

Date:

Personal Information Supplement to Application(s) for Stevedore License For Term Expiring December 1, 2025

INSTRUCTIONS:

- A. Each application for a stevedore license must be accompanied by one of these forms for: the person applying, if the applicant is a natural person; each partner, if the applicant is a partnership; each natural person who is a party to a joint venture, if the applicant is a joint venture; each officer, each director, each natural person holding five percent (5%) or more of the stock, if the applicant is a corporation; and each officer, each director and each natural person holding five percent (5%) or more of the stock, if the applicant is a corporation; and each officer, each director and each natural person holding five percent (5%) or more of the stock of a corporate party to a joint venture, each member or manager of a limited liability company/corporation (LLC), if any other type of business, the chief operating officer or chief executive officer, irrespective of organizational title, and for all entities, individuals participating directly or indirectly in the control of the business entity.
- B. A response must be provided to each question on the application. If a particular question does not apply, the response must state "Not Applicable" or "NA".
- C. The applicant may expand the answers given or the information submitted by attaching additional pages, using 8 ½" x 11" paper. Identify the question number you are answering on each additional page.
- D. The Division will take all necessary measures to protect the confidentiality of any information disclosed herein.
- E. Definitions--The following definitions shall be applied to the questions contained herein:

"Applicant" shall mean, if a business entity is submitting an application for a license, the entity, and each principal thereof;

"Beneficial Interest" shall mean profit, benefit or advantage resulting from a business regardless of whether the person who enjoys such profit, benefit or advantage holds formal ownership or title in the business;

"Principal" shall mean;

OF A SOLE PROPRIETOR, the proprietor;

OF A PARTNERSHIP, all the partners;

OF A CORPORATION, every officer and director and every individual or entity holding five percent (5%) or more of the outstanding shares or other ownership interest of the corporation;

OF A LIMITED LIABILITY COMPANY/CORPATION (LLC), all the members and/or managers (if authority is delegated);

OF another type of business entity, the chief operating officer or chief executive officer, irrespective of organizational title, and all persons or entities having an ownership interest of five percent (5%) or more;

OF ANY BUSINESS ENTITIES, all other persons participating directly or indirectly in the control of the business entity;

"Principal" shall also include:

Of the applicant entity, a partners, member or manager (when authority is delegated), or stockholder holding five percent (5%) or more of the outstanding shares of a corporation that is itself a partnership, corporation, LLC or other entity. (1) An individual shall be considered to hold stock in a corporation where such stock is owned directly or indirectly by or for: (i) such individual; (ii) the spouse of such individual (other than a spouse who is legally separated from such individual pursuant to a judicial decree or an agreement cognizable under the laws of the state in which such individual is domiciled); (iii) the children, grandchildren and parents of such individual; (iv) a corporation in which any of such individual, the spouse, children, grandchildren and parents of such corporation; (2) A partnership shall be considered to hold stock in a corporation where such stock is owned, directly or indirectly, by or for a partner in such partnership; and (3) a corporation shall be considered to hold stock in a corporation that is an applicant as defined in this section where such corporation holds five percent (5%) or more in value of the application entity.

a "**Principal**" shall also include, notwithstanding any provision of the above paragraph, in the case of an applicant who is a regional subsidiary of or otherwise owned, managed by or affiliated with a business that has national or international operations, any person not employed by the applicant who has direct management supervisory responsibility for the operations or performance of the applicant; and the chief executive officer, chief operating officer and chief financial officer or any person exercising comparable responsibilities and functions, of any regional subsidiary or similar entity of such business.

1.	Name:		
	Last Name	First Name	Middle Initial
2.	Yes No		r than your present name?
3.			as many as apply. If supplement plicant, specify relationship to each
	An individual owne		
	A member of partne		
	A party to a joint ve	nture of:	
	A member of the Bo	ard of Directors of applicat	nt corporation(s):
	A stockholder owni	ng 5% or more of the stock	
			No. of Shares No. of Shares
			No. of Shares

