

1115 Demonstration Renewal - Attachment D*Budget Neutrality Monitoring Spreadsheet*

Part of Public Notice - Calculated

5/9/2016

TOTAL COMPUTABLE

Budget Neutrality Test	Authority Citation	Five Year Demonstration Forecasted Expenditures		Difference	
		No Waiver	With Waiver		
Main Test	STC #128	\$ 70,024,130,413	\$ 50,866,732,127	\$ 19,157,398,286	a
Supplemental Test #1	STC #129	3,215,122,450	2,582,136,018	632,986,432	b
Supplemental Test #2	STC #129	23,628,548,977	19,454,568,248	4,173,980,728	c
				\$ 19,157,398,286	d = a

Savings from Supps Test cannot be used to offset Main Test

FEDERAL SHARE

Budget Neutrality Test	Authority Citation	Five Year Demonstration Forecasted Expenditures		Difference	
		No Waiver	With Waiver		
Main Test	STC #128	\$ 35,187,043,762	\$ 25,640,236,979	\$ 9,546,806,783	a
Supplemental Test #1	STC #129	1,608,784,700	1,291,231,632	317,553,068	b
Supplemental Test #2	STC #129	21,675,418,747	17,846,458,271	3,828,960,476	c
				\$ 9,546,806,783	d = a

Savings from Supps Test cannot be used to offset Main Test

Budget Neutrality Monitoring Spreadsheet

Main Budget Neutrality Test

Budget Neutrality "Without Waiver" Caps based on Current Demo caps Established in STC #128

TOTAL COMPUTABLE						
	DY6	DY7	DY8	DY9	DY10	5-Yr Renewal Total
NO WAIVER						
Title XIX	4,169,003,625	4,528,133,989	4,918,200,911	5,341,869,357	5,802,033,861	24,759,241,743
ABD	4,021,181,943	4,242,414,310	4,475,818,162	4,722,063,137	4,981,855,709	22,443,333,261
LTC	3,933,852,484	4,162,298,453	4,404,010,696	4,659,759,608	4,930,360,325	22,090,281,566
HCBS state plan	130,756,466	138,083,419	145,820,938	153,992,030	162,620,990	731,273,843
	\$ 12,254,794,518	\$ 13,070,930,171	\$ 13,943,850,707	\$ 14,877,684,131	\$ 15,876,870,885	\$ 70,024,130,413
WITH WAIVER						
Title XIX	3,317,394,957	3,603,165,220	3,913,552,582	4,250,677,635	4,616,843,641	19,701,634,035
ABD/LTC	5,219,665,646	5,506,856,565	5,607,961,952	5,710,923,224	5,815,774,447	27,861,181,833
HCBS state plan	264,130,189	278,930,753	294,560,667	311,066,406	328,497,045	1,477,185,060
HOLD DDD Supports-PDN	28,605,745	29,463,917	30,347,835	31,258,270	32,196,018	151,871,785
Hospital Subsidies	293,872,727	293,872,727	293,872,727	293,872,727	293,872,727	1,469,363,635
CNOMS	40,509,244	40,795,483	41,090,309	41,393,981	41,706,762	205,495,779
	\$ 9,164,178,508	\$ 9,753,084,665	\$ 10,181,386,073	\$ 10,639,192,242	\$ 11,128,890,640	\$ 50,866,732,127
Difference	3,090,616,010	3,317,845,507	3,762,464,634	4,238,491,889	4,747,980,246	19,157,398,286

Notes:

1. Member-months based on MMIS report with last actual reported as of Dec 31, 2015.
2. "With Waiver" pmpm's based on calculations using Sch C expenditures and MMIS eligibility actual member-months reported through Sept 2015 as reported in Dec 2015.
3. CNOMS (costs not otherwise matchable) include Severe Emotionally Disturbed children (SED at risk), MATI population, DDD non-disabled adult children and CCW Supports Equalization
4. Hospital Subsidies Include GME state plan, HRSF & GME, HRSF Transition Payments and DSRIP as reported on the CMS64 Sch C
5. The DDD Supports-PDN population, pending waiver amendment approval, is represented as a separate line item

FEDERAL SHARE						
	DY6	DY7	DY8	DY9	DY10	5-Yr Renewal Total
NO WAIVER						
Title XIX	2,092,937,165	2,273,228,994	2,469,051,696	2,681,743,148	2,912,756,475	12,429,717,477
ABD	2,021,867,241	2,133,103,808	2,250,460,249	2,374,273,261	2,504,898,062	11,284,602,622
LTC	1,977,957,621	2,092,821,218	2,214,355,153	2,342,946,784	2,479,005,966	11,107,086,741
HCBS state plan	65,378,233	69,041,709	72,910,469	76,996,015	81,310,495	365,636,921
	\$ 6,158,140,260	\$ 6,568,195,728	\$ 7,006,777,567	\$ 7,475,959,209	\$ 7,977,970,998	\$ 35,187,043,762
WITH WAIVER						
Title XIX	1,665,409,729	1,808,873,073	1,964,694,777	2,133,939,425	2,317,763,310	9,890,680,314
ABD/LTC	2,624,469,901	2,768,870,707	2,819,706,922	2,871,476,284	2,924,195,921	14,008,719,735
HCBS state plan	132,065,095	139,465,376	147,280,334	155,533,203	164,248,523	738,592,530
HOLD DDD Supports-PDN	14,302,873	14,731,959	15,173,917	15,629,135	16,098,009	75,935,893
Hospital Subsidies	165,815,154	165,390,911	164,542,427	163,906,063	163,906,063	823,560,618
CNOMS	20,254,622	20,397,742	20,545,155	20,696,990	20,853,381	102,747,889
	\$ 4,622,317,373	\$ 4,917,729,768	\$ 5,131,943,531	\$ 5,361,181,100	\$ 5,607,065,206	\$ 25,640,236,979
Difference	1,535,822,886	1,650,465,960	1,874,834,036	2,114,778,109	2,370,905,792	9,546,806,783

