

DEPARTMENT OF COMMUNITY AFFAIRS 101 SOUTH BROAD STREET PO Box 819

Trenton, NJ 08625-0819

PHILIP D. MURPHY
Governor

Lt. Governor Sheila Y. Oliver

Commissioner

FINAL DECISION

March 30, 2021 Government Records Council Meeting

Richard Holland
Complainant
v.
Rowan University
Custodian of Record

Complaint No. 2019-108

At the March 30, 2021 public meeting, the Government Records Council ("Council") considered the March 23, 2021 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- 1. The Custodian did not bear her burden of proof that she timely responded to the Complainant's OPRA request. N.J.S.A. 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated time period, as extended, results in a "deemed" denial of the Complainant's OPRA request pursuant to N.J.S.A. 47:1A-5(g), N.J.S.A. 47:1A-5(i), and Kelley v. Twp. of Rockaway, GRC Complaint No. 2007-11 (Interim Order October 31, 2007). See also Kohn v. Twp. of Livingston Library (Essex), GRC Complaint No. 2007-124 (March 2008).
- 2. The Custodian denied the Complainant access to the requested record by not disclosing said record in a timely manner. However, the GRC declines to order disclosure of the record because the evidence of record reveals that the Custodian disclosed the requested record to the Complainant on June 11, 2019.
- 3. Although the Custodian violated N.J.S.A. 47:1A-5(g) and N.J.S.A. 47:1A-5(i), the Custodian did provide the Complainant with all records responsive to the request. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and an unreasonable denial of access under the totality of the circumstances.



This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 30th Day of March 2021

Robin Berg Tabakin, Esq., Chair Government Records Council I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: April 1, 2021

STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Findings and Recommendations of the Executive Director March 30, 2021 Council Meeting

Richard Holland¹ Complainant GRC Complaint No. 2019-108

v.

Rowan University²
Custodial Agency

Record Relevant to Complaint: A copy of the body worn camera video footage for an incident that occurred on May 13, 2019 at 4:54 p.m.³

Custodian of Record: Christine Brasteter Request Received by Custodian: May 16, 2019 Response Made by Custodian: May 23, 2019

GRC Complaint Received: June 7, 2019

Background⁴

Request and Response:

On May 16, 2019, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records. On May 23, 2019, the fifth (5th) business day following receipt of said request, the Custodian responded in writing via e-mail informing the Complainant that copies of some of the requested records were attached and that the agency was reviewing the body worn camera video footage. The Custodian stated she would send a follow up response on June 4, 2019.

Denial of Access Complaint:

On June 7, 2019, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant asserted that he filed the OPRA request on May 16, 2019, and followed up via e-mail on May 23, 2019, inquiring about the status of his request. The Complainant stated that later in the day on May 23, 2019, he received a response from the Custodian that contained other records responsive to his request; however, the

¹ No legal representation listed on record.

² Represented by Deputy Attorney General Michal Czarnecki, replacing Deputy Attorney General Kathryn Duran.

³ There were other records requested that are not relevant to this complaint. Further, the Complainant did not specify a preferred delivery method.

⁴ The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Executive Director the submissions necessary and relevant for the adjudication of this complaint.

Complainant stated that the Custodian informed him that the agency was still reviewing the body worn camera video footage and that she would follow up with him on June 4, 2019.

The Complainant stated that on June 3, 2019, he e-mailed the Custodian to check on the status of his request. The Complainant further stated that, because he did not receive the requested body worn camera footage by June 4, 2019, he filed the within complaint.

Statement of Information:

On June 28, 2019, the Custodian filed a Statement of Information ("SOI"). The Custodian certified that she received the Complainant's OPRA request on May 16, 2019, and responded in writing on May 23, 2019, disclosing all requested records except for the body worn camera video footage. Gina Marie Floyd, on behalf of the Custodian, certified that she "would reach back out [to the Complainant] on June 4, 2019," but she "inadvertently failed to reach out to the Complainant and update him as to the [OPRA request] status."

The Custodian certified that the body worn camera video footage was disclosed to the Complainant on June 11, 2019, via the website <a href="maileo-extraorder-extraor

Additional Submissions:

On January 27, 2021, by copy of an e-mail to the Custodian's Counsel, the GRC asked the Complainant to confirm that all non-privileged requested records have been disclosed, and if not, to advise the GRC within five (5) business days which records remain undisclosed. The Complainant did not reply to the GRC's request.

Analysis

Timeliness

Unless a shorter time period is otherwise provided, a custodian must grant or deny access to requested records within seven (7) business days from receipt of said request. N.J.S.A. 47:1A-5(i). A custodian's failure to respond accordingly results in a "deemed" denial. Id. Further, a custodian's response, either granting or denying access, must be in writing pursuant to N.J.S.A. 47:1A-5(g). Thus, a custodian's failure to respond in writing to a complainant's OPRA request, either granting access, denying access, seeking clarification, or requesting an extension of time

⁵ The Custodian certified that the record was redacted to exclude the following exempt material: driver's license numbers and personal telephone numbers pursuant to N.J.S.A. 47:1A-1.1, and a student name pursuant to the Family Educational Rights and Privacy Act. There is nothing in the evidence of record to indicate that the Complainant objected to any of the redactions.

⁶ A custodian's written response, either granting access, denying access, seeking clarification, or requesting an extension of time within the statutorily mandated seven (7) business days, even if said response is not on the agency's official OPRA request form, is a valid response pursuant to OPRA.

within the statutorily mandated seven (7) business days, results in a "deemed" denial of the complainant's OPRA request pursuant to <u>N.J.S.A.</u> 47:1A-5(g), <u>N.J.S.A.</u> 47:1A-5(i), and <u>Kelley v. Twp. of Rockaway</u>, GRC Complaint No. 2007-11 (Interim Order October 31, 2007).