An office	r of applicant co	rporation(s):	Title(s) Title(s) Title(s)	
A memb	er or manager of	FLLC(s):		
PERSONAL IN	FORMATION	[
1 Social Securi	try No			
listed above? If YES, list b	Yes \square No \square elow the other set	ocial security number	rity number other thar er(s) used or issued, an sued:	nd provide
. Date of Birth	:		V	
Place of Birt	Day h: City	County	Year State	Country
(a) How old y(b) Port of En(c) Date of la	were you when y ntry: st entry:	you entered the Unit	the following informated States?	
(e) Resident A	Alien Registratio	on Number:		
	ent Authorizatio sport Number:	n Number:		
(h) Are you a If YES:	naturalized citiz		ates? Yes No	
(1) Certifi (2) Date of	cate Number: f Certificate:			
	and Location of	Naturalization Cour	t:	
(5) City a	nd State where C	Certificate was Issue	d:	
(i)Have you of If YES, wh		ewed for deportation	n?Yes No	
(j)Have you	ever been subjec		n proceedings? Yes	
Date	W	here/Court	Status or Reso	lution

8.	Height:						
	Weight:						_
	Color of Hair:			 			
	Color of Eyes:			 			_
	Visble Scars or Mar	rks: _		 			_
	Visible Piercing:						
	Tattoos:						_
9.	Home address:			 			
	l	No.	Street	Apt. No.	City	State	ZIP

- 10. Is this residence owned \Box or rented \Box by you?
- 11. Previous residences during last ten (10) years (List in reverse order beginning with present address):

Number and Street	City and State	From	То

- 12. Driver's License: ______ ---- _____ Number
 - (a) Have you ever had a driver's license issued by any state other than the one listed above? Yes No

If YES, list s	tate, dated	issued, and	status (ex.	active,	expired,	etc.).
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State

(b) Has any driver's license issued to you ever been revoked or suspended? Yes 🗌 No 🗌 If YES, give details.

Date

State

Date Issued

Reason

Status

13. Telecommunications Information:

Home telephone number:
Personal cell phone number(s):
Personal E-mail:
Business address:
Business cell phone number(s):
Business E-mail:
Fax number:
Website:
14. Marital status?

15. List the name, age, and occupation of all the following family members: father, mother, sister(s), brother(s), spouse, ex-spouse(s), domestic partner, and children:

Name	Age	Occupation

16. Are you, or have you been in the past fifteen (15) years, a principal owner, partner, stockholder, member, manager, officer, or individual exercising managerial authority over any entity other than the submitting applicant? Yes No If YES, state the name and address of the entity:

17. D	o you or your spouse, directly or indirectly, hold a five percent (5%) or more
0	wnership interest in any corporation, partnership, sole proprietorship or other
b	usiness entity other than the applicant? Yes No
If	YES, state the name and address of the entity, the amount of the ownership interest,
a	nd the nature of the entity's business.

18. Has any entity or individual listed in response to Question 17 ever applied for a registration or license from the Waterfront Commission or ever held any registration or license issued by the Waterfront Commission ? Yes No
If YES, please list the name of the applicant or registrant / licensee, dates of such application, the type of registration or license held and the current status of such license:

- 19. Has any entity or individual listed in response to Question 17 ever applied for a registration or license from any government agency or ever held any registration or license issued by any government agency? Yes No
 If YES, please list the name of the applicant or registrant / licensee, dates of such application, the type of registration or license held and the current status of such license:
- 20. Has any person made any loan to you relating to the applicant's business? Yes No
 If answer is YES, give details:
- 21. Have you ever been an officer or employee of any "carrier of freight by water" or "stevedore"? Yes □ No □
 If YES, give details as to your position and dates of service and/or employment:

- 22. Have you ever been an officer or representative of a labor organization?Yes □ No □If YES, give details.
- 23. If answered YES to Question 22, have you, as such officer or representative, ever been offered, paid or given any valuable consideration by any employer, agent, employee or other person acting on behalf of any employer, other than salary or wages for labor performed? Yes □ No □

If YES, give details.

24.	Are you an elected or appointed public official or officer? Yes No
	If YES, give full details.
ED	UCATIONAL HISTORY
25.	Did you earn a high school diploma or G.E.D? Yes No (a) Name of High School/G.E.D. program:
26.	Did you attend college? Yes No (a) Name of College(s):
27.	Did you attend graduate school? Yes No (a) Name of University(s): (b) Location of University(s) (City, State): (c) Years Attended: (d) Graduation Date: (e) Subject of Study: (f) Degree Earned:
28.	Have you had any vocational training or obtained any special licenses? Yes No (a) Name of Vocational/Technical School:

LICENSING HISTORY

29. Have you ever been denied a license or permit of any kind by any regulatory or licensing agency? Yes No
If YES, give dates and details:

30. Have you ever had a license or permit of any kind by any regulatory or licensing agency cancelled, suspended, withdrawn or revoked? Yes No If YES, give dates and details:

