

State of New Jersey Department of Community Affairs

101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

FINAL DECISION

August 27, 2019 Government Records Council Meeting

Shawn G. Hopkins Complainant v. Borough of Spring Lake (Monmouth) Custodian of Record

PHILIP D. MURPHY

Governor

Complaint No. 2014-50

At the August 27, 2019 public meeting, the Government Records Council ("Council") considered the August 20, 2019 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that the Council should dismiss the complaint because the parties have agreed to a prevailing party fee amount, thereby negating the need for Complainant's Counsel to submit a fee application in accordance with N.J.A.C. 5:105-2.13. Therefore, no further adjudication is required.

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 27th Day of August 2019

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: August 29, 2019



STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Prevailing Party Attorney's Fees Supplemental Findings and Recommendations of the Executive Director August 27, 2019 Council Meeting

Shawn G. Hopkins¹ Complainant GRC Complaint No. 2014-50

v.

Borough of Spring Lake (Monmouth)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of the computer assisted mass appraisal ("CAMA") data for the Borough of Spring Lake ("Borough") including property photographs.

Custodian of Record: Jane L. Gillespie³ **Request Received by Custodian:** January 7, 2014 **Response Made by Custodian:** January 23, 2014 **GRC Complaint Received:** January 23, 2014

Background

June 25, 2019 Council Meeting:

At its June 25, 2019 public meeting, the Council considered the June 18, 2019 Supplemental Findings and Recommendations of the Council Staff and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that:

- 1. The current Custodian complied with the Council's April 30, 2019 Interim Order. Specifically, the current Custodian disclosed responsive CAMA data within the prescribed time frame and disclosed responsive photographs within the extended time frame. Additionally, the current Custodian simultaneously provided certified confirmation of compliance to the Council Staff at the time of each disclosure.
- 2. The Custodian's failure to timely respond resulted in a "deemed" denial of access. <u>N.J.S.A.</u> 47:1A-5(g); <u>N.J.S.A.</u> 47:1A-5(i). Further, the Custodian unlawfully denied access to the subject OPRA request on the basis that same was invalid. Also, the Custodian unlawfully denied access to both the responsive CAMA data and property

¹ Represented by Richard Gutman, Esq. (Montclair, NJ).

² Represented by Joseph J. Colao, Jr., Esq., of Lindabury, McCormick, Estabrook & Cooper, P.C. (Red Bank, NJ).

³ Ms. Gillespie retired during the pendency of this complaint. The current Custodian of Record is Dina M. Zahorsky. Shawn G. Hopkins v. Borough of Spring Lake (Monmouth), 2014-50 – Supplemental Findings and Recommendations of the Executive 1 Director

photographs. <u>N.J.S.A.</u> 47:1A-6. However, the current Custodian timely complied with the Council's April 30, 2019 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violations of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions did not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

3. Pursuant to the Council's April 30, 2019 Interim Order, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008). Specifically, the current Custodian disclosed responsive CAMA data and property photographs to the Complainant in accordance with the Council's Order. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. 432, and Mason, 196 N.J. 51. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13.

Procedural History:

On June 27, 2019, the Council distributed its Interim Order to all parties. On July 23, 2019, the Complainant's Counsel confirmed via e-mail, which was copied to Custodian's Counsel, that the fee issue was amicably resolved.

Analysis

Prevailing Party Attorney's Fees

At its June 25, 2019 meeting, the Council determined that the Complainant was a prevailing party entitled to an award of reasonable attorney's fees. The Council thus ordered that the "parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days." The Council further ordered that the parties notify of any settlement prior to the expiration of the twenty (20) business day time frame. Finally, the Council ordered that, should the parties not reach an agreement, the Complainant's Counsel would be required to "submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13."

On June 27, 2019, the Council distributed its Interim Order to all parties; thus, the Custodian's response was due by close of business on July 26, 2019. On July 23, 2019, Complainant's Counsel e-mailed the GRC, copying Custodian's Counsel, that the parties came to an agreement on the fee issue.

Shawn G. Hopkins v. Borough of Spring Lake (Monmouth), 2014-50 – Supplemental Findings and Recommendations of the Executive 2 Director

Accordingly, the Council should dismiss the complaint because the parties have agreed to a prevailing party fee amount, thereby negating the need for Complainant's Counsel to submit a fee application in accordance with <u>N.J.A.C.</u> 5:105-2.13. Therefore, no further adjudication is required.

Conclusions and Recommendations

The Executive Director respectfully recommends that the Council should dismiss the complaint because the parties have agreed to a prevailing party fee amount, thereby negating the need for Complainant's Counsel to submit a fee application in accordance with <u>N.J.A.C.</u> 5:105-2.13. Therefore, no further adjudication is required.

Prepared By: Frank F. Caruso Executive Director

August 20, 2019



State of New Jersey Department of Community Affairs

101 SOUTH BROAD STREET PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

Complaint No. 2014-50

INTERIM ORDER

June 25, 2019 Government Records Council Meeting

Shawn G. Hopkins Complainant v. Borough of Spring Lake (Monmouth) Custodian of Record

At the June 25, 2019 public meeting, the Government Records Council ("Council") considered the June 18, 2019 Supplemental Findings and Recommendations of the Council Staff and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- 1. The current Custodian complied with the Council's April 30, 2019 Interim Order. Specifically, the current Custodian disclosed responsive CAMA data within the prescribed time frame and disclosed responsive photographs within the extended time frame. Additionally, the current Custodian simultaneously provided certified confirmation of compliance to the Council Staff at the time of each disclosure.
- 2. The Custodian's failure to timely respond resulted in a "deemed" denial of access. <u>N.J.S.A.</u> 47:1A-5(g); <u>N.J.S.A.</u> 47:1A-5(i). Further, the Custodian unlawfully denied access to the subject OPRA request on the basis that same was invalid. Also, the Custodian unlawfully denied access to both the responsive CAMA data and property photographs. <u>N.J.S.A.</u> 47:1A-6. However, the current Custodian timely complied with the Council's April 30, 2019 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violations of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions did not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.
- 3. Pursuant to the Council's April 30, 2019 Interim Order, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason v.</u> <u>City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51 (2008). Specifically, the current Custodian disclosed responsive CAMA data and property photographs to the Complainant in accordance with the Council's Order. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>,



PHILIP D. MURPHY Governor 387 <u>N.J. Super.</u> 432, and <u>Mason</u>, 196 <u>N.J.</u> 51. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13.

