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JACQUELYN A. SUÁREZ
Commissioner

#### FINAL DECISION

### June 25, 2024 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American
Data & Research Institute)
Complainant
v.
Galloway Township Police Department (Atlantic)
Custodian of Record

Complaint No. 2022-46

At the June 25, 2024 public meeting, the Government Records Council ("Council") considered the June 18, 2024 Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- 1. The Custodian's January 18, 2022 response is insufficient because the Custodian failed to address each request item. N.J.S.A. 47:1A-5(g). see Paff v. Willingboro Bd. of Educ. (Burlington), GRC Complaint No. 2007-272 (May 2008); Lenchitz v. Pittsgrove Twp. (Salem), GRC Complaint No. 2012-265 (Interim Order dated August 27, 2013). Specifically, the Custodian failed to indicate whether responsive settlements existed between the Township and any separated police officer.
- 2. The Custodian performed an insufficient search for the portion of the Complainant's OPRA request seeking disclosable personnel information of officers separated from Galloway Township from 2014 to present. N.J.S.A. 47:1A-6; Schneble v. N.J. Dep't of Envtl. Protection, GRC Complaint No. 2007-220 (April 2008). However, the GRC declines to order disclosure of the personnel information because the Custodian disclosed same to the Complainant on March 24, 2022, in conjunction with the Statement of Information.
- 3. The notwithstanding the Custodian's insufficient response, she has borne her burden of proof that she lawfully denied access to the Complainant's OPRA request seeking any "agreement[s]" between Galloway Township and separated police officers. Specifically, the Custodian certified, and the record reflects, that no such records exist. N.J.S.A. 47:1A-6; see Pusterhofer v. N.J. Dep't of Educ., GRC Complaint No. 2005-49 (July 2005).
- 4. The Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief New Jersey is an Equal Opportunity Employer Printed on Recycled paper and Recyclable



ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 76 (2008). Specifically, the Custodian located responsive records after the instant complaint was filed upon conducting an additional search. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. at 432, and Mason, 196 N.J. at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13(c).

This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 25<sup>th</sup> Day of June 2024

Robin Berg Tabakin, Esq., Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

**Decision Distribution Date: June 27, 2024** 

## STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

# Findings and Recommendations of the Executive Director June 25, 2024 Council Meeting

Rotimi Owoh, Esq. (on Behalf of African American Data & Research Institute)<sup>1</sup> Complainant GRC Complaint No. 2022-46

v.

# Galloway Township Police Department (Atlantic)<sup>2</sup> Custodial Agency

**Records Relevant to Complaint:** Electronic copies via e-mail of: Names, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to the present. N.J.S.A. 47:1A-10.

- a. This request includes any agreement entered with each one of the separated police officer(s).
- b. When stating the reason for separation, please note that some police officers separate due to plea deal, criminal convictions, criminal charges, sentences, and or other court agreement or court proceedings that require officers to be separated from your police department and or law enforcement jobs.
- c. Some police officers separate due to internal affairs investigations within the police departments.

Custodian of Record: Kelli Danieli

Request Received by Custodian: January 7, 2022 Response Made by Custodian: January 18, 2022 GRC Complaint Received: March 3, 2022

Background<sup>3</sup>

### Request and Response:

On January 7, 2022, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records. On January 18, 2022, the Custodian's responded to the Complainant in writing via e-mail, stating that per the Galloway

<sup>&</sup>lt;sup>1</sup> The Complainant represents the African American Data & Research Institute.

<sup>&</sup>lt;sup>2</sup> Represented by William Reynolds, Esq. and Marc Nehmad, Esq., of the Law Office of William Reynolds & Marc Nehmad (Northfield, NJ).

<sup>&</sup>lt;sup>3</sup> The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Executive Director the submissions necessary and relevant for the adjudication of this complaint.

Rotimi Owoh, Esq. (on Behalf of African American Data & Research Institute) v. Galloway Township Police Department (Atlantic), 2022-46 – Findings and Recommendations of the Executive Director

Township ("Township") Payroll Department and Police Department ("GPD") no documents exist in response. The Custodian stated that the Township did not keep a list of separated police officers from 2014 to present.

