

CHRIS CHRISTIE

Governor

KIM GUADAGNO

Lt. Governor

LORI GRIFA

#### FINAL DECISION

## November 29, 2011 Government Records Council Meeting

Jesse Wolosky
Complainant
v.
Town of Boonton (Morris)
Custodian of Record

Complaint No. 2010-207

At the November 29, 2011 public meeting, the Government Records Council ("Council") considered the November 22, 2011 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- 1. The Complainant's request is invalid under OPRA because it fails to specifically name identifiable individual senders and recipients and because the request requires research beyond the scope of a custodian's duties pursuant to MAG Entertainment, LLC v. Division of Alcoholic Beverage Control, 375 N.J. Super. 534 (App. Div. 2005); Bent v. Stafford Police Department, 381 N.J. Super. 30 (App. Div. 2005); New Jersey Builders Association v. New Jersey Council on Affordable Housing, 390 N.J. Super. 166, 180 (App. Div. 2007); Schuler v. Borough of Bloomsbury, GRC Complaint No. 2007-151 (February 2009). See also Elcavage v. West Milford Twp., GRC Complaint Nos. 2009-07 and 2009-08 (March 2010).
- 2. Pursuant to <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423, 432 (App. Div. 2006), the Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. Additionally, pursuant to <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 73-76 (2008), no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved because no relief was ordered by the Council. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee pursuant to <u>N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, *supra*, and <u>Mason</u>, *supra*.

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the



Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 29<sup>th</sup> Day of November 29, 2011

Robin Berg Tabakin, Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Denise Parkinson Vetti, Secretary Government Records Council

**Decision Distribution Date: December 1, 2011** 

## STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

# Findings and Recommendations of the Executive Director November 29, 2011 Council Meeting

Jesse Wolosky<sup>1</sup> Complainant

GRC Complaint No. 2010-207

v.

Town of Boonton (Morris)<sup>2</sup>
Custodian of Records

## **Records Relevant to Complaint:**

A copy of each and every e-mail sent or received by the Municipal Clerk's office to or from each and every other Municipal Clerk in Morris County regarding Jesse Wolosky and/or his OPRA request from June 29, 2010 to July 22, 2010.

Request Made: July 22, 2010 Response Made: August 2, 2010 Custodian: Cynthia Oravits

**GRC Complaint Filed:** August 9, 2010<sup>3</sup>

### **Background**

### June 22, 2010

Complainant's Open Public Records Act ("OPRA") request. The Complainant requests the records relevant to this complaint listed above on an official OPRA request form

### August 2, 2010

Custodian's response to the OPRA request. The Custodian responds in writing to the Complainant's OPRA request on the seventh (7<sup>th</sup>) business day following receipt of such request. The Custodian refers the Complainant to the attached 71 pages of e-mails responsive to the Complainant's request.

## August 9, 2010

Denial of Access Complaint filed with the Government Records Council ("GRC") with the following attachments:

- Complainant's OPRA request dated July 22, 2010
- Letter from the Custodian to the Complainant dated August 2, 2010
- Copies of e-mails responsive to the Complainant's OPRA request
- Copies of e-mails disclosed by Jefferson Township's Custodian<sup>4</sup>

<sup>&</sup>lt;sup>1</sup> Represented by Walter M. Luers, Esq., Law Office of Walter M. Luers (Clinton, NJ).

<sup>&</sup>lt;sup>2</sup> Represented by John H. Dorsey, Esq., Dorsey & Semrau (Boonton, NJ).

<sup>&</sup>lt;sup>3</sup> The GRC received the Denial of Access Complaint on August 10, 2010.

The Complainant's Counsel states that the Complainant has acquired evidence that there are at least nineteen (19) e-mails that were omitted by the Town of Boonton's Custodian. Counsel states that an examination of e-mails disclosed to the Complainant by Jefferson Township in a separate OPRA request reveals that certain e-mails addressed to the Custodian were not included in the Custodian's response to the Complainant's OPRA request in the matter herein.

Counsel alleges that the Complainant has been unlawfully denied access to these nineteen (19) e-mails and that N.J.S.A. 47:1A-5.i. requires a custodian to provide copies of documents that are not archived or in storage within seven (7) business days after receiving such a request. Counsel requests that the GRC order the Custodian to disclose all of the requested e-mails and find that the Complainant is the prevailing party pursuant to N.J.S.A. 47:1A-6 and award him a reasonable attorney's fee.

The Complainant does not agree to mediate this complaint.

