



NEW JERSEY DEPARTMENT OF HUMAN SERVICES

Nursing Facility Rate Increases and Reporting

July 21, 2021

Agenda

- Introductions
- Review of SFY2021 Wage Reporting
 - No change to file, data fields, attestation or mandated expenditures
 - Recoupment calculation
- Reporting Portal
- SFY2022 Rate Calculations
 - COVID-19 and Per Diem Increases
 - Quality Incentive Payment Program (QIPP)
- Changes for SFY2022 Wage Reporting
 - Appropriations Act updates to mandated expenditures and recoupment
 - New online portal in development to streamline Excel use
 - Timing and due dates for future reporting
- Annual Rate Notification Letters
- How to Ask Questions

Review of SFY2021 Wage Reporting

- No change to file, data fields or attestation presented on October 16, 2020. For reference, see the four starred items under Aging Services at: <https://nj.gov/humanservices/coronavirus.html>
- No change to mandated expenditures; see [FAQ](#) at above link for additional details.
 - Certified Nurse Aides (CNAs) provide the majority of the direct care in most facilities, making retention and recruitment of this workforce a critical component of quality care. At least 60% of the additional revenue included in P.L.2020, c.90 must be used to increase wages or supplemental pay for these staff.
 - Other long-term care laws include new requirements for nursing facilities in response to COVID-19, including, for example, the provision of personal protective equipment and the implementation of certain infection control protocols. Up to 40% of the additional revenue made available to a facility through P.L.2020, c.90 may be used to support new costs a facility is incurring to meet these preparedness and response requirements.
- The following slides will review the primary reporting fields for the final attestation and wage schedule. These are taken directly from the October 16, 2020, presentation. Please refer to the previous presentation and FAQ for additional details.
- Add the final information to the file used for the baseline submission.

Review of SFY2021 Wage Reporting

Excel: Attestation and Wage Schedule Instructions

- **Structure:** Single file with four sheets
 - Attest Baseline: Previously completed.
 - CNA Wage Base: Previously completed.
 - Attest Final: Update informational cells.
 - This sheet calculates certain fields from “CNA Wage Final” and requires the facility to enter figures in four additional cells.
 - CNA Wage Final: Enter final wage schedule.

<https://nj.gov/humanservices/coronavirus.html>

**NURSING FACILITY SFY2021 RATE INCREASE –
DOWNLOADABLE ATTESTATION AND WAGE SCHEDULE EXCEL TEMPLATE,
UPDATED 11/06/20**

Attest Baseline

CNA Wage Base

Attest Final

CNA Wage Final

Review of SFY2021 Wage Reporting

Excel: Attestation and Wage Schedule Instructions

- **“Attest Final” Sheet:** Review the informational fields.
 - Linked to “Attest Baseline” – update if there are changes for final report.

1	Nursing Facility Name	City Care Center
2	Federal Tax ID (9 digits, no characters)	123456789
3	Medicaid NF Provider # (7 digits, no characters)	1234567
4	Reporting Officer Name	First Last
5	Reporting Officer Title	Chief Financial Officer
6	Reporting Officer Phone # (10 digits, no characters)	123-456-7890
7	Reporting Officer Email Address	first.last@ccc.com

Review of SFY2021 Wage Reporting

Complete the Wage Schedule Sheet

- **CNA Wage Final:** Employee ID, CNA Type, Hourly Wage, & Bonus/Supplemental
 - Employees on payroll as of June 30, 2021.
 - Please complete all fields, including the drop-down menu for CNA Type.

	A	B	C	D
1	Employee ID	CNA Type	Hourly Wage	Bonus/Supplemental
2	1	Full Time	\$ 16.00	\$ 70.00
3	2	Full Time	\$ 15.00	\$ 65.00
4	3	Part Time	\$ 14.00	\$ 115.00
5	4	Per Diem	\$ 15.00	\$ 105.00
6	5	Per Diem	\$ 16.00	\$ 100.00
7	6	Part Time	\$ 15.00	\$ 75.00
8	7	Per Diem	\$ 15.00	\$ 120.00

Review of SFY2021 Wage Reporting

Attest Baseline & Final: Totals will automatically calculate.