Interim Order Rendered by the Government Records Council On The 25th Day of June 2019

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: June 27, 2019

STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Supplemental Findings and Recommendations of the Council Staff June 25, 2019 Council Meeting

Shawn G. Hopkins¹ Complainant GRC Complaint No. 2014-50

v.

Borough of Spring Lake (Monmouth)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of the computer assisted mass appraisal ("CAMA") data for the Borough of Spring Lake ("Borough") including property photographs.

Custodian of Record: Jane L. Gillespie³ **Request Received by Custodian:** January 7, 2014 **Response Made by Custodian:** January 23, 2014 **GRC Complaint Received:** January 23, 2014

Background

April 30, 2019 Council Meeting:

At its April 30, 2019 public meeting, the Council considered the April 23, 2019 Findings and Recommendations of the Council Staff and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that:

- The Custodian did not bear her burden of proof that he timely responded to the Complainant's OPRA request. <u>N.J.S.A.</u> 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated seven (7) business days results in a "deemed" denial of the Complainant's OPRA request pursuant to <u>N.J.S.A.</u> 47:1A-5(g), <u>N.J.S.A.</u> 47:1A-5(i), and <u>Kelley v.</u> <u>Twp. of Rockaway</u>, GRC Complaint No. 2007-11 (Interim Order October 31, 2007).
- 2. The Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data on the basis that same was invalid. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Custodian was required to query a database and extract the responsive data: such an

Shawn G. Hopkins v. Borough of Spring Lake (Monmouth), 2014-50 – Supplemental Findings and Recommendations of the Council Staff

¹ Represented by Richard Gutman, Esq. (Montclair, NJ).

² Represented by Joseph J. Colao, Jr., Esq., of Lindabury, McCormick, Estabrook & Cooper, P.C. (Red Bank, NJ).

³ Ms. Gillespie retired during the pendency of this complaint. The current Custodian of Record is Dina M. Zahorsky.

action does not amount to creating a new record. <u>Zahler v. Ocean Cnty. Coll.</u>, GRC Complaint No. 2013-266 (Interim Order dated July 29, 2014). For this reason, the Complainant's OPRA request seeking CAMA data is valid. <u>See also Paff v. Twp. of Galloway</u>, 229 <u>N.J.</u> 340 (2017); <u>McBride v. City of Camden (Camden)</u>, GRC Complaint No. 2014-54 (Interim Order dated September 30, 2014). Further, the portion of the OPRA request seeking photographs reasonably identified a type of responsive record associated with the CAMA data. Such an action would not require an open-ended search of all Borough files.

- 3. The Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Administrative Law Judge's Final Decision supports that the Custodian was required to disclose the responsive CAMA data. <u>Hopkins v. Monmouth Cnty. Bd. of Taxation, *et al*, GRC Complaint No. 2014-01 *et seq.* (June 2018). Thus, the Custodian must disclose the CAMA data available at the time that the Complainant submitted his OPRA request.</u>
- 4. The Custodian may have unlawfully denied access to any responsive property photographs. <u>N.J.S.A.</u> 47:1A-6. Specifically, it is unclear whether any photographs, exempt or otherwise, actually exist. Thus, the Custodian must either disclose the responsive photographs to the Complainant (identifying if any were withheld and the specific lawful basis for denial) or certify that no records exist, if applicable.
- 5. The Custodian shall comply with conclusion Nos. 3 and 4 above within five (5) business days from receipt of the Council's Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, and simultaneously deliver⁴ certified confirmation of compliance, in accordance with <u>N.J. Court Rule R.</u> 1:4-4,⁵ to the Council Staff.⁶
- 6. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.
- 7. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

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⁴ The certified confirmation of compliance, including supporting documentation, may be sent overnight mail, regular mail, e-mail, facsimile, or be hand-delivered, at the discretion of the Custodian, as long as the GRC physically receives it by the deadline.

⁵ "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment."

⁶ Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been *made available* to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of <u>N.J.S.A.</u> 47:1A-5.

Procedural History:

On May 2, 2019, the Council distributed its Interim Order to all parties. On May 9, 2019, the current Custodian responded to the Council's Interim Order. Therein, the current Custodian certified that the Custodian initially involved in this complaint retired during its pendency. The current Custodian affirmed that Complainant's Counsel provided the Borough instructions on how to extract the responsive CAMA data. The current Custodian further certified that Counsel asked her to contact the Complainant for information on obtaining responsive photographs, which she did and was awaiting a response. On the same day, the GRC extended the time frame through May 16, 2019 to comply with the photograph portion of the Council's Order.

On May 16, 2019, the current Custodian e-mailed the GRC advising that she acquired responsive photographs from the County of Monmouth that afternoon and sought an additional extension to provide them to the Complainant. The GRC granted said request through May 23, 2019, noting that no further extensions would be allowed unless under extraordinary circumstances. On May 21, 2019, the GRC received the current Custodian's supplemental response to the Interim Order. Therein, the current Custodian certified that she provided responsive photographs on a USB drive to the Complainant via U.S mail.

