

State of New Jersey

DEPARTMENT OF COMMUNITY AFFAIRS 101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

FINAL DECISION

January 25, 2022 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American Data & Research Institute & Baffi Simmons) Complainant v. Audubon Park Borough (Camden) Custodian of Record

PHILIP D. MURPHY

Governor

Complaint No. 2018-290

At the January 25, 2022 public meeting, the Government Records Council ("Council") considered the January 18, 2022, Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that the Council dismiss this complaint because the parties have agreed to a prevailing party fee amount, thereby negating the need for Complainant's Counsel to submit a fee application in accordance with N.J.A.C. 5:105-2.13. Therefore, no further adjudication is required.

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 25th Day of January 2022

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: January 27, 2022



STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Prevailing Party Attorney's Fees Supplemental Findings and Recommendations of the Executive Director January 25, 2022 Council Meeting

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons)¹ Complainant

GRC Complaint No. 2018-290

v.

Audubon Park Borough (Camden)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of:

- 1. Driving While Intoxicated/Driving under the Influence ("DWI/DUI") complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 2. Drug possession complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 3. Police Department's "Arrest Listings" from January 2017 to present.
- 4. Drug paraphernalia complaints and summonses prepared by the Police Department from January 2017 through present.

Custodian of Record: Dawn M. Pennock Request Received by Custodian: November 11, 2018 Response Made by Custodian: November 12, 2018 GRC Complaint Received: November 26, 2018

Background

December 14, 2021 Council Meeting:

At its December 14, 2021 public meeting, the Council considered the December 7, 2021 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that:

1. The Custodian did not comply with the Council's November 10, 2020 Interim Order. Specifically, the Custodian did not provide the Complainant with the responsive

¹ The Complainant represents the African American Data & Research Institute.

² Represented by Stuart A. Platt, Esq., of The Platt Law Group, P.C. (Stratford, NJ). Previously represented by Alen Arnautovic, Esq., of Platt & Riso, P.C. (Stratford, NJ).

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons) v. Audubon Park Borough (Camden), 2018-290 – Supplemental Findings and Recommendations of the Executive Director

records and submit certified confirmation of compliance to the Executive Director within the prescribed time frame. However, no further action is necessary since the evidence of record demonstrates that the Custodian provided the records and confirmation on March 2, 2021.

- 2. The Custodian unlawfully denied access to the Complainant's OPRA request. N.J.S.A. 47:1A-6. Additionally, the Custodian failed to comply with the Council's November 10, 2020 Interim Order. However, the evidence of record demonstrates that Audubon Park Borough provided the responsive records to the Complainant in accordance with the Council's September 29, 2020 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.
- 3. Pursuant to the Council's September 29, 2020 Interim Order the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." Teeters v. DYFS, 387 N.J. Super. 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 76 (2008). Specifically, the Custodian was ordered to produce the responsive records maintained by Haddon Township. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. at 432, and Mason, 196 N.J. at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13.

Procedural History:

On December 15, 2021, the Council distributed its Interim Order to all parties. On December 16, 2021, the Complainant notified the GRC that the parties have resolved the issue of counsel fees per the Interim Order. That same day, Custodian's Counsel e-mailed the GRC confirming that a settlement had been reached between the parties regarding counsel fees.

Analysis

Compliance

At its December 14, 2021 meeting, the Council determined that the Complainant was a prevailing party entitled to an award of reasonable attorney's fees. The Council thus ordered that the "parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid

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to Complainant within twenty (20) business days." The Council further ordered that the parties notify of any settlement prior to the expiration of the twenty (20) business day time frame. Finally, the Council ordered that, should the parties not reach an agreement, the Complainant's Counsel would be required to "submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13."

On December 15, 2021, the Council distributed its Interim Order to all parties; thus, the parties' response was due by close of business on January 14, 2022. On December 16, 2021, the Complainant notified the GRC that the parties resolved the issue of counsel fees. That same day, Custodian's Counsel e-mailed the GRC to confirm that the parties have settled the issue of counsel fees.

Accordingly, the Council should dismiss the complaint because the parties have agreed to a prevailing party fee amount, thereby negating the need for Complainant's Counsel to submit a fee application in accordance with <u>N.J.A.C.</u> 5:105-2.13. Therefore, no further adjudication is required.

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that the Council dismiss this complaint because the parties have agreed to a prevailing party fee amount, thereby negating the need for Complainant's Counsel to submit a fee application in accordance with <u>N.J.A.C.</u> 5:105-2.13. Therefore, no further adjudication is required.

Prepared By: Samuel A. Rosado Staff Attorney

January 18, 2022



State of New Jersey

DEPARTMENT OF COMMUNITY AFFAIRS 101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

INTERIM ORDER

December 14, 2021 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American Data & Research Institute & Baffi Simmons) Complainant v. Audubon Park Borough (Camden) Custodian of Record Complaint No. 2018-290

At the December 14, 2021 public meeting, the Government Records Council ("Council") considered the December 8, 2021 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- 1. The Custodian did not comply with the Council's November 10, 2020 Interim Order. Specifically, the Custodian did not provide the Complainant with the responsive records and submit certified confirmation of compliance to the Executive Director within the prescribed time frame. However, no further action is necessary since the evidence of record demonstrates that the Custodian provided the records and confirmation on March 2, 2021.
- 2. The Custodian unlawfully denied access to the Complainant's OPRA request. N.J.S.A. 47:1A-6. Additionally, the Custodian failed to comply with the Council's November 10, 2020 Interim Order. However, the evidence of record demonstrates that Audubon Park Borough provided the responsive records to the Complainant in accordance with the Council's September 29, 2020 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.
- 3. Pursuant to the Council's September 29, 2020 Interim Order the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 76 (2008). Specifically, the Custodian was ordered to produce the responsive records maintained by Haddon Township. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See <u>N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super</u>. at 432, and *New Jersey is an Equal Opportunity Employer Printed on Recycled paper and Recyclable*



PHILIP D. MURPHY Governor <u>Mason</u>, 196 <u>N.J.</u> at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13.

