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DEPARTMENT OF COMMUNITY AFFAIRS 101 SOUTH BROAD STREET PO Box 819 TRENTON, NJ 08625-0819

KIMBERLY K. HOLMES
Acting Commissioner

#### FINAL DECISION

## **August 29, 2023 Government Records Council Meeting**

Rotimi Owoh, Esq. (o/b/o African American Data & Research Institute) Complainant v. Berkeley Heights Police Department (Union)

Custodian of Record

Complaint No. 2021-284

At the August 29, 2023 public meeting, the Government Records Council ("Council") considered the August 22, 2023 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- 1. The Custodian did not unlawfully deny access to the Complainant's October 14, 2021 OPRA request. N.J.S.A. 47:1A-6. Specifically, the Custodian certified, and the record reflects, that Berkeley Heights Township provided all responsive records containing the requested information. See Danis v. Garfield Bd. of Educ. (Bergen), GRC Complaint No. 2009-156, et seq. (Interim Order dated April 28, 2010).
- 2. The Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. Teeters v. DYFS, 387 N.J. Super. 423, 432 (App. Div. 2006). Additionally, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 76 (2008). Specifically, the Custodian certified that she provided the Complainant with all responsive records in Berkeley Heights Township's possession. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. at 432, and Mason, 196 N.J. at 76.

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.



Final Decision Rendered by the Government Records Council On The 29<sup>th</sup> Day of August 2023

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

**Decision Distribution Date: September 5, 2023** 

# STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

# Findings and Recommendations of the Executive Director August 29, 2023 Council Meeting

Rotimi Owoh, Esq. (on behalf of African American Data & Research Institute)<sup>1</sup> Complainant GRC Complaint No. 2021-284

v.

Berkeley Heights Police Department (Union)<sup>2</sup> Custodial Agency

**Records Relevant to Complaint:** Electronic copies via e-mail of: Names, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to present. N.J.S.A. 47:1A-10.

- a. This request includes any agreement entered with each one of the separated police officer(s).
- b. When stating the reason for separation, please note that some police officers separate due to plea deal, criminal convictions, criminal charges, sentences, and or other court agreement or court proceedings that require officers to be separated from your police department and or law enforcement jobs.
- c. Some police officers separate due to internal affairs investigations within the police departments.<sup>3</sup>

**Custodian of Record:** Ana Minkoff

Request Received by Custodian: October 14, 2021 Response Made by Custodian: October 21, 2021 GRC Complaint Received: November 10, 2021

## Background<sup>4</sup>

## Request and Response:

On October 14, 2021, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records. On October 21, 2021, the

<sup>&</sup>lt;sup>1</sup> The Complainant represents the African American Data & Research Institute.

<sup>&</sup>lt;sup>2</sup> Represented by Kaitlyn J. Michko, Esq. of The Law Offices of Savo, Schalk, Corsini, Gillespie, O'Grodnick, & Fisher, P.A. (Somerville, NJ).

<sup>&</sup>lt;sup>3</sup> The Complainant sought additional records that are not at issue in this complaint.

<sup>&</sup>lt;sup>4</sup> The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Executive Director the submissions necessary and relevant for the adjudication of this complaint.

Rotimi Owoh, Esq. (on behalf of African American Data & Research Institute) v. Berkeley Heights Police Department (Union), 2021-284 – Findings and Recommendations of the Executive Director

Custodian responded to the Complainant in writing providing a spreadsheet containing the requested information, as well as a settlement agreement for one of the officers.

# **Denial of Access Complaint:**

On November 10, 2021, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant asserted that the records did not provide the reasons for separation. The Complainant contended that simply stating "terminated", "resigned", or "retired," was insufficient under N.J.S.A. 47:1A-10.

The Complainant requested that the GRC compel the Custodian to comply fully with the OPRA request and award counsel fees.

## **Statement of Information:**

On November 30, 2021, the Custodian filed a Statement of Information ("SOI"). The Custodian certified that she received the Complainant's OPRA request on October 14, 2021. The Custodian certified that her search included obtaining a settlement agreement from the Administration/Personnel Department. The Custodian certified she responded in writing on October 21, 2021, providing a spreadsheet containing the requested information along with the settlement agreement.

The Custodian first argued that OPRA expressly excludes personnel and pension records from disclosure. See N.J.S.A. 47:1A-10; Kovalcik v. Somerset Cnty. Prosecutor's Office, 206 N.J. 581, 594 (2011). Further, the Custodian contended that the Council previously held that the information permitted to be disclosed under N.J.S.A. 47:1A-10 are considered a "government record," and the list expressed the Legislature's intent to maintain the confidentiality of personnel records.