<u>Analysis</u>

Compliance

At its April 30, 2019 meeting, the Council ordered the Custodian to disclose the responsive CAMA data available at the time of the Complainant's OPRA request. Further, the Council ordered the Custodian to disclose responsive property photographs or certify if none existed. Finally, the Council ordered the Custodian to submit certified confirmation of compliance, in accordance with N.J. Court Rule R. 1:4-4, to the Council Staff. On May 2, 2019, the Council distributed its Interim Order to all parties, providing the Custodian five (5) business days to comply with the terms of said Order. Thus, the Custodian's response was due by close of business on May 9, 2019.

On May 9, 2019, the fifth (5th) business day after receipt of the Council's Order, the current Custodian sent the Complainant all responsive CAMA data via e-mail and advised that the Borough was attempting to obtain responsive photographs. Following two (2) extensions of time, the current Custodian provided the Complainant responsive photographs on a USB drive. Thus, the evidence of record supports that the current Custodian timely complied with the Council's Order.

Therefore, the current Custodian complied with the Council's April 30, 2019 Interim Order. Specifically, the current Custodian disclosed responsive CAMA data within the prescribed time frame and disclosed responsive photographs within the extended time frame. Additionally, the current Custodian simultaneously provided certified confirmation of compliance to the Council Staff at the time of each disclosure.

Knowing & Willful

OPRA states that "[a] public official, officer, employee or custodian who knowingly or willfully violates [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, shall be subject to a civil penalty . . ." <u>N.J.S.A.</u> 47:1A-11(a). OPRA allows the Council to determine a knowing and willful violation of the law and unreasonable denial of access under the totality of the circumstances. Specifically OPRA states ". . . [i]f the council determines, by a majority vote of its members, that a custodian has knowingly and willfully violated [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, the council may impose the penalties provided for in [OPRA] . . ." <u>N.J.S.A.</u> 47:1A-7(e).

Certain legal standards must be considered when making the determination of whether the Custodian's actions rise to the level of a "knowing and willful" violation of OPRA. The following statements must be true for a determination that the Custodian "knowingly and willfully" violated OPRA: the Custodian's actions must have been much more than negligent conduct (<u>Alston v. City of Camden</u>, 168 <u>N.J.</u> 170, 185 (2001)); the Custodian must have had some knowledge that his actions were wrongful (<u>Fielder v. Stonack</u>, 141 <u>N.J.</u> 101, 124 (1995)); the Custodian's actions must have had a positive element of conscious wrongdoing (<u>Berg v. Reaction Motors Div.</u>, 37 <u>N.J.</u> 396, 414 (1962)); the Custodian's actions must have been forbidden with actual, not imputed, knowledge that the actions were forbidden (<u>id.</u>; <u>Marley v. Borough of Palmyra</u>, 193 <u>N.J. Super</u>. 271, 294-95 (Law Div. 1993)); the Custodian's actions must have been intentional and deliberate, with knowledge of their wrongfulness, and not merely negligent, heedless or unintentional (<u>ECES v. Salmon</u>, 295 <u>N.J. Super</u>. 86, 107 (App. Div. 1996)).

In the matter before the Council, the Custodian's failure to timely respond resulted in a "deemed" denial of access. <u>N.J.S.A.</u> 47:1A-5(g); <u>N.J.S.A.</u> 47:1A-5(i). Further, the Custodian unlawfully denied access to the subject OPRA request on the basis that same was invalid. Also, the Custodian unlawfully denied access to both the responsive CAMA data and property photographs. <u>N.J.S.A.</u> 47:1A-6. However, the current Custodian timely complied with the Council's April 30, 2019 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violations of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions did not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

Prevailing Party Attorney's Fees

OPRA provides that:

A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the custodian's decision by filing an action in Superior Court...; or in lieu of filing an action in Superior Court, file a complaint with the Government Records Council ... A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

[<u>N.J.S.A.</u> 47:1A-6.]

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423 (App. Div. 2006), the Court held that a complainant is a "prevailing party" if he achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. <u>Id.</u> at 432. Additionally, the Court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. <u>Id.</u>

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51 (2008), the Supreme Court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct." <u>Mason</u>, 196 <u>N.J.</u> at 71, (<u>quoting Buckhannon Bd. & Care Home v. West Virginia Dep't of Health & Human Res.</u>, 532 <u>U.S.</u> 598, 131 <u>S. Ct.</u> 1835, 149 <u>L. Ed.</u> 2d 855 (2001)). In <u>Buckhannon</u>, the Supreme Court stated that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." (quoting <u>Black's Law Dictionary</u> 1145 (7th ed. 1999)). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties . . ." <u>Id.</u> at 605, 121 <u>S. Ct.</u> at 1840, 149 <u>L. Ed.</u> 2d at 863. Further, the Supreme Court expressed concern that the catalyst theory would spawn extra litigation over attorney's fees. <u>Id.</u> at 609, 121 <u>S. Ct.</u> at 1843, 149 <u>L. Ed.</u> 2d at 866.

However, the Court noted in <u>Mason</u>, that <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 <u>N.J.</u> at 72, <u>citing Teeters</u>, 387 <u>N.J. Super</u>. at 429; <u>see</u>, *e.g.*, <u>Baer v. Klagholz</u>, 346 <u>N.J. Super</u>. 79 (App. Div. 2001) (<u>applying Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 <u>N.J.</u> at 73 (citations omitted).

The <u>Mason</u> Court accepted the application of the catalyst theory within the context of OPRA, stating that:

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." <u>N.J.S.A.</u> 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$500.00." <u>N.J.S.A.</u> 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.