31	I affirm and attest to the following workforce totals related to this baseline and final reporting periods:			
32	Certified Nurse Aide Reporting as of 10/01/2020*	# Employed	Average Hourly Wage	
33	Full Time	103	\$	13.44
34	Part Time	102	\$	13.72
35	Per Diem	95	\$	13.56
36	Other	1	\$	13.00
37	Total Employed and Average Wage	301	\$	13.57
38	<i>*These totals are automatically calculated from the detailed "CNA Wage Base" sheet.</i>			
39				
40	Certified Nurse Aide Reporting as of 06/30/2021*	# Employed	Average Hourly Wage	
41	Full Time	107	\$	14.95
42	Part Time	106	\$	15.01
43	Per Diem	99	\$	15.10
44	Other	1	\$	14.00
45	Total Employed and Average Wage	313	\$	15.02
46	<i>*These totals are automatically calculated from the detailed "CNA Wage Final" sheet.</i>			

Review of SFY2021 Wage Reporting

Attest Final: Calculating the additional revenue received/expended.

- Simplified example on the next slide.

13	10% Rate Increase Reporting		
14	(1) 10% Daily Rate Increase per P.L.2020, c.90	\$ 20.62	<i>From notification letter</i>
15			
16	(2) Medicaid days billed 10/01/2020 – 06/30/2021	25,000	
17	(3) Additional funding = (1) * (2)	\$ 515,500	<i>Calculated field</i>
18	(4) Minimum applied to wages = (3) * 60%	309,300	<i>Calculated field</i>
19			
20	Total CNA Expenditure Reporting		
		Total for 10/01/2020 – 06/30/2021	
21	CNA wages, additional amount expended	\$ 279,580	
22	CNA bonus/supplemental pay, from wage schedule	\$ 28,720	<i>Calculated field</i>
23	CNA bonus/supplemental pay, not on wage schedule	\$ 1,000	
24	Total CNA payments	\$ 309,300	<i>Calculated field</i>
25	<i>Amount (below) wage minimum</i>	-	<i>Calculated field</i>

Review of SFY2021 Wage Reporting

Example for completing calculated and manually-entered fields

- Facility calculates additional compensation for the time period of \$100,000, split 70/30 between wages and bonus.
- Facility completes the “CNA Wage Final” spreadsheet tab with wages and bonuses for employees on payroll as of June 30, 2021. Assume these bonuses add to \$20,000.
- **In the “Attest Final” spreadsheet tab, facility completes the fields as follows, which captures the impact for the entire reporting period, even if some employees are no longer on payroll as of June 30, 2021:**

Field	\$	Source
C21: CNA wages, additional amount expended	\$70,000	Total additional compensation for wages
C22: CNA bonus/supplemental pay, from wage schedule	20,000	Calculated from “CNA Wage Final”
C23: CNA bonus/supplemental pay, not on wage schedule	10,000	Difference between \$30,000 total bonus
Total	\$100,000	Total additional compensation

Review of SFY2021 Wage Reporting

Calculating total additional compensation

- The example on the previous slide started from total compensation of \$100,000. The excerpt from the Excel file example on the slide before that totaled \$309,300.
- In a normal, 12-month fiscal year, this would be the amount of total additional compensation compared to the prior year.
 - For example, using \$100,000, if compensation for July 2020 to June 2021 was \$1.1 million and compensation for July 2019 to June 2020 was \$1 million.
- Because State Fiscal Year 2021 was a nine-month period, the following measures are acceptable:
 - **Difference in total compensation to the same period in the previous year**
 Total Compensation 10/01/2020 to 06/30/21 (minus) Total Compensation 10/01/2019 to 06/30/20
 - **Difference in total compensation to the previous nine months**
 Total Compensation 10/01/2020 to 06/30/21 (minus) Total Compensation 01/01/2020 to 09/30/20
 - **Difference in total compensation to the nine-month value of the last pre-increase quarter**
 Total Compensation 10/01/2020 to 06/30/21 (minus) [Total Compensation 07/01/2020 to 09/30/20 * 3]