31. Do you have a license or permit to carry a firearm? Yes No If YES, provide the following:

Issuing	License/Permit	Basis for	Date	Date Expired
Body	Туре	License/Permit	Issued	

32. Have you ever had a license or permit to possess or carry a firearm revoked or suspended, or an application for a license or permit to possess or carry a firearm denied? Yes No

If YES, please provide the following:

Date Action Taken	Issuing Body	Reason for Action Taken

WATERFRONT COMMISSION REGISTRATION/LICENSING HISTORY

33.	Have you previously filed for registration as a longshoreman (including warehousemen, maintenance man or to perform any work incidental to the movement of waterborne freight), checker, or telecommunication system controller or for a license as a hiring agent, pier superintendent, or port watchman? Yes No I If YES, give registration or license number
34.	Has any such license or registration ever been denied, revoked, cancelled or suspended, or have you ever been reprimanded by the Waterfront Commission? Yes No IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
35.	Have you or any partnership, corporation or other entity or organization with which you are or were affiliated ever previously filed for a license as a stevedore? Yes No If YES, explain and give the name of the stevedore, dates, and license number:
36.	Has any license as a stevedore in response to Question 46 ever been denied, revoked or suspended or have you or any partnership, corporation or organization with which you are or were affiliated ever been reprimanded or fined by the Waterfront Commission? Yes No I If YES, give details:
37.	Do you presently hold a registration as a longshoreman, checker, telecommunication master controller, or license as a hiring agent, pier superintendent or security officer? Yes No () (a) Longshoreman/Checker Number: (b) Security Officer Number: (c) Hiring Agent Number: (d) Pier Superintendent Number: (e) TSC Number:

^{38.} Are you presently working on, or have you ever worked on, any pier or waterfront terminal in any capacity (including any waterfront warehouse, depot or container station)? Yes No

- (a) Pier Number or Terminal:
- (b) Location:
- (c) Date last worked on that pier or waterfront terminal:
- (d) What is/was your job?
- (e) Approximately how many days during the last year did you work?

(f) Name all the piers or waterfront terminals where you worked during the last ten (10) vears:

Pier No. or	Dates from	Employer	Duties	Union Affiliation
Terminal	and to			(if any)

MILITARY SERVICE

39. Have you served in the Armed Forces of the United States? Yes No

- (a) Branch of Service:
- (b) Dates of Service:
- (c) Serial Number:
- (d) Rank Achieved:
- (e) Type of Discharge:

If discharge was other than honorable, give details: _____

40. Were you ever found guilty, after trial or by settlement, in any disciplinary proceeding, including court martial? Yes No If YES, provide details of charges and disposition, including dates:

41. Have you ever been rejected for military service? Yes ☐ No ☐ If YES, give details:

EMPLOYMENT HISTORY

42. Give a complete record of your occupation and employers during the past 20 years. (List in chronological order giving earliest employment first.)

1)		
Employer Name	Nature of Business	Occupation
Employer Address (No.	., Street, City, State, Zip)	
Dates From and To	Reason for Leaving	Salary
2)		
Employer Name	Nature of Business	Occupation
Employer Address (No.	., Street, City, State, Zip)	
Dates From and To	Reason for Leaving	Salary
3)		
5) Employer Name	Nature of Business	Occupation
Employer Address (No.	., Street, City, State, Zip)	
Dates From and To	Reason for Leaving	Salary
4)		
4) Employer Name	Nature of Business	Occupation
Employer Address (No.	., Street, City, State, Zip)	
Dates From and To	Reason for Leaving	Salary
5)		
5) Employer Name	Nature of Business	Occupation
Employer Address (No.	., Street, City, State, Zip)	
Dates From and To	Reason for Leaving	Salary
(6)		
6)Employer Name	Nature of Business	Occupation
Employer Address (No.	., Street, City, State, Zip)	
Dates From and To	Reason for Leaving	Salary

43. Are you now, or have you been a member of a Labor Union?	Yes	No
If YES, list your union affiliates, past or present:		

Union	Dates Affiliated
Union	Dates Affiliated
Union	Dates Affiliated
Union	Dates Affiliated

44. State the names, branches, and locations of all banks and savings and loan associations where you maintain accounts, and specify type of such accounts (including foreign banks and similar financial institutions).

Name and Address of Bank or Savings and Loan Associate	Type of Account

ARREST/CRIMINAL HISTORY

INSTRUCTIONS: RECORD OF ALL ARRESTS

A. Definitions – for purposes of this section:

"Arrest" – includes ANY detaining, holding, handcuffing, fingerprinting or taking into custody by any police or other law enforcement authorities to answer for the alleged performance of any "offense."