Budget Neutrality Monitoring Spreadsheet

Supplemental Test #1

Budget Neutrality "Without Waiver" Caps based on Current Demo caps Established in STC #129

TOTAL COMPUTABLE						
	DY6	DY7	DY8	DY9	DY10	5-Yr Renewal Total
NO WAIVER						
HCBS 217-like	536,142,689	566,185,502	597,911,767	631,415,817	666,797,270	2,998,453,045
Adults w/o Depend. Children	-	-	-	-	-	-
SED 217-like	316,044	341,156	368,264	397,525	429,111	1,852,100
Former XIX Chip Parents	-	-	-	-	-	-
IDD/MI	36,656,644	39,569,282	42,713,350	46,107,237	49,770,793	214,817,305
	\$ 573,115,377	\$ 606,095,940	\$ 640,993,380	\$ 677,920,579	\$ 716,997,174	\$ 3,215,122,450
WITH WAIVER						
HCBS 217-like	492,090,913	501,214,890	510,506,347	519,968,357	529,604,051	2,553,384,557
Adults w/o Depend. Children	-	-	-	-	-	-
SED 217-like	3,845	4,151	4,481	4,837	5,221	22,534
Former XIX Chip Parents	-	-	-	-	-	-
IDD/MI	4,902,333	5,291,860	5,712,336	6,166,223	6,656,175	28,728,927
	\$ 496,997,091	\$ 506,510,900	\$ 516,223,164	\$ 526,139,417	\$ 536,265,446	\$ 2,582,136,018
Difference	76,118,286	99,585,039	124,770,217	151,781,162	180,731,728	632,986,432

Notes:

1. Federal share is calculated using Composite Federal Share Ratios (source data is CMS 64 Schedule C as reported in QE Sept2015 with a run date of Jan 14, 2016).
2. Member-months based on MMIS report with last actual reported as of December 2015.
3. "With Waiver" pmpm's based on calculations using Sch C expenditures and MMIS eligibility actual member-months reported through Sept 2015 as reported in December 2015.

FEDERAL SHARE						
	DY6	DY7	DY8	DY9	DY10	5-Yr Renewal Total
NO WAIVER						
HCBS 217-like	268,071,344	283,092,751	298,955,884	315,707,909	333,398,635	1,499,226,523
Adults w/o Depend. Children	-	-	-	-	-	-
SED 217-like	158,022	170,578	184,132	198,762	214,556	926,050
Former XIX Chip Parents	-	-	-	-	-	-
IDD/MI	18,537,097	20,010,005	21,599,945	23,316,219	25,168,862	108,632,128
	\$ 286,766,464	\$ 303,273,334	\$ 320,739,961	\$ 339,222,890	\$ 358,782,053	\$ 1,608,784,700
WITH WAIVER						
HCBS 217-like	246,045,456	250,607,445	255,253,173	259,984,178	264,802,025	1,276,692,278
Adults w/o Depend. Children	-	-	-	-	-	-
SED 217-like	1,923	2,075	2,240	2,418	2,610	11,267
Former XIX Chip Parents	-	-	-	-	-	-
IDD/MI	2,479,088	2,676,069	2,888,702	3,118,231	3,365,997	14,528,087
	\$ 248,526,466	\$ 253,285,590	\$ 258,144,116	\$ 263,104,827	\$ 268,170,633	\$ 1,291,231,632
Difference	38,239,997	49,987,744	62,595,845	76,118,062	90,611,420	317,553,068

Budget Neutrality Monitoring Spreadsheet

Supplemental Test #2

Budget Neutrality "Without Waiver" Caps based on Current Demo caps Established in STC #129

TOTAL COMPUTABLE							
	DY6	DY7	DY8	DY9	DY10	5-Yr Renewal Total	
NO WAIVER							
New Adult Group	\$ 4,114,743,578	\$ 4,399,787,232	\$ 4,704,576,924	\$ 5,030,480,536	\$ 5,378,960,708	\$	23,628,548,977
WITH WAIVER							
New Adult Group	\$ 3,387,874,552	\$ 3,622,565,273	\$ 3,873,513,897	\$ 4,141,846,670	\$ 4,428,767,856	\$	19,454,568,248
Difference	726,869,027	777,221,959	831,063,026	888,633,865	950,192,852		4,173,980,728

Notes:

1. Federal share is calculated using Composite Federal Share Ratios (source data is CMS 64 Schedule C as reported in QE Sept2015 with a run date of Jan 14, 2016).
2. Member-months based on MMIS report with last actual reported as of December 2015.
3. "With Waiver" pmpm's based on calculations using Sch C expenditures and MMIS eligibility actual member-months reported through Sept 2015 as

FEDERAL SHARE							
	DY6	DY7	DY8	DY9	DY10	5-Yr Renewal Total	
NO WAIVER							
New Adult Group	\$ 3,888,432,681	\$ 4,113,801,062	\$ 4,304,687,885	\$ 4,527,432,482	\$ 4,841,064,637	\$	21,675,418,747
WITH WAIVER							
New Adult Group	\$ 3,201,541,451	\$ 3,387,098,530	\$ 3,544,265,216	\$ 3,727,662,003	\$ 3,985,891,070	\$	17,846,458,271
Difference	686,891,230	726,702,531	760,422,669	799,770,479	855,173,566		3,828,960,476

