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#### PHILIP D. MURPHY Governor

TAHESHA L. WAY Lieutenant Governor

# FINAL DECISION

## November 7, 2024 Government Records Council Meeting

Rotimi Owoh, Esq. (o/b/o African American Data & Research Institute) Complainant v. Riverton Police Department (Burlington) Custodian of Record

Complaint No. 2022-97

At the November 7, 2024, public meeting, the Government Records Council ("Council") considered the October 29, 2024, Findings and Recommendations of the Executive Director and all related documentation submitted by the parties. The Council voted unanimously to adopt the entirety of said findings and recommendations. The Council, therefore, finds that:

- The Custodian's March 21, 2022 response is insufficient because she failed to address each request item. <u>N.J.S.A.</u> 47:1A-5(g). <u>See Paff v. Willingboro Bd. of Educ.</u> (Burlington), GRC Complaint No. 2007-272 (May 2008); <u>Lenchitz v. Pittsgrove Twp.</u> (Salem), GRC Complaint No. 2012-265 (Interim Order dated August 27, 2013). Specifically, the Custodian failed to indicate whether responsive agreements existed between the Borough of Riverton and any separated police officer.
- Notwithstanding the Custodian's insufficient response, she has borne her burden of proof that she lawfully denied access to the Complainant's OPRA request seeking any "agreement[s]" between the Borough of Riverton and separated police officers. Specifically, the Custodian certified, and the record reflects, that no such records exist. <u>N.J.S.A.</u> 47:1A-6; see Pusterhofer v. N.J. Dep't of Educ., GRC Complaint No. 2005-49 (July 2005).
- 3. The Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. <u>Teeters v.</u> <u>DYFS</u>, 387 <u>N.J. Super.</u> 423, 432 (App. Div. 2006). Additionally, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 76 (2008). Specifically, the Custodian certified that she provided the Complainant with all responsive records in the Borough of Riverton's possession and that no agreements exist. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76.





State of New Jersey Department of Community Affairs

> 101 SOUTH BROAD STREET PO Box 819

TRENTON, NJ 08625-0819

JACQUELYN A. SUÁREZ Commissioner This is the final administrative determination in this matter. Any further review should be pursued in the Appellate Division of the Superior Court of New Jersey within forty-five (45) days. Information about the appeals process can be obtained from the Appellate Division Clerk's Office, Hughes Justice Complex, 25 W. Market St., PO Box 006, Trenton, NJ 08625-0006. Proper service of submissions pursuant to any appeal is to be made to the Council in care of the Executive Director at the State of New Jersey Government Records Council, 101 South Broad Street, PO Box 819, Trenton, NJ 08625-0819.

Final Decision Rendered by the Government Records Council On The 7<sup>th</sup> Day of November 2024

John A. Alexy, Chair Government Records Council

I attest the foregoing is a true and accurate record of the Government Records Council.

Steven Ritardi, Esq., Secretary Government Records Council

### **Decision Distribution Date:** November 12, 2024

## STATE OF NEW JERSEY GOVERNMENT RECORDS COUNCIL

## Findings and Recommendations of the Executive Director November 7, 2024 Council Meeting

## Rotimi Owoh, Esq. (on Behalf of African American Data & Research Institute)<sup>1</sup> Complainant

GRC Complaint No. 2022-97

v.

# Riverton Police Department (Burlington)<sup>2</sup> Custodial Agency

**Records Relevant to Complaint:** Electronic copies via e-mail of: Names, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to the present. <u>N.J.S.A.</u> 47:1A-10.

- a. This request includes any agreement entered with each one of the separated police officer(s).
- b. When stating the reason for separation, please note that some police officers separate due to plea deal, criminal convictions, criminal charges, sentences, and or other court agreement or court proceedings that require officers to be separated from your police department and or law enforcement jobs.
- c. Some police officers separate due to internal affairs investigations within the police departments.

Custodian of Record: Michelle Hack Request Received by Custodian: February 25, 2022 Response Made by Custodian: March 10, 2022; March 21, 2022 GRC Complaint Received: April 7, 2022

# **Background**<sup>3</sup>

## Request and Response:

On February 24, 2022, the Complainant submitted an Open Public Records Act ("OPRA") request to the Custodian seeking the above-mentioned records. On March 10, 2022, the Custodian responded to the Complainant in writing extending the time to respond to March 21, 2022.

<sup>&</sup>lt;sup>1</sup> The Complainant represents the African American Data & Research Institute.

<sup>&</sup>lt;sup>2</sup> Represented by Stephen E. Raymond, Esq., of Raymond, Coleman, Heinold, LLP (Moorestown, NJ).

<sup>&</sup>lt;sup>3</sup> The parties may have submitted additional correspondence or made additional statements/assertions in the submissions identified herein. However, the Council includes in the Findings and Recommendations of the Executive Director the submissions necessary and relevant for the adjudication of this complaint.

