



The Advocacy Institute Is Pleased to Announce

PROGRAM ANNOUNCEMENT

SANDY FRAUD TRAINING

January 15, 2015

8:30 a.m. to 4:30 p.m.

NJ Forensic Science & Technology Center

1200 Negron Drive

Hamilton, New Jersey

Program Summary

On Monday, October 29, 2012, Superstorm Sandy hit New Jersey, resulting in a level of damage never before experienced in this State. In the immediate wake of the storm, the Federal Emergency Management Agency quickly provided financial assistance to homeowners. Subsequently, the State received \$1.2 billion in federal funds from the United States Department of Housing and Urban Development to utilize for housing related programs. The New Jersey Department of Community Affairs is managing these federal funds, through various housing-related programs. The United States Small Business Administration also provided low-interest loans to homeowners. Despite extensive fraud controls put in place by all of these agencies, the number of criminal fraud referrals are growing. This conference will provide a detailed explanation of these programs, the application and approval process, and the compliance monitoring. The training will also address how defendants have attempted to defraud these programs, provide a concrete outline as to how to investigate and prosecute these fraud cases, and discuss in detail a case study of a successful prosecution. Impacted federal, state, and county agencies are strongly encouraged to send representatives to this training.

Who Should Attend?

This program is primarily intended for the offices handling Sandy Fraud investigations and prosecutions. However, it is open to all government attorneys, space allowing.

Agenda

Brief Introductions: Assistant Attorney General Christine Hoffman
9:00 a.m. to 9:15 a.m.

Federal Emergency Management Agency (FEMA) Presentation
9:15 a.m. to 10:05 a.m.

Break: 10:05 a.m. to 10:15 a.m.

United States Department of Housing and Urban Development (HUD) Presentation
10:15 a.m. to 11:05 a.m.

Break: 11:05 a.m. to 11:15 a.m.

New Jersey Department of Community Affairs (DCA) Presentation
11:15 a.m. to 12:15 p.m.

Lunch Break: 12:15 p.m. to 1:00 p.m.

United States Small Business Administration (SBA) Presentation
1:00 p.m. to 1:50 p.m.

Break: 1:50 p.m. to 2:00 p.m.

Panel Discussion
2:00 p.m. to 4:00 p.m.

Wrap Up
4:00 p.m. to 4:30 p.m.

Who is the Faculty?

Aaron Collins is a Special Agent in Charge with the U.S. Small Business Administration, Office of Inspector General, Investigations Division. He supervises Special Agents in SBA's Eastern Regional Office which consists of 6 field offices that covers the north and south eastern portion of the country. He has over 30 years of experience as a Criminal Investigator. He has worked for the Small Business Administration for nineteen years. He previously worked for the Internal Revenue Service, Criminal Investigations Division and the Department of Commerce, Office of Inspector General, Investigations Division. He works primarily economic crime investigations. Mr. Collins received a bachelor's degree from Michigan State University.

Sophia Davis is the Assistant Special Agent in Charge for the U.S. Small Business Administration (SBA), Office of Inspector General (OIG), Investigations Division, Eastern Regional Office. Ms. Davis is located in Philadelphia, PA, and supervises agents located in New

York, Philadelphia and Baltimore. Ms. Davis also conducts complex investigations involving SBA personnel and programs to detect and deter fraud, waste, and abuse. She has been a Criminal Investