Duties and responsibilities of your State position:

Member of the board of direction

BUSINESS NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_

General description of business activity of entity:

BUSINESS NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_

General description of business activity of entity:

## SCHEDULE E - CAPITAL GAINS

Gain Received By:  
(check)

[illegible]

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Indicate not applicable with "N/A" or "None."

Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by:(check)			Percentage Ownership*
		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Muni Bond	NEW JERSEY ST CTFS PARTN SER A		X		
Muni Bond	SOUTH JERSEY TRANSN AU NJ SYS		x		
Muni Bond	MONMOUTH CNTY N J IMPT AUTH		x		
Muni Bond	NEW JERSEY EDA REV SCH FACS		x		
Muni Bond	MERCER CNTY N J IMPT AUTH REV		x		
Muni Bond	EDISON TWP N J G/O		x		
Muni Bond	NEW JERSEY ST TRANSN TR FD		x		
Muni Bond	NEW JERSEY ECONOMIC DEV AUTH		x		
Muni Bond	NEW JERSEY TSPTN TR FD AU		x		
Muni Bond	NEW JERSEY ST EDL FACS AUTH		x		
Muni Bond	WINSLOW TWP N J BRD ED RFDG		x		
Muni Bond	TRENTON NJ GEN IMP WTR UTIL		x		
Muni Bond	NEW JERSEY ENVIRONMENTAL		x		
Muni Bond	OCEAN CO N J GENL IMP		x		
Muni Bond	MONTCLAIR TWP N J G/O GENL IMP		x		
Muni Bond	WOODBIDGE TWP N J		x		
Muni Bond	UNION CITY N J RFDG-SER A		x		
Muni Bond	NEW JERSEY ST TPK AUTH TPK REV		x		
Muni Bond	COLTS NECK TWP N J BRD ED		x		

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		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Fund	LORD ABBETT RESEARCH SMALL CAP LRSCX		x		
Fund	LORD ABBETT MID-CAP VALUE FUND LAVLX		x		
Fund	LORD ABBETT AFFILIATED FUND LAFFX		x		
Fund	LORD ABBETT AFFILIATED FUND LAFFX		x		
Fund	LORD ABBETT DEVELOPING GROWTH LAGWX		x		
Fund	MFS GLOBAL EQUITY FUND CLASS A MWEFX		x		
Fund	MASSACHUSETTS INVESTORS TRUST MITTX		x		
Muni Bond	NEW JERSEY ECON DV AU RV REF-A		x		
Muni Bond	NEW JERSEY ST TRANSN TR FD		x		
Muni Bond	ATLANTIC CITY N J AMBAC INSD		x		
Muni Bond	NEW JERSEY HCF FIN AU REV RFDG-ST BARNAB		x		
Muni Bond	NEW JERSEY HCF FIN AU REV		x		
Muni Bond	PINE HILL N J SCH DIST		x		
Muni Bond	NEW JERSEY ST HIGHER ED ASST		x		
Muni Bond	NEW JERSEY HLTCRE FACS FING AU		x		
Muni Bond	CAMDEN CNTY N J IMPT AUTH		x		
Muni Bond	NEW JERSEY HLTH CARE FACS FING		x		
Muni Bond	NORTH PLAINFIELD N J BRD ED		x		
Muni Bond	ABSECON CITY N J GEN IMPT G/O U/T FGIC INSD		x		

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		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Muni Bond	LACEY MUN UTILS AUTH N J WTR		x		
Muni Bond	MONTCLAIR TWP N J G/O GENL IMP		x		
Muni Bond	EAST ORANGE N J CAP IMPT		X		
Muni Bond	CAMDEN CNTY N J IMPT AUTH REV CNTY GTD-C		X		
Muni Bond	SOUTH RIVER N J GEN IMPT ELEC		X		
Muni Bond	BRANCBURG TWP N J GENL IMP		X		
CD	CITIBANK NA SOUTH DAKOTA	x			
Fund	ALLIANCEBERNSTEIN SMALL-CAP QUASX	x			
Fund	EUROPACIFIC GROWTH FUND CLASS AEPGX	x			
Fund	FUNDAMENTAL INVESTORS FUND ANCFX	x			
Fund	GROWTH FUND OF AMERICA CLASS A AGTHX	x			
Fund	INVESTMENT COMPANY OF AMERICA AIVSX	x			
Fund	LEGG MASON PARTNERS INVESTORS	x			
Fund	LORD ABBETT RESEARCH SMALL CAP LRSCX	x			
Fund	LORD ABBETT MID-CAP VALUE FUND LAVLX	x			
Fund	LORD ABBETT AFFILIATED FUND LAFFX	x			
Fund	LORD ABBETT DEVELOPING GROWTH LAGWX	x			
Fund	MFS GLOBAL EQUITY FUND CLASS A MWEFX	x			
Fund	MASSACHUSETTS INVESTORS TRUST MITTX	x			