Review of SFY2021 Wage Reporting

- Recoupment of the 60% component will be based on the final wage attestation (cell C25).
- Recoupment of the 40% component will be based on the Department of Health attestations required by the law.
- An updated attestation instructions document with example recoupment calculations will be posted at: <https://nj.gov/humanservices/coronavirus.html>

Department of Health Requirements per P.L.2020, c.90	Amount of 40%
Attestation: Outbreak response plan	5%
Attestation: PPE	5%
Attestation: Data Reporting	5%
Attestation: Respiratory Protection	5%
Repeat infection control violations	20%
Total	40%

Reporting Portal

<http://njdoas-ua.force.com/NF>



Nursing Facility Reporting Portal

* Medicaid NF Provider #

Verify

Reporting Portal

Nursing Facility Name: Medicaid NF Provider#:

Enter the information of the person submitting the data.

* Last Name * First Name

Phone * Email

Notes

[Clear](#)

Upload Excel (.XLS, .CSV, or .XLSX) file with your information.

File#	File Type	File Name	Upload	Delete
1.	Attestation and Wage Schedule		Upload Files	Delete

[Submit](#)

Files cannot be deleted once submitted.

File Submission History

S.No#	Attestation and Wage Schedule	Submitted Date	Submitter Name	Notes
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SFY2022 Rate Calculations – Rate Increases

- As proposed in the Governor’s Budget, the 10% COVID-19 increase in SFY2021 is continued.
- To support a full twelve months, total funding increases to \$174 million (\$87 million state).
- SFY2022 rates are also increased by \$30 million to support \$3.60 per diem increases.

– Appropriations Act

10% COVID-19 Increase: Notwithstanding the provisions of chapter 85 of Title 8 of the New Jersey Administrative Code or any other law or regulation to the contrary . . . (1) nursing facilities being paid on a fee-for-service basis shall be reimbursed at a per diem rate no less than the rate received on June 30, 2020, plus ten percent, minus the first provider tax add-on and any performance add-on amounts; (2) nursing facilities that are being paid by a Managed Care Organization (MCO) for custodial care through a provider contract that includes a negotiated rate shall receive that negotiated rate

10% COVID-19 Mandated Expenditures: (8) Each nursing facility shall use no less than sixty percent of the ten percent rate adjustment provided under section 1 above for the sole purpose of maintaining or increasing wages of staff providing direct care and, to ensure compliance, shall provide wage and cost data in a manner and form prescribed by the Commissioner of the Department of Human Services and shall return any of the sixty percent amount not used for this purpose;

(9) each nursing facility shall use the remainder of the ten percent rate adjustment provided under section 1 above for the sole purpose of COVID-19 infection control preparedness and response and shall return twenty percent of the ten percent increase if the nursing facility is cited by the Department of Health for two or more repeat infection control violations during the fiscal year; and

Per Diem Increase: (10) each nursing facility shall receive a per diem adjustment that shall be calculated based upon an additional \$15,000,000 in State and \$15,000,000 in federal appropriations.

Changes for SFY2022 Wage Reporting

- Appropriations Act updates mandated expenditures and recoupment criteria.
 - At least 60% of the additional revenue must be used to increase wages or supplemental pay for **direct care** staff.
 - Reporting and recoupment requirements remain the same.
 - Up to 40% of the additional revenue may be used to support COVID-19 preparedness and response requirements.
 - Half of this amount will be recouped if the nursing facility is cited by the Department of Health for two or more **repeat infection control violations** during the fiscal year (**single recoupment measure**).
- Single annual attestation to reduce paperwork.
 - The SFY2021 final attestation will also serve as the SFY2022 baseline.
 - The SFY2022 final attestation will be due August 12, 2022. It will also serve as the SFY2023 baseline.
- New online portal in development to streamline Excel use.