### August 27, 2010

Request for the Statement of Information ("SOI") sent to the Custodian.

### August 30, 2010

Letter from the Custodian's Counsel to the Complainant's Counsel. The Custodian's Counsel states that the Custodian believed that she had provided the Complainant with all the e-mails responsive to his OPRA request. Counsel asserts that the Custodian was later advised that e-mails which were deleted and placed in a spam folder can be recovered under certain conditions. Counsel states that the Custodian conducted an additional search and recovered nineteen (19) additional e-mails. Counsel states that the Custodian's omission was inadvertent and unintended. Counsel states that he has also enclosed an index of the omitted e-mails and the e-mails in question.<sup>5</sup>

Counsel states that he understand that the Custodian's Counsel may wish to be paid legal fees for the work he has done and states that the municipality is willing to negotiate a reasonable attorney's fee if applicable.

### August 31, 2010

Custodian's SOI with the following attachments:

- Complainant's OPRA request dated July 22, 2010
- Custodian's response to the OPRA request dated August 2 (with attachments)
- Custodian Counsel's letter to the Complainant's Counsel dated August 30, 2010

<sup>&</sup>lt;sup>4</sup> The Complainant's Counsel states the attached e-mails are "contemporaneous" with the requested e-mails. The attached e-mails consist of over one hundred (100) e-mails obtained in prior OPRA complaints as evidence that the Custodian of the Boonton may be in possession of the e-mails requested in the instant request but has submitted fewer than all of the responsive e-mails that exist.

<sup>&</sup>lt;sup>5</sup> Such index and e-mails were not attached to the copy of the letter received by the GRC. Jesse Wolosky v. Town of Boonton (Morris), 2010-207 – Findings and Recommendations of the Executive Director

The Custodian certifies that access to the e-mails was not denied. The Custodian certifies that no previous accounting was conducted to determine how many e-mails were received, but pursuant to the Complainant's request a search was conducted that consisted of the Custodian searching through her Microsoft Outlook "Inbox." The Custodian certifies that some e-mails may have been automatically deleted as trivial e-mails that were not work related. The Custodian certifies that per the State of New Jersey's Circular Letter 03-10:ST: Managing Electronic Mail Guidelines, the designation of e-mails as spam and personal correspondence limits their retention period.

The Custodian also certifies that all of the e-mails sent regarding this OPRA request consist of only informal chatter between herself and the financial department. The Custodian certifies that these responses were automatically deposited into the "Spam" folder in the Microsoft Outlook e-mail program that was not reviewed in the course of regular business.

### **Analysis**

### Whether the Complainant's request is valid under OPRA?

OPRA provides that:

"...government records shall be readily accessible for inspection, copying, or examination by the citizens of this State, with certain exceptions..." (Emphasis added.) N.J.S.A. 47:1A-1.

Additionally, OPRA defines a government record as:

"... any paper, written or printed book, document, drawing, map, plan, photograph, microfilm, data processed or image processed document, information stored or maintained electronically or by sound-recording or in a similar device, or any copy thereof, that has been *made*, *maintained or kept on file* ... or *that has been received* in the course of his or its official business ..." (Emphasis added.) N.J.S.A. 47:1A-1.1.

OPRA places the onus on the Custodian to prove that a denial of access is lawful. Specifically, OPRA states:

"...[t]he public agency shall have the burden of proving that the denial of access is authorized by law..." N.J.S.A. 47:1A-6.

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. N.J.S.A. 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." N.J.S.A. 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to N.J.S.A. 47:1A-6.

<sup>&</sup>lt;sup>6</sup> The Custodian failed to certify to the extent of her search and did not complete the table accompanying Item 9 on the Statement of Information form.

In the instant complaint, of issue is whether the Complainant's request for a "copy of each and every e-mail sent or received by the Municipal Clerk's office to or from each and every other Municipal Clerk in Morris County regarding Jesse Wolosky and/or his OPRA request from June 29, 2010 through July 22, 2010" is a valid request under OPRA. Here, the Complainant's request is invalid because it requires the Custodian to perform research to locate and identify responsive records.