## **Denial of Access Complaint:**

On March 3, 2022, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant asserted that the Township's response was not responsive to his request. The Complainant also asserted that creating a new spreadsheet or list stating "terminated" or "resigned" or "retired" is not sufficient. The Complainant also stated that the response did not state whether any officers left due to a plea deal or court proceeding that precludes them from law enforcement positions. The Complainant requested that the GRC compel the Custodian to comply fully with the OPRA request and award counsel fees.

#### Statement of Information:

On March 24, 2022, the Custodian filed a Statement of Information ("SOI"). The Custodian certified that the Township received the Complainant's OPRA request on January 7, 2022. The Custodian certified that she e-mailed GPD and the Payroll Department asking if they kept a list of police officers who have been separated or terminated within the specified dates. The Custodian certified that both departments informed her that neither of them keep such a list. The Custodian certified that she responded to the Complainant in writing on January 18, 2022, stating that no responsive records exist.

The Custodian asserted that when she received the instant complaint, she was advised by the Township attorney to reach out to the Payroll Department and GPD to determine whether they use a personal management system to track personnel information. The Custodian asserted that both departments did use a system but were not sure if they were able to create a report from them that would contain the requested information. The Custodian stated that the Payroll Department was able to create a report from 2014 to present, but GPD was only able to create a list of terminated employees from 2020 to present. The Custodian stated that both records were provided to the Complainant as part of the SOI, with redactions made to non-police personnel.

### **Additional Submissions:**

On May 21, 2024, the GRC submitted a request for additional information to the Custodian. Specifically, the GRC inquired whether the Custodian searched for and provided any "agreements" the City entered with any separated police officers. The GRC also stated that if no search was conducted, the Custodian must conduct same and certify whether any responsive records were located.

On May 22, 2024, the Custodian responded to the GRC's request for additional information. The Custodian certified that at the time of the request, the Township searched for agreements between the Township and the identified separated officers. The Custodian certified that no agreements were located.

#### **Analysis**

## **Sufficiency of Response**

OPRA provides that if a "custodian is unable to comply with a request for access, the custodian *shall indicate the specific basis therefor* . . . on the request form and promptly return it to the requestor." N.J.S.A. 47:1A-5(g) (emphasis added). In Paff v. Willingboro Bd. of Educ. (Burlington), GRC Complaint No. 2007-272 (May 2008), the Council held that ". . . [t]he Custodian's response was legally insufficient because he failed to respond to each request item individually. Therefore, the Custodian has violated N.J.S.A. 47:1A-5(g)." See also Lenchitz v. Pittsgrove Twp. (Salem), GRC Complaint No. 2012-265 (Interim Order dated August 27, 2013).

Upon review, the GRC is satisfied that the Custodian provided an insufficient response. Here, the Custodian responded to the Complainant's OPRA request stating that no responsive records exist containing the requested personnel information. However, the e-mail failed to identify whether the Custodian was also denying access to the portion seeking agreements between the Township and separated officers. The SOI was also unclear whether a search for agreements was conducted in addition to records containing the personnel information. Furthermore, it was not until the Custodian certified in response to the GRC's request for additional information that a search for such agreements was conducted with no responsive records located. The facts here are on point with those <u>Paff</u>; thus, it follows there was an insufficient response in the instant complaint.

Therefore, the Custodian's January 18, 2022 response is insufficient because the Custodian failed to address each request item. N.J.S.A. 47:1A-5(g). see Paff, GRC 2007-272; Lenchitz, GRC 2012-265. Specifically, the Custodian failed to indicate whether responsive settlements existed between the Township and any separated police officer.

### **Sufficiency of Search**

It is the custodian's responsibility to perform a complete search for the requested records before responding to an OPRA request, as doing so will help ensure that the custodian's response is accurate and has an appropriate basis in law. In Schneble v. N.J. Dep't of Envtl. Protection, GRC Complaint No. 2007-220 (April 2008), the custodian initially stated that no records responsive to the complainant's OPRA request existed. The custodian certified that after receipt of the complainant's denial of access complaint, which contained e-mails responsive to the complainant's request, the custodian conducted a second search and found records responsive to the complainant's request. The GRC held that the custodian had performed an inadequate search and thus unlawfully denied access to the responsive records. See also Lebbing v. Borough of Highland Park (Middlesex), GRC Complaint No. 2009-251 (January 2011).