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Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by:(check)			Percentage Ownership*
		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Fund	MASSACHUSETTS INVESTORS TRUST MITTX	x			
Fund	PUTNAM INTERNATIONAL CAPITAL PVNBX	X			
Annuity	METLIFE INS CO OF CONNECTICUT	X			
Muni Bonds	NEW JERSEY ST TRANSN TR FD AUTH TRANSN S	x			
Muni Bonds	ATLANTIC CITY N J AMBAC INSD	x			
Muni Bonds	NEW JERSEY HCF FIN AU REV	x			
Muni Bonds	EVESHAM TWP N J BRD ED	x			
Muni Bonds	PINE HILL N J SCH DIST	x			
Muni Bonds	NEW JERSEY HLTCRE FACS FING AU	x			
Muni Bonds	CAMDEN CNTY N J IMPT AUTH CNTY GTD LSE RE	x			
Muni Bonds	EWING & LAWRENCE N J SEW AUTH	x			
Muni Bonds	NEW JERSEY HLTH CARE FACS FING	x			
Muni Bonds	NEW JERSEY HLTCRE FACS FING AU	x			
Muni Bonds	DEPTFORD TWP N J SCH DIST	x			
Muni Bonds	FLEMINGTON RARITAN N J REGL	x			
Muni Bonds	PASSAIC N J FSA INSD BOOK ENT	x			
Muni Bonds	BLOOMFIELD TWP N J BRD ED	x			
Muni Bonds	NEW JERSEY ECONOMIC DEV AUTH	x			
Muni Bonds	NEW JERSEY HCF FIN AU REV	x			

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		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Muni Bonds	NEW JERSEY ECONOMIC DEV AUTH	x			
Muni Bonds	NEW JERSEY ECONOMIC DEV AUTH	x			
Muni Bonds	NEW JERSEY HLT CRE FACS FIN AU	x			
Muni Bonds	HAMILTON TWP N J GENL IMP	x			
Muni Bonds	CAMDEN CO IMP AU N J CO GTD	x			
Muni Bonds	EDISON TWP N J G/O	x			
Muni Bonds	NEW JERSEY ST TRANSN TR FD	x			
Muni Bonds	NEW JERSEY ECONOMIC DEV AUTH	x			
Muni Bonds	NEW JERSEY ST EDL FACS AUTH	x			
Muni Bonds	LIVINGSTON TWP N J BD OF ED	x			
Muni Bonds	CAMDEN CO IMP AU N J CO GTD	x			
Muni Bonds	GREENWICH TWP N J	x			
Muni Bonds	EAST BRUNSWICK TWP N J BOE SCH	x			
Muni Bonds	EGG HARBOR TWP N J	x			
Muni Bonds	PASSAIC CNTY N J RFDG	x			
Muni Bonds	NEW JERSEY ENVIRONMENTAL	x			
Muni Bonds	PORT AUTH N Y & N J CONS-128TH	x			
Muni Bonds	NEW JERSEY ST VAR PURP	x			
Muni Bonds	RUTGERS STATE UNIV N J	x			

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Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by: (check)			Percentage Ownership*
		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Muni Bonds	NEW JERSEY ST TPK AUTH TPK REV	x			
Muni Bonds	COLTS NECK TWP N J BRD ED	x			
Muni Bonds	HUDSON CNTY N J IMPT AUTH FA	x			
Muni Bonds	MONTCLAIR TWP N J G/O GENL IMP WTR UTIL,PK	x			
Muni Bonds	NEW JERSEY ST EDL FACS AUTH REV RFDG-WIL	x			
Muni Bonds	JERSEY CITY N J G/O QUAL GEN IMP SR A FSA IN	x			
Muni Bonds	MIDDLESEX CNTY N J GEN IMPT-SER A B/E DD 1/	x			
Muni Bonds	BRANCHBURG TWP N J GENL IMP SER A&B MBIA	x			
Stock	ADVANCED MICRO DEVICES INC	x			
Stock	AMERICAN INTL GROUP INC	x			
Stock	BROADVISION INC NEW	x			
Stock	BROCADE COMMUNICATIONS SYSTEMS	x			
Stock	CIRRUS LOGIC INC CRUS	x			
Stock	GENERAL ELECTRIC CO	x			
Stock	INTL BUSINESS MACHINES CORP IBM	x			
Stock	MERCK & CO INC	x			
Stock	MILLENNIUM PHARMACEUTICALS INC	x			
Stock	NAVTEQ CORP NVT	x			
Stock	PALOMAR MEDICAL TECHNOLOGIES PMTI	x			