"Charge" – includes ANY indictment, complaint, information, summons, or other notice of the alleged commission of any "offense."

"Offense" – includes ALL felonies, crimes, high misdemeanors, misdemeanors, disorderly person offenses, petty disorderly person offenses, violations, local ordinances, driving while intoxicated/impaired or under the influence motor vehicle offenses and violations of probation or any court order.

- B. Answer "YES" and provide all information to the best of your ability, EVEN IF:
 - 1) You did not commit the offense charged;
 - 2) The charges were dismissed or subsequently downgraded to a lesser charge;
 - 3) You completed a Pretrial Intervention (PTI), received an Adjournment in Contemplation of Dismissal (ACD), you completed pretrial intervention or received a conditional discharge pursuant to N.J.S.A. 2C:36A-1 or Section 27 of the New Jersey State Controlled Dangerous Substance Act, or other equivalent diversionary program;
 - 4) You were not convicted;
 - 5) You did not serve any time in prison or jail;
 - 6) The charges or offenses happened a long time ago;
 - 7) You were not handcuffed and/or fingerprinted.

Answer "NO" IF:

- 1) You have never been arrested or charged with any crime or offense or
- 2) Any records relating to a charge, an arrest or conviction have been expunged or otherwise officially sealed by a court or government agency.
- 45. Have you ever been arrested for, charged with, indicted for, or convicted of, the commission or the attempt or conspiracy to commit (whether in New York, New Jersey or any other state, Federal Court, Military Court or any foreign country):
 - (a) Treason, murder or manslaughter? Yes 🗌 No 🗌
 - (b) Any other felony or high misdemeanor? Yes \square No \square
 - (c) Illegally using, carrying or possessing a firearm or other dangerous weapon? Yes 🗌 No 🗍
 - (d) Making or possessing burglar's instruments? Yes 🗌 No 🗌
 - (e) Buying, receiving or possessing stolen property? Yes 🗌 No 🗌
 - (f) Unlawful entry of a building, trespass or burglary? Yes 🗌 No 🗌
 - (g) Aiding an escape from prison? Yes 🗌 No 🗌
 - (h) Unlawful possessing or distributing narcotic or hallucinogenic drugs? Yes 🗌 No 🗌
 - (i) Any crime or offense? Yes 🗌 No 🗌
 - (j) Any violation of the Waterfront Commission Act? Yes 🗌 No 🗌

46. List record of all arrests:

Date	Place	Charge(s)	Court	Final Disposition

47. Have you ever been on Probation or Parole?

Date From	Date To	Court of Conviction	Location

48. Have you ever been committed to prison, reformatory, penitentiary, or other institution? Yes No

Date Committed	Charge(s)	Name of Institution	Date of Release

49. Have you ever been named, for any reason or referred to in any indictment or other accusatory instrument (including as an unindicted co-conspirator) or been named in, or the subject of, a search warrant or court ordered electronic surveillance? Yes No

If YES, give details, including date, court, docket number, disposition:

50. Have you ever been subpoenaed, called as a witness, questioned or interviewed, or asked to provide testimony or documents before any federal, state, or local prosecutor, court, legislative, civil, regulatory or criminal investigative body (including the Waterfront Commission of New York Harbor) or grand jury? Yes □ No □ If YES, provide:

Date	Body/Agency	Matter Involved	Role
Date	Body/Agency	Matter Involved	Role
Date	Body/Agency	Matter Involved	Role

51. Have you ever asserted the Fifth Amendment privilege against self-incrimination or refused to testify before any federal, state, or local prosecutor, court, legislative, civil, or criminal investigative body, or grand jury, or been cited for contempt of any court, legislative, civil or criminal investigative body, or grand jury? Yes No If YES, provide:

Date	Body/Agency	Matter Involved
Date	Body/Agency	Matter Involved

52. Have you ever been granted immunity from prosecution for any conduct constituting a crime under state or federal law? Yes No If YES, provide:

Date	Body/Agency	Matter Involved
2	2000/1180000	

53. Have you been informed, or do you have any reason to believe, that you are under investigation by any federal, state, or local prosecutor, legislative, civil, or criminal investigative body, or grand jury? Yes ☐ No ☐ If YES, provide:

Body/Agency	Matter Involved	Date	Outcome or Status
Body/Agency	Matter Involved	Date	Outcome or Status

