

# Adult HIV Confidential Case Report Form

## (Patients ≥13 years of age at time of diagnosis)

\*Information NOT transmitted to CDC

### I. Patient Identification (record all dates as mm/dd/yyyy)

Form approved OMB no. 0920-0573 Exp. 02/28/2026

<b>*First Name</b>		<b>*Middle Name</b>		<b>*Last Name</b>		<b>Last Name Soundex</b>	
_____/_____/_____		_____/_____/_____		_____/_____/_____		_____/_____/_____	
<b>Alternate Name Type</b> (ex: Alias, Married)		<b>*First Name</b>		<b>*Middle Name</b>		<b>*Last Name</b>	
_____/_____/_____		_____/_____/_____		_____/_____/_____		_____/_____/_____	
<b>Address Type</b>							
Residential	Correctional facility	Homeless	Other	Shelter			
Bad address	Foster home	Military	Postal	Temporary			
<b>*Current Address, Street</b>						<b>Address Date</b>	
_____/_____/_____						_____/_____/_____	
<b>*Phone</b>		<b>City</b>		<b>County</b>		<b>State/Country</b>	
_____/_____/_____		_____/_____/_____		_____/_____/_____		_____/_____/_____	
<b>*Medical Record Number</b>		<b>*Other ID Type</b>		<b>*Number</b>			
_____/_____/_____		_____/_____/_____		_____/_____/_____			

### II. Health Department Use Only (record all dates as mm/dd/yyyy)

<b>Date Received at Health Department</b>		<b>eHARS Document UID</b>			<b>State Number</b>		
_____/_____/_____		_____/_____/_____			_____/_____/_____		
<b>Reporting Health Dept—City/County</b>				<b>City/County Number</b>			
_____/_____/_____				_____/_____/_____			
<b>Document Source</b>			<b>Surveillance Method</b>				
_____/_____/_____			Active      Passive      Follow up      Reabstraction      Unknown				
<b>Did this report initiate a new case investigation?</b>			<b>Report Medium</b>				
Yes      No      Unknown			1-Field visit      3-Faxed      5-Electronic transfer				
			2-Mailed      4-Phone      6-CD/disk				

### III. Facility Providing Information (record all dates as mm/dd/yyyy)

<b>Facility Name</b>			<b>*Phone</b>		
_____/_____/_____			_____/_____/_____		
<b>*Street Address</b>			<b>City</b>		
_____/_____/_____			_____/_____/_____		
<b>County</b>		<b>State/Country</b>		<b>*ZIP Code</b>	
_____/_____/_____		_____/_____/_____		_____/_____/_____	
<b>Facility Type</b>		<b><u>Outpatient:</u></b>		<b><u>Screening, Diagnostic, Referral Agency:</u></b>	
<b><u>Inpatient:</u></b>		Private physician's office		CTS	
Hospital		Adult HIV clinic		STD clinic	
Other, specify		Other, specify		Other, specify	
_____/_____/_____		_____/_____/_____		_____/_____/_____	
<b>Date Form Completed</b>		<b>*Person Completing Form</b>		<b>*Phone</b>	
_____/_____/_____		_____/_____/_____		_____/_____/_____	

### IV. Patient Demographics (record all dates as mm/dd/yyyy)

<b>Sex Assigned at Birth</b>		Male      Female      Unknown			
_____/_____/_____		_____/_____/_____			
<b>Country of Birth</b>			<b>Date of Birth</b>		
US			_____/_____/_____		
Other/US dependency (specify)			_____/_____/_____		
_____/_____/_____			_____/_____/_____		
<b>Vital Status</b>		<b>Date of Death</b>		<b>State of Death</b>	
1-Alive      2-Dead		_____/_____/_____		_____/_____/_____	

Public reporting burden of this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CDC, Project Clearance Officer, 1600 Clifton Road, MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0573). **Do not send the completed form to this address.**

