

Attachment F STC Compliance

STC	Description	Compliance Status
	Section I	Preface
	Section II	Historical Description of the Demonstration
	Section III	General Program Requirements
1	Federal Non-Discrimination Statutes	In compliance
2	Medicaid and CHIP Law	In compliance
3	Changes in Medicaid and CHIP Law	In compliance
4	Changes in Federal Law	In compliance
5	State Plan Amendments	In compliance
6	Changes subject to the amendment process	In compliance
7	Amendment process	In compliance
8	Extension of the Demonstration	Documentation required is provided through the renewal application.
9	Demonstration phase-out	Not applicable
10	CMS Right to Terminate or Suspend	Not applicable
11	Finding of Non-Compliance	Not applicable
12	Withdrawal of Waiver Authority	Not applicable
13	Submission of Amendments and Transition Plan re: ACA	In compliance
14	Adequacy of Infrastructure	In compliance
15	Public Notice	In compliance
16	FFP	Not applicable
	Section IV	Eligibility
17	Eligibility groups affected by the demonstration	In compliance
18	Expansion Groups	In compliance
19	Demonstration Population Summary	In compliance
20	Eligibility/Post-eligibility Treatment of Income and Resources for Institutionalized Individuals	In compliance
21	Transfer of Assets	In compliance
	Section V	Benefits
22	Alternative Benefit Plan	In compliance
23	MLTSS benefits	In compliance
24	Supports Program benefits	In compliance
25	ASD Program benefits	The state is currently developing a package of services to include in the Medicaid State Plan through EPSDT under guidance from CMS. The benefit package will include the services offered under the ASD Pilot.
26	ID/DD-MI benefits	In compliance
27	IDD/OOS benefits	Not operationalized

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28	SED Program benefits	In compliance
29	MATI benefits	In compliance
30	Short-term NF Stays	In compliance
31	Cost Sharing	In compliance
Section VII		Managed Care Requirements
32	Benefits Excepted from Managed Care	In compliance
33	Care Coordination & Referral under Managed Care	In compliance
34	Managed Care Contracts	In compliance
35	Public Contracts	In compliance
36	Network Requirements	In compliance
37	Demonstrating Network Adequacy	In compliance
38	Provider Credentialing	In compliance
39	EPSDT Compliance	In compliance
40	Advisory Committee	In compliance
41	Mandatory Enrollment	In compliance
42	Choice of MCO	In compliance
43	MCO Selection	In compliance
44	Notice for Change in MCO Network	In compliance
Section VIII		HCBS & MLTSS Requirements
45	Administrative Authority	In compliance
46	Home and Community-based Characteristics	In compliance
47	Health and Welfare of Enrollees	In compliance
48	Demonstration Participant Protections	In compliance
49	Critical Incident Management System	In compliance
50	Managed Care Grievance/Complaint System	In compliance
51	Fair Hearings	In compliance
52	Plan of Care	52(d): In a focus study done by the EQRO and released in draft to the State this spring, it was determined that not all POCs had a back-up plan as required by the STC and MCO contract. Reeducation was done by the state and the EQRO will be reviewing for compliance with the next audit.
53	Option for Participant Direction of certain HCBS and MLTSS	In compliance
Section IX		Behavioral Health
54	Behavioral Health Organization	Children's BHO was operationalized and is in compliance
55	Behavioral Health for Children	In compliance
56	Behavioral Health for Adults	Not operationalized