The New Jersey Superior Court has held that "[w]hile OPRA provides an alternative means of access to government documents not otherwise exempted from its reach, it is not intended as a research tool litigants may use to force government officials to identify and siphon useful information. Rather, OPRA simply operates to make identifiable government records 'readily accessible for inspection, copying, or examination.' N.J.S.A. 47:1A-1." (Emphasis added.) MAG Entertainment, LLC v. Division of Alcoholic Beverage Control, 375 N.J. Super. 534, 546 (App. Div. 2005). As the court noted in invalidating MAG's request under OPRA:

"Most significantly, the request failed to identify with any specificity or particularity the governmental records sought. MAG provided neither names nor any identifiers other than a broad generic description of a brand or type of case prosecuted by the agency in the past. Such an open-ended demand required the Division's records custodian to manually search through all of the agency's files, analyze, compile and collate the information contained therein, and identify for MAG the cases relative to its selective enforcement defense in the OAL litigation. Further, once the cases were identified, the records custodian would then be required to evaluate, sort out, and determine the documents to be produced and those otherwise exempted." *Id.* at 549.

The Court further held that "[u]nder OPRA, agencies are required to disclose only 'identifiable' government records not otherwise exempt ... In short, OPRA does not countenance open-ended searches of an agency's files." (Emphasis added.) *Id.* 

Further, in <u>Bent v. Stafford Police Department</u>, 381 <u>N.J. Super</u>. 30, 37 (App. Div. 2005),<sup>7</sup> the Superior Court references <u>MAG</u> in that the Court held that a requestor must specifically describe the document sought because OPRA operates to make identifiable government records "accessible." "As such, a proper request under OPRA must identify with reasonable clarity those documents that are desired, and a party cannot satisfy this requirement by simply requesting all of an agency's documents."

Additionally, in <u>New Jersey Builders Association v. New Jersey Council on Affordable Housing</u>, 390 <u>N.J. Super.</u> 166, 180 (App. Div. 2007), the court enumerated the responsibilities of a custodian and a requestor as follows:

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<sup>&</sup>lt;sup>7</sup> Affirmed on appeal regarding <u>Bent v. Stafford Police Department</u>, GRC Case No. 2004-78 (October 2004).

<sup>&</sup>lt;sup>8</sup> As stated in <u>Bent</u>, *supra*.

"OPRA identifies the responsibilities of the requestor and the agency relevant to the prompt access the law is designed to provide. The custodian, who is the person designated by the director of the agency, N.J.S.A. 47:1A-1.1, must adopt forms for requests, locate and redact documents, isolate exempt documents, assess fees and means of production, identify requests that require "extraordinary expenditure of time and effort" and warrant assessment of a "service charge," and, when unable to comply with a request, "indicate the specific basis." N.J.S.A. 47:1A-5(a)-(j). The requestor must pay the costs of reproduction and submit the request with information that is essential to permit the custodian to comply with its obligations. N.J.S.A. 47:1A-5(f), (g), (i). Research is not among the custodian's responsibilities." (Emphasis added), NJ Builders, 390 N.J. Super. at 177.

Moreover, the court cited <u>MAG</u> by stating that "...when a request is 'complex' because it fails to specifically identify the documents sought, then that request is not 'encompassed' by OPRA..." The court also quoted <u>N.J.S.A.</u> 47:1A-5.g in that "[i]f a request for access to a government record would substantially disrupt agency operations, the custodian may deny access to the record after attempting to reach a reasonable solution with the requestor that accommodates the interests of the requestor and the agency." The court further stated that "...the Legislature would not expect or want courts to require more persuasive proof of the substantiality of a disruption to agency operations than the agency's need to...generate new records..."

Furthermore, in <u>Schuler v. Borough of Bloomsbury</u>, GRC Complaint No. 2007-151 (February 2009), the Council held that "[b]ecause the Complainant's OPRA requests # 2-5 are not requests for identifiable government records, the requests are invalid and the Custodian has not unlawfully denied access to the requested records pursuant to <u>MAG Entertainment</u>, <u>LLC v. Division of Alcoholic Beverage Control</u>, 375 <u>N.J. Super.</u> 534 (App. Div. 2005) and <u>Bent v. Stafford Police Department</u>, 381 <u>N.J. Super.</u> 30 (App. Div. 2005)."

The test under <u>MAG</u> then, is whether a requested record is a *specifically identifiable* government record. If so, the record is disclosable, barring any exemptions to disclosure contained in OPRA. The GRC established the criteria deemed necessary to specifically identify an e-mail communication in <u>Sandoval v. NJ State Parole Board</u>, GRC Complaint No. 2006-167 (October 2008). In <u>Sandoval</u>, the Complainant requested "e-mail…between [two individuals] from April 1, 2005 through June 23, 2006 [using seventeen (17) different keywords]." The Custodian denied the request, claiming that it was overly broad. The Council determined:

"The Complainant in the complaint now before the GRC requested specific e-mails *by recipient, by date range and by content*. Based on that information, the Custodian has identified [numerous] e-mails which fit the specific recipient and date range criteria Complainant requested." (Emphasis added.) *Id*.