Interim Order Rendered by the Government Records Council On The 14th Day of December 2021

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: December 15, 2021

STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Supplemental Findings and Recommendations of the Executive Director December 14, 2021 Council Meeting

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons)¹ Complainant GRC Complaint No. 2018-290

v.

Audubon Park Borough (Camden)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of:

- 1. Driving While Intoxicated/Driving under the Influence ("DWI/DUI") complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 2. Drug possession complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 3. Police Department's "Arrest Listings" from January 2017 to present.
- 4. Drug paraphernalia complaints and summonses prepared by the Police Department from January 2017 through present.

Custodian of Record: Dawn M. Pennock Request Received by Custodian: November 11, 2018 Response Made by Custodian: November 12, 2018 GRC Complaint Received: November 26, 2018

Background

November 10, 2020 Council Meeting:

At its November 10, 2020 public meeting, the Council considered the October 27, 2020 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that the Custodian has failed to establish in her request for reconsideration of the Council's September 29, 2020 Interim Order that either 1) the Council's decision is based upon a "palpably incorrect or irrational basis;" or 2) it is obvious that the Council did not consider the significance of probative, competent evidence. The Custodian failed to establish that the complaint should be reconsidered based on mistake and

¹ The Complainant represents the African American Data & Research Institute.

² Represented by Stuart A. Platt, Esq., of The Platt Law Group, P.C. (Stratford, NJ). Previously represented by Alen Arnautovic, Esq., of Platt & Riso, P.C. (Stratford, NJ).

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extraordinary circumstances. The Custodian has also failed to show that the Council acted arbitrarily, capriciously or unreasonably. Specifically, the Custodian's request for reconsideration was largely a restatement of arguments previously submitted rather than a mistake by the Council. Additionally, the Custodian failed to demonstrate that Audubon Park Borough's circumstances warranted a reversal of long-standing precedent. Thus, the Custodian's request for reconsideration should be denied. <u>Cummings v. Bahr</u>, 295 <u>N.J. Super</u>. 374 (App. Div. 1996); <u>D'Atria v. D'Atria</u>, 242 <u>N.J. Super</u>. 392 (Ch. Div. 1990); <u>In The Matter Of The Petition Of Comcast Cablevision Of S. Jersey, Inc. For A Renewal Certificate Of Approval To Continue To Construct, Operate And Maintain A Cable Tel. Sys. In The City Of Atl. City, Cnty. Of Atl., State Of N.J., 2003 N.J. PUC LEXIS 438, 5-6 (N.J. PUC 2003). The Council's September 29, 2020 remains in effect and the Custodian must comply accordingly.</u>

Procedural History:

On November 12, 2020, the Council distributed its Interim Order to all parties. On January 27, 2021, the GRC notified the Custodian that more than forty (40) business days have passed since the Council issued its Interim Order without any notice of compliance.

On March 2, 2021, the Custodian responded to the GRC in writing, certifying that responsive records were provided to the Complainant that same day in accordance with the Council's Order. The Custodian also certified that the delay in compliance was not intentional but due to unforeseen circumstances, as the previous Audubon Park Borough ("Borough") Counsel had fallen ill.

Analysis

Compliance

At its November 10, 2020 meeting, the Council denied the Custodian's request for reconsideration and held that its Interim Order dated September 29, 2020 remained in effect. The September 29, 2020 order required the Custodian to locate and produce the requested records to the Complainant. The Council also ordered the Custodian to submit certified confirmation of compliance, in accordance with <u>N.J. Court Rules</u>, <u>R.</u> 1:4-4, to the Executive Director. On November 12, 2020, the Council distributed its Interim Order to all parties, providing the Custodian five (5) business days to comply with the terms of said Order. Thus, the Custodian's response was due by close of business on November 19, 2020.

On January 27, 2021, the GRC contacted the Custodian to inform that as of that day no notice of compliance had been provided. On March 2, 2021, the seventy-second (72nd) business day after receipt, the Custodian responded to the Council's Order. The Custodian certified that responsive records were made available to the Complainant that same day electronically and submitted certified confirmation of compliance to the Executive Director. The Custodian also certified that the delay in providing the records was due to the previous Borough Counsel falling ill.

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Therefore, the Custodian did not comply with the Council's November 10, 2020 Interim Order. Specifically, the Custodian did not provide the Complainant with the responsive records and submit certified confirmation of compliance to the Executive Director within the prescribed time frame. However, no further action is necessary since the evidence of record demonstrates that the Custodian provided the records and confirmation on March 2, 2021.

Knowing & Willful

OPRA states that "[a] public official, officer, employee or custodian who knowingly or willfully violates [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, shall be subject to a civil penalty . . . "<u>N.J.S.A.</u> 47:1A-11(a). OPRA allows the Council to determine a knowing and willful violation of the law and unreasonable denial of access under the totality of the circumstances. Specifically OPRA states ". . . [i]f the council determines, by a majority vote of its members, that a custodian has knowingly and willfully violated [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, the council may impose the penalties provided for in [OPRA] . . . "<u>N.J.S.A.</u> 47:1A-7(e).