In <u>Kohn v. Twp. of Livingston Library (Essex)</u>, GRC Complaint No. 2007-124 (March 2008), the custodian responded in writing on the fifth (5th) business day after receipt of the complainant's March 19, 2007 OPRA request seeking an extension of time until April 20, 2007. However, the custodian responded again on April 20, 2007, stating that the requested records would be provided later in the week. <u>Id.</u> The evidence of record showed that no records were provided until May 31, 2007. <u>Id.</u> The GRC held that:

The Custodian properly requested an extension of time to provide the requested records to the Complainant by requesting such extension in writing within the statutorily mandated seven (7) business days pursuant to N.J.S.A. 47:1A-5(g) and N.J.S.A. 47:1A-5(i) . . . however . . . [b]ecause the Custodian failed to provide the Complainant access to the requested records by the extension date anticipated by the Custodian, the Custodian violated N.J.S.A. 47:1A-5(i) resulting in a "deemed" denial of access to the records.

[Id.]

Here, the Custodian initially responded to the Complainant on the fifth (5th) business day after receipt of the OPRA request, disclosing some requested records, and obtaining an extension of time until June 4, 2019, to respond to the balance of the OPRA request. However, the Custodian did not respond again until June 11, 2019. Thus, in keeping with Kohn, GRC 2007-124, the Custodian's failure to respond prior to the extension expiration resulted in a "deemed" denial.

Therefore, the Custodian did not bear her burden of proof that she timely responded to the Complainant's OPRA request. N.J.S.A. 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated time period, as extended, results in a "deemed" denial of the Complainant's OPRA request pursuant to N.J.S.A. 47:1A-5(g), N.J.S.A. 47:1A-5(i), and Kelley, GRC 2007-11. See also Kohn, GRC 2007-124.

Unlawful Denial of Access

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. N.J.S.A. 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." N.J.S.A. 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to N.J.S.A. 47:1A-6.

Here, the Custodian denied the Complainant access to the requested record by not disclosing said record in a timely manner. However, the GRC declines to order disclosure of the record because the evidence of record reveals that the Custodian disclosed the requested record to the Complainant on June 11, 2019.

Knowing & Willful

OPRA states that "[a] public official, officer, employee or custodian who knowingly or willfully violates [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, shall be subject to a civil penalty . . ." N.J.S.A. 47:1A-11(a). OPRA allows the Council to determine a knowing and willful violation of the law and unreasonable denial of access under the totality of the circumstances. Specifically OPRA states ". . . [i]f the council determines, by a majority vote of its members, that a custodian has knowingly and willfully violated [OPRA], and is found to have unreasonably denied access under the totality of the circumstances, the council may impose the penalties provided for in [OPRA]. . ." N.J.S.A. 47:1A-7(e).

Certain legal standards must be considered when making the determination of whether the Custodian's actions rise to the level of a "knowing and willful" violation of OPRA. The following statements must be true for a determination that the Custodian "knowingly and willfully" violated OPRA: the Custodian's actions must have been much more than negligent conduct (Alston v. City of Camden, 168 N.J. 170, 185 (2001)); the Custodian must have had some knowledge that his actions were wrongful (Fielder v. Stonack, 141 N.J. 101, 124 (1995)); the Custodian's actions must have had a positive element of conscious wrongdoing (Berg v. Reaction Motors Div., 37 N.J. 396, 414 (1962)); the Custodian's actions must have been forbidden with actual, not imputed, knowledge that the actions were forbidden (id.; Marley v. Borough of Palmyra, 193 N.J. Super. 271, 294-95 (Law Div. 1993)); the Custodian's actions must have been intentional and deliberate, with knowledge of their wrongfulness, and not merely negligent, heedless or unintentional (ECES v. Salmon, 295 N.J. Super. 86, 107 (App. Div. 1996)).

Although the Custodian violated N.J.S.A. 47:1A-5(g) and N.J.S.A. 47:1A-5(i), the Custodian did provide the Complainant with all records responsive to the request. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and an unreasonable denial of access under the totality of the circumstances.

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that:

1. The Custodian did not bear her burden of proof that she timely responded to the Complainant's OPRA request. N.J.S.A. 47:1A-6. As such, the Custodian's failure to respond in writing to the Complainant's OPRA request either granting access, denying access, seeking clarification or requesting an extension of time within the statutorily mandated time period, as extended, results in a "deemed" denial of the Complainant's OPRA request pursuant to N.J.S.A. 47:1A-5(g), N.J.S.A. 47:1A-5(i), and Kelley v. Twp. of Rockaway, GRC Complaint No. 2007-11 (Interim Order October 31, 2007). See also Kohn v. Twp. of Livingston Library (Essex), GRC Complaint No. 2007-124 (March 2008).

- 2. The Custodian denied the Complainant access to the requested record by not disclosing said record in a timely manner. However, the GRC declines to order disclosure of the record because the evidence of record reveals that the Custodian disclosed the requested record to the Complainant on June 11, 2019.
- 3. Although the Custodian violated N.J.S.A. 47:1A-5(g) and N.J.S.A. 47:1A-5(i), the Custodian did provide the Complainant with all records responsive to the request. Additionally, the evidence of record does not indicate that the Custodian's violation of OPRA had a positive element of conscious wrongdoing or was intentional and deliberate. Therefore, the Custodian's actions do not rise to the level of a knowing and willful violation of OPRA and an unreasonable denial of access under the totality of the circumstances.

Prepared By: John E. Stewart Staff Attorney

March 23, 2021