<b>Gender Identity</b>			<b>Date Identified</b>
Man			/ /
Woman	Additional gender identity (specify) _____		
Transgender man	Declined to answer		
Transgender woman	Unknown		
<b>Sexual Orientation</b>			<b>Date Identified</b>
Straight or heterosexual	Declined to answer		/ /
Lesbian or gay	Unknown		
Bisexual			
Additional sexual orientation (specify) _____			
<b>Ethnicity</b>	Hispanic/Latino	Not Hispanic/Latino	Unknown
			<b>Expanded Ethnicity</b>
			_____
<b>Race</b> (check all that apply)	American Indian/Alaska Native	Native Hawaiian/Other Pacific Islander	
	Asian	White	
	Black/African American	Unknown	
			<b>Expanded Race</b>
			_____

**V. Residence at Diagnosis** (add additional addresses in Comments) (record all dates as mm/dd/yyyy)

<b>Address Event Type</b> (check all that apply to address below)			
Residence at HIV diagnosis		Residence at stage 3 (AIDS) diagnosis	Check if <u>SAME</u> as current address
<b>Address Type</b>	<b>*Street Address</b>		
Residential	Military		
Bad address	Other		
Correctional facility	Postal	<b>City</b>	<b>County</b>
Foster home	Shelter		
Homeless	Temporary	<b>State/Country</b>	<b>*ZIP Code</b>
		_____	_____

**VI. Facility of Diagnosis** (add additional facilities in Comments)

<b>Diagnosis Type</b> (check all that apply to facility below)		HIV	Stage 3 (AIDS)	Check if <u>SAME</u> as facility providing information
<b>Facility Name</b>			<b>*Phone</b>	
_____			_____	
<b>*Street Address</b>		<b>City</b>		
_____		_____		
<b>County</b>		<b>State/Country</b>		<b>*ZIP Code</b>
_____		_____		_____
<b>Facility Type</b>	<b>Outpatient:</b>	<b>Screening, Diagnostic, Referral Agency:</b>	<b>Other Facility:</b>	
<b>Inpatient:</b>	Private physician's office	<b>Referral Agency:</b>	Emergency room	
Hospital	Adult HIV clinic	CTS	Laboratory	
Other, specify	Other, specify	STD clinic	Corrections	
_____	_____	Other, specify	Unknown	
		_____	Other, specify	
		_____	_____	
<b>*Provider Name</b>	<b>*Provider Phone</b>	<b>Specialty</b>		
_____	_____	_____		

**VII. Patient History** (respond to all questions) (record all dates as mm/dd/yyyy)

Pediatric Risk (enter in Comments)

After 1977 and before the earliest known diagnosis of HIV infection, this patient had:			
Sex with male	Yes	No	Unknown
Sex with female	Yes	No	Unknown
Injected nonprescription drugs	Yes	No	Unknown
Received clotting factor for hemophilia/coagulation disorder	Yes	No	Unknown
Specify clotting factor: _____	Date received	____/____/____	

This report to CDC is authorized by law (Sections 304 and 306 of the Public Health Service Act, 42 USC 242b and 242k). Response in this case is voluntary for federal government purposes but may be mandatory under state and local statutes. Your cooperation is necessary for the understanding and control of HIV. Information in CDC's National HIV Surveillance System that would permit identification of any individual on whom a record is maintained is collected with a guarantee that it will be held in confidence, will be used only for the purposes stated in the assurance, and will not otherwise be disclosed or released without the consent of the individual in accordance with Section 308(d) of the Public Health Service Act (42 USC 242m).

After 1977 and before the earliest known diagnosis of HIV infection, this patient had:

HETEROSEXUAL relations with any of the following:			
HETEROSEXUAL contact with person who injected drugs	Yes	No	Unknown
HETEROSEXUAL contact with bisexual male	Yes	No	Unknown
HETEROSEXUAL contact with person with hemophilia/coagulation disorder with documented HIV infection	Yes	No	Unknown
HETEROSEXUAL contact with transfusion recipient with documented HIV infection	Yes	No	Unknown
HETEROSEXUAL contact with transplant recipient with documented HIV infection	Yes	No	Unknown
HETEROSEXUAL contact with person with documented HIV infection, risk not specified	Yes	No	Unknown
Received transfusion of blood/blood components (other than clotting factor) (document reason in Comments)	Yes	No	Unknown
First date received ____ / ____ / ____ Last date received ____ / ____ / ____			
Received transplant of tissue/organs or artificial insemination	Yes	No	Unknown
Worked in a healthcare or clinical laboratory setting	Yes	No	Unknown
If occupational exposure is being investigated or considered as primary mode of exposure, specify occupation and setting:			
Other documented risk (include detail in Comments)	Yes	No	Unknown