In the instant matter, the Custodian initially responded stating that no records exist containing the requested personnel information. However, upon receiving the Denial of Access Complaint, the Township's attorney advised the Custodian to inquire whether GPD and the Payroll Department utilized electronic personnel management systems that would catalog the requested information. The Custodian certified that both agencies did utilize such programs, and were able to generate records containing most of the requested information. The Custodian then provided

copies of those records alongside the SOI. Therefore, the Custodian's initial search was incomplete since a subsequent search yielded responsive records. <u>Schneble</u>, GRC 2007-220.

Accordingly, the Custodian performed an insufficient search for the portion of the Complainant's OPRA request seeking disclosable personnel information of officers separated from the Township from 2014 to present. N.J.S.A. 47:1A-6; Schneble, GRC 2007-220. However, the GRC declines to order disclosure of the personnel information because the Custodian disclosed same to the Complainant on March 24, 2022, in conjunction with the SOI.

## **Unlawful Denial of Access**

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. N.J.S.A. 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." N.J.S.A. 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to N.J.S.A. 47:1A-6.

#### Agreements

The Council has previously found that, where a custodian certified that no responsive records exist, no unlawful denial of access occurred. Pusterhofer v. N.J. Dep't of Educ., GRC Complaint No. 2005-49 (July 2005). Here, in addition to the requested personnel information, the Complainant sought any "agreement" between the Township and any separated officer containing the "reason for separation." On January 18, 2022, the Custodian responded to the Complainant stating that no responsive records exist. Additionally, in response to the GRC's request for additional information, the Custodian certified that the Township conducted a search at the time of the request and that no responsive agreements were located. Additionally, the Complainant failed to present any evidence that the Township possessed same at the time of the request, or to refute the Custodian's certification.

Accordingly, notwithstanding the Custodian's insufficient response, she has borne her burden of proof that she lawfully denied access to the Complainant's OPRA request seeking any "agreement[s]" between the Township and separated police officers. Specifically, the Custodian certified, and the record reflects, that no such records exist. N.J.S.A. 47:1A-6; see Pusterhofer, GRC 2005-49.

#### **Prevailing Party Attorney's Fees**

#### OPRA provides that:

A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the custodian's decision by filing an action in Superior Court . . .; or in lieu of filing an action in Superior Court, file a complaint with the Government Records Council . . A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

[N.J.S.A. 47:1A-6.]

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super.</u> 423 (App. Div. 2006), the Appellate Division held that a complainant is a "prevailing party" if he achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. <u>Id.</u> at 432. Additionally, the court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. <u>Id.</u>

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 71 (2008), the Court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct" (quoting Buckhannon Bd. & Care Home v. West Virginia Dep't of Health & Human Res., 532 U.S. 598, 131 S. Ct. 1835, 149 L. Ed. 2d 855 (2001)). In Buckhannon, the Supreme Court held that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." Id. at 603 (quoting Black's Law Dictionary 1145 (7<sup>th</sup> ed. 1999)). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties . . ." Id. at 605, 121 S. Ct. at 1840, 149 L. Ed. 2d at 863. Further, the Supreme Court expressed concern that the catalyst theory would spawn extra litigation over attorney's fees. Id. at 609, 121 S. Ct. at 1843, 149 L. Ed. 2d at 866.

However, the Court noted in <u>Mason</u> that <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 <u>N.J.</u> at 72, <u>citing Teeters</u>, 387 <u>N.J. Super.</u> at 429; <u>see</u>, *e.g.*, <u>Baer v. Klagholz</u>, 346 <u>N.J. Super.</u> 79 (App. Div. 2001) (applying <u>Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 N.J. at 73 (citations omitted).

The <u>Mason</u> Court accepted the application of the catalyst theory within the context of OPRA, stating that:

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." N.J.S.A. 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$500.00." N.J.S.A. 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.

[196 N.J. at 73-76.]

The Court in Mason, further held that:

[R]equestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved"; and (2) "that the relief ultimately secured by plaintiffs had a basis in law." <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, <u>cert. denied</u>, <u>New Jersey v. Singer</u>, 469 <u>U.S.</u> 832 (1984).

[<u>Id.</u> at 76.]

Here, the Complainant sought in part the "[n]names, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to the present." The Custodian initially responded stating that no responsive records exist. The Complainant then filed the instant complaint on March 3, 2022, asserting the Custodian failed to provide the "real reason" for the officers' separations.