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On March 21, 2022, the Custodian responded to the Complainant in writing via e-mail, providing redacted payroll records of the employees, with written indications of which officers resigned from the Borough of Riverton ("Borough").

#### Denial of Access Complaint:

On April 7, 2022, the Complainant filed a Denial of Access Complaint with the Government Records Council ("GRC"). The Complainant asserted that the records did not provide the reasons for separation. The Complainant also asserted that creating a new spreadsheet or list stating "terminated" or "resigned" or "retired" is not sufficient. The Complainant also stated that the response did not state whether any officers left due to a plea deal or court proceeding that precludes them from law enforcement positions.

The Complainant requested the GRC order the Custodian to comply with the Supreme Court's March 7, 2022 decision <u>Libertarians for Transparent Gov't v. Cumberland Cnty.</u>, 250 <u>N.J.</u> 46 (2022). The Complainant also requested the GRC award counsel fees.<sup>4</sup>

### Statement of Information:

On June 14, 2022, the Custodian filed a Statement of Information ("SOI"). The Custodian certified that the Borough received the Complainant's OPRA request on February 25, 2022. The Custodian certified that she used the Borough's payroll database to locate the requested personnel information. The Custodian certified that with the help of the Chief of Police they were unable to locate any document containing the "reasons" for separation for subparts (a), (b), and (c) of the request. The Custodian certified that she responded to the Complainant in writing on March 21, 2022, providing responsive records with redactions.

The Custodian first asserted that while the Complainant's request for personnel information was invalid pursuant to <u>MAG Entm't, LLC v. Div. of Alcoholic Beverage Control</u>, 375 <u>N.J. Super</u>. 534 (App. Div. 2005), she nevertheless provided the Complainant with payroll records, as they included most of the requested information. The Custodian asserted that every separated officer resigned and indicated same on the payroll records via handwritten notation. The Custodian asserted that the provided records included all officers employed by the Borough, not just those who have separated, thus providing the Complainant with more information than was required.

Regarding the Complainant's request for agreements, and whether any officer separated due to a plea agreement, the Custodian maintained that the Borough did not possess any document containing any further or underlying information "reasons" for separation. The Custodian contended that the handwritten notations in the payroll records directly addressed the question of whether any officer separated due to a plea agreement. Further, the Custodian argued that this portion of the request was nevertheless invalid pursuant to <u>MAG</u>.

<sup>&</sup>lt;sup>4</sup> The Complainant further noted that access to the records should have been granted under the "common law 'right to access public records'." However, the GRC does not have the authority to address a requestor's common law right to access records. <u>N.J.S.A.</u> 47:1A-7(b); <u>Rowan, Jr. v. Warren Hills Reg'l Sch. Dist. (Warren)</u>, GRC Complaint No. 2011-347 (January 2013); <u>Kelly v. N.J. Dep't of Transp.</u>, GRC Complaint No. 2010-215 (November 2011). Thus, the GRC cannot address any common law right of access to the requested records.

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### **Analysis**

#### **Sufficiency of Response**

OPRA provides that if a "custodian is unable to comply with a request for access, the custodian *shall indicate the specific basis therefor* . . . on the request form and promptly return it to the requestor." <u>N.J.S.A.</u> 47:1A-5(g) (emphasis added). In <u>Paff v. Willingboro Bd. of Educ.</u> (Burlington), GRC Complaint No. 2007-272 (May 2008), the Council held that ". . . [t]he Custodian's response was legally insufficient because he failed to respond to each request item individually. Therefore, the Custodian has violated <u>N.J.S.A.</u> 47:1A-5(g)." <u>See also Lenchitz v.</u> <u>Pittsgrove Twp. (Salem)</u>, GRC Complaint No. 2012-265 (Interim Order dated August 27, 2013).

Upon review, the GRC is satisfied the Custodian provided an insufficient response. Here, the Custodian responded to the Complainant's OPRA request providing records containing the requested information. However, the Custodian's response did not indicate whether any "agreement" existed between the Borough and the officers. It was not until the Custodian certified in the SOI that the records contained in the correspondence were responsive to the request for personnel information under <u>N.J.S.A.</u> 47:1A-10. It was not until the SOI that the Custodian certified the Borough did not possess any responsive agreements. As the facts here are on point with those in <u>Paff</u>, it follows the Custodian's response here was insufficient.

Therefore, the Custodian's March 21, 2022 response is insufficient because she failed to address each request item. <u>N.J.S.A.</u> 47:1A-5(g). <u>See Paff</u>, GRC 2007-272; <u>Lenchitz</u>, GRC 2012-265. Specifically, the Custodian failed to indicate whether responsive agreements existed between the Borough and any separated police officer.