Detail with Waiver TC

DY4				DY5	Demo Period	DY6					DY7	DY8	DY9	DY10	Demo-Renewal Period	
Title XIX	MMs	9,110,382	9,352,720		9,601,504	9,856,905	10,119,101	10,388,270	10,664,600							2.7%
	Pmpm	\$308.66	\$326.57		\$345.51	\$365.55	\$386.75	\$409.18	\$432.91							5.8%
	Spend	\$2,812,050,962	\$3,054,289,391	\$12,477,721,322	\$3,317,394,957	\$3,603,165,220	\$3,913,552,582	\$4,250,677,635	\$4,616,843,641	\$19,701,634,035						
ABD	MMs	3,356,375	3,417,404		3,104,054	3,161,044	3,219,080	3,278,182	3,338,369							1.8%
	Pmpm	\$1,566.73	\$1,623.13		\$1,681.56	\$1,742.10	\$1,742.10	\$1,742.10	\$1,742.10							3.6%
	Spend	\$5,258,531,003	\$5,546,896,671	\$25,225,751,670	\$5,219,665,646	\$5,506,856,565	\$5,607,961,952	\$5,710,923,224	\$5,815,774,447	\$27,861,181,833						
LTC	MMs															1.8%
	Pmpm															3.9%
	Spend	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
HCBS State Plan	MMs	36,472	47,314		48,183	49,067	49,968	50,885	51,819							1.8%
	Pmpm	\$5,097.63	\$5,286.24		\$5,481.83	\$5,684.66	\$5,894.99	\$6,113.11	\$6,339.29							3.7%
	Spend	\$185,919,948	\$250,114,970	\$642,684,036	\$264,130,189	\$278,930,753	\$294,560,667	\$311,066,406	\$328,497,045	\$1,477,185,060						
DY4				DY5	Demo Period	DY6					DY7	DY8	DY9	DY10	Demo-Renewal Period	
HCBS 217-Like	MMs	149,479	192,563		196,134	199,770	203,474	207,245	211,085							1.8%
	Pmpm	\$2,419.44	\$2,508.96		\$2,508.96	\$2,508.96	\$2,508.96	\$2,508.96	\$2,508.96							3.7%
	Spend	\$361,654,017	\$483,131,395	\$1,658,939,774	\$492,090,913	\$501,214,890	\$510,506,347	\$519,968,357	\$529,604,051	\$2,553,384,557						
AWDC	MMs	0	0		0	0	0	0	0							
	Pmpm	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00							
	Spend	\$0	\$0	\$2,203,790	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
SED 217-Like	MMs	101	103		105	107	109	111	113							1.8%
	Pmpm	\$32.55	\$34.50		\$36.58	\$38.77	\$41.10	\$43.56	\$46.18							6.0%
	Spend	\$3,300	\$3,562	\$64,222	\$3,845	\$4,151	\$4,481	\$4,837	\$5,221	\$22,534						
XIX Chip Parents	MMs	0	0		0	0	0	0	0							
	Pmpm	\$0.00	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00							
	Spend	\$0	\$0	\$126,863,031	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
IDD/MI - 217-Like	MMs	2,684	2,734		2,784	2,835	2,887	2,940	2,994							1.8%
	Pmpm	\$1,567.24	\$1,661.28		\$1,760.95	\$1,866.61	\$1,978.61	\$2,097.32	\$2,223.16							6.0%
	Spend	\$4,207,188	\$4,541,480	\$9,896,139	\$4,902,333	\$5,291,860	\$5,712,336	\$6,166,223	\$6,656,175	\$28,728,927						
New Adult Group	MMs	6,988,078	7,116,351		7,246,978	7,380,003	7,515,470	7,653,424	7,793,909							1.8%
	Pmpm	\$432.26	\$445.23		\$467.49	\$490.86	\$515.41	\$541.18	\$568.23							5.0%
	Spend	\$3,020,658,278	\$3,168,388,452	\$9,799,786,481	\$3,387,874,552	\$3,622,565,273	\$3,873,513,897	\$4,141,846,670	\$4,428,767,856	\$19,454,568,248						

	<u>DY6</u>	<u>DY7</u>	<u>DY8</u>	<u>DY9</u>	<u>DY10</u>	<u>Demo-Renewal Period</u>
Title XIX	9,601,504	9,856,905	10,119,101	10,388,270	10,664,600	
	\$434.20	\$459.39	\$486.03	\$514.22	\$544.05	
	\$4,169,003,625	\$4,528,133,989	\$4,918,200,911	\$5,341,869,357	\$5,802,033,861	\$24,759,241,743
ABD	3,104,738	3,161,728	3,219,764	3,278,866	3,339,053	
	\$1,295.18	\$1,341.80	\$1,390.11	\$1,440.15	\$1,492.00	
	\$4,021,181,943	\$4,242,414,310	\$4,475,818,162	\$4,722,063,137	\$4,981,855,709	\$22,443,333,261
LTC	376,093	382,996	390,027	397,186	404,477	
	\$10,459.79	\$10,867.72	\$11,291.56	\$11,731.93	\$12,189.48	
	\$3,933,852,484	\$4,162,298,453	\$4,404,010,696	\$4,659,759,608	\$4,930,360,325	\$22,090,281,566
HCBS State Plan	48,183	49,067	49,968	50,885	51,819	
	\$2,713.76	\$2,814.17	\$2,918.29	\$3,026.27	\$3,138.24	
	\$130,756,466	\$138,083,419	\$145,820,938	\$153,992,030	\$162,620,990	\$731,273,843

	<u>DY6</u>	<u>DY7</u>	<u>DY8</u>	<u>DY9</u>	<u>DY10</u>	<u>Demo-Renewal Period</u>
HCBS 217-Like	198,114	201,750	205,454	209,225	213,065	
	\$2,706.24	\$2,806.37	\$2,910.20	\$3,017.88	\$3,129.54	
	\$536,142,689	\$566,185,502	\$597,911,767	\$631,415,817	\$666,797,270	\$2,998,453,045
AWDC	0	0	0	0	0	
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0	\$0	\$0	\$0	\$0	\$0
SED 217-Like	105	107	109	111	113	
	\$3,006.15	\$3,186.52	\$3,377.71	\$3,580.37	\$3,795.19	
	\$316,044	\$341,156	\$368,264	\$397,525	\$429,111	\$1,852,100
XIX Chip Parents	0	0	0	0	0	
	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	\$0	\$0	\$0	\$0	\$0	\$0
IDD/MI	2,784	2,835	2,887	2,940	2,994	
	\$13,167.32	\$13,957.36	\$14,794.80	\$15,682.49	\$16,623.44	
	\$36,656,644	\$39,569,282	\$42,713,350	\$46,107,237	\$49,770,793	\$214,817,305
New Adult Group	7,246,978	7,380,003	7,515,470	7,653,424	7,793,909	
	\$567.79	\$596.18	\$625.99	\$657.29	\$690.15	
	\$4,114,743,578	\$4,399,787,232	\$4,704,576,924	\$5,030,480,536	\$5,378,960,708	\$23,628,548,977