Certain legal standards must be considered when making the determination of whether the Custodian's actions rise to the level of a "knowing and willful" violation of OPRA. The following statements must be true for a determination that the Custodian "knowingly and willfully" violated OPRA: the Custodian's actions must have been much more than negligent conduct (<u>Alston v. City of Camden</u>, 168 <u>N.J.</u> 170, 185 (2001)); the Custodian must have had some knowledge that his actions were wrongful (<u>Fielder v. Stonack</u>, 141 <u>N.J.</u> 101, 124 (1995)); the Custodian's actions must have had a positive element of conscious wrongdoing (<u>Berg v. Reaction Motors Div.</u>, 37 <u>N.J.</u> 396, 414 (1962)); the Custodian's actions must have been forbidden with actual, not imputed, knowledge that the actions were forbidden (<u>id.</u>; <u>Marley v. Borough of Palmyra</u>, 193 <u>N.J. Super.</u> 271, 294-95 (Law Div. 1993)); the Custodian's actions must have been intentional and deliberate, with knowledge of their wrongfulness, and not merely negligent, heedless or unintentional (<u>ECES v. Salmon</u>, 295 <u>N.J. Super.</u> 86, 107 (App. Div. 1996)).

In the matter before the Council, the Custodian unlawfully denied access to the Complainant's OPRA request. <u>N.J.S.A.</u> 47:1A-6. Additionally, the Custodian failed to comply with the Council's November 10, 2020 Interim Order. However, the evidence of record demonstrates that the Borough provided the responsive records to the Complainant in accordance with the Council's September 29, 2020 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.

Prevailing Party Attorney's Fees

OPRA provides that:

A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the

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custodian's decision by filing an action in Superior Court . . .; or in lieu of filing an action in Superior Court, file a complaint with the Government Records Council . . . A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

[<u>N.J.S.A.</u> 47:1A-6.]

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423 (App. Div. 2006), the Appellate Division held that a complainant is a "prevailing party" if he achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. <u>Id.</u> at 432. Additionally, the court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. <u>Id.</u>

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 71 (2008), the Court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct" (<u>quoting Buckhannon Bd. & Care Home v. West Virginia Dep't of Health & Human Res.</u>, 532 <u>U.S.</u> 598, 131 <u>S. Ct.</u> 1835, 149 <u>L. Ed.</u> 2d 855 (2001)). In <u>Buckhannon</u>, the Supreme Court held that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." <u>Id.</u> at 603 (<u>quoting Black's Law Dictionary</u> 1145 (7th ed. 1999)). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties . . ." <u>Id.</u> at 605, 121 <u>S. Ct.</u> at 1840, 149 <u>L. Ed.</u> 2d at 863. Further, the Supreme Court expressed concern that the catalyst theory would spawn extra litigation over attorney's fees. <u>Id.</u> at 609, 121 <u>S. Ct.</u> at 1843, 149 <u>L. Ed.</u> 2d at 866.

However, the Court noted in <u>Mason</u> that <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 <u>N.J.</u> at 72, <u>citing Teeters</u>, 387 <u>N.J. Super</u>. at 429; <u>see</u>, *e.g.*, <u>Baer v. Klagholz</u>, 346 <u>N.J. Super</u>. 79 (App. Div. 2001) (applying <u>Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 <u>N.J.</u> at 73 (citations omitted).

The <u>Mason</u> Court accepted the application of the catalyst theory within the context of OPRA, stating that:

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." <u>N.J.S.A.</u> 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$500.00." <u>N.J.S.A.</u> 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and

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(2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.

[196 <u>N.J.</u> at 73-76.]

The Court in Mason, further held that:

[R]equestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved"; and (2) "that the relief ultimately secured by plaintiffs had a basis in law." <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, <u>cert. denied</u>, <u>New Jersey v. Singer</u>, 469 <u>U.S.</u> 832 (1984).

[<u>Id.</u> at 76.]

Here, the Complainant sought records of complaints pertaining to drug possession and DUI/DWI offenses, as well as complaints and summonses pertaining to drug paraphernalia. The Complainant also sought arrest listings from the police department. The Custodian argued that the Borough had a shared services agreement with Haddon Township to provide police services and therefore did not possess the requested records. The Complainant filed the instant matter to assert that the Borough had an obligation to retrieve the records.

In determining whether the Complainant is a prevailing party entitled to attorney's fees, the GRC is satisfied that the evidence of record supports a conclusion in the affirmative. In accordance with the Council's September 29, 2020 Interim Order, the Custodian was ordered to produce the responsive records maintained by the Township, which was the Complainant's desired result in filing the instant complaint. <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432. Thus, a causal nexus exists between this complaint and the change in the Custodian's conduct. <u>Mason</u> 196 <u>N.J.</u> at 76. Accordingly, the Complainant is a prevailing party entitled to attorney's fees.³

Therefore, pursuant to the Council's September 29, 2020 Interim Order, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432. Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason</u>, 196 <u>N.J.</u> at 76. Specifically, the Custodian was ordered to produce the responsive records maintained by the Township. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76. **Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business**

³ The Council makes this determination with the understanding that the Complainant acted on behalf of a bona fide client at the time of the request. Although the Complainant's status as representing an actual client has been previously challenged, the available evidence on the record is insufficient to address that issue herein. <u>See Owoh, Esq. (O.B.O. AADARI) v. Neptune City Police Dep't (Monmouth)</u>, GRC Complaint No. 2018-153 (April 2020) and <u>Owoh, Esq. (O.B.O. AADARI) v. Freehold Twp. Police Dep't (Monmouth)</u>, GRC Complaint No. 2018-155 (Interim Order dated September 29, 2020).