[<u>Mason</u> at 73-76 (2008).]

The Court in <u>Mason</u>, further held that:

[R]equestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved"; and (2) "that the relief ultimately secured by plaintiffs had a basis in law." <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, *cert denied* (1984).

[<u>Id.</u> at 76.]

The Complainant filed the instant complaint arguing that the Custodian unlawfully denied access to the responsive CAMA data and property photographs. The Custodian subsequently denied the request, through Custodian Counsel's firm, as invalid because it failed to identify a specific record. In the Statement of Information, the Custodian argued that no records existed in the format requested. In its April 30, 2019 Interim Order, the Council disagreed and ordered disclosure of the requested CAMA data and property photographs (if in existence). On May 9 and 21, 2019, the current Custodian disclosed responsive CAMA data and property photographs to the Complainant. Thus, the evidence of record supports that the Complainant is a prevailing party entitled to an award of attorney's fees.

Therefore, pursuant to the Council's April 30, 2019 Interim Order, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters</u>, 387 <u>N.J. Super</u>. 432. Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason</u>, 196 <u>N.J.</u> 51. Specifically, the current Custodian disclosed responsive CAMA data and property photographs to the Complainant in accordance with the Council's Order. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super</u>. 432, and <u>Mason</u>, 196 <u>N.J.</u> 51. **Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13.**

Conclusions and Recommendations

The Council Staff respectfully recommends the Council find that:

- 1. The current Custodian complied with the Council's April 30, 2019 Interim Order. Specifically, the current Custodian disclosed responsive CAMA data within the prescribed time frame and disclosed responsive photographs within the extended time frame. Additionally, the current Custodian simultaneously provided certified confirmation of compliance to the Council Staff at the time of each disclosure.
- 2. The Custodian's failure to timely respond resulted in a "deemed" denial of access. <u>N.J.S.A.</u> 47:1A-5(g); <u>N.J.S.A.</u> 47:1A-5(i). Further, the Custodian unlawfully denied

access to the subject OPRA request on the basis that same was invalid. Also, the Custodian unlawfully denied access to both the responsive CAMA data and property photographs. <u>N.J.S.A.</u> 47:1A-6. However, the current Custodian timely complied with the Council's April 30, 2019 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violations of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions did not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

3. Pursuant to the Council's April 30, 2019 Interim Order, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." Teeters v. DYFS, 387 N.J. Super. 423 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51 (2008). Specifically, the current Custodian disclosed responsive CAMA data and property photographs to the Complainant in accordance with the Council's Order. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. 432, and Mason, 196 N.J. 51. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13.

Prepared By: Frank F. Caruso Acting Executive Director

June 18, 2019



State of New Jersey Department of Community Affairs

> 101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

Complaint No. 2014-50

INTERIM ORDER

April 30, 2019 Government Records Council Meeting

Shawn G. Hopkins Complainant v. Borough of Spring Lake (Monmouth) Custodian of Record

At the April 30, 2019 public meeting, the Government Records Council ("Council") considered the April 23, 2019 Findings and Recommendations of the Council Staff and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- The Custodian did not bear her burden of proof that he timely responded to the Complainant's OPRA request. <u>N.J.S.A.</u> 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated seven (7) business days results in a "deemed" denial of the Complainant's OPRA request pursuant to <u>N.J.S.A.</u> 47:1A-5(g), <u>N.J.S.A.</u> 47:1A-5(i), and <u>Kelley v.</u> <u>Twp. of Rockaway</u>, GRC Complaint No. 2007-11 (Interim Order October 31, 2007).
- 2. The Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data on the basis that same was invalid. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Custodian was required to query a database and extract the responsive data: such an action does not amount to creating a new record. <u>Zahler v. Ocean Cnty. Coll.</u>, GRC Complaint No. 2013-266 (Interim Order dated July 29, 2014). For this reason, the Complainant's OPRA request seeking CAMA data is valid. <u>See also Paff v. Twp. of Galloway</u>, 229 <u>N.J.</u> 340 (2017); <u>McBride v. City of Camden (Camden)</u>, GRC Complaint No. 2014-54 (Interim Order dated September 30, 2014). Further, the portion of the OPRA request seeking photographs reasonably identified a type of responsive record associated with the CAMA data. Such an action would not require an openended search of all Borough files.
- 3. The Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Administrative Law Judge's Final Decision supports that the Custodian was required to disclose the responsive CAMA data. <u>Hopkins v. Monmouth Cnty. Bd. of Taxation, *et al*</u>, GRC Complaint No. 2014-01 *et seq*. (June 2018). Thus, the Custodian must disclose the CAMA data available at the time that the Complainant submitted his OPRA request.



PHILIP D. MURPHY Governor

- 4. The Custodian may have unlawfully denied access to any responsive property photographs. <u>N.J.S.A.</u> 47:1A-6. Specifically, it is unclear whether any photographs, exempt or otherwise, actually exist. Thus, the Custodian must either disclose the responsive photographs to the Complainant (identifying if any were withheld and the specific lawful basis for denial) or certify that no records exist, if applicable.
- 5. The Custodian shall comply with conclusion Nos. 3 and 4 above within five (5) business days from receipt of the Council's Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, and simultaneously deliver¹ certified confirmation of compliance, in accordance with <u>N.J. Court Rule R.</u> 1:4-4,² to the Council Staff.³
- 6. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.
- 7. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Interim Order Rendered by the Government Records Council On The 30th Day of April 2019

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: May 2, 2019

¹ The certified confirmation of compliance, including supporting documentation, may be sent overnight mail, regular mail, e-mail, facsimile, or be hand-delivered, at the discretion of the Custodian, as long as the GRC physically receives it by the deadline.