ORIGINAL STC APPROVED GROWTH PERCENTAGES FOR BUDGET NEUTRALITY

2.7%
5.8%
1.8%
3.6%
1.8%
3.9%
1.8%
3.7%
1.8%
3.7%
1.8%
6.0%
1.8%
6.0%
1.8%
5.0%

Hospital Subsidy Summary

Total Computable										
Program	DY6		DY7		DY8		DY9		DY10	
HRSF & GME	\$	-	\$	-	\$	-	\$	-	\$	-
HRSF Transition Payments										
GME State Plan		127,272,727		127,272,727		127,272,727		127,272,727		127,272,727
DSRIP		166,600,000		166,600,000		166,600,000		166,600,000		166,600,000
	\$	293,872,727	\$	293,872,727	\$	293,872,727	\$	293,872,727	\$	293,872,727

Composite Federal Share Percentage

Program	DY6	DY7	DY8	DY9	DY10
HRSF & GME					
HRSF Transition Payments	0.00%	0.00%	0.00%	0.00%	0.00%
GME State Plan	64.83%	64.50%	63.83%	63.33%	63.33%
DSRIP	50.00%	50.00%	50.00%	50.00%	50.00%

Federal Share										
Program	DY6		DY7		DY8		DY9		DY10	
HRSF & GME	\$	-	\$	-	\$	-	\$	-	\$	-
HRSF Transition Payments		-		-		-		-		-
GME State Plan		82,515,151		82,090,909		81,242,424		80,606,060		80,606,060
DSRIP		83,300,003		83,300,003		83,300,003		83,300,003		83,300,003
	\$	165,815,154	\$	165,390,911	\$	164,542,427	\$	163,906,063	\$	163,906,063

DY6-10: Total Computable amounts tie to the amounts budgeted in SFY2016.

DY6-10: Federal Share amounts = Total Computable amounts multiplied by the Federal Composite Share Percentage (estimate for DY4/DY5)

Costs Otherwise Not Matchable (CNOM) Summary

Total Computable											
Program	DY1	DY2	DY3	DY4	DY5	DY6	DY7	DY8	DY9	DY10	
SED at Risk	\$ 24,366,856	\$ 34,048,823	\$ 32,545,949	\$ 30,967,938	\$ 30,967,938	\$ 30,967,938	\$ 30,967,938	\$ 30,967,938	\$ 30,967,938	\$ 30,967,938	
MATI at Risk	4,069,775	3,429,158	-	-	-	-	-	-	-	-	
DDD non-Disabled Adult Children	-	-	-	1,748,900	6,995,600	7,205,468	7,421,632	7,644,280	7,873,609	8,109,817	
DDD Community / Supports Equalization	-	-	-	566,951	2,267,804	2,335,838	2,405,914	2,478,091	2,552,434	2,629,007	
	\$ 28,436,631	\$ 37,477,981	\$ 32,545,949	\$ 33,283,789	\$ 40,231,342	\$ 40,509,244	\$ 40,795,483	\$ 41,090,309	\$ 41,393,981	\$ 41,706,762	

Composite Federal Share Percentage

Program	DY1	DY2	DY3	DY4	DY5	DY6	DY7	DY8	DY9	DY10
SED at Risk	52.00%	52.00%	52.00%	50.50%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%
MATI at Risk	50.50%	52.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
DDD non-Disabled Adult Children				50.00%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%
DDD Community / Supports Equalization				50.00%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%

Federal Share											
Program	DY1	DY2	DY3	DY4	DY5	DY6	DY7	DY8	DY9	DY10	
SED at Risk	\$ 12,670,764	\$ 17,705,386	\$ 16,923,894	\$ 15,638,809	\$ 15,483,969	\$ 15,483,969	\$ 15,483,969	\$ 15,483,969	\$ 15,483,969	\$ 15,483,969	
MATI at Risk	2,055,322	1,783,162	-	-	-	-	-	-	-	-	
DDD non-Disabled Adult Children	-	-	-	874,450	3,497,800	3,602,734	3,710,816	3,822,140	3,936,804	4,054,909	
DDD Community / Supports Equalization	-	-	-	283,476	1,133,902	1,167,919	1,202,957	1,239,045	1,276,217	1,314,503	
	\$ 14,726,086	\$ 19,488,548	\$ 16,923,894	\$ 16,796,734	\$ 20,115,671	\$ 20,254,622	\$ 20,397,742	\$ 20,545,155	\$ 20,696,990	\$ 20,853,381	

Notes: SED at Risk and MATI at Risk

DY6-10: Total Computable = DY5 estimate in the QE Dec 15 Report for current demonstration

DY6-10 Federal Share amounts = Total Computable amounts multiplied by the Federal Composite Share Percentage in accordance with current STC #130.

