

State of New Jersey Department of Community Affairs 101 South Broad Street PO Box 819 Trenton, NJ 08625-0819

JACQUELYN A. SUÁREZ Commissioner

FINAL DECISION

December 10, 2024 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American Data & Research Institute) Complainant v. Chester Township Police Department (Morris) Custodian of Record Complaint No. 2022-109

At the December 10, 2024, public meeting, the Government Records Council ("Council") considered the December 3, 2024 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- The Custodian unlawfully denied access to the portion of the Complainant's March 7, 2022 OPRA request seeking disclosable personnel information of separated police officers from 2014 to the present. N.J.S.A. 47:1A-6. Specifically, the Custodian created a spreadsheet by extracting the information from physical records, rather than providing the most comprehensive record containing the requested information. <u>See Valdes v. Union City Bd. of Educ. (Hudson)</u>, GRC Complaint No. 2011-64 (Interim Order dated August 28, 2012); <u>Morgano v. Essex Cnty. Prosecutor's Office</u>, GRC Complaint No. 2007-156 (February 2008). Thus, the Custodian shall locate and provide such records to the Complainant.
- 2. The Custodian shall comply with conclusion No. 1 above within twenty (20) business days from receipt of the Council's Final Decision. In the circumstance where the records ordered for disclosure are not provided to the Complainant, the Council's Final Decision may be enforced in the Superior Court of New Jersey. <u>N.J. Court Rules, R.</u> 4:67-6; <u>N.J.A.C.</u> 5:105-2.9(c).
- 3. The Custodian did not unlawfully deny access to the portion of the Complainant's OPRA request seeking agreements between Chester Township and separated officers because she certified, and the record reflects, that she disclosed all responsive records. <u>Danis v. Garfield Bd. of Educ. (Bergen)</u>, GRC Complaint No. 2009-156, *et seq.* (Interim Order dated April 28, 2010).
- 4. The Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief *New Jersey is an Equal Opportunity Employer Printed on Recycled paper and Recyclable*

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ultimately achieved. <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 76 (2008). Specifically, the Custodian improperly provided a spreadsheet containing the requested information, rather than the actual records containing same. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13(c).

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 10th Day of December 2024

John A. Alexy, Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

Decision Distribution Date: December 12, 2024

STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

Findings and Recommendations of the Executive Director December 10, 2024 Council Meeting

Rotimi Owoh, Esq. (on behalf of African American Data & Research Institute)¹ Complainant GRC Complaint No. 2022-109

v.

Chester Township Police Department (Morris)² Custodial Agency

Records Relevant to Complaint:³ Electronic copies via e-mail of: Names, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to the present. <u>N.J.S.A.</u> 47:1A-10.

- a. This request includes any agreement entered with each one of the separated police officer(s).
- b. When stating the reason for separation, please note that some police officers separate due to plea deal, criminal convictions, criminal charges, sentences, and or other court agreement or court proceedings that require officers to be separated from your police department and or law enforcement jobs.
- c. Some police officers separate due to internal affairs investigations within the police departments.

Custodian of Record: Robin Collins Request Received by Custodian: March 16, 2022 Response Made by Custodian: March 22, 2022 GRC Complaint Received: April 8, 2022

Background⁴

Request and Response:

On March 7, 2022, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records. On March 22, 2022, the Custodian responded to the Complainant in writing providing a spreadsheet containing the requested

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¹ The Complainant represents the African American Data & Research Institute.

² Represented by John H. Suminski, Esq. of McElroy, Deutsch, Mulvaney & Carpenter, LLP (Morristown, NJ).

³ The Complainant sought additional records that are not at issue in this complaint.

⁴ The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Executive Director the submissions necessary and relevant for the adjudication of this complaint.

personnel information. The Custodian also provided two (2) separation agreements between officers and Chester Township ("Township") and stated no records exist pertaining to internal affairs records.

Denial of Access Complaint:

On April 8, 2022, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant asserted the records did not provide the reasons for separation. The Complainant also asserted that creating a new spreadsheet or list stating "terminated", "resigned", "retired", or "transferred to another PD" is not sufficient. The Complainant also stated the response did not state whether any officers left due to a plea deal or court proceeding that precludes them from law enforcement positions.