² "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment."

³ Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been *made available* to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of N.J.S.A. 47:1A-5.

STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Findings and Recommendations of the Council Staff April 30, 2019 Council Meeting

Shawn G. Hopkins¹ Complainant GRC Complaint No. 2014-50

v.

Borough of Spring Lake (Monmouth)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of the computer assisted mass appraisal ("CAMA") data for the Borough of Spring Lake ("Borough") including property photographs.

Custodian of Record: Jane L. Gillespie Request Received by Custodian: January 7, 2014 Response Made by Custodian: January 23, 2014 GRC Complaint Received: January 23, 2014

Background³

Request and Response:

On January 2, 2014, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records.

Denial of Access Complaint:

On January 23, 2014, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant stated that he previously requested CAMA data from the County of Monmouth ("County") on December 18, 2013.⁴ The Complainant stated that the County advised him to request the data individually from each municipality.

The Complainant argued that the requested CAMA data has been stored in a database that has been paid for and maintained by the County since 1996. The Complainant asserted that the

Shawn G. Hopkins v. Borough of Spring Lake (Monmouth), 2014-50 - Findings and Recommendations of the Council Staff

¹ Represented by Richard Gutman, Esq. (Montclair, NJ).

² Represented by Joseph J. Colao, Jr., Esq., of Lindabury, McCormick, Estabrook & Cooper, P.C. (Red Bank, NJ).

³ The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Council Staff the submissions necessary and relevant for the adjudication of this complaint.

⁴ This request was the subject of <u>Hopkins v. Monmouth Cnty. Bd. of Taxation, *et al*, GRC Complaint No. 2014-01 *et seq.* (June 2018).</u>

software program utilized for the data helps maintain and calculate assessments. The Complainant asserted his belief that the Borough unlawfully denied access to the requested data because:

- Six (6) municipalities in Monmouth County, Morris County, and Sussex County, as well as all 24 municipalities in Gloucester County, disclosed CAMA data to him. All municipalities utilize Microsystems-NJ.com, L.L.C. ("Microsystems") as their MODIV/CAMA vendor.
- The software program is funded, maintained, and operated by the County under a 1996 shared services agreement.
- The County accesses various information from the database.
- <u>S-2234</u>, entitled "Monmouth Assessment Demonstration Program," requires⁵ all municipalities within the County to utilize the MODIV/CAMA program and there is a retention schedule for property record cards ("PRC").
- Revaluation contracts require firms to deliver PRCs to the municipality, which utilize them to make the data files.
- The Tax Assessor's handbook refers to permanent PRCs and information that should be contained within an assessor's files.

Supplemental Response:

On January 23, 2014, the eleventh (11th) business day after receipt of the OPRA request, the Custodian purportedly responded to the Complainant seeking additional time to consult with the Borough's Tax Assessor and Counsel.⁶

On February 26, 2014, Blake C. Width from Custodian Counsel's firm responded to the Complainant in writing on behalf of the Custodian denying the Complainant's OPRA request as invalid. See MAG Entm't, LLC v. Div. of ABC, 375 N.J. Super. 534, 546 (App. Div. 2005); Bent v. Stafford Police Dep't, 381 N.J. Super. 30, 37 (App. Div. 2005); N.J. Builders Assoc. v. N.J. Council on Affordable Hous., 390 N.J. Super. 166, 180 (App. Div. 2007); Asarnow v. Dep't of Labor & Workforce Dev., GRC Complaint No. 2006-24 (May 2006); Elcavage v. West Milford Twp. (Passaic), GRC Complaint No. 2009-07 (April 2010). Mr. Width stated that the Complainant's request appeared to require the Custodian to create a new record: the Custodian was not obligated to perform such an action. Further, Mr. Width noted that the Complainant's request lacked a specific time frame or properties the Custodian could utilize to locate records or photographs. Moreover, Mr. Width stated that the Council's decision in Baum v. Twp. of Rockaway (Morris), GRC Complaint No. 2012-291 (July 2013) supported that the Complainant's request was invalid.

⁵ On January 10, 2011, the Senate passed <u>S-2234 (Sca) 1R</u> by a vote of 39-0. On that same date, the bill was received in the Assembly and referred to the Assembly Housing and Local Government Committee. Neither <u>S-2234</u> nor its Assembly counterpart, <u>A-3227</u>, saw any further action in the Assembly during the 2010-2011 legislative session. The Complainant might instead be referring to <u>S-1213</u>, which Governor Christie signed into law as <u>L.</u> 2013, <u>c.</u> 15, on January 25, 2013.

 $[\]frac{6}{6}$ There is no evidence in the record to indicate whether the Custodian's response was in writing. Additionally, there is no evidence to indicate whether the Custodian provided the Complainant with a date certain on which she would officially respond to the Complainant.

Shawn G. Hopkins v. Borough of Spring Lake (Monmouth), 2014-50 - Findings and Recommendations of the Council Staff

Finally, Mr. Width advised the Complainant that he could clarify his request by narrowing its scope to include more specific dates, types of records and/or the substance of said records.

Statement of Information:

On February 26, 2014, the Custodian filed a Statement of Information ("SOI"). The Custodian certified that she received the Complainant's OPRA request on January 7, 2014. The Custodian certified that she responded on January 23, 2014.

The Custodian contended that no records responsive to the request existed in the format sought by the Complainant.

Additional Submissions:

On July 24, 2014, the Complainant's Counsel submitted a letter brief disputing the Township's position. Counsel first asserted that the Custodian's failure to respond within seven (7) business days was unlawful. <u>N.J.S.A.</u> 47:1A-5(i).