### VIII. Clinical: Acute HIV Infection and Opportunistic Illnesses (record all dates as mm/dd/yyyy)

Acute HIV Infection			
Suspect acute HIV infection? If YES, complete the two items below; enter documented negative HIV test result data in Laboratory Data section, and enter patient or provider report of previous negative HIV test result in HIV Testing History section	Yes	No	Unknown
Clinical signs/symptoms consistent with acute retroviral syndrome (e.g., fever, malaise/fatigue, myalgia, pharyngitis, rash, lymphadenopathy)? Date of sign/symptom onset ____ / ____ / ____	Yes	No	Unknown
Other evidence suggestive of acute HIV infection? If YES, describe: _____ Date of evidence ____ / ____ / ____	Yes	No	Unknown

#### Opportunistic Illnesses

Diagnosis	Dx Date	Diagnosis	Dx Date
Candidiasis, bronchi, trachea, or lungs		Lymphoma, Burkitt's (or equivalent)	
Candidiasis, esophageal		Lymphoma, immunoblastic (or equivalent)	
Carcinoma, invasive cervical		Lymphoma, primary in brain	
Coccidioidomycosis, disseminated or extrapulmonary		Mycobacterium avium complex or M. kansasii, disseminated or extrapulmonary	
Cryptococcosis, extrapulmonary		M. tuberculosis, pulmonary <sup>1</sup>	
Cryptosporidiosis, chronic intestinal (>1 mo. duration)		M. tuberculosis, disseminated or extrapulmonary <sup>1</sup>	
Cytomegalovirus disease (other than in liver, spleen, or nodes)		Mycobacterium, of other/identified species, disseminated or extrapulmonary	
Cytomegalovirus retinitis (with loss of vision)		Pneumocystis pneumonia	
HIV encephalopathy		Pneumonia, recurrent, in 12 mo. period	
Herpes simplex: chronic ulcers (>1 mo. duration), bronchitis, pneumonitis, or esophagitis		Progressive multifocal leukoencephalopathy	
Histoplasmosis, disseminated or extrapulmonary		Salmonella septicemia, recurrent	
Isosporiasis, chronic intestinal (>1 mo. duration)		Toxoplasmosis of brain, onset at >1 mo. of age	
Kaposi's sarcoma		Wasting syndrome due to HIV	

<sup>1</sup>If a diagnosis date is entered for either tuberculosis diagnosis above, provide RVCT Case Number: \_\_\_\_\_

### IX. Laboratory Data (record additional tests and tests not specified below in Comments) (record all dates as mm/dd/yyyy)

HIV Immunoassays	TEST	HIV-1 IA	HIV-1/2 IA	HIV-1/2 Ag/Ab	HIV-2 IA
Test Brand Name/Manufacturer					Lab Name
Facility Name					Provider Name
Result	Collection Date	Testing Option (if applicable)			
Positive	____ / ____ / ____	Point-of-care test by provider			
Negative		Self-test, result directly observed by a provider <sup>2</sup>			
Indeterminate		Lab test, self-collected sample			