The Complainant requested the GRC to order the Custodian to comply with the Supreme Court decision <u>Libertarians for Transparent Gov't v. Cumberland Cnty.</u>, 250 <u>N.J.</u> 46 (2022), issued on March 7, 2022. The Complainant also requested the GRC award counsel fees.⁵

Statement of Information:

On June 30, 2022, the Custodian filed a Statement of Information ("SOI"). The Custodian certified she received the Complainant's OPRA request on March 15, 2022. The Custodian certified the request was reviewed with the Township's Chief Financial Officer, who reviewed the Township's payroll records. The Custodian also certified that the Police Chief provided the two (2) separation agreements. The Custodian certified that on March 25, 2022, she responded to the Complainant's request providing the responsive records.

The Custodian argued the Complainant's request for information was too broad and unclear and failed to specifically identify government records. <u>MAG Entm't, LLC v. Div. of Alcoholic</u> <u>Beverage Control</u>, 375 <u>N.J. Super.</u> 534, 546 (App. Div. 2005); <u>New Jersey Builder's New Jersey</u> <u>Council on Affordable Housing</u>, 390 <u>N.J. Super.</u> 166 (App. Div. 2012). The Custodian contended that the request was open-ended and required the Custodian to conduct a general search of the Township's files. The Custodian argued even though she would have been right to deny this portion of the request, she provided the Complainant with payroll records of all Township employees who have separated since 2014.

The Custodian next argued the Township provided separation agreements in response to the Complainant's OPRA request, and there are no other responsive records containing the "reasons for separation." The Custodian maintained she was not required to provide records that did not exist. <u>Goeckel v. Chatham Borough Police Dep't (Morris)</u>, GRC Complaint No. 2013-356 (July 2014).

⁵ The Complainant further noted that access to the records should have been granted under the "common law 'right to access public records." However, the GRC does not have the authority to address a requestor's common law right to access records. <u>N.J.S.A.</u> 47:1A-7(b); <u>Rowan, Jr. v. Warren Hills Reg'l Sch. Dist. (Warren)</u>, GRC Complaint No. 2011-347 (January 2013); <u>Kelly v. N.J. Dep't of Transp.</u>, GRC Complaint No. 2010-215 (November 2011). Thus, the GRC cannot address any common law right of access to the requested records.

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Additional Submissions:

On November 14, 2024, the GRC submitted a request for additional information to the Custodian. Specifically, the GRC inquired whether the information from the provided spreadsheet was collected from an electronic database, and whether the spreadsheet was created via Excel.

On November 15, 2024, the Custodian responded to the GRC's request for additional information. The Custodian certified that the information within the spreadsheet was not collected from an electronic database but was created from PDF files of employee records. The Custodian also certified that information from 2014 was collected from physical files.

On November 22, 2024, the GRC submitted another request for additional information to the Custodian. The GRC inquired whether the spreadsheet was created by converting the PDF files of employee records directly into an Excel spreadsheet, or if the spreadsheet was created by manually typing information taken from said PDF files.

On November 25, 2024, the Custodian responded to the GRC providing another certification. The Custodian certified that the spreadsheet was created by manually typing in information collected from both physical files and PDF files of employee records.

<u>Analysis</u>

Unlawful Denial of Access

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. <u>N.J.S.A.</u> 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." <u>N.J.S.A.</u> 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to <u>N.J.S.A.</u> 47:1A-6.

Personnel Information

Regarding personnel records, OPRA begins with a presumption against disclosure and "proceeds with a few narrow exceptions that . . . need to be considered." <u>Kovalcik v. Somerset</u> <u>Cnty. Prosecutor's Office</u>, 206 <u>N.J.</u> 581, 594 (2011). These exceptions include "an individual's name, title, position, salary, payroll record, length of service, date of separation and the reason therefore, and the amount and type of any pension received shall be government record." <u>N.J.S.A.</u> 47:1A-10 ("Section 10").

In <u>Danis v. Garfield Bd. of Educ. (Bergen)</u>, GRC Complaint No. 2009-156, *et seq.* (Interim Order dated June 29, 2010), the Council determined that "name, title, position, salary, payroll record and length of service" is information which is specifically considered to be a "government record" under Section 10, and that "payroll records" must be disclosed pursuant to <u>Jackson v. Kean Univ.</u>, GRC Complaint No. 2002-98 (February 2004). The Council thus held that the complainant's March 25, 2009, request for "[t]he name, position, salary, payroll record and length of service for every [agency] employee who was employed in whole or part from January 1, 2008,

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to March 24, 2009" was a valid request pursuant to OPRA. <u>Id.</u> at 5. Additionally, prior GRC case law supports the disclosure of database information regarding personnel actions. <u>See Matthews v.</u> <u>City of Atlantic City (Atlantic)</u>, GRC Complaint No. 2008-123 (February 2009).