In <u>Elcavage v. West Milford Twp.</u>, GRC Complaint Nos. 2009-07 and 2009-08 (March 2010), the Council examined what constitutes a valid request for e-mails under OPRA. The Council determined that:

"In accord with <u>MAG</u>, supra, and its progeny, in order to specifically identify an e-mail, OPRA requests <u>must</u> contain (1) the content and/or subject of the e-mail, (2) the specific date or range of dates during which the e-mail was transmitted or the e-mails were transmitted, and (3) a valid e-mail request must identify the sender and/or the recipient thereof." (Emphasis in original). Id.

In the instant matter, although the Complainant's request provided a specific range of dates in which the requested e-mails were transmitted, the request failed to name a specific identifiable sender and recipient: the request seeks e-mails from and to a specific class of employee (specifically, Morris County Municipal Clerks) and not individually identified senders and recipients. See Elcavage, supra. In order for the Custodian to respond to this request, the Custodian would be required to evaluate all emails and letters in her possession which contained the particular key words sought by the Complainant in order to determine whether the named recipients of such communications were Morris County Municipal Clerks. Such a request is not feasible, as Morris County itself has thirty-nine (39) municipalities and no specific employees were named in the Complainant's request. Pursuant to Elcavage and MAG, it is not the Custodian's duty to discern which e-mails in her possession have been received by or sent to a Morris County Municipal Clerk. A search for the individual employees' names and related e-mail addresses would constitute research that is not the statutory duty of a Such a request is not in accordance with the requirements of MAG. Accordingly, the Complainant's request is invalid under OPRA.

Therefore, the Complainant's request is invalid under OPRA because it fails to specifically name identifiable individual senders and recipients and because the request requires research beyond the scope of a custodian's duties pursuant to MAG Entertainment, LLC v. Division of Alcoholic Beverage Control, 375 N.J. Super. 534 (App. Div. 2005); Bent v. Stafford Police Department, 381 N.J. Super. 30 (App. Div. 2005); New Jersey Builders Association v. New Jersey Council on Affordable Housing, 390 N.J. Super. 166, 180 (App. Div. 2007); Schuler v. Borough of Bloomsbury, GRC Complaint No. 2007-151 (February 2009). See also Elcavage v. West Milford Twp., GRC Complaint Nos. 2009-07 and 2009-08 (March 2010).

Whether the Complainant is a "prevailing party" pursuant to <u>N.J.S.A.</u> 47:1A-6 and entitled to reasonable attorney's fees when the Complainant is an attorney?

OPRA provides that:

"[a] person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the custodian's decision by filing an action in Superior Court...; or

in lieu of filing an action in Superior Court, file a complaint with the Government Records Council...

A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." N.J.S.A. 47:1A-6.

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423 (App. Div. 2006), the court held that a complainant is a "prevailing party" if he/she achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. Additionally, the court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. *Id.* 

In Teeters, the complainant appealed from a final decision of the Government Records Council which denied an award for attorney's fees incurred in seeking access to certain public records via two complaints she filed under the Open Public Records Act (OPRA), N.J.S.A. 47:1A-6 and N.J.S.A. 47:1A-7.f., against the Division of Youth and Family Services ("DYFS"). The records sought involved an adoption agency having falsely advertised that it was licensed in New Jersey. DYFS eventually determined that the adoption agency violated the licensing rules and reported the results of its investigation to the complainant. The complainant received the records she requested upon entering into a settlement with DYFS. The court found that the complainant engaged in reasonable efforts to pursue her access rights to the records in question and sought attorney assistance only after her self-filed complaints and personal efforts were unavailing. Id. at 432. With that assistance, she achieved a favorable result that reflected an alteration of position and behavior on DYFS's part. Id. As a result, the complainant was a prevailing party entitled to an award of a reasonable attorney's fee. Accordingly, the Court remanded the determination of reasonable attorney's fees to the GRC for adjudication.

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008), the court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct." Mason, supra, at 71, (quoting Buckhannon Board & Care Home v. West Virginia Department of Health & Human Resources, 532 U.S. 598, 131 S. Ct. 1835, 149 L. Ed. 2d 855 (2001)). In Buckhannon, the Supreme Court stated that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." (quoting Black's Law Dictionary 1145 (7<sup>th</sup> ed. 1999). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties." *Id.* at 605, 121 S. Ct. at 1840, 149 L. Ed. 2d at 863, but also over concern that the catalyst theory would spawn extra litigation over attorney's fees. *Id.* at 609, 121 S. Ct. at 1843, 149 L. Ed. 2d at 866.