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days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13.

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that:

- 1. The Custodian did not comply with the Council's November 10, 2020 Interim Order. Specifically, the Custodian did not provide the Complainant with the responsive records and submit certified confirmation of compliance to the Executive Director within the prescribed time frame. However, no further action is necessary since the evidence of record demonstrates that the Custodian provided the records and confirmation on March 2, 2021.
- 2. The Custodian unlawfully denied access to the Complainant's OPRA request. <u>N.J.S.A.</u> 47:1A-6. Additionally, the Custodian failed to comply with the Council's November 10, 2020 Interim Order. However, the evidence of record demonstrates that Audubon Park Borough provided the responsive records to the Complainant in accordance with the Council's September 29, 2020 Interim Order. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and unreasonable denial of access under the totality of the circumstances.
- 3. Pursuant to the Council's September 29, 2020 Interim Order the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." Teeters v. DYFS, 387 N.J. Super. 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 76 (2008). Specifically, the Custodian was ordered to produce the responsive records maintained by Haddon Township. Further, the relief ultimately achieved had a basis in law. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. at 432, and Mason, 196 N.J. at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13.

Prepared By: Samuel A. Rosado Staff Attorney

December 8, 2021

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons) v. Audubon Park Borough (Camden), 62018-290 – Supplemental Findings and Recommendations of the Executive Director



State of New Jersey

DEPARTMENT OF COMMUNITY AFFAIRS 101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

INTERIM ORDER

November 10, 2020 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American Data and Research Institute and Baffi Simmons) Complainant v. Audubon Park Borough (Camden) Custodian of Record

PHILIP D. MURPHY

Governor

Complaint No. 2018-290

At the November 10, 2020 public meeting, the Government Records Council ("Council") considered the October 27, 2020 Supplemental Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that the Custodian has failed to establish in her request for reconsideration of the Council's September 29, 2020 Interim Order that either 1) the Council's decision is based upon a "palpably incorrect or irrational basis;" or 2) it is obvious that the Council did not consider the significance of probative, competent evidence. The Custodian failed to establish that the complaint should be reconsidered based on mistake and extraordinary circumstances. The Custodian has also failed to show that the Council acted arbitrarily, capriciously or unreasonably. Specifically, the Custodian's request for reconsideration was largely a restatement of arguments previously submitted rather than a mistake by the Council. Additionally, the Custodian failed to demonstrate that Audubon Park Borough's circumstances warranted a reversal of long-standing precedent. Thus, the Custodian's request for reconsideration should be denied. Cummings v. Bahr, 295 N.J. Super. 374 (App. Div. 1996); D'Atria v. D'Atria, 242 N.J. Super. 392 (Ch. Div. 1990); In The Matter Of The Petition Of Comcast Cablevision Of S. Jersey, Inc. For A Renewal Certificate Of Approval To Continue To Construct, Operate And Maintain A Cable Tel. Sys. In The City Of Atl. City, Cnty. Of Atl., State Of N.J., 2003 N.J. PUC LEXIS 438, 5-6 (N.J. PUC 2003). The Council's September 29, 2020 remains in effect and the Custodian must comply accordingly.

Interim Order Rendered by the Government Records Council On The 10th Day of November 2020

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council



Decision Distribution Date: November 12, 2020

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STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Reconsideration Supplemental Findings and Recommendations of the Executive Director November 10, 2020 Council Meeting

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons)¹

Complainant

GRC Complaint No. 2018-290

v.

Audubon Park Borough (Camden)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of:

- 1. Driving While Intoxicated/Driving under the Influence ("DWI/DUI") complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 2. Drug possession complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 3. Police Department's "Arrest Listings" from January 2017 to present.
- 4. Drug paraphernalia complaints and summonses prepared by the Police Department from January 2017 through present.

Custodian of Record: Dawn M. Pennock Request Received by Custodian: November 11, 2018 Response Made by Custodian: November 12, 2018 GRC Complaint Received: November 26, 2018

Background

September 29, 2020 Council Meeting:

At its public meeting, the Council considered the September 22, 2020 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, found that:

1. The Custodian unlawfully denied access to the requested records on the basis that Haddon Township, with which Audubon Park Borough had a shared services agreement, possessed the records. N.J.S.A. 47:1A-6; Burnett v. Cnty. of Gloucester,

¹ The Complainant represents the African American Data & Research Institute.

² Represented by Alen Arnautovic, Esq., of Platt & Riso, P.C. (Stratford, NJ).

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415 <u>N.J. Super.</u> 506 (App. Div. 2010); and <u>Michalak v. Borough of Helmetta</u> (<u>Middlesex</u>), GRC Complaint No. 2010-220 (Interim Order dated January 31, 2012). The Custodian had an obligation to obtain the responsive records from the Township and provide same to the Complainant. <u>See Meyers v. Borough of Fair Lawn</u>, GRC Complaint No. 2005-127 (December 2005). Thus, the Custodian shall obtain the responsive records from the Township and provide same to the Township and provide same to the Complainant.

- 2. The Custodian shall comply with conclusion No. 1 above within five (5) business days from receipt of the Council's Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, if applicable. Further, the Custodian shall simultaneously deliver³ certified confirmation of compliance, in accordance with <u>N.J. Court Rules</u>, <u>R.</u> 1:4-4,⁴ to the Executive Director.⁵
- 3. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.
- 4. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Procedural History:

On September 30, 2020, the Council distributed its Interim Order to all parties. On October 2, 2020, the Custodian's Counsel filed a request for reconsideration of the Council's September 29, 2020 Interim Order based on mistake and extraordinary circumstances.