The Custodian next argued that the Complainant sought personnel information beyond what is permitted under N.J.S.A. 47:1A-10. The Custodian asserted that in <u>Libertarians for Transparent Gov't v. Ocean Cnty. Prosecutor's Office</u>, 2018 N.J. Super. Unpub. LEXIS 25 (App. Div.) cert. denied, 235 N.J. 407 (2018), the Appellate Division considered the very issue in this matter. The Custodian contended that the court held that OPRA did not require the Custodian to release any additional information explaining the circumstances surrounding an employee's retirement or resignation. The Custodian asserted that in this matter, she provided a categorized list containing the requested personnel information which included the reason for separation. The Custodian thus argued the matter should be dismissed and not pay any counsel fees.

## **Additional Submissions:**

On December 8, 2021, the Complainant submitted a brief in response to the Custodian's SOI. The Complainant asserted that the Custodian failed to provide the "real reasons" for separation in response to his OPRA request.

The Complainant initially argued that the terms "terminated", "retired", or "resigned," did not sufficiently provide the "reason for separation" because they were merely types of employment separations and did not adequately describe the underlying basis thereof. The Complainant argued that the "reason" for separation was likely located within a separate document constituting a government record, and the Custodian was obligated to retrieve that record, rather than create a spreadsheet or list containing the words "terminated", "retired", or "resigned."

The Complainant next asserted that in many instances where a police officer is charged for crimes, they may enter a plea agreement which may require them to leave the police department or be removed from employment because of a conviction. The Complainant argued that it was insufficient for the Custodian to merely state the terms "retired", "resigned", or "terminated" as the reason for separation if the "real reason" was that the officer was compelled to separate as part of a plea agreement or sentence. The Complainant thus argued that the Custodian violated OPRA by not providing the "real reasons" for any of the separations listed.

The Complainant asserted that a guilty plea agreement between an officer and prosecutor is akin to a settlement agreement normally entered into in civil proceedings. <u>Libertarians for Transparent Gov't v. Cumberland Cnty.</u>, 465 <u>N.J. Super.</u> 11 (App. Div. 2020). The Complainant argued that civil settlement agreements are subject to OPRA, and therefore guilty plea agreements should also be subject to OPRA in accordance with <u>Libertarians</u>.

The Complainant contended that Berkeley Heights Township ("Township") did not want to provide the "real reasons" for separation due to the pervasive culture and predisposition to protect officers convicted of misconduct. The Complainant argued that providing single word descriptions was only partially truthful and did not promote OPRA's goal of transparency.

The Complainant asserted that as an example of police departments' culture, he noted that in response to a similar OPRA request, Millville Police Department stated that two (2) officers "resigned" from the department. The Complainant asserted that in fact the officers pleaded guilty to criminal charges and as part of the agreement and sentencing they were required to be separated from the department.

The Complainant requested that the GRC compel the Custodian to comply fully and truthfully with the OPRA request. The Complainant also requested the GRC declare the Complainant a prevailing party and award counsel fees.<sup>5</sup>

### **Analysis**

### **Unlawful Denial of Access**

OPRA provides that government records made, maintained, kept on file, or received by a

<sup>&</sup>lt;sup>5</sup> The Complainant further noted that access to the records should have been granted under the "common law 'right to access public records'." However, the GRC does not have the authority to address a requestor's common law right to access records. N.J.S.A. 47:1A-7(b); Rowan, Jr. v. Warren Hills Reg'l Sch. Dist. (Warren), GRC Complaint No. 2011-347 (January 2013); Kelly v. N.J. Dep't of Transp., GRC Complaint No. 2010-215 (November 2011). Thus, the GRC cannot address any common law right of access to the requested records.

Rotimi Owoh, Esq. (on behalf of African American Data & Research Institute) v. Berkeley Heights Police Department (Union), 2021-284 – Findings and Recommendations of the Executive Director

public agency in the course of its official business are subject to public access unless otherwise exempt. N.J.S.A. 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." N.J.S.A. 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to N.J.S.A. 47:1A-6.

In <u>Danis v. Garfield Bd. of Educ. (Bergen)</u>, GRC Complaint No. 2009-156, *et seq.* (Interim Order dated April 28, 2010), the Council found that the custodian did not unlawfully deny access to the requested records based on the custodian's certification that all such records were provided to the complainant. The Council held that the custodian's certification, in addition to the lack of refuting evidence from the complainant, was sufficient to meet the custodian's burden of proof. See also <u>Burns v. Borough of Collingswood</u>, GRC Complaint No. 2005-68 (September 2005); <u>Holland v. Rowan Univ.</u>, GRC Complaint No. 2014-63, *et seq.* (March 2015).