Counsel next stated that in <u>Burnett v. Cnty. of Gloucester</u>, 415 <u>N.J. Super</u>. 506, 511-12 (App. Div. 2010), the Appellate Division held that a custodian was not required to perform research, compile information or create a new file. Counsel argued that the facts here are contrary to <u>Burnett</u> because the Complainant specifically identified the data file sought. Counsel noted that the Complainant provided the Custodian with specific instructions to locate the compressed data folder.

Further, Counsel contended that the Borough maintains CAMA data through the Monmouth Assessment Demonstration program based on a shared services agreement with the County. Counsel contended that, when applying <u>Michalak v. Borough of Helmetta (Middlesex)</u>, GRC Complaint No. 2010-220 (Interim Order dated January 31, 2012), the Custodian was obligated to obtain and disclose the responsive information. <u>See also Burnett</u>, 415 <u>N.J. Super.</u> at 511-12.

Counsel also argued that the Complainant's request for photographs was specific and valid. Counsel argued that <u>Burnett</u> supported that the Complainant did not need to seek photographs for specific properties; he clearly sought all photographs for every property in the Township. Further, Counsel argued that the Custodian could easily locate photographs with a reasonable amount of effort. <u>See Burke v. Brandes</u>, 429 <u>N.J. Super.</u> 169, 176-77 (App. Div. 2012).

On July 8, 2016, the Complainant's Counsel requested that the GRC proceed with the adjudication of this complaint because, in <u>Hopkins v. Monmouth Cnty. Bd. of Taxation, *et al*, GRC Complaint No. 2014-01 *et seq.*, Microsystems waived its claim of confidentiality. Further, Counsel noted that Microsystems agreed to provide responsive CAMA data for all municipalities in the County.</u>

<u>Analysis</u>

Timeliness

OPRA mandates that a custodian must either grant or deny access to requested records within seven (7) business days from receipt of said request. N.J.S.A. 47:1A-5(i). A custodian's failure to respond within the required seven (7) business days results in a "deemed" denial. Id. Further, a custodian's response, either granting or denying access, must be in writing pursuant to N.J.S.A. 47:1A-5(g).⁷ Thus, a custodian's failure to respond in writing to a complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated seven (7) business days results in a "deemed" denial of the complainant's OPRA request pursuant to N.J.S.A. 47:1A-5(g), N.J.S.A. 47:1A-5(i), and Kelley v. Twp. of Rockaway, GRC Complaint No. 2007-11 (Interim Order October 31, 2007).

In the instant complaint, the Complainant contended that the Custodian failed to respond to his OPRA request. In the SOI, the Custodian certified that she received the subject OPRA request on January 7, 2014 but did not respond until January 23, 2014. Eleven (11) business days is beyond the statutorily mandated time frame; thus, the Complainant's OPRA request was "deemed" denied.

Therefore, the Custodian did not bear her burden of proof that he timely responded to the Complainant's OPRA request. <u>N.J.S.A.</u> 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated seven (7) business days results in a "deemed" denial of the Complainant's OPRA request pursuant to <u>N.J.S.A.</u> 47:1A-5(g), <u>N.J.S.A.</u> 47:1A-5(i), and <u>Kelley</u>, GRC 2007-11.

Validity of Request

The New Jersey Appellate Division has held that:

While OPRA provides an alternative means of access to government documents not otherwise exempted from its reach, *it is not intended as a research tool litigants may use to force government officials to identify and siphon useful information. Rather, OPRA simply operates to make identifiable government records "readily accessible for inspection, copying, or examination." N.J.S.A. 47:1A-1.*

[MAG, 375 N.J. Super. at 546 (emphasis added).]

The Court reasoned that:

⁷ A custodian's written response either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated seven (7) business days, even if said response is not on the agency's official OPRA request form, is a valid response pursuant to OPRA.

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Most significantly, the request failed to identify with any specificity or particularity the governmental records sought. *MAG provided neither names nor any identifiers other than a broad generic description of a brand or type of case prosecuted by the agency in the past.* Such an open-ended demand required the Division's records custodian to manually search through all of the agency's files, analyze, compile and collate the information contained therein, and identify for MAG the cases relative to its selective enforcement defense in the OAL litigation. Further, once the cases were identified, the records custodian would then be required to evaluate, sort out, and determine the documents to be produced and those otherwise exempted.

[Id. at 549 (emphasis added).]

The Court further held that "[u]nder OPRA, *agencies are required to disclose only 'identifiable' government records* not otherwise exempt In short, OPRA does not countenance openended searches of an agency's files." <u>Id.</u> at 549 (emphasis added). <u>Bent</u>, 381 <u>N.J. Super</u>. at 37;⁸ <u>N.J. Builders</u>, 390 <u>N.J. Super</u>. at 180; <u>Schuler v. Borough of Bloomsbury</u>, GRC Complaint No. 2007-151 (February 2009).

Most recently, the Supreme Court addressed a custodian's obligation to coalesce information stored electronically into a single record. In <u>Paff v. Twp. of Galloway</u>, 229 <u>N.J.</u> 340 (2017), the Court accepted plaintiff's appeal from the Appellate Division's decision that the defendant municipality was not required to coalesce basic information into an e-mail log and disclose same. The Appellate Court reached its conclusion by determining that such an action was akin to creating a record, which OPRA did not require (notwithstanding that the e-mail log would have taken a few key strokes to create). The Supreme Court reversed and remanded, holding that basic e-mail information stored electronically is a "government record" under OPRA, unless an exemption applies to that information. The Court reasoned that:

A document is nothing more than a compilation of information -- discrete facts and data. By OPRA's language, information in electronic form, even if part of a larger document, is itself a government record. Thus, electronically stored information extracted from an email is not the creation of a new record or new information; it is a government record.