Counsel relied on <u>Bent v. Stafford Police Dep't</u>, 381 <u>N.J. Super.</u> 30, 38 (App. Div. 2005) to maintain that there was no denial of access when the requested records did not exist or were not in the custodian's possession. <u>See also Na'im v. Union Cnty. Prosecutor's Office</u>, 2007 <u>N.J. Super.</u> Unpub. LEXIS 1519, at *1 (App. Div. 2007). Counsel also asserted that even if the records existed, the Custodian was not obligated to search for them beyond the municipality's own files. <u>Bent</u>, 381 <u>N.J. Super.</u> at 38. Therefore, Counsel argued that the Custodian properly informed the Complainant that Audubon Park Borough ("Borough") did not possess the requested records and directed the Complainant to Haddon Township ("Township") in accordance with <u>N.J.S.A.</u> 47:1A-5(g).

³ The certified confirmation of compliance, including supporting documentation, may be sent overnight mail, regular mail, e-mail, facsimile, or be hand-delivered, at the discretion of the Custodian, as long as the GRC physically receives it by the deadline.

⁴ "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment."

⁵ Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been *made available* to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of <u>N.J.S.A.</u> 47:1A-5.

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Counsel asserted that the facts in the current matter can be distinguished from <u>Burnett v.</u> <u>Cnty. of Gloucester</u>, 415 <u>N.J. Super.</u> 506, 516-17 (App. Div. 2010), where the court required the custodian to produce documents not in its possession when created by a third-party on behalf of the agency in the course of its official business. Counsel also asserted that in <u>Carter v. N.J. Dep't</u> <u>of Cmty. Affairs</u>, 2019 <u>N.J. Super.</u> Unpub. LEXIS 2510, at *13 (App. Div. Dec. 10, 2019), the court found that since the records did not originate with the responding agency, the records were not classified separately and thus required the custodian to conduct research to locate them. Counsel argued that in the current matter, the requested records were created and maintained by the Township in the course of its official business and did not originate from the Borough.

Counsel further argued that the GRC should revisit its holding in <u>Michalak v. Borough of</u> <u>Helmetta (Middlesex)</u>, GRC Complaint No. 2010-220 (Interim Order dated January 31, 2012). Counsel argued that <u>Michalak</u> conflicted with the court in <u>Carter</u>, which was handed down seven (7) years later. Counsel also argued that <u>Michalak</u> nullified the cost-saving incentives proffered by entering into shared services agreements. Counsel argued that the GRC's decision would force the Custodian to expend time and resources the Borough did not have to obtain records it did not possess or maintain, negating the cost-savings realized by the shared services agreement. Counsel noted that the GRC had previously reversed decisions where it imposed practical difficulties or excessive costs on government agencies. <u>See Rodriguez v. Kean Univ.</u>, GRC Complaint No. 2013-69 (March 2014).

Counsel requested that the GRC reconsider its determination that the Custodian unlawfully denied access and enter an order in favor of the Borough.

On October 5, 2020, the Complainant submitted objections to the request for reconsideration. The Complainant asserted that the GRC should deny the request because the Borough's arguments were a restatement of the arguments put forth in the Custodian's Statement of Information ("SOI") dated December 7, 2018.

Analysis

Reconsideration

Pursuant to <u>N.J.A.C.</u> 5:105-2.10, parties may file a request for a reconsideration of any decision rendered by the Council within ten (10) business days following receipt of a Council decision. Requests must be in writing, delivered to the Council and served on all parties. Parties must file any objection to the request for reconsideration within ten (10) business days following receipt of the request. The Council will provide all parties with written notification of its determination regarding the request for reconsideration. <u>N.J.A.C.</u> 5:105-2.10(a) – (e).

In the matter before the Council, the Custodian's Counsel filed the request for reconsideration of the Council's Order dated September 29, 2020 on October 2, 2020, two (2) business days from the issuance of the Council's Order.

Applicable case law holds that:

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"A party should not seek reconsideration merely based upon dissatisfaction with a decision." <u>D'Atria v. D'Atria</u>, 242 <u>N.J. Super.</u> 392, 401 (Ch. Div. 1990). Rather, reconsideration is reserved for those cases where (1) the decision is based upon a "palpably incorrect or irrational basis;" or (2) it is obvious that the finder of fact did not consider, or failed to appreciate, the significance of probative, competent evidence. *E.g.*, <u>Cummings v. Bahr</u>, 295 <u>N.J. Super.</u> 374, 384 (App. Div. 1996). The moving party must show that the court acted in an arbitrary, capricious or unreasonable manner. <u>D'Atria</u>, . . . 242 <u>N.J. Super.</u> at 401. "Although it is an overstatement to say that a decision is not arbitrary, capricious, or unreasonable whenever a court can review the reasons stated for the decision without a loud guffaw or involuntary gasp, it is not much of an overstatement." <u>Ibid.</u>

[In The Matter Of The Petition Of Comcast Cablevision Of S. Jersey, Inc. For A Renewal Certificate Of Approval To Continue To Construct, Operate And Maintain A Cable Tel. Sys. In The City Of Atl. City, Cnty. Of Atl., State Of N.J., 2003 N.J. PUC LEXIS 438, 5-6 (N.J. PUC 2003).]