Notes: DDD programs

DY6-10: Total Computable = DY5 estimate in the QE Dec 15 Report for current demonstration increased by 3% annually

DY6-10: Federal Share amounts = Total Computable amounts multiplied by the Federal Composite Share Percentage (estimate for DY4/DY5)

DDD Waiver Amendment Annual Cost Estimate

DY4 = 9 months

DY5 = 12 months

	People	Cost PMPM	Gross Cost		Fed Share		
			DY4	DY5	DY4	DY5	
#1 non-DAC							
Supports	182	\$ 1,891	\$ 1,032,395	\$ 4,129,580	\$ 516,198	\$ 2,064,790	CNOM
State Plan	182	\$ 1,312	\$ 716,504.88	\$ 2,866,020	\$ 358,252	\$ 1,433,010	
			\$ 1,748,900	\$ 6,995,600	\$ 874,450	\$ 3,497,800	
#2 CCW/Supports Equalization							
Supports	59	\$ 1,891	\$ 334,677.50	\$ 1,338,710	\$ 167,339	\$ 669,355	CNOM
State Plan	59	\$ 1,312	\$ 232,273.56	\$ 929,094	\$ 116,137	\$ 464,547	
			\$ 566,951	\$ 2,267,804	\$ 283,476	\$ 1,133,902	
TOTAL			\$ 1,367,073	\$ 5,468,290	\$ 683,536	\$ 2,734,145	
			\$ 948,778	\$ 3,795,114	\$ 474,389	\$ 1,897,557	
			\$ 2,315,851	\$ 9,263,404	\$ 1,157,925	\$ 4,631,702	

#3 DDD Supports - PDN Group

	DY4	DY5	DY6	DY7	DY8	DY9	DY10
Projected Monthly Clients	195	222	222	222	222	222	222
Months	3	12	12	12	12	12	12
<i>Projected MMs</i>	<i>585</i>	<i>2,664</i>	<i>2,664</i>	<i>2,664</i>	<i>2,664</i>	<i>2,664</i>	<i>2,664</i>
Monthly cost of DD Supports	\$1,890.83	\$1,947.56	\$2,005.99	\$2,066.16	\$2,128.15	\$2,191.99	\$2,257.75
Hcbs Non-dual cap rate	\$8,230.66	\$8,477.58	\$8,731.91	\$8,993.86	\$9,263.68	\$9,541.59	\$9,827.84
<i>Total PMPM Cost</i>	<i>\$10,121.49</i>	<i>\$10,425.14</i>	<i>\$10,737.89</i>	<i>\$11,060.03</i>	<i>\$11,391.83</i>	<i>\$11,733.58</i>	<i>\$12,085.59</i>
Total Annual Cost	\$5,921,074	\$27,772,568	\$28,605,745	\$29,463,917	\$30,347,835	\$31,258,270	\$32,196,018
Federal Share	\$2,960,537	\$13,886,284	\$14,302,873	\$14,731,959	\$15,173,917	\$15,629,135	\$16,098,009

**Member-months removed from following MEGs beginning DY4:
without waiver**

	DY4	DY5
ABD	29	52
HCBS 217-Like	161	165
LTC	5	5

Notes:

For non-DAC and CCW Supports, the state plan service cost PMPM = ABD Non-dual cap rate

For non-DAC and CCW Supports, the DDD Supports cost PMPM was provided by DDD.

For non-DAC and CCW Supports, the estimated clients were provided by DDD

For DD Supports-PDN Group, the HCBS Non-dual cap rate is used for medical/LTC costs

For DD Supports-PDN Group, the DDD Supports cost PMPM was provided by DDD.

For DD Supports-PDN Group, the estimated clients were found using DMAHS Office of Managed Health Care analysis

NJ Comprehensive Waiver: 1115 Demonstration

Demonstration Year 3 (SFY15): Major Medicaid Eligibility Group

Expenditure Completion Percentage through 9/30/2015

	CMS64 Sch C: Total Computable Expenditures				
	<u>QE Sept 14</u>	<u>QE Dec 14</u>	<u>QE Mar 15</u>	<u>QE Jun 15</u>	<u>QE Sept 15</u>
Title XIX	\$434,928,859	\$1,123,432,957	\$1,776,674,891	\$2,421,649,657	\$2,553,436,009
ABD (w/ LTC)	\$959,799,916	\$2,238,665,986	\$3,502,700,629	\$4,800,030,515	\$5,061,934,434
HCBS state plan	\$17,444,346	\$40,534,851	\$67,115,872	\$98,437,031	\$98,879,627
HCBS 217-like	\$79,660,649	\$164,047,547	\$247,004,147	\$327,788,341	\$328,690,304
New Adult Group	\$544,696,512	\$1,223,823,248	\$1,853,884,815	\$2,660,505,457	\$2,751,130,881

	CMS64 Sch C: Percent Completion				
	<u>QE Sept 14</u>	<u>QE Dec 14</u>	<u>QE Mar 15</u>	<u>QE Jun 15</u>	<u>QE Sept 15</u>
Title XIX	17.03%	44.00%	69.58%	94.84%	100.00%
ABD (w/ LTC)	18.96%	44.23%	69.20%	94.83%	100.00%
HCBS state plan	17.64%	40.99%	67.88%	99.55%	100.00%
HCBS 217-like	24.24%	49.91%	75.15%	99.73%	100.00%
New Adult Group	19.80%	44.48%	67.39%	96.71%	100.00%

Budget Neutrality Monitoring Sheet Notes

Enrollment Trends

DY6-10 QE Dec 15 Report projected member-months increased by CMS-approved Budget Neutrality growth factors in current STC #128.

No Waiver Spending

DY6-10 Total Computable = MM's multiplied by DY5 PMPM caps per STCs #128 and #129 (increased annually by CMS approved growth factors in current STC #128).