<b>TEST</b> HIV-1/2 Ag/Ab differentiating immunoassay (differentiates between HIV Ag and HIV Ab)			
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		
<b>Result Overall:</b> Reactive Nonreactive	<b>Analyte results:</b> HIV-1 Ag: Reactive Nonreactive HIV-1/2 Ab: Reactive Nonreactive	<b>Collection Date</b> ____/____/____	<b>Testing Option (if applicable)</b> Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample
<b>TEST</b> HIV-1/2 Ag/Ab and type-differentiating immunoassay (differentiates among HIV-1 Ag, HIV-1 Ab, and HIV-2 Ab)			
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		
<b>Result<sup>3</sup> Overall interpretation:</b> Reactive Nonreactive	<b>Analyte results:</b> HIV-1 Ag: Reactive Nonreactive Not reportable due to high Ab level HIV-1 Ab: Reactive Nonreactive Reactive undifferentiated HIV-2 Ab: Reactive Nonreactive Reactive undifferentiated	<b>Collection Date</b> ____/____/____	<b>Testing Option (if applicable)</b> Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample
<b>Index Value</b>	<b>Index Value</b>	<b>Index Value</b>	
<b>TEST</b> HIV-1/2 type differentiating immunoassay (supplemental) (differentiates between HIV-1 Ab and HIV-2 Ab)			
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		
<b>Result<sup>4</sup> Overall interpretation:</b> HIV positive, untypable HIV-1 positive with HIV-2 cross-reactivity HIV-2 positive with HIV-1 cross-reactivity HIV negative	<b>Analyte results:</b> HIV-1 Ab: Positive Negative Indeterminate HIV-2 Ab: Positive Negative Indeterminate	<b>Collection Date</b> ____/____/____	<b>Testing Option (if applicable)</b> Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample
<b>Test Brand Name/Manufacturer</b>	<b>TEST</b> HIV-1 WB	<b>HIV-1 IFA</b>	<b>HIV-2 WB</b>
<b>Facility Name</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		
<b>Result</b> Positive Negative Indeterminate	<b>Collection Date</b> ____/____/____	<b>Testing Option (if applicable)</b> Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample	
<b>HIV Detection Tests</b>		<b>TEST</b> HIV-1/2 RNA NAAT (Qualitative)	
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		
<b>Result</b> HIV-1 HIV-2 Both (HIV-1 and HIV-2)	<b>Analyte results:</b> HIV, not differentiated (HIV-1 or HIV-2) Neither (negative)	<b>Collection Date</b> ____/____/____	<b>Testing Option (if applicable)</b> Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample
<b>TEST</b> HIV-1 RNA NAAT (Qualitative and Quantitative)			
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		
<b>Result Qualitative:</b> Reactive Nonreactive	<b>Analyte results:</b> HIV-1 Quantitative Detectable above limit Detectable within limits Detectable below limit	<b>Copies/mL</b> _____ <b>Log</b> _____ <b>Collection Date</b> ____/____/____	<b>Testing Option (if applicable)</b> Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample

	<b>TEST</b> HIV-1 RNA/DNA NAAT (Qualitative) HIV-1 culture	HIV-2 RNA/DNA NAAT (Qualitative) HIV-2 culture
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>	
<b>Facility Name</b>	<b>Provider Name</b>	
<b>Result</b> Positive Negative Indeterminate	<b>Collection Date</b> ____/____/____	<b>Testing Option</b> (if applicable) Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample

	<b>TEST</b> HIV-1 RNA/DNA NAAT (Quantitative)	HIV-2 RNA/DNA NAAT (Quantitative)
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>	
<b>Facility Name</b>	<b>Provider Name</b>	
<b>Result</b> Detectable above limit Detectable within limits Detectable below limit Not detected	<b>Copies/mL</b> _____ <b>Log</b> _____ <b>Collection Date</b> ____/____/____	<b>Testing Option</b> (if applicable) Point-of-care test by provider Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample

<b>Drug Resistance Tests (Genotypic)</b>	<b>TEST</b> HIV-1 Genotype (Unspecified)	
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>	
<b>Facility Name</b>	<b>Provider Name</b>	
<b>Collection Date</b> ____/____/____		

<b>Immunologic Tests (CD4 count and percentage)</b>			
<b>CD4 count</b> _____ <b>cells/μL</b>	<b>CD4 percentage</b> _____ %	<b>Collection Date</b> ____/____/____	
<b>Test Brand Name/Manufacturer</b>	<b>Lab Name</b>		
<b>Facility Name</b>	<b>Provider Name</b>		

<b>Documentation of Tests</b>			
<i>Complete only if none of the following were positive for HIV-1: Western blot, IFA, culture, quantitative NAAT (RNA or DNA), qualitative NAAT (RNA or DNA), HIV-1/2 type-differentiating immunoassay (supplemental test), stand-alone p24 antigen, or nucleotide sequence.</i>			
<b>Did documented laboratory test results meet approved HIV diagnostic algorithm criteria?</b> Yes      No      Unknown			
<b>If YES, provide specimen collection date of earliest positive test result for this algorithm</b> ____/____/____			
<b>Is earliest evidence of HIV infection diagnosis documented by a physician rather than by laboratory test results?</b> Yes      No      Unknown			
<b>If YES, provide date of diagnosis by physician</b> ____/____/____			
<b>Date of last documented negative HIV test result</b> (before HIV diagnosis date) ____/____/____			
<b>Specify type of test:</b> _____			
<b>Testing Option</b> (if applicable) Point-of-care test by provider      Self-test, result directly observed by a provider <sup>2</sup> Lab test, self-collected sample			
<small><sup>2</sup> Results not directly observed by a provider should be recorded in HIV Testing History. <sup>3</sup> Complete the overall interpretation and the analyte results. <sup>4</sup> Always complete the overall interpretation. Complete the analyte results when available.</small>			