Upon review, the GRC concludes that the Custodian's request for reconsideration should be denied. The Council's decision requiring the Custodian to obtain the responsive records from Haddon Township is controlled by <u>Burnett</u> and <u>Michalak</u>. The responsive records were maintained by Haddon Township with whom Audubon Park Borough had a shared services agreement, and the Custodian was obligated to obtain the records and provide them to the Complainant. The Custodian's arguments on reconsideration fail because they largely restate the arguments made unsuccessfully in the December 7, 2018 SOI. Therefore, there is no basis to reverse the Council's decision.

As the moving party, the Custodian was required to establish either of the necessary criteria set forth above: either 1) the Council's decision is based upon a "palpably incorrect or irrational basis;" or 2) it is obvious that the Council did not consider the significance of probative, competent evidence. See Cummings, 295 N.J. Super. at 384. The Custodian failed to establish that the complaint should be reconsidered based on mistake and extraordinary circumstances. The Custodian has also failed to show that the Council acted arbitrarily, capriciously or unreasonably. See D'Atria, 242 N.J. Super. at 401. Specifically, the Custodian's request for reconsideration was largely a restatement of arguments previously submitted rather than a mistake by the Council. Additionally, the Custodian failed to demonstrate that the Borough's circumstances warranted a reversal of long-standing precedent. Thus, the Custodian's request for reconsideration should be denied. Cummings, 295 N.J. Super. at 384; D'Atria, 242 N.J. Super. at 401; Comcast, 2003 N.J. PUC at 5-6. The Council's September 29, 2020 remains in effect and the Custodian must comply accordingly.

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that the Custodian has failed to establish in her request for reconsideration of the Council's September 29, 2020 Interim Order that either 1) the Council's decision is based upon a "palpably incorrect or irrational basis;" or 2) it is obvious that the Council did not consider the significance of probative, competent

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evidence. The Custodian failed to establish that the complaint should be reconsidered based on mistake and extraordinary circumstances. The Custodian has also failed to show that the Council acted arbitrarily, capriciously or unreasonably. Specifically, the Custodian's request for reconsideration was largely a restatement of arguments previously submitted rather than a mistake by the Council. Additionally, the Custodian failed to demonstrate that Audubon Park Borough's circumstances warranted a reversal of long-standing precedent. Thus, the Custodian's request for reconsideration should be denied. <u>Cummings v. Bahr</u>, 295 <u>N.J. Super.</u> 374 (App. Div. 1996); <u>D'Atria v. D'Atria</u>, 242 <u>N.J. Super.</u> 392 (Ch. Div. 1990); <u>In The Matter Of The Petition Of Comcast Cablevision Of S. Jersey, Inc. For A Renewal Certificate Of Approval To Continue To Construct, Operate And Maintain A Cable Tel. Sys. In The City Of Atl. City, Cnty. Of Atl., State Of N.J., 2003 N.J. PUC LEXIS 438, 5-6 (N.J. PUC 2003). The Council's September 29, 2020 remains in effect and the Custodian must comply accordingly.</u>

Prepared By: Samuel A. Rosado Staff Attorney

October 27, 2020



State of New Jersey

DEPARTMENT OF COMMUNITY AFFAIRS 101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

LT. GOVERNOR SHEILA Y. OLIVER Commissioner

Complaint No. 2018-290

INTERIM ORDER

September 29, 2020 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American Data and Research Institute and Baffi Simmons) Complainant v. Audubon Park Borough (Camden) Custodian of Record

At the September 29, 2020 public meeting, the Government Records Council ("Council") considered the September 22, 2020 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- The Custodian unlawfully denied access to the requested records on the basis that Haddon Township ("Township"), with which Audubon Park Borough had a shared services agreement, possessed the records. <u>N.J.S.A.</u> 47:1A-6; <u>Burnett v. Cnty. of Gloucester</u>, 415 <u>N.J. Super.</u> 506 (App. Div. 2010); and <u>Michalak v. Borough of Helmetta (Middlesex)</u>, GRC Complaint No. 2010-220 (Interim Order dated January 31, 2012). The Custodian had an obligation to obtain the responsive records from the Township and provide same to the Complainant. <u>See Meyers v. Borough of Fair Lawn</u>, GRC Complaint No. 2005-127 (December 2005). Thus, the Custodian shall obtain the responsive records from the Township and provide same to the Complainant.
- 2. The Custodian shall comply with conclusion No. 1 above within five (5) business days from receipt of the Council's Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, if applicable. Further, the Custodian shall simultaneously deliver¹ certified confirmation of compliance, in accordance with <u>N.J. Court Rules</u>, <u>R.</u> 1:4-4,² to the Executive Director.³

³ Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been *made available* to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of N.J.S.A. 47:1A-5.

Community Affairs

PHILIP D. MURPHY Governor

¹ The certified confirmation of compliance, including supporting documentation, may be sent overnight mail, regular mail, e-mail, facsimile, or be hand-delivered, at the discretion of the Custodian, as long as the GRC physically receives it by the deadline.

 $^{^2}$ "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment."

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- 3. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.
- 4. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Interim Order Rendered by the Government Records Council On The 29th Day of September 2020

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: September 30, 2020

STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Findings and Recommendations of the Executive Director September 29, 2020 Council Meeting

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons)¹ Complainant GRC Complaint No. 2018-290

v.

Audubon Park Borough (Camden)² Custodial Agency

Records Relevant to Complaint: Electronic copies via e-mail of:

- 1. Driving While Intoxicated/Driving under the Influence ("DWI/DUI") complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 2. Drug possession complaints and summonses prepared and filed by the Police Department from January 2017 through present.
- 3. Police Department's "Arrest Listings" from January 2017 to present.
- 4. Drug paraphernalia complaints and summonses prepared by the Police Department from January 2017 through present.