DY6-10 Federal Share = Total Computable multiplied by composite federal share ratio in accordance with current Demo's STC #130

With Waiver Spending

DY6-10 = projected MM's multiplied by PMPMs. PMPM calculated by using the DY5 PMPMs from the QE Dec 15 Report and increasing them annually by CMS approved growth factors in current STC #128 and #129

DY6-10 Federal Share = Total Computable multiplied by composite federal share ratio in accordance with STC #130

Schedule C
 CMS 64 Waiver Expenditure Report
 Cumulative Data Ending Quarter/Year : 4/2015

State: New Jersey

Summary of Expenditures by Waiver Year
 Waiver: 11W00279

MAP Waivers

Total Computable

Waiver Name	A	01	02	03	04
ABD	0	3,962,330,365	5,396,059,196	5,061,934,434	997,076,845
ACCAP – 217 Like	0	630,539	880,454	0	0
ACCAP – SP	0	900,000	966,297	0	0
AWDC	0	1,529,772	674,018	0	0
Childless Adults	0	27,844,394	48,216,389	0	0
CRPD – 217 Like	0	11,803,536	16,894,842	0	0
CRPD – SP	0	10,672,842	15,247,535	0	0
DSRIP	0	0	83,304,870	166,600,001	0
GME State Plan	0	0	100,000,001	100,000,000	31,818,183
GO – 217 Like	0	181,068,236	221,682,839	0	0
GO – SP	0	23,869,092	33,606,671	0	0
HCBS – 217 Like	0	260,071	21,131,357	328,690,304	87,649,661
HCBS – State Plan	0	63,682	5,621,330	98,879,627	45,059,144
HRSF & GME	0	192,443,637	0	0	0
HRSF Transition Paym	0	0	83,302,681	0	0
IDD/MI – 217 Like	0	0	0	1,147,471	701,198
MATI at Risk	0	4,069,775	3,429,158	0	0
New Adult Group	0	7,233,460	859,608,870	2,751,130,881	629,303,808
SED – 217 Like	0	0	50,267	7,093	550
SED at Risk	0	24,366,856	34,048,823	32,545,949	5,161,323
TBI – 217 Like	0	13,673,932	17,438,251	0	0
TBI – SP	0	7,457,114	9,364,928	0	0
Title XIX	0	1,660,070,623	2,397,874,337	2,553,436,009	478,978,957
XIX CHIP Parents	0	0	126,863,031	0	0
Total	0	6,130,287,926	9,476,266,145	11,094,371,769	2,275,749,669

Federal Share

Waiver Name	A	01	02	03	04
ABD	0	1,987,068,554	2,714,880,462	2,543,818,610	501,334,443
ACCAP – 217 Like	0	319,151	446,869	0	0
ACCAP – SP	0	454,312	489,362	0	0
AWDC	0	777,617	344,491	0	0
Childless Adults	0	14,715,147	24,778,164	0	0
CRPD – 217 Like	0	6,026,151	8,740,654	0	0
CRPD – SP	0	5,447,877	7,899,121	0	0
DSRIP	0	0	41,652,435	83,300,003	0
GME State Plan	0	0	55,642,502	66,797,499	21,477,274
GO – 217 Like	0	91,709,982	114,209,771	0	0
GO – SP	0	12,108,906	17,304,835	0	0
HCBS – 217 Like	0	133,048	10,939,493	168,822,274	45,068,156
HCBS – State Plan	0	32,850	2,914,220	50,788,804	23,173,620
HRSF & GME	0	96,221,820	0	0	0
HRSF Transition Paym	0	0	41,651,341	0	0
IDD/MI – 217 Like	0	0	0	579,776	354,895
MATI at Risk	0	2,055,322	1,783,162	0	0
New Adult Group	0	7,233,460	859,608,870	2,751,130,881	629,303,808
SED – 217 Like	0	0	25,134	3,571	286
SED at Risk	0	12,670,764	17,705,386	16,923,894	2,683,888
TBI – 217 Like	0	6,928,494	8,987,060	0	0
TBI – SP	0	3,776,704	4,819,278	0	0
Title XIX	0	833,394,215	1,327,364,107	1,402,204,557	241,871,573

Composite Federal Share %

Waiver Name	01	02	03	04	05	06	07	08	09	10
ABD	50.15%	50.31%	50.25%	50.28%	50.28%	50.28%	50.28%	50.28%	50.28%	50.28%
AWDC	50.83%	51.11%								
Childless Adults	52.85%	51.39%								
DSRIP		50.00%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%
GME State Plan		55.64%	66.80%	67.00%	65.83%	64.83%	64.50%	63.83%	63.33%	63.33%
HCBS – 217 Like	50.67%	51.55%	51.36%	51.42%	51.42%	50.00%	50.00%	50.00%	50.00%	50.00%
HCBS – State Plan	50.79%	51.58%	51.36%	51.43%	51.43%	50.00%	50.00%	50.00%	50.00%	50.00%
HRSF & GME	50.00%									
HRSF Transition Payments		50.00%								
IDD/MI – 217 Like			50.53%	50.61%	50.57%	50.57%	50.57%	50.57%	50.57%	50.57%
MATI at Risk	50.50%	52.00%								
New Adult Group	100.00%	100.00%	100.00%	100.00%	97.50%	94.50%	93.50%	91.50%	90.00%	90.00%
SED – 217 Like		50.00%	50.00%	50.35%	50.50%	50.00%	50.00%	50.00%	50.00%	50.00%
SED at Risk	52.00%	52.00%	52.00%	50.50%	50.00%	50.00%	50.00%	50.00%	50.00%	50.00%
Title XIX	50.20%	55.36%	54.91%	50.20%	50.20%	50.20%	50.20%	50.20%	50.20%	50.20%
XIX CHIP Parents		51.04%								

DY1 & DY2 HCBS expenditures	DY1	DY2
	total computable	
HCBS – 217 Like	207,436,314	278,027,743
HCBS – State Plan	42,962,730	64,806,761
	Federal share	
HCBS – 217 Like	105,116,826	143,323,847
HCBS – State Plan	21,820,649	33,426,816

Schedule C

CMS 64 Waiver Expenditure Report

Cumulative Data Ending Quarter/Year : 4/2015

XIX CHIP Parents	0	0	64,746,159	2,148	0
Total	0	3,081,074,374	5,326,932,876	7,084,372,017	1,465,267,943