**X. Treatment/Services Referrals** (record all dates as mm/dd/yyyy)

<b>Has this patient been informed of his/her HIV infection?</b> Yes      No      Unknown	<b>This patient's partners will be notified about their HIV exposure and counseled by</b> 1-Health dept      2-Physician/Provider      3-Patient      9-Unknown
<b>Evidence of receipt of HIV medical care other than laboratory test result</b> (select one; record additional evidence in Comments) 1-Yes, documented      2-Yes, client self-report, only	
<b>Date of medical visit or prescription</b> ____/____/____	

<b>For Female Patient</b>		
<b>This patient is receiving or has been referred for gynecological or obstetrical services</b> Yes No Unknown	<b>Is this patient currently pregnant?</b> Yes No Unknown	<b>Has this patient delivered live-born infants?</b> Yes No Unknown

For Children of Patient (record most recent birth in these boxes; record additional or multiple births in Comments)			
*Child's Name _____	Child's Date of Birth _____ / ____ / ____	Child's Last Name Soundex _____	Child's State Number _____
Facility Name of Birth (if child was born at home, enter "home birth") _____			*Phone _____
<b>Facility Type</b>		<b>Other Facility:</b>	
<i>Inpatient:</i> Hospital    Other, specify _____		<i>Outpatient:</i> Other, specify _____	
		Emergency room	Unknown
		Corrections	Other, specify _____
*Street Address _____		City _____	
County _____		State/Country _____	
		*ZIP Code _____	

### XI. Antiretroviral Use History (record all dates as mm/dd/yyyy)

Main source of antiretroviral (ARV) use information (select one)			Date patient reported information	Ever taken any ARVs?		
Patient interview	Provider report	Other	_____ / ____ / ____	Yes	No	Unknown
Medical record review		NHM&E				
If yes, reason for ARV use (select all that apply)						
	ARV medications		Date began		Date of last use	
HIV Tx	_____		_____ / ____ / ____		_____ / ____ / ____	
	ARV medications		Date began		Date of last use	
PrEP	_____		_____ / ____ / ____		_____ / ____ / ____	
	ARV medications		Date began		Date of last use	
PEP	_____		_____ / ____ / ____		_____ / ____ / ____	
	ARV medications		Date began		Date of last use	
PMTCT	_____		_____ / ____ / ____		_____ / ____ / ____	
	ARV medications		Date began		Date of last use	
HBV Tx	_____		_____ / ____ / ____		_____ / ____ / ____	
Other (specify reason) _____						
	ARV medications		Date began		Date of last use	
	_____		_____ / ____ / ____		_____ / ____ / ____	

### XII. HIV Testing History (record all dates as mm/dd/yyyy)

Main source of testing history information (select one)					Date patient reported information		
Patient interview	Medical record review	Provider report	NHM&E	Other	_____ / ____ / ____		
<b>Ever had previous positive HIV test result?</b>					<b>Was the first positive test result from a self-test performed by the patient?</b>		
Yes	No	Unknown			Date of first positive HIV test result _____ / ____ / ____		
					Yes	No	Unknown
<b>Ever had a negative HIV test result?</b>					<b>Was the last negative test result from a self-test performed by the patient?</b>		
Yes	No	Unknown			Date of last negative HIV test result _____ / ____ / ____		
		(if date is from a lab test with test type, enter in Lab Data section)			Yes	No	Unknown
Number of negative HIV test results within the 24 months before the first positive test result _____					Unknown		
How many of these negative test results were from self-tests performed by the patient? _____					Unknown		

### XIII. Comments

### XIV. \*Local/Optional Fields