Here, the Complainant's OPRA request sought the "[n]ames, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to present." The Complainant also requested any "agreement" between the Township and any separated officer that would provide the "reason for separation," and included examples of such agreements within subparts (b) and (c) of the request.

The Custodian responded to the Complainant on October 21, 2021, providing a spreadsheet containing the requested information for all separated officers, which included the "reason for separation." The Custodian also provided a settlement agreement between the Township and one of the officers. In the SOI, the Custodian certified that she timely provided the Complainant with the requested information and was not obligated to provide any more detailed information. Although the Complainant identified instances where other municipalities possessed records elaborating on the "reason for separation," he failed to present any evidence that the Township possessed same at the time of the request.

Accordingly, the Custodian did not unlawfully deny access to the Complainant's October 14, 2021 OPRA request. N.J.S.A. 47:1A-6. Specifically, the Custodian certified, and the record reflects, that the Township provided all responsive records containing the requested information. See Danis, GRC 2009-156, et seq.

### **Prevailing Party Attorney's Fees**

### OPRA provides that:

A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the custodian's decision by filing an action in Superior Court . . .; or in lieu of filing an action in Superior Court, file a complaint with the Government Records Council . . A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

[<u>N.J.S.A.</u> 47:1A-6.]

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423 (App. Div. 2006), the Appellate Division held that a complainant is a "prevailing party" if he achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. <u>Id.</u> at 432. Additionally, the court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. <u>Id.</u>

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 71 (2008), the Court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct" (quoting Buckhannon Bd. & Care Home v. West Virginia Dep't of Health & Human Res., 532 U.S. 598, 131 S. Ct. 1835, 149 L. Ed. 2d 855 (2001)). In Buckhannon, the Supreme Court held that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." Id. at 603 (quoting Black's Law Dictionary 1145 (7<sup>th</sup> ed. 1999)). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties . . ." Id. at 605, 121 S. Ct. at 1840, 149 L. Ed. 2d at 863. Further, the Supreme Court expressed concern that the catalyst theory would spawn extra litigation over attorney's fees. Id. at 609, 121 S. Ct. at 1843, 149 L. Ed. 2d at 866.

However, the Court noted in <u>Mason</u> that <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 <u>N.J.</u> at 72, <u>citing Teeters</u>, 387 <u>N.J. Super.</u> at 429; <u>see</u>, *e.g.*, <u>Baer v. Klagholz</u>, 346 <u>N.J. Super.</u> 79 (App. Div. 2001) (applying <u>Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 <u>N.J.</u> at 73 (citations omitted).

The <u>Mason</u> Court accepted the application of the catalyst theory within the context of OPRA, stating that:

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." N.J.S.A. 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$500.00." N.J.S.A. 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.

[196 N.J. at 73-76.]

The Court in Mason, further held that:

[R]equestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved"; and (2) "that the relief ultimately secured by plaintiffs had a basis in law." <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, <u>cert. denied</u>, <u>New Jersey v. Singer</u>, 469 <u>U.S.</u> 832 (1984).

[<u>Id.</u> at 76.]

Here, the Complainant sought [n]ames, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to present," as well as any "agreement" providing the "reason for separation." The Custodian provided a list containing the requested personnel information, along with one settlement agreement. The Complainant then filed the instant complaint on November 10, 2021, asserting the Custodian failed to provide the "real reason" for the officers' separations. However, the Custodian responded and certified in the SOI that the Township provided all available records in its possession. Thus, the Complainant has not achieved the desired result and is not a prevailing party in this complaint.

Therefore, the Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432. Additionally, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason</u>, 196 <u>N.J.</u> at 76. Specifically, the Custodian certified that she provided the Complainant with all responsive records in the Township's possession. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 N.J. at 76.

## **Conclusions and Recommendations**

The Executive Director respectfully recommends the Council find that:

- 1. The Custodian did not unlawfully deny access to the Complainant's October 14, 2021 OPRA request. N.J.S.A. 47:1A-6. Specifically, the Custodian certified, and the record reflects, that Berkeley Heights Township provided all responsive records containing the requested information. See <u>Danis v. Garfield Bd. of Educ. (Bergen)</u>, GRC Complaint No. 2009-156, *et seq.* (Interim Order dated April 28, 2010).
- 2. The Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423, 432 (App. Div. 2006). Additionally, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 76 (2008). Specifically, the Custodian certified that she provided the Complainant with all responsive records in Berkeley Heights Township's

possession. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76.

Prepared By: Samuel A. Rosado

Staff Attorney

August 22, 2023