However, the Council has previously required that responding to an OPRA request for personnel information requires a custodian provide the most comprehensive records containing the responsive information. <u>See Valdes v. Union City Bd. of Educ. (Hudson)</u>, GRC Complaint No. 2011-64 (Interim Order dated August 28, 2012). In <u>Valdes</u>, the complainant sought the same personnel information at issue in the instant case. The custodian denied access since the requestor sought only information and did not identify a specific record that may contain the requested information. The Council held that OPRA did not require the custodian to extract and synthesize requested information from government records, but instead to provide the most comprehensive record containing said information, with necessary redactions. <u>See also Morgano v. Essex Cnty.</u> Prosecutor's Office, GRC Complaint No. 2007-156 (February 2008).

In the instant matter, the Complainant requested in part Section 10 information from the Custodian. In response, the Custodian provided a spreadsheet containing the requested information. However, while such information could be provided in that format if originating from an electronic database, in response to the GRC's additional information requests, the Custodian certified that the data was manually created from both physical and electronic copies of personnel records. Thus, in accordance with <u>Valdes</u> and <u>Morgano</u>, the Custodian was obligated to instead provide the most comprehensive records containing Section 10 information, with redactions applied as necessary.

Accordingly, the Custodian unlawfully denied access to the portion of the Complainant's March 16, 2022 OPRA request seeking disclosable personnel information of separated police officers from 2014 to the present. <u>N.J.S.A.</u> 47:1A-6. Specifically, the Custodian created a spreadsheet by extracting the information from physical and electronic documents, rather than providing the most comprehensive records containing the requested information. <u>See Valdes</u>, GRC 2011-64; <u>Morgano</u>, 2007-156. Thus, the Custodian shall locate and provide such records to the Complainant.

<u>Agreements</u>

In <u>Danis</u>, GRC 2009-156, *et seq.*, the Council found that the custodian did not unlawfully deny access to the requested records based on the custodian's certification that all such records were provided to the complainant. The Council held that the custodian's certification, in addition to the lack of refuting evidence from the complainant, was sufficient to meet the custodian's burden of proof. <u>See also Burns v. Borough of Collingswood</u>, GRC Complaint No. 2005-68 (September 2005); <u>Holland v. Rowan Univ.</u>, GRC Complaint No. 2014-63, *et seq.* (March 2015).

In the instant matter, the Custodian certified that all responsive agreements between the Township and separated officers were provided to the Complainant on March 22, 2022. The Complainant filed the instant complaint claiming the Custodian failed to provide all records containing the reasons for separation but failed to provide any evidence contradicting the Custodian's certification.

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Therefore, the Custodian did not unlawfully deny access to the portion of the Complainant's OPRA request seeking agreements between the Township and separated officers because she certified, and the record reflects, that she disclosed all responsive records. <u>Danis</u>, GRC 2009-156, *et seq*.

Prevailing Party Attorney's Fees

OPRA provides that:

A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the custodian's decision by filing an action in Superior Court...; or in lieu of filing an action in Superior Court, file a complaint with the Government Records Council... A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

[<u>N.J.S.A.</u> 47:1A-6.]

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423 (App. Div. 2006), the Appellate Division held that a complainant is a "prevailing party" if he achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. <u>Id.</u> at 432. Additionally, the court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. <u>Id.</u>

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 71 (2008), the Court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct" (<u>quoting Buckhannon Bd. & Care Home v. West Virginia Dep't of Health & Human Res.</u>, 532 <u>U.S.</u> 598, 131 <u>S. Ct.</u> 1835, 149 <u>L. Ed.</u> 2d 855 (2001)). In <u>Buckhannon</u>, the Supreme Court held that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." <u>Id.</u> at 603 (<u>quoting Black's Law Dictionary</u> 1145 (7th ed. 1999)). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties . . ." <u>Id.</u> at 605, 121 <u>S. Ct.</u> at 1840, 149 <u>L. Ed.</u> 2d at 863. Further, the Supreme Court expressed concern that the catalyst theory would spawn extra litigation over attorney's fees. <u>Id.</u> at 609, 121 <u>S. Ct.</u> at 1843, 149 <u>L. Ed.</u> 2d at 866.