Custodian of Record: Dawn M. Pennock Request Received by Custodian: November 11, 2018 Response Made by Custodian: November 12, 2018 GRC Complaint Received: November 26, 2018

Background³

Request and Response:

On November 11, 2018, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records. On November 12, 2018, the Custodian responded in writing advising that Audubon Park Borough ("Borough") did not maintain the requested records. The Custodian stated that the Borough had a shared services agreement with Haddon Township ("Township") for police services and that the Complainant should direct his OPRA request to that agency.

¹ The Complainant represents the African American Data & Research Institute.

² Represented by Alen Arnautovic, Esq., of Platt & Riso, P.C. (Stratford, NJ).

³ The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Executive Director the submissions necessary and relevant for the adjudication of this complaint.

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Denial of Access Complaint:

On November 26, 2018, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant contended that the Custodian failed to disclose the records responsive to the subject OPRA request. The Complainant argued that GRC case law support that summonses and complaints are disclosable under OPRA. See Merino v. Borough of Ho-Ho-Kus, GRC Complaint No. 2003-110 (July 2004). The Complainant also noted that "other police departments have made similar records available." The Complainant thus requested that the GRC find that the Custodian violated OPRA. See Burnett v. Cnty. of Gloucester, 415 N.J. Super. 506 (App. Div. 2010); Michalak v. Borough of Helmetta (Middlesex), GRC Complaint No. 2010-220 (Interim Order dated January 31, 2012). The Complainant further requested that he should be awarded attorney's fees.

Statement of Information:

On December 7, 2018, the Custodian filed a Statement of Information ("SOI"). The Custodian certified that she received the Complainant's OPRA request on November 11, 2018. The Custodian certified that she responded in writing on November 12, 2018 denying the request because the Borough did not maintain responsive records. The Custodian certified that she also advised the Complainant that the Borough had a shared services agreement with the Township and that he should submit his OPRA request to that agency.

The Custodian argued that she was not required to disclose records that the Borough did not make or maintain. <u>Bent v. Stafford Police Dep't</u>, 381 <u>N.J. Super.</u> 30, 38 (App. Div. 2005); <u>Na'im v. Union Cnty. Prosecutor's Office</u>, 2007 <u>N.J. Super.</u> Unpub. LEXIS 1519 (App. Div. 2007). The Custodian further noted that she was obligated to direct the Complainant to proper custodian of record, which she did in her response. <u>N.J.S.A.</u> 47:1A-5(h). The Custodian argued that here, the Township maintained the records at issue "exclusively." The Custodian thus contended that she lawfully denied the Complainant's OPRA request.

Additional Submissions:

On December 8, 2018, the Complainant submitted a letter response to the SOI. The Complainant contended that the Custodian had an obligation to obtain records from the Township because the Borough was engaged in a shared services agreement with it. <u>Burnett</u>, 415 <u>N.J. Super</u>. 506; <u>Michalak</u>, GRC 2010-220. The Complainant argued that the Custodian's failure to do so resulted in an unlawful denial of access. The Complainant renewed his request to order disclosure of the responsive records and that he be awarded prevailing party attorney's fees.

Analysis

Unlawful Denial of Access

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. <u>N.J.S.A.</u> 47:1A-1.1. A custodian must release all records responsive to an OPRA request

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"with certain exceptions." <u>N.J.S.A.</u> 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to <u>N.J.S.A.</u> 47:1A-6.

In <u>Burnett</u>, 415 <u>N.J. Super</u>. 506, the Appellate Division determined that the defendant was required to obtain settlement agreements from its insurance broker. The court's decision largely rested on the fact that there was no question that the broker was working on behalf of the defendant to execute settlement agreements. The court noted that it previously held that although a third party, such as insurance broker or outside counsel, may execute settlement agreements, "they nonetheless bind the county as principal, and the agreements are made on its behalf." <u>Id.</u> at 513. In determining that the defendant had an obligation to obtain responsive records from the insurance broker, the court distinguished <u>Bent</u>, 381 <u>N.J. Super</u>. at 38-39, from the facts before it. The court reasoned that:

In <u>Bent</u>, the requester sought records and information regarding a criminal investigation of his credit card activities conducted jointly by the Stafford Township Police Department [("STPD")], the United States Attorney for New Jersey and a special agent of the Internal Revenue Service. As part of his request, Bent sought "discrete records of the 1992 criminal investigation conducted by the STPD," which were fully disclosed. <u>Id.</u> at 38. Additionally, he sought a "[c]opy of contact memos, chain of custody for items removed or turned over to third parties of signed Grand Jury reports and recommendations." <u>Bent v. Stafford Twp. Police Dept.</u>, GRC 2004-78, final decision (October 14, 2004). Affirming the determination of the [GRC], we stated: "to the extent Bent's request was for records that either did not exist or were not in the custodian's possession, there was, of necessity, no denial of access at all." <u>Bent, *supra*, 381 N.J. Super.</u> at 38... We continued by stating:

"Of course, even if the requested documents did exist, the custodian was under no obligation to search for them beyond the township's files. OPRA applies solely to documents 'made, maintained or kept on file in the course of [a public agency's] official business,' as well as any document 'received in the course of [the agency's] official business.' N.J.S.A. 47:1A-1.1. Contrary to Bent's assertion, although OPRA mandates that 'all government records . . . be subject to public access unless exempt,' the statute itself neither specifies nor directs the type of record that is to be 'made, maintained or kept on file.' In fact, in interpreting OPRA's predecessor statute, the Right to Know Law, we found no requirement in the law concerning 'the making, maintaining or keeping on file the results of an investigation by a law enforcement official or agency into the alleged commission of a criminal offense. . . Thus, even if the requested documents did exist in the files of outside agencies, Bent has made no showing that they were, by law, required to be 'made, maintained or kept on file' by the custodian so as to justify any relief or remedy under OPRA. N.J.S.A. 47:1A-1.1."