#### **Unlawful Denial of Access**

OPRA provides that government records made, maintained, kept on file, or received by a public agency in the course of its official business are subject to public access unless otherwise exempt. <u>N.J.S.A.</u> 47:1A-1.1. A custodian must release all records responsive to an OPRA request "with certain exceptions." <u>N.J.S.A.</u> 47:1A-1. Additionally, OPRA places the burden on a custodian to prove that a denial of access to records is lawful pursuant to <u>N.J.S.A.</u> 47:1A-6.

#### <u>Agreements</u>

The Council has previously found that, where a custodian certified that no responsive records exist, no unlawful denial of access occurred. <u>Pusterhofer v. N.J. Dep't of Educ.</u>, GRC Complaint No. 2005-49 (July 2005). Here, in addition to the requested personnel information, the Complainant sought any "agreement" between the Borough and any separated officer containing the "reasons" for separation. On March 21, 2022, the Custodian responded to the Complainant providing records containing the requested personnel information but did not address the request for agreements. However, the Custodian certified in the SOI that the Borough conducted a search at the time of the request and that no responsive agreements were located. Additionally, the Complainant failed to present any evidence that the Borough possessed same at the time of the request, or to refute the Custodian's certification.

Accordingly, notwithstanding the Custodian's insufficient response, she has borne her burden of proof that she lawfully denied access to the Complainant's OPRA request seeking any "agreement[s]" between the Borough and separated police officers. Specifically, the Custodian certified, and the record reflects, that no such records exist. <u>N.J.S.A.</u> 47:1A-6; <u>see Pusterhofer</u>, GRC 2005-49.

#### Personnel Information

The GRC notes that the Complainant raised arguments in the Denial of Access Complaint that are wholly inapposite to the evidence presented. Specifically, the Custodian provided the requested personnel information through payroll records and not as information via a manually generated list or spreadsheet. Furthermore, because the Complainant did not raise an issue with redactions made to the disclosed records, the GRC declines to address same.

#### **Prevailing Party Attorney's Fees**

OPRA provides that:

A person who is denied access to a government record by the custodian of the record, at the option of the requestor, may: institute a proceeding to challenge the custodian's decision by filing an action in Superior Court . . .; or in lieu of filing an action in Superior Court, file a complaint with the Government Records Council . . . A requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee.

#### [<u>N.J.S.A.</u> 47:1A-6.]

In <u>Teeters v. DYFS</u>, 387 <u>N.J. Super</u>. 423 (App. Div. 2006), the Appellate Division held that a complainant is a "prevailing party" if he achieves the desired result because the complaint brought about a change (voluntary or otherwise) in the custodian's conduct. <u>Id.</u> at 432. Additionally, the court held that attorney's fees may be awarded when the requestor is successful (or partially successful) via a judicial decree, a quasi-judicial determination, or a settlement of the parties that indicates access was improperly denied and the requested records are disclosed. <u>Id.</u>

Additionally, the New Jersey Supreme Court has ruled on the issue of "prevailing party" attorney's fees. In <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 71 (2008), the Court discussed the catalyst theory, "which posits that a plaintiff is a 'prevailing party' if it achieves the desired result because the lawsuit brought about a voluntary change in the defendant's conduct" (<u>quoting Buckhannon Bd. & Care Home v. West Virginia Dep't of Health & Human Res.</u>, 532 <u>U.S.</u> 598, 131 <u>S. Ct.</u> 1835, 149 <u>L. Ed.</u> 2d 855 (2001)). In <u>Buckhannon</u>, the Supreme Court held that the phrase "prevailing party" is a legal term of art that refers to a "party in whose favor a judgment is rendered." <u>Id.</u> at 603 (<u>quoting Black's Law Dictionary</u> 1145 (7<sup>th</sup> ed. 1999)). The Supreme Court rejected the catalyst theory as a basis for prevailing party attorney fees, in part because "[i]t allows an award where there is no judicially sanctioned change in the legal relationship of the parties . . ." <u>Id.</u> at 605, 121 <u>S. Ct.</u> at 1840, 149 <u>L. Ed.</u> 2d at 863. Further, the

Supreme Court expressed concern that the catalyst theory would spawn extra litigation over attorney's fees. Id. at 609, 121 S. Ct. at 1843, 149 L. Ed. 2d at 866.

However, the Court noted in <u>Mason</u> that <u>Buckhannon</u> is binding only when counsel fee provisions under federal statutes are at issue. 196 <u>N.J.</u> at 72, <u>citing Teeters</u>, 387 <u>N.J. Super</u>. at 429; <u>see, e.g., Baer v. Klagholz</u>, 346 <u>N.J. Super</u>. 79 (App. Div. 2001) (applying <u>Buckhannon</u> to the federal Individuals with Disabilities Education Act), <u>certif. denied</u>, 174 <u>N.J.</u> 193 (2002). "But in interpreting New Jersey law, we look to state law precedent and the specific state statute before us. When appropriate, we depart from the reasoning of federal cases that interpret comparable federal statutes." 196 <u>N.J.</u> at 73 (citations omitted).