As the New Jersey Supreme Court noted in <u>Mason</u>, <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 N.J. at 72, citing

<u>Teeters</u>, <u>supra</u>, 387 <u>N.J.</u> Super. at 429; <u>see</u>, <u>e.g.</u>, <u>Baer v. Klagholz</u>, 346 <u>N.J. Super</u>. 79 (App. Div. 2001) (applying <u>Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 <u>N.J.</u> at 73 (citations omitted).

The <u>Mason</u> Court then examined the catalyst theory within the context of New Jersey law, stating that:

"New Jersey law has long recognized the catalyst theory. In 1984, this Court considered the term "prevailing party" within the meaning of the federal Civil Rights Attorney's Fees Awards Act of 1976, 42 <u>U.S.C.A.</u> § 1988. <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, <u>cert. denied</u>, <u>New Jersey v. Singer</u>, 469 <u>U.S.</u> 832, 105 <u>S. Ct.</u> 121, 83 <u>L. Ed.</u> 2d 64 (1984). The Court adopted a two-part test espousing the catalyst theory, consistent with federal law at the time: (1) there must be "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved;" in other words, plaintiff's efforts must be a "necessary and important factor in obtaining the relief," Id. at 494-95, 472 A.2d 138 (internal quotations and citations omitted); and (2) "it must be shown that the relief ultimately secured by plaintiffs had a basis in law," Id. at 495. <u>See also North Bergen Rex Transport v. TLC</u>, 158 <u>N.J.</u> 561, 570-71 (1999) (applying <u>Singer</u> feeshifting test to commercial contract).

Also prior to Buckhannon, the Appellate Division applied the catalyst doctrine in the context of the Law Against Discrimination, N.J.S.A. 10:5-1 to -49, and the Americans with Disabilities Act, 42 U.S.C.A. §§ 12101-12213. Warrington v. Vill. Supermarket, Inc., 328 N.J. Super. 410 (App. Div. 2000). The Appellate Division explained that "[a] plaintiff is considered a prevailing party 'when actual relief on the merits of [the] claim materially alters the relationship between the parties by modifying the defendant's behavior in a way that directly benefits the plaintiff." *Id.* at 420 (quoting Farrar v. Hobby, 506 U.S. 103, 111-12, 113 S. Ct. 566, 573, 121 L. Ed. 2d 494, 503 (1992)); see also Szczepanski v. Newcomb Med. Ctr., 141 N.J. 346, 355 (1995) (noting that Hensley v. Eckerhart "generously" defines "a prevailing party [a]s one who succeeds 'on any significant issue in litigation [that] achieves some of the benefit the parties sought in bringing suit" (quoting Hensley v. Eckerhart, 461 U.S. 424, 433, 103 S. Ct. 1933, 1938, 76 L. Ed. 2d 40, 50 (1983))). The panel noted that the "form of the judgment is not entitled to conclusive weight"; rather, courts must look to whether a plaintiff's lawsuit acted as a catalyst that prompted defendant to take action and correct an unlawful practice. Warrington, supra, 328 N.J. Super. at 421. A settlement that confers the relief sought may still entitle plaintiff to attorney's fees in fee-shifting matters. Id. at 422.

This Court affirmed the catalyst theory again in 2001 when it applied the test to an attorney misconduct matter. <u>Packard-Bamberger</u>, <u>supra</u>, 167 <u>N.J.</u>

at 444. In an OPRA matter several years later, New Jerseyans for a Death Penalty Moratorium v. New Jersey Department of Corrections, 185 N.J. 137, 143-44 (2005)(NJDPM), this Court directed the Department of Corrections to disclose records beyond those it had produced voluntarily. In ordering attorney's fees, the Court acknowledged the rationale underlying various fee-shifting statutes: to insure that plaintiffs are able to find lawyers to represent them; to attract competent counsel to seek redress of statutory rights; and to "even the fight" when citizens challenge a public entity. *Id.* at 153.

After <u>Buckhannon</u>, and after the trial court's decision in this case, the Appellate Division decided <u>Teeters</u>. The plaintiff in <u>Teeters</u> requested records from the Division of Youth and Family Services (DYFS), which DYFS declined to release. 387 <u>N.J. Super</u>. at 424. After the GRC preliminarily found in plaintiff's favor, the parties reached a settlement agreement leaving open whether plaintiff was a "prevailing party" under OPRA. *Id.* at 426-27.