. . . .

With respect to electronically stored information by a municipality or other public entity, we reject the Appellate Division's statement that "OPRA only allows requests for records, not requests for information." <u>Paff</u>, 444 <u>N.J. Super.</u> at 503, (<u>quoting Bent</u>, 381 <u>N.J. Super.</u> at 37). That position cannot be squared with OPRA's plain language or its objectives in dealing with electronically stored information.

[<u>Id.</u> at 353, 356.]

⁸ Affirming <u>Bent v. Stafford Police Dep't</u>, GRC Case No. 2004-78 (October 2004).

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In <u>Fang v. Dep't of Transp.</u>, GRC Complaint No. 2006-93 (May 2007), the complainant sought disciplinary action records and specified the particular information that the records might contain. The custodian certified that no records existed that contained a compilation of the information specified by the complainant in the request. The Council, relying upon the Court's decision in <u>MAG</u>, 375 <u>N.J. Super.</u> 534, held that "[b]ecause OPRA does not require custodians to research files to discern which records may be responsive to a request or compile records which do not otherwise exist, the Custodian has met his burden of proof that access to these records was not unlawfully denied pursuant to <u>N.J.S.A.</u> 47:1A-6. <u>See [MAG]</u>." <u>Id.</u> at 11.

Conversely, in Zahler v. Ocean Cnty. Coll., GRC Complaint No. 2013-266 (Interim Order dated July 29, 2014), the Council addressed the custodian's argument that she was not required to create a record in order to satisfy an OPRA request for database information pursuant to Morgano v. Essex Cnty. Prosecutor's Office, GRC Complaint No. 2007-156 (Interim Order dated February 27, 2008). Therein, the complainant sought access to a list of adjuncts to include certain information. The custodian produced a list that did not include all information sought; however, the evidence of record indicated that she could have produced a fully responsive record. Specifically, evidence existed to support that all information the complainant sought existed within a few different databases.

The Council first noted that the definition of a "government record" included "information stored or maintained electronically." <u>N.J.S.A.</u> 47:1A-1.1. The Council then distinguished the facts of <u>Morgano</u> and held that the custodian unlawfully denied access to the responsive list containing all elements identified in the subject OPRA request. The Council reasoned that:

The <u>Morgano</u> decision refers to compiling certain disclosable information from a paper record and listing or creating another paper record responsive to a request. However, in terms of certain electronic filing systems, *general querying of information cannot be viewed as equal to creating a new paper record*. While information stored electronically may include additional pieces of information/fields, many programs have the capability to extract requested information/fields for disclosure . . . Further, querying electronic file systems for responsive information is not unlike searching an e-mail account for e-mails responsive to an OPRA request.

[Id. at 12 (emphasis added).]

In this matter, Mr. Width responded on February 26, 2014 denying the request as invalid. <u>MAG</u>, 375 <u>N.J. Super.</u> at 546; <u>Baum</u>, GRC 2012-291. In the SOI, the Custodian included Mr. Width's arguments in support of her denial of access. In a July 24, 2014 letter brief, Complainant's Counsel refuted the Borough's position, stating that the Custodian was merely required to retrieve a file from a database and gather all photographs that existed at the time of the request. <u>Burke</u>, 429 <u>N.J. Super.</u> 169.

The threshold issue before the Council is whether the Complainant's request, including any responsive property photographs that may exist, was invalid because it failed to identify a specific record and would have required the Borough to create a new record.

The Court's decision in <u>Paff</u>, 229 <u>N.J.</u> 340, decided during the pendency of this complaint, is binding here because the court determined that, barring a clear exemption, electronically stored information is a government record. In determining whether the Complainant's request seeking CAMA data was invalid, the Council distinguishes the instant complaint from <u>Fang</u>. Specifically, the requests at issue there sought general records inclusive of certain personnel information. However, the complaint here more closely fits with <u>Zahler</u>, GRC 2013-266, notwithstanding that it was decided during the pendency of the instant complaint. Specifically, the Complainant identified a specific type of record, CAMA data, which was accessible from a database by utilizing a few simple commands. The GRC notes that the Complainant included instructions that the Custodian could utilize to extract the responsive compressed file from the database. As was the case in <u>Zahler</u>, the Custodian was not required to create a record; rather, she was required to extract the CAMA data from a database. A similar type of compilation was also contemplated in <u>Paff</u>. See also <u>McBride v. City of Camden</u> (<u>Camden</u>), GRC Complaint No. 2014-54 (Interim Order dated September 30, 2014).

Regarding the portion of the request seeking photographs, the Custodian argued in the SOI that it was similarly invalid as a blanket request. In his July 24, 2014 letter brief, Complainant's Counsel argued that the portion of the request seeking photographs was specific.

The GRC is not persuaded by the Borough's position: the request identifies a type of record (photographs) associated with the CAMA data sought. Thus, it is reasonable to believe that those photographs submitted to correspond with the data at that time, if any, were responsive to request. The GRC does not find that the portion of the request seeking photographs would require an "open-ended" search of every agency record.

The GRC also notes that neither portion of the Complainant's OPRA request is comparable to those in <u>Baum</u>, GRC 2012-291. The relevant items that the Council found to be invalid there sought explanations and valuation methods. The subject OPRA request here sought actual data and photographs, not explanations on them.

Accordingly, the Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data on the basis that same was invalid. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Custodian was required to query a database and extract the responsive data: such an action does not amount to creating a new record. <u>Zahler</u>, GRC 2013-266. For this reason, the Complainant's OPRA request seeking CAMA data is valid. <u>See also Paff</u>, 229 <u>N.J.</u> 340; <u>McBride</u>, GRC 2014-54. Further, the portion of the OPRA request seeking photographs reasonably identified a type of responsive record associated with the CAMA data. Such an action would not require an open-ended search of all Borough files.