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57	Behavioral Health Home	The Behavioral Health Home is provided through a FFS Model
58	Services Provided by the BHO/ASO	In compliance
59	Duplication of Payment	In compliance
	Section X	Managed Long Term Services and Supports
60	Transition of Existing 1915c Programs	In compliance
61	Notice of Transition to Program Participants	In compliance
62	Transition from FFS to Managed Care	In compliance
63	Readiness Review Requirements	In compliance
64	Steering Committee	In compliance
65	Transition of Care Period	In compliance
66	Money Follows the Person	In compliance
67	Nursing Facility Diversion	In compliance
68	Nursing Facility Transition to Community Plan	In compliance
69	Level of Care Assessment	In compliance
70	Participant Protections under MLTSS	In compliance
71	Institutional & Community-based MLTSS	In compliance
72	Care Coordination for MLTSS	In compliance
	Section XI	Special Targeted HCBS Programs
73	HCBS Programs	In compliance
74	Network and Adequacy Requirements	In compliance
75	Provider Credentialing	In compliance
76	Non-duplication of Services	In compliance
77	Supports Program	In compliance
78	ASD Pilot Program	The state is currently revising its ASD Pilot program to come into compliance with the CMS bulletin released in July 2014 regarding providing Autism services through EPSDT.
79	ID/DD-MI Pilot Program	In compliance
80	IDD-OOS Program	Not operationalized
81	SED Program	In compliance
82	MATI	In compliance
	Section XII	Premium Assistance Programs
83	Premium Support Program	In compliance
	Section XIII	Quality
84	Administrative Authority	In compliance
85	Quality for Managed Care/MLTSS	In compliance
86	Quality for Fee-for-Service HCBS Programs	In compliance

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STC	Description	Compliance Status
87	Content of Quality Strategies	In compliance
88	Oversight Process: Monitoring Activities by State/EQRO	In compliance
89	Revision of Quality Strategies/Reporting	In compliance
Section XIV		Funding Pools
90	Terms and Conditions Applying to Pools Generally	In compliance
91	Transition Payments	In compliance
92	DSRIP Pool	In compliance
93	FFP for DSRIP	In compliance
94	Life Cycle of Five-Year Demonstration	In compliance
95	Limits on Pool Payments	In compliance
96	Transition Plan for Funding Pools	Due to CMS by June 30, 2016
Section XV		General Reporting Requirements
97	General Financial Requirements	In compliance
98	MLTSS Data Plan for Quality	In compliance
99	Monthly Enrollment Report	In compliance
100	Monthly Monitoring Calls	The state has not had monthly calls with CMS due to scheduling issues on both sides. The state has made itself available when CMS has had any questions.
101	Quarterly Progress Reports	In compliance
102	Annual Report	In compliance
Section XVI		Administrative Requirements
103	General Administrative Requirements	In compliance
Section XVII		General Financial Requirements Under Title XIX
104	Reporting Expenditures	In compliance
105	Expenditures Subject to Budget Agreement	In compliance
106	Administrative Costs	In compliance
107	Claiming Period	In compliance
108	Reporting Member Months	In compliance
109	Standard Medicaid Funding Process	In compliance
110	Extent of FFP for the Demonstration	In compliance
111	Sources of Non-Federal Share	In compliance
112	State Certification of Funding Conditions	In compliance
Section XVIII		General Financial Requirements Under Title XXI
113	Quarterly Expenditure reports under CMS-21	In compliance
114	Reporting requirements for Title XXI	In compliance

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STC	Description	Compliance Status
115	Timeframe for submitting claims under the Demonstration	In compliance
116	Standard CHIP Funding Process	In compliance
117	Certify Non-Federal Share	In compliance
118	Title XXI Funding limit	In compliance
119	Total Federal title XXI Funding	In compliance
120	Expenditures for Outreach and Admin	In compliance
121	Exhaustion of Title XXI Funds	In compliance
122	Notice of Title XIX drawdown for Medicaid Expansion	In compliance
123	Continuance of Federal Rules	In compliance
	Section XIX	Monitoring Budget Neutrality for the Demonstration
124	Limit on Title XIX Funding	In compliance
125	Risk	In compliance
126	Calculation and Application of Budget Neutrality Limit	In compliance
127	Impermissible DSH, Taxes or Donations	In compliance
128	Trend Rates for PMPMs	In compliance
129	Supplemental Tests	In compliance
130	Composite Federal Share Ratios	In compliance
131	Exceeding Budget Neutrality	Budget Neutrality has not been exceeded.
132	Enforcement of Budget Neutrality	In compliance
	Section XX	Evaluation of the Demonstration
133	Submission of Draft Evaluation Design	In compliance
134	Final Evaluation Design and Implementation	In compliance
135	Evaluation Reports	The Draft Interim Evaluation report is included in the Renewal Application. The Final Evaluation report is due July 1, 2017.
136	Cooperation with Federal Evaluators	In compliance