The Appellate Division declined to follow <u>Buckhannon</u> and held that plaintiff was a "prevailing party" entitled to reasonable attorney's fees; in line with the catalyst theory, plaintiff's complaint brought about an alteration in DYFS's position, and she received a favorable result through the settlement reached. *Id.* at 431-34. In rejecting Buckhannon, the panel noted that "New Jersey statutes have a different tone and flavor" than federal fee-shifting laws. *Id.* at 430. "Both the language of our statutes and the terms of court decisions in this State dealing with the issue of counsel fee entitlements support a more indulgent view of petitioner's claim for an attorney's fee award than was allowed by the majority in <u>Buckhannon</u> . . . . " *Id.* at 431, 904 A.2d 747. As support for this proposition, the panel surveyed OPRA, <u>Packard-Bamberger</u>, <u>Warrington</u>, and other cases.

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." N.J.S.A. 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$ 500.00." N.J.S.A. 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA." Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 73-76 (2008).(Footnotes omitted).

The court in <u>Mason</u>, *supra*, at 76, held that "requestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) 'a factual causal nexus between plaintiff's litigation and the relief

ultimately achieved'; and (2) 'that the relief ultimately secured by plaintiffs had a basis in law.' <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, <u>cert denied</u> (1984)."

In Mason, the plaintiff submitted an OPRA request on February 9, 2004. Hoboken responded on February 20, eight business days later, or one day beyond the statutory limit. *Id.* at 79. As a result, the Court shifted the burden to Hoboken to prove that the plaintiff's lawsuit, filed on March 4, was not the catalyst behind the City's voluntary disclosure. *Id.* Because Hoboken's February 20 response included a copy of a memo dated February 19 -- the seventh business day -- which advised that one of the requested records should be available on February 27 and the other one week later, the Court determined that the plaintiff's lawsuit was not the catalyst for the release of the records and found that she was not entitled to an award of prevailing party attorney fees. *Id.* at 80.

In the instant matter, as in <u>Mason</u>, the Complainant's Denial of Access Complaint was not the catalyst for the release of the requested records, because the Complainant's request is invalid under OPRA as it fails to specify identifiable individual senders and recipients and requires the Custodian to perform research beyond the scope of a custodian's duties pursuant to <u>MAG Entertainment</u>, <u>LLC v. Division of Alcoholic Beverage Control</u>, 375 N.J. Super. 534 (App. Div. 2005); <u>Bent v. Stafford Police Department</u>, 381 N.J. Super. 30 (App. Div. 2005); <u>New Jersey Builders Association v. New Jersey Council on Affordable Housing</u>, 390 N.J. Super. 166, 180 (App. Div. 2007); <u>Schuler v. Borough of Bloomsbury</u>, GRC Complaint No. 2007-151 (February 2009). *See also* <u>Elcavage v. West Milford Twp.</u>, GRC Complaint Nos. 2009-07 and 2009-08 (March 2010).

Thus, pursuant to <u>Teeters</u>, *supra*, the Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. Additionally, pursuant to <u>Mason</u>, *supra*, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved because no relief was ordered by the Council. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee pursuant to <u>N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, *supra*, and <u>Mason</u>, *supra*.

### **Conclusions and Recommendations**

The Executive Director respectfully recommends the Council find that:

1. The Complainant's request is invalid under OPRA because it fails to specifically name identifiable individual senders and recipients and because the request requires research beyond the scope of a custodian's duties pursuant to MAG Entertainment, LLC v. Division of Alcoholic Beverage Control, 375 N.J. Super. 534 (App. Div. 2005); Bent v. Stafford Police Department, 381 N.J. Super. 30 (App. Div. 2005); New Jersey Builders Association v. New Jersey Council on Affordable Housing, 390 N.J. Super. 166, 180 (App. Div. 2007); Schuler v. Borough of Bloomsbury, GRC Complaint No. 2007-151

(February 2009). *See also* Elcavage v. West Milford Twp., GRC Complaint Nos. 2009-07 and 2009-08 (March 2010).

2. Pursuant to <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423, 432 (App. Div. 2006), the Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. Additionally, pursuant to <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 73-76 (2008), no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved because no relief was ordered by the Council. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee pursuant to <u>N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, *supra*, and <u>Mason</u>, *supra*.

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November 22, 2011