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CMS 64 - MEDICAID ELIGIBILITY GROUPS AS OF JUNE 2014

Actuals through 9/30/2015 (as of 12/31/2015)					
DEFINITIONS:	DY1	DY2	DY3	DY4	DY5
TITLE XIX	5,773,180	2,850,903	8,209,066	2,353,046	0
ABD (Excluding HCBS and LTC SPC 61)	2,486,117	3,342,730	3,344,952	827,724	0
Childless Adults	385,740	225,208			
Adults W/O Dependent Children	6,057	2,774			
ADP	26,729	43,160	38,408	9,607	0
HCBS (State Plan)	13,594	18,860	26,218	11,506	47,314
HCBS (217 Like)	96,351	127,895	122,851	32,259	104,643
LTC			202,000		
SPD (217 Like)	113	145	116	25	0
CD/M (217 Like)	0	0	579	662	0
XIX CHIP Parents (10/01/2013 - 12/31/2013 Only)		456,761	0	0	0
17 New Adult Group (01/01/2014 Onwards)		2,399,243	6,536,725	1,723,295	0

Source - CMS64 MIG report from Dec 2015

	Oct-12	Nov-12	Dec-12	Jan-13	Feb-13	Mar-13	Apr-13	May-13	Jun-13	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14	Jan-15	Feb-15	Mar-15	Apr-15	May-15	Jun-15	
HCBS (217 Like)	643,208	643,115	643,948	643,840	643,218	645,054	645,116	638,383	634,001	633,251	632,536	633,072	628,743	625,878	622,707	663,243	662,292	629,653	683,678	689,180	693,744	698,875	700,708	712,041	716,576	718,388	721,006	727,778	733,443	738,174	743,632	745,614	749,891	
ADP	274,854	274,540	274,471	275,897	276,304	276,808	277,259	277,750	278,234	278,390	278,697	279,521	279,908	279,461	278,818	276,843	277,137	278,134	278,326	278,535	278,973	280,262	280,383	280,531	280,202	279,951	278,126	278,248	277,951	277,637	277,579	277,274	276,805	
Childless Adults	45,455	44,363	43,494	43,024	42,618	42,563	41,976	41,588	40,650	39,738	39,242	38,278	37,737	34,678	35,535																			
Adults W/O Dependent Children	777	750	713	682	670	663	644	610	551	501	491	460	453	442	426	145,207	140,723	103,473	111,080	115,943	148,452	143,403	170,320	185,009	204,721	203,874	420,540	332,617	349,081	355,933	362,741	364,479	366,303	
ADP	2,460	2,618	2,977	2,907	3,029	3,110	3,351	3,333	3,344	3,271	3,293	3,354	3,364	3,566	3,531	3,709	3,856	4,162	4,193	3,921	3,654	3,185	3,029	2,814	2,886	2,929	3,039	3,160	3,261	3,408	3,566	3,629	3,640	
HCBS (State Plan)	1,518	1,520	1,504	1,467	1,474	1,493	1,511	1,543	1,564	1,553	1,555	1,540	1,567	1,486	1,586	1,596	1,583	1,580	1,576	1,573	1,545	1,493	1,540	1,624	1,829	2,022	2,222	2,210	2,325	2,419	2,587	2,802	3,140	
HCBS (217 Like)	13,219	13,225	13,221	10,428	10,398	10,420	10,456	10,480	10,406	10,536	10,577	10,645	10,726	10,752	10,781	10,758	10,742	10,606	10,608	10,577	10,693	9,863	9,920	9,994	10,310	10,699	10,540	10,296	10,217	10,216	10,256	10,319	10,403	
LTC																0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
SPD (217 Like)	13	13	14	15	15	10	7	9	15	24	13	15	15	16	13	9	0	11	15	10	7	14	18	12	6	8	10	0	5	7	8	13	8	
CD/M (217 Like)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
XIX CHIP Parents (10/01/2013 - 12/31/2013 Only)													152,428	152,087	152,246																			
17 New Adult Group (01/01/2014 Onwards)														181,112	186,989	198,362	203,220	205,870	208,796	211,493	214,063	216,647	218,761	220,033	223,400	225,656	227,874	228,426	227,301	226,111	225,360			

BN caps should be as of 3-27-14

Meg = Title XIX	as appears on march 27 2014	Should appear on 3/27/14 STCs
	PMPM	PMPM
DY2	\$346.00	\$346.69
DY3	\$366.07	\$366.74
DY4	\$387.30	\$387.95
DY5	\$409.76	\$410.40

Meg = ABD	original	after CMS approve \$10m addl GME
	PMPM	PMPM
DY2	\$1,123.36	\$1,124.49
DY3	\$1,163.80	\$1,164.91
DY4	\$1,205.69	\$1,206.78
DY5	\$1,249.10	\$1,250.17

Meg = LTC	original	after CMS approve \$10m addl GME
	PMPM	PMPM
DY2	\$8,973.64	\$8,975.89
DY3	\$9,323.62	\$9,325.83
DY4	\$9,687.24	\$9,689.41
DY5	\$10,065.04	\$10,067.17

Meg = HCBS State Plan	original	after CMS approve \$10m addl GME
	PMPM	PMPM
DY2	\$2,340.19	\$2,347.84
DY3	\$2,426.78	\$2,434.29
DY4	\$2,516.57	\$2,523.94
DY5	\$2,609.68	\$2,616.93