Unlawful Denial of Access

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. <u>N.J.S.A.</u> 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." <u>N.J.S.A.</u> 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to <u>N.J.S.A.</u> 47:1A-6.

CAMA data

Pursuant to <u>N.J.A.C.</u> 1:1-15.2(a) and (b), official notice may be taken of judicially noticeable facts (as explained in <u>N.J.R.E.</u> 201 of the New Jersey Rules of Evidence) and generally recognized technical or scientific facts within the specialized knowledge of the agency or the judge. <u>See Sanders v. Div. of Motor Vehicles</u>, 131 <u>N.J. Super.</u> 95 (App. Div. 1974). The Council's decision here must take into account Administrative Law Judge ("ALJ") Kimberly A. Moss' Final Decision in <u>Hopkins</u>, GRC 2014-01 *et seq*, because the ALJ held on whether CAMA data is a "government record" subject to access under OPRA.⁹ Therein, the ALJ found that "CAMA data are government records that are used in the ordinary course of business and none of the exceptions in <u>N.J.S.A.</u> 47:1A-1.1 apply in this matter." <u>Id.</u> at 18.

Here, <u>Hopkins</u> supports a finding in this complaint that the responsive CAMA data is disclosable under OPRA. Specifically, the ALJ deemed the responsive CAMA data a "government record" not otherwise exempt under OPRA. The GRC finds the ALJ's reasoning in <u>Hopkins</u>, as instructive here as a similar set of facts exists

Accordingly, the Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data. <u>N.J.S.A.</u> 47:1A-6. Specifically, the ALJ's Final Decision supports that the Custodian was required to disclose the responsive CAMA data. <u>Hopkins</u>, GRC 2014-01, *et seq.* Thus, the Custodian must disclose the CAMA data available at the time that the Complainant submitted his OPRA request.

Property Photographs

Regarding the property photographs, the Custodian did not identify whether any records existed either in her initial response or in the SOI. Based on this, it is currently unclear whether any responsive photographs exist. Thus, it is possible that the Custodian unlawfully denied access to copies of any responsive photographs.

Accordingly, the Custodian may have unlawfully denied access to any responsive property photographs. <u>N.J.S.A.</u> 47:1A-6. Specifically, it is unclear whether any photographs, exempt or otherwise, actually exist. Thus, the Custodian must either disclose the responsive photographs to the Complainant (identifying if any were withheld and the specific lawful basis for denial) or certify that no records exist, if applicable.

⁹ The ALJ's Initial Decision became final by operation of law on April 4, 2016.

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Knowing & Willful

The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.

Prevailing Party Attorney's Fees

The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Conclusions and Recommendations

The Council Staff respectfully recommends the Council find that:

- 1. The Custodian did not bear her burden of proof that he timely responded to the Complainant's OPRA request. <u>N.J.S.A.</u> 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated seven (7) business days results in a "deemed" denial of the Complainant's OPRA request pursuant to <u>N.J.S.A.</u> 47:1A-5(g), <u>N.J.S.A.</u> 47:1A-5(i), and <u>Kelley v. Twp. of Rockaway</u>, GRC Complaint No. 2007-11 (Interim Order October 31, 2007).
- 2. The Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data on the basis that same was invalid. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Custodian was required to query a database and extract the responsive data: such an action does not amount to creating a new record. <u>Zahler v. Ocean Cnty. Coll.</u>, GRC Complaint No. 2013-266 (Interim Order dated July 29, 2014). For this reason, the Complainant's OPRA request seeking CAMA data is valid. <u>See also Paff v. Twp. of Galloway</u>, 229 <u>N.J.</u> 340 (2017); <u>McBride v. City of Camden (Camden)</u>, GRC Complaint No. 2014-54 (Interim Order dated September 30, 2014). Further, the portion of the OPRA request seeking photographs reasonably identified a type of responsive record associated with the CAMA data. Such an action would not require an open-ended search of all Borough files.
- 3. The Custodian unlawfully denied access to the Complainant's OPRA request seeking CAMA data. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Administrative Law Judge's Final Decision supports that the Custodian was required to disclose the responsive CAMA data. <u>Hopkins v. Monmouth Cnty. Bd. of Taxation, *et al*, GRC Complaint No. 2014-01 *et seq.* (June 2018). Thus, the Custodian must disclose the CAMA data available at the time that the Complainant submitted his OPRA request.</u>
- 4. The Custodian may have unlawfully denied access to any responsive property photographs. <u>N.J.S.A.</u> 47:1A-6. Specifically, it is unclear whether any photographs, exempt or otherwise, actually exist. Thus, the Custodian must either disclose the

responsive photographs to the Complainant (identifying if any were withheld and the specific lawful basis for denial) or certify that no records exist, if applicable.

- 5. The Custodian shall comply with conclusion Nos. 3 and 4 above within five (5) business days from receipt of the Council's Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, and simultaneously deliver¹⁰ certified confirmation of compliance, in accordance with <u>N.J. Court Rule R.</u> 1:4-4,¹¹ to the Council Staff.¹²
- 6. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.
- 7. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Prepared By: Frank F. Caruso Acting Executive Director

April 23, 2019

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¹⁰ The certified confirmation of compliance, including supporting documentation, may be sent overnight mail, regular mail, e-mail, facsimile, or be hand-delivered, at the discretion of the Custodian, as long as the GRC physically receives it by the deadline.

¹¹ "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment."

¹² Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been *made available* to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of N.J.S.A. 47:1A-5.