In determining whether the Complainant is a prevailing party entitled to attorney's fees, the GRC is satisfied that the evidence of record supports a conclusion in the affirmative. The Custodian provided an insufficient search by initially stating that no records exist. However, upon receiving the Denial of Access Complaint, the Custodian discovered the Township had the ability to provide some of the requested personnel information requested. The Custodian then certified she provided the Complainant with those records alongside the SOI. Thus, a causal nexus exists between this complaint and the change in the Custodian's conduct. Mason, 196 N.J. at 76. Accordingly, the Complainant is a prevailing party entitled to attorney's fees.<sup>4</sup>

Therefore, the Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432. Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason</u>, 196 <u>N.J.</u> at 76. Specifically, the Custodian located responsive records after the instant complaint was filed upon conducting an additional search. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76. **Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with <u>N.J.A.C.</u> 5:105-2.13(c).** 

<sup>&</sup>lt;sup>4</sup> The Council makes this determination with the understanding that the Complainant acted on behalf of a bona fide client at the time of the request. Although the Complainant's status as representing an actual client has been previously challenged, the available evidence on the record is insufficient to address that issue herein. See Owoh, Esq. (O.B.O. AADARI) v. Neptune City Police Dep't (Monmouth), GRC Complaint No. 2018-153 (April 2020) and Owoh, Esq. (O.B.O. AADARI) v. Freehold Twp. Police Dep't (Monmouth), GRC Complaint No. 2018-155 (Interim Order dated September 29, 2020).

Rotimi Owoh, Esq. (on Behalf of African American Data & Research Institute) v. Galloway Township Police Department (Atlantic), 2022-46 – Findings and Recommendations of the Executive Director

### **Conclusions and Recommendations**

The Executive Director respectfully recommends the Council find that:

- 1. The Custodian's January 18, 2022 response is insufficient because the Custodian failed to address each request item. N.J.S.A. 47:1A-5(g). see Paff v. Willingboro Bd. of Educ. (Burlington), GRC Complaint No. 2007-272 (May 2008); Lenchitz v. Pittsgrove Twp. (Salem), GRC Complaint No. 2012-265 (Interim Order dated August 27, 2013). Specifically, the Custodian failed to indicate whether responsive settlements existed between the Township and any separated police officer.
- 2. The Custodian performed an insufficient search for the portion of the Complainant's OPRA request seeking disclosable personnel information of officers separated from Galloway Township from 2014 to present. N.J.S.A. 47:1A-6; Schneble v. N.J. Dep't of Envtl. Protection, GRC Complaint No. 2007-220 (April 2008). However, the GRC declines to order disclosure of the personnel information because the Custodian disclosed same to the Complainant on March 24, 2022, in conjunction with the Statement of Information.
- 3. The notwithstanding the Custodian's insufficient response, she has borne her burden of proof that she lawfully denied access to the Complainant's OPRA request seeking any "agreement[s]" between Galloway Township and separated police officers. Specifically, the Custodian certified, and the record reflects, that no such records exist. N.J.S.A. 47:1A-6; see Pusterhofer v. N.J. Dep't of Educ., GRC Complaint No. 2005-49 (July 2005).
- 4. The Complainant has achieved "the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct." Teeters v. DYFS, 387 N.J. Super. 423, 432 (App. Div. 2006). Additionally, a factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. Mason v. City of Hoboken and City Clerk of the City of Hoboken, 196 N.J. 51, 76 (2008). Specifically, the Custodian located responsive records after the instant complaint was filed upon conducting an additional search. Therefore, the Complainant is a prevailing party entitled to an award of a reasonable attorney's fee. See N.J.S.A. 47:1A-6, Teeters, 387 N.J. Super. at 432, and Mason, 196 N.J. at 76. Based on this determination, the parties shall confer in an effort to decide the amount of reasonable attorney's fees to be paid to Complainant within twenty (20) business days. The parties shall promptly notify the GRC in writing if a fee agreement is reached. If the parties cannot agree on the amount of attorney's fees, Complainant's Counsel shall submit a fee application to the Council in accordance with N.J.A.C. 5:105-2.13(c).

Prepared By: Samuel A. Rosado Staff Attorney

June 18, 2024