54. Have you been informed, or do you have a reason to believe, that you currently are, or have previously been, the subject of an investigation, or of a complaint filed, which alleged child abuse or domestic violence? Yes ☐ No ☐ If YES, provide details, including dates and dispositions:

55. Has a family court or any other lawful authority ever rendered a finding indicating that you have abused or neglected a child? Yes ☐ No ☐ If YES, provide details, including dates:

56. Do you now associate, or have you ever knowingly associated, with any person known or reputed to be a member or associate of an organized crime group, terrorist group or career offender cartel? Yes No

If YES, provide details, including the identity of the person(s) and the nature and dates of your association(s):

57. Do you NOW use, or in the past seven (7) years have you tried, experimented with, or used controlled substances, or narcotic or hallucinogenic drugs (This includes, but is NOT limited to PCP, opium, cocaine, heroin, methamphetamines, LSD, acid, ecstasy, uppers, downers, barbiturates, prescription drugs taken/obtained without a prescription, any other illegal substance)? Yes No

If YES, please explain in full:

Last date used or tried:

CIVIL/ADMINISTRATIVE PROCEEDINGS

- 58. Have you ever been a party to a proceeding before any Federal, state or local regulatory or licensing agency? Yes
 No
 If YES, state details, including parties, dates, nature of proceeding, disposition (if any), and agency, authority or commission involved:
- 59. Have you ever been involved as a party to any civil litigation, administrative action, or administrative proceeding, including divorce proceedings? Yes □ No □ If YES, provide:

Title of	Date	Court or	Subject Matter	Outcome or Status
Action	Commenced	Agency		

- 60. Have any of the businesses you listed in Question 16 been involved as a party to any civil litigation, administrative action, or administrative proceeding, including divorce proceedings? Yes □ No □ If YES, state details.
- 61. Have you been informed of an overpayment of, or requested or required to repay any federal, state, or local government-issued benefit or payment (e.g. Public Assistance, Food Stamps, Unemployment Insurance, Workers' Compensation, Medicaid, Social Security, public pension, public housing/Section 8 rent subsidy, etc.)? Yes 🖸 No 🖸 If YES, provide details, including dates and the reason(s) for the repayment/recoupment:

62. Have you ever had an order of protection entered against you? Yes 🔲 No 🔲 If YES, provide details, including dates and court of issuance:

63.	Have you ever sought an order of protection against another party? Yes No If YES, provide details, including dates and court of issuance:
64.	Are you now a party to any lawsuit pending in any federal, state, or local court not previously disclosed in this form? Yes No If YES, provide details:
65.	Have you ever failed to file any applicable federal, state or city or other jurisdiction tax returns? Yes No I If YES, provide details:
66.	Are there any, or have there ever been, financial liens or judgments against you? Yes No I If YES, provide details:
67.	Have you ever been the subject of any investigation or the defendant or respondent in any proceeding by the United States or any state or local government body, or any authority, agency or commission of the foregoing, not previously disclosed on this form? Yes No I If YES, state details, including parties, dates and nature of investigation or proceeding:
ОТ	THER INFORMATION
	Do you knowingly or willfully advocate the desirability of overthrowing or destroying the Government of the United States by force or violence, or are you a member of a group which advocates such desirability, knowing that the purposes of such group include such advocacy? Yes No I If YES, explain:
69.	Did anyone, other than Waterfront Commission personnel, assist you in completing this application? Yes No I If yes, who assisted you and why?

ACKNOWLEDGMENT

I understand that providing any false answer or information, or intentionally failing to include required information herein, constitutes a crime and may also be the basis for a denial of this application.

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RELEASE AUTHORIZATION

To: All Police Departments, Probation Departments, Selective Service Boards, Employers, Financial Institutions, Educational Institutions, and other such institutions, and all Government Agencies - federal, state, and local, without exception, both foreign and domestic

______, have authorized the New Jersey State Police to conduct a full

investigation into my background and activities.

١,

Therefore, you are hereby authorized by any employer or agent of the New Jersey State Police provided that he or she certifies to you that I have an application or prequalification request pending before the New Jersey State Police or that I am presently a licensee, registrant or other person required to be qualified under the provision of the Waterfront Commission Act.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photocopy of this authorization will be considered as effective and valid as the original.

I, ______, on this day, ______, acknowledge that the

above statements are true to the best of my knowledge. I am personally appearing before a Notary Public for The State of New Jersey, to affirm this statement.

Applicant Signature

Date