HCBS Proj MMsactuals

Duals Non-duals Combo
79399 89399

SFY15 \$ 2,707.96 \$ 7,666.80 \$ 3,099.46 *wt avg, net of patient liability*
 SFY16 \$ 2,789.20 \$ 7,896.80 \$ 3,378.41
 SFY17 \$ 2,872.87 \$ 8,133.71 \$ 3,479.77

sfy16 & 17 reflect 3% rate increase

93.4% 6.6% 19.6% 80.4%

	<u>Duals</u>	<u>Non-duals</u>	<u>Total</u>		<u>HCBS-SP</u>	<u>HCBS-217</u>
Jul-14	10,374	730	11,104	actual	2,172	8,932
Aug-14	10,370	744	11,114	actual	2,174	8,940
Sep-14	10,502	773	11,275	actual	2,206	9,069
Oct-14	10,773	850	11,623	actual	2,274	9,349
Nov-14	10,948	910	11,858	actual	2,320	9,538
Dec-14	11,201	990	12,191	actual	2,385	9,806
Jan-15	11,057	947	12,004	actual	2,348	9,656
Feb-15	11,002	962	11,964	actual	2,341	9,623
Mar-15	11,035	1,020	12,055	actual	2,358	9,697
Apr-15	10,921	997	11,918	actual	2,332	9,586
May-15	11,387	1,104	12,491	actual	2,444	10,047
Jun-15	11,793	1,233	13,026	actual	2,548	10,478
Jul-15	12,150	1,226	13,376	actual	2,617	10,759
Aug-15	12,455	1,309	13,764	actual	2,693	11,071
Sep-15	12,751	1,529	14,280	actual	2,794	11,486
Oct-15	13,018	1,577	14,595	actual	2,855	11,740
Nov-15	13,267	1,704	14,971	actual	2,929	12,042
Dec-15	13,671	1,790	15,461	actual	3,025	12,436
Jan-16	13,763	1,809	15,572		3,046	12,526
Feb-16	14,128	1,912	16,041		3,138	12,903
Mar-16	14,480	2,015	16,495		3,227	13,268
Apr-16	14,813	2,121	16,934		3,313	13,621
May-16	15,078	2,211	17,289		3,382	13,907
Jun-16	15,352	2,304	17,656		3,454	14,202
Jul-16	15,636	2,405	18,041		3,529	14,511
Aug-16	15,928	2,489	18,417		3,603	14,814
Sep-16	16,225	2,565	18,790		3,676	15,114
Oct-16	16,525	2,660	19,184		3,753	15,431
Nov-16	16,824	2,749	19,572		3,829	15,743
Dec-16	17,115	2,845	19,961		3,905	16,056
Jan-17	17,428	2,945	20,373		3,986	16,388
Feb-17	17,709	3,034	20,743		4,058	16,685
Mar-17	17,994	3,122	21,116		4,131	16,985
Apr-17	18,285	3,211	21,497		4,205	17,291
May-17	18,585	3,302	21,887		4,282	17,605
Jun-17	18,883	3,393	22,276		4,358	17,918

As Reported on the MEG Report Mar'15	
<u>HCBS-SP</u>	<u>HCBS-217</u>
1,492	9,863
1,546	9,920
1,624	9,994
1,829	10,310
2,022	10,499
2,222	10,540
2,210	10,296
2,325	10,237
2,419	10,216
2,587	10,256
2,802	10,319
3,140	10,401
3,506	10,677
3,868	10,744
4,132	10,838

ties to the DMAHS Budget Office capitation projection usi

ng Dec 15 actual enroll as reported 1-22-2015

MMX Member Mo	Count(dist) Recip Idn
10/1/2012	29,421.
11/1/2012	29,355.
12/1/2012	29,273.
1/1/2013	29,167.
2/1/2013	28,826.
3/1/2013	28,849.
4/1/2013	28,778.
5/1/2013	28,674.
6/1/2013	28,726.
7/1/2013	28,847.
8/1/2013	29,024.
9/1/2013	29,058.
10/1/2013	29,102.
11/1/2013	29,138.
12/1/2013	29,189.
1/1/2014	29,066.
2/1/2014	28,831.
3/1/2014	28,862.
4/1/2014	28,784.
5/1/2014	28,765.
6/1/2014	28,732.
7/1/2014	30,066.
8/1/2014	29,953.
9/1/2014	29,784.
10/1/2014	29,547.
11/1/2014	29,203.
12/1/2014	28,973.
1/1/2015	28,959.
2/1/2015	28,644.
3/1/2015	28,386.
4/1/2015	28,231.
5/1/2015	28,070.
6/1/2015	28,201.
7/1/2015	28,177.

Member-Months	
As of 9/30/2015	
DY1	261,069.
DY2	347,398.
DY3	348,017.
DY4	84,549.
DY5	

8/1/2015	28,254.
9/1/2015	28,118.
10/1/2015	28,014.
11/1/2015	27,827.
12/1/2015	27,474.
1/1/2016	24,417.
2/1/2016	24,383.

MMX Member Month Date	Count(dist) Recip Idn
10/1/2012	2,376.
11/1/2012	2,353.
12/1/2012	2,332.
1/1/2013	2,322.
2/1/2013	2,302.
3/1/2013	2,291.
4/1/2013	2,270.
5/1/2013	2,242.
6/1/2013	2,220.
7/1/2013	2,195.
8/1/2013	2,177.
9/1/2013	2,157.
10/1/2013	2,130.
11/1/2013	2,109.
12/1/2013	2,076.
1/1/2014	2,047.
2/1/2014	2,032.
3/1/2014	2,017.
4/1/2014	1,970.
5/1/2014	1,930.
6/1/2014	1,876.
7/1/2014	1,845.
8/1/2014	1,823.
9/1/2014	1,811.
10/1/2014	1,791.
11/1/2014	1,769.
12/1/2014	1,744.
1/1/2015	1,724.
2/1/2015	1,711.
3/1/2015	1,695.
4/1/2015	1,679.
5/1/2015	1,665.
6/1/2015	1,651.
7/1/2015	1,639.

Member-Months	
As of 9/30/2015	
DY1	20,708.
DY2	24,716.
DY3	20,908.
DY4	4,884.
DY5	

8/1/2015	1,633.
9/1/2015	1,612.
10/1/2015	1,584.
11/1/2015	1,580.
12/1/2015	1,556.