SFY2022 Rate Calculations - QIPP

- Due to SFY2021 legislation, SFY2020 QIPP add-ons carried over for SFY2021.
- For SFY2022, QIPP is updated to reflect changes in performance and one additional measure. Facilities can earn up to a \$3.60 per diem:
 - Measures: Physical restraint, Antipsychotic medication, Falls with major injury, High risk residents with a pressure ulcer, Influenza vaccination (new) and CoreQ survey participation
 - SFY21 QIPP (effective 10/1/20): Carryover MDS data from Q2-4 2017 and Q1 2018. CoreQ data from 2018.
 - SFY22 QIPP: MDS data from Q1-4 2019. CoreQ data from 2021.
 - **Appropriations Act**

(6) the add-ons used for fiscal year 2021 shall be applied from July 1, 2021, through September 30, 2021 and the first add-on as calculated in section 4 above shall be applied to both MCO and fee-for-service per diem reimbursement rates effective October 1, 2021; (7) each Class I, Class II, and Class III nursing facility that has, not later than November 17, 2020, submitted to the Department of Human Services (DHS) the DHS Fiscal Year 2022 CoreQ Long-Stay Survey Size Calculation Grid with affirmative answers, as defined by the Department, to validated Hospital Utilization Tracking system use, CoreQ vendor intent, and completion of the CoreQ Long-Stay Survey sample size calculation and, if eligible for CoreQ, not later than November 27, 2020, submitted demographics to the CoreQ vendor to initiate the CoreQ survey process, (a) shall receive a performance add-on of \$.60 for each of the following CMS nursing home long stay quality measures where the nursing facility has not failed to report data for any of the reporting periods Q1 2019, Q2 2019, Q3 2019 and Q4 2019, and the simple average of the quarters, as calculated by the Department with available data, is at or below the national average, as calculated by CMS, for the percentage of long stay residents who are: physically restrained, receiving antipsychotic medication, experiencing one or more falls with major injury, and high risk residents with a pressure ulcer, (b) shall receive a performance add-on of \$0.60 if the percentage of long-stay residents who are assessed and/or given, appropriately, the influenza vaccination is at or above the national average for the 2019 CMS reporting year, and (c) shall receive a performance add-on of \$0.60 if the nursing facility has been deemed eligible to participate in the CoreQ survey process as determined by the Department and received a composite score of 75 percent or greater on the CoreQ Resident and Family Experience Survey for the fiscal year 2022 survey period;

Annual Rate Notification Letters

Individual facility letters will be mailed to administrators this month, and will include:

- Calculation of 10% increase, which is used for the final Fiscal Year 2022 attestation
- Summary of rate components
- Summary of Appropriations Act updates
- Mandated expenditures and reporting
- Excerpt from Appropriations Act (printed on the last page)
- Appeals process remains the same
- As in recent years, the fee-for-service rate will serve as the default rate for Medicaid Managed Care Organization (MCO) payment if a separate negotiated rate has not been established with an MCO
- Provider Resources for Rates and QIPP: <https://www.state.nj.us/humanservices/doas/resources/>

If you do not receive a letter by August 13th, please email: NFSubmissions@dhs.nj.gov

Annual Rate Notification Letters

Sample Rate Calculation - Summary

Rate Components	Amount
Case Mix Rate	\$191.36
10% Increase per Appropriations Act con't	19.32
<i>Raw Rate as of 10/01/2020 (base in online rate schedule)</i>	\$210.68
Additional Funding per Appropriations Act	3.60
Performance Add-On (up to \$3.60)	.60
Provider Assessment Distribution	13.67
Total rate	\$228.55

How to Ask Questions

**For questions, comments and technical assistance,
please email our dedicated help desk:**

NFSubmissions@dhs.nj.gov



Thank you!