The <u>Mason</u> Court accepted the application of the catalyst theory within the context of OPRA, stating that:

OPRA itself contains broader language on attorney's fees than the former RTKL did. OPRA provides that "[a] requestor who prevails in any proceeding shall be entitled to a reasonable attorney's fee." <u>N.J.S.A.</u> 47:1A-6. Under the prior RTKL, "[a] plaintiff in whose favor such an order [requiring access to public records] issues . . . may be awarded a reasonable attorney's fee not to exceed \$500.00." <u>N.J.S.A.</u> 47:1A-4 (repealed 2002). The Legislature's revisions therefore: (1) mandate, rather than permit, an award of attorney's fees to a prevailing party; and (2) eliminate the \$500 cap on fees and permit a reasonable, and quite likely higher, fee award. Those changes expand counsel fee awards under OPRA.

[196 <u>N.J.</u> at 73-76.]

The Court in Mason, further held that:

[R]equestors are entitled to attorney's fees under OPRA, absent a judgment or an enforceable consent decree, when they can demonstrate (1) "a factual causal nexus between plaintiff's litigation and the relief ultimately achieved"; and (2) "that the relief ultimately secured by plaintiffs had a basis in law." <u>Singer v. State</u>, 95 <u>N.J.</u> 487, 495, cert. denied, New Jersey v. Singer, 469 U.S. 832 (1984).

[<u>Id.</u> at 76.]

Here, the Complainant sought in part the "[n]names, date of hire, date of separation and reason for separation, salary, payroll record, amount and type of pension of individuals who either resigned or retired or terminated or otherwise separated from 2014 to the present." In response, the Custodian provided payroll records containing the requested personnel information. The Complainant then filed the instant complaint on April 7, 2022, asserting the Custodian failed to provide the "real reason" for the officers' separations. However, the Custodian certified in the SOI that a complete response was provided, and that the Township did not possess any agreements between itself and separated officers. Thus, the Complainant has not achieved the desired result and is not a prevailing party in this complaint.

Therefore, the Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. <u>Teeters</u>, 387 <u>N.J.</u> <u>Super.</u> at 432. Additionally, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason</u>, 196 <u>N.J.</u> at 76. Specifically, the Custodian certified that she provided the Complainant with all responsive records in the Borough's possession and that no responsive agreements exist. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, Teeters, 387 N.J. Super. at 432, and Mason, 196 N.J. at 76.

### **Conclusions and Recommendations**

The Executive Director respectfully recommends the Council find that:

- The Custodian's March 21, 2022 response is insufficient because she failed to address each request item. <u>N.J.S.A.</u> 47:1A-5(g). <u>See Paff v. Willingboro Bd. of Educ.</u> (Burlington), GRC Complaint No. 2007-272 (May 2008); <u>Lenchitz v. Pittsgrove Twp.</u> (Salem), GRC Complaint No. 2012-265 (Interim Order dated August 27, 2013). Specifically, the Custodian failed to indicate whether responsive agreements existed between the Borough of Riverton and any separated police officer.
- Notwithstanding the Custodian's insufficient response, she has borne her burden of proof that she lawfully denied access to the Complainant's OPRA request seeking any "agreement[s]" between the Borough of Riverton and separated police officers. Specifically, the Custodian certified, and the record reflects, that no such records exist. <u>N.J.S.A.</u> 47:1A-6; see <u>Pusterhofer v. N.J. Dep't of Educ.</u>, GRC Complaint No. 2005-49 (July 2005).
- 3. The Complainant has not achieved the desired result because the complaint did not bring about a change (voluntary or otherwise) in the custodian's conduct. <u>Teeters v.</u> <u>DYFS</u>, 387 <u>N.J. Super.</u> 423, 432 (App. Div. 2006). Additionally, no factual causal nexus exists between the Complainant's filing of a Denial of Access Complaint and the relief ultimately achieved. <u>Mason v. City of Hoboken and City Clerk of the City of Hoboken</u>, 196 <u>N.J.</u> 51, 76 (2008). Specifically, the Custodian certified that she provided the Complainant with all responsive records in the Borough of Riverton's possession and that no agreements exist. Therefore, the Complainant is not a prevailing party entitled to an award of a reasonable attorney's fee. <u>See N.J.S.A.</u> 47:1A-6, <u>Teeters</u>, 387 <u>N.J. Super.</u> at 432, and <u>Mason</u>, 196 <u>N.J.</u> at 76.

Prepared By: Samuel A. Rosado Staff Attorney

October 29, 2024