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[T]he circumstances presented in <u>Bent</u> [are] far removed from those existing in the present matter because, as we have previously concluded, the settlement agreements at issue here were "made" by or on behalf of the Board in the course of its official business. Were we to conclude otherwise, a governmental agency seeking to protect its records from scrutiny could simply delegate their creation to third parties or relinquish possession to such parties, thereby thwarting the policy of transparency that underlies OPRA. <u>N.J.S.A.</u> 47:1A-1.

[<u>Id.</u> at 516-17.]

The Council subsequently expanded the court's holding in <u>Burnett</u> to agencies entered into a shared services agreement. <u>See Michalak</u>, GRC 2010-220. In that case, the complainant sought police dispatch logs from the Borough of Helmetta ("Helmetta"). The custodian asserted that Helmetta did not maintain the records as dispatch calls were routed through the Spotswood Police Department ("SPD"). The Council held that since Helmetta's dispatch log, the custodian was obligated to obtain the requested records from SPD. The Council found that SPD "made, maintained, or kept on file" the dispatch logs on behalf of Helmetta pursuant to the shared services agreement. <u>See Burnett</u>, 415 <u>N.J. Super.</u> at 517.

Moreover, in <u>Meyers</u>, GRC 2005-127, the complainant requested e-mails sent to various individuals regarding official business but located on the mayor's home computer. The custodian alleged that due to the records' location, they were not government records. The Council found that the definition of a government record was not restricted its physical location. The Council further found that the requested records should be released in accordance with OPRA, to the extent they fell within the definition of a government record. Thus, the Council held that the location of a document was immaterial to its status as a government record.

Both <u>Burnett</u> and <u>Michalak</u> are directly applicable in the instant matter. The evidence of record demonstrates that the Borough entered into a shared services agreement with the Township to provide law enforcement services within the Borough. Thus, the requested records were created and maintained in the Township on behalf of the Borough. Additionally, the Custodian was obligated to retrieve the records from the Township, as their physical location was immaterial. <u>See Meyers</u>, GRC 2005-127.

Accordingly, the Custodian unlawfully denied access to the requested records on the basis that the Township, with which the Borough had a shared services agreement, possessed the records. <u>N.J.S.A.</u> 47:1A-6; <u>Burnett</u>, 415 <u>N.J. Super.</u> 506; and <u>Michalak</u>, GRC 2010-220. The Custodian had an obligation to obtain the responsive records from the Township and provide same to the Complainant. <u>See Meyers</u>, GRC 2005-127. Thus, the Custodian shall obtain the responsive records from the Township and provide same to the Complainant.

Knowing & Willful

The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons) v. Audubon Park Borough (Camden), 2018-290 – Findings and Recommendations of the Executive Director

Custodian's compliance with the Council's Interim Order.

Prevailing Party Attorney's Fees

The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that:

- The Custodian unlawfully denied access to the requested records on the basis that Haddon Township ("Township"), with which Audubon Park Borough had a shared services agreement, possessed the records. <u>N.J.S.A.</u> 47:1A-6; <u>Burnett v. Cnty. of Gloucester</u>, 415 <u>N.J. Super.</u> 506 (App. Div. 2010); and <u>Michalak v. Borough of Helmetta (Middlesex)</u>, GRC Complaint No. 2010-220 (Interim Order dated January 31, 2012). The Custodian had an obligation to obtain the responsive records from the Township and provide same to the Complainant. <u>See Meyers v. Borough of Fair Lawn</u>, GRC Complaint No. 2005-127 (December 2005). Thus, the Custodian shall obtain the responsive records from the Township and provide same to the Complainant.
- 2. The Custodian shall comply with conclusion No. 1 above within five (5) business days from receipt of the Council's Interim Order with appropriate redactions, including a detailed document index explaining the lawful basis for each redaction, if applicable. Further, the Custodian shall simultaneously deliver⁴ certified confirmation of compliance, in accordance with <u>N.J. Court Rules</u>, <u>R.</u> 1:4-4,⁵ to the Executive Director.⁶
- 3. The Council defers analysis of whether the Custodian knowingly and willfully violated OPRA and unreasonably denied access under the totality of the circumstances pending the Custodian's compliance with the Council's Interim Order.
- 4. The Council defers analysis of whether the Complainant is a prevailing party pending the Custodian's compliance with the Council's Interim Order.

Prepared By: Samuel A. Rosado Staff Attorney September 22, 2020

⁴ The certified confirmation of compliance, including supporting documentation, may be sent overnight mail, regular mail, e-mail, facsimile, or be hand-delivered, at the discretion of the Custodian, as long as the GRC physically receives it by the deadline.

⁵ "I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment."

⁶ Satisfactory compliance requires that the Custodian deliver the record(s) to the Complainant in the requested medium. If a copying or special service charge was incurred by the Complainant, the Custodian must certify that the record has been *made available* to the Complainant but the Custodian may withhold delivery of the record until the financial obligation is satisfied. Any such charge must adhere to the provisions of <u>N.J.S.A.</u> 47:1A-5.

Rotimi Owoh, Esq. (On Behalf of African American Data & Research Institute & Baffi Simmons) v. Audubon Park Borough (Camden), 2018-290 – Findings and Recommendations of the Executive Director