However, the Court noted in <u>Mason</u> that <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 <u>N.J.</u> at 72, <u>citing Teeters</u>, 387 <u>N.J. Super.</u> at 429; <u>see, e.g., Baer v. Klagholz</u>, 346 <u>N.J. Super.</u> 79 (App. Div. 2001) (applying <u>Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 N.J. at 73 (citations omitted).

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The <u>Mason</u> Court accepted the application of the catalyst theory within the context of OPRA, stating that:

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." <u>N.J.S.A.</u> 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$500.00." <u>N.J.S.A.</u> 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.

[196 <u>N.J.</u> at 73-76.]

The Court in <u>Mason</u>, further held that:

[R]equestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved"; and (2) "that the relief ultimately secured by plaintiffs had a basis in law." <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, <u>cert. denied</u>, <u>New Jersey v. Singer</u>, 469 <u>U.S.</u> 832 (1984).

[<u>Id.</u> at 76.]

Here, the Complainant sought in part the "[n]ames, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to the present." The Custodian responded in part by providing a spreadsheet containing the requested personnel information. The Complainant then filed the instant complaint on April 8, 2022, asserting the Custodian failed to provide the "real reason" for the officers' separations. The Complainant also asserted the Custodian did not provide the requested information via actual records but instead provided a created spreadsheet.

In determining whether the Complainant is a prevailing party entitled to attorney's fees, the GRC is satisfied the evidence of record supports a conclusion in the affirmative. In response to the GRC's inquiries, the Custodian certified the information contained in the spreadsheet was not collected an electronic database but was instead created manually. The Custodian is therefore obligated to locate and provide the actual records containing the requested personnel information. Thus, a causal nexus exists between this complaint and the change in the Custodian's conduct. Mason, 196 N.J. at 76. Accordingly, the Complainant is a prevailing party entitled to attorney's fees.⁶

⁶ The Council makes this determination with the understanding that the Complainant acted on behalf of a bona fide client at the time of the request. Although the Complainant's status as representing an actual client has been previously challenged, the available evidence on the record is insufficient to address that issue herein. <u>See Owoh, Esq. (O.B.O.</u>

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Therefore, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters</u>, 387 <u>N.J. Super</u>. at 432. Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason</u>, 196 <u>N.J.</u> at 76. Specifically, the Custodian improperly provided a spreadsheet containing the requested information, rather than the actual records containing same. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super</u>. at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76. **Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13(c).**

Conclusions and Recommendations

The Executive Director respectfully recommends the Council find that:

- The Custodian unlawfully denied access to the portion of the Complainant's March 7, 2022 OPRA request seeking disclosable personnel information of separated police officers from 2014 to the present. N.J.S.A. 47:1A-6. Specifically, the Custodian created a spreadsheet by extracting the information from physical records, rather than providing the most comprehensive record containing the requested information. See Valdes v. Union City Bd. of Educ. (Hudson), GRC Complaint No. 2011-64 (Interim Order dated August 28, 2012); Morgano v. Essex Cnty. Prosecutor's Office, GRC Complaint No. 2007-156 (February 2008). Thus, the Custodian shall locate and provide such records to the Complainant.
- 2. The Custodian shall comply with conclusion No. 1 above within twenty (20) business days from receipt of the Council's Final Decision. In the circumstance where the records ordered for disclosure are not provided to the Complainant, the Council's Final Decision may be enforced in the Superior Court of New Jersey. N.J. Court Rules, R. 4:67-6; N.J.A.C. 5:105-2.9(c).
- 3. The Custodian did not unlawfully deny access to the portion of the Complainant's OPRA request seeking agreements between Chester Township and separated officers because she certified, and the record reflects, that she disclosed all responsive records. <u>Danis v. Garfield Bd. of Educ. (Bergen)</u>, GRC Complaint No. 2009-156, *et seq.* (Interim Order dated April 28, 2010).
- The Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief

<u>AADARI</u>) v. Neptune City Police Dep't (Monmouth), GRC Complaint No. 2018-153 (April 2020) and <u>Owoh, Esq.</u> (O.B.O. AADARI) v. Freehold Twp. Police Dep't (Monmouth), GRC Complaint No. 2018-155 (Interim Order dated September 29, 2020).

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⁻ Findings and Recommendations of the Executive Director

ultimately achieved. <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 76 (2008). Specifically, the Custodian improperly provided a spreadsheet containing the requested information, rather than the actual records containing same. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13(c).

Prepared By: Samuel A. Rosado Senior Staff Attorney

December 3, 2024