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		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Stock	J C PENNEY CO INC JCP	x			
Stock	SONY CORP SPON ADR-NEW SNE	x			
Stock	TIBCO SOFTWARE INC TIBX	x			
Stock	TRIQUINT SEMICONDUCTOR INC TQNT	x			
Fund	EUROPACIFIC GROWTH FUND CLASS AEPGX	x			
Fund	FUNDAMENTAL INVESTORS FUND ANCFX	x			
Fund	GROWTH FUND OF AMERICA CLASS A AGTHX	x			
Fund	GROWTH FUND OF AMERICA CLASS A AGTHX	x			
Fund	INVESTMENT COMPANY OF AMERICA AIVSX	x			
Fund	LORD ABBETT RESEARCH SMALL CAP LRSCX	x			
Fund	LORD ABBETT MID-CAP VALUE FUND LAVLX	x			
Fund	LORD ABBETT AFFILIATED FUND LAFFX	x			
Fund	LORD ABBETT DEVELOPING GROWTH LAGWX	x			
Muni Bonds	NEW JERSEY ST EDL FACS AUTH	x			
Muni Bonds	NEW JERSEY ST EDL FACS	x			
Muni Bonds	MIDDLESEX CNTY N J CTFS PARTN	x			
Muni Bonds	NEW JERSEY HLTCRE FACS FING AU	x			
Muni Bonds	NEW JERSEY HCF FING AUTH REV	x			
Muni Bonds	NEW JERSEY ST CTFS PARTN SER A	x			

\*Individuals who own more than 10% of the total stock of any company must disclose the percentage of ownership.

# FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

## SCHEDULE A - STOCKS AND BONDS HELD

List the type of securities owned by you, your spouse, your domestic partner or your dependent children; list the name of the company, mutual fund, holding company or government agency issuing the stocks and bonds. If your ownership interest exists through a mutual fund or holding company, you need not list the individual stocks so owned; you must, however, list the mutual fund or holding company. If your ownership interest exists through a beneficial interest in a trust, list the stocks and bonds so owned if you have such knowledge; otherwise list the name of the trustee. Do not indicate dollar value.

Indicate not applicable with "N/A" or "None."

Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by:(check)			Percentage Ownership*
		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Muni Bonds	PORT AUTH N Y & N J CONS-128TH	x			
Muni Bonds	COLTS NECK TWP N J BRD ED	x			
CD	CITIBANK NA SOUTH DAKOTA	x	x		
Muni Bonds	COLUMBIA MID CAP VALUE FUND	x	x		
Muni Bonds	EUROPACIFIC GROWTH FUND CLASS	x	x		
Muni Bonds	FUNDAMENTAL INVESTORS FUND	x	x		
Muni Bonds	GROWTH FUND OF AMERICA CLASS A	x	x		
Muni Bonds	INVESTMENT COMPANY OF AMERICA	x	x		
Muni Bonds	LORD ABBETT MID-CAP VALUE FUND	x	x		
Muni Bonds	LORD ABBETT MID-CAP VALUE FUND	x	x		
Muni Bonds	LORD ABBETT DEVELOPING GROWTH	x	x		
CD	LASALLE BANK MIDWEST N.A. - MI	x	x		
CD	WASHINGTON MUTUAL BANK - NV	x	x		
CD	LEHMAN BROTHERS BANK, FSB - DE	x	x		
CD	GMAC BANK - UT	x	x		
CD	FIRSTBANK OF PUERTO RICO - PR	x	x		
Muni Bonds	COLUMBIA MID CAP VALUE FUND	x	x		
Muni Bonds	EUROPACIFIC GROWTH FUND CLASS	x	x		
Muni Bonds	FUNDAMENTAL INVESTORS FUND	x	x		

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# FINANCIAL DISCLOSURE STATEMENT FOR PUBLIC OFFICERS

## SCHEDULE A - STOCKS AND BONDS HELD

List the type of securities owned by you, your spouse, your domestic partner or your dependent children; list the name of the company, mutual fund, holding company or government agency issuing the stocks and bonds. If your ownership interest exists through a mutual fund or holding company, you need not list the individual stocks so owned; you must, however, list the mutual fund or holding company. If your ownership interest exists through a beneficial interest in a trust, list the stocks and bonds so owned if you have such knowledge; otherwise list the name of the trustee. Do not indicate dollar value.

Indicate not applicable with "N/A" or "None."

Type of Security (Stock or Bond)	Name of Issuing Company, Mutual Fund, Holding Company or Government Agency	Held by:(check)			Percentage Ownership*
		Public Officer:	Spouse / Domestic Partner:	Dependent Children:	
Muni Bonds	GROWTH FUND OF AMERICA CLASS A AGTHX	x	x		
Muni Bonds	INVESTMENT COMPANY OF AMERICA AIVSX	x	x		
Muni Bonds	LORD ABBETT MID-CAP VALUE FUND LAVLX	x	x		
Muni Bonds	LORD ABBETT MID-CAP VALUE FUND LMCBX	x	x		
Muni Bonds	OPPENHEIMER SMALL & MID-CAP QSCCX	x	x		
CD	LASALLE BANK MIDWEST N.A. - MI	x	x		
CD	WASHINGTON MUTUAL BANK - NV	x	x		
CD	COUNTRYWIDE BANK, FSB - VA	x	x		
CD	GMAC BANK - UT	x	x		
CD	FIRSTBANK OF PUERTO RICO - PR	x	x		
CD	DISCOVER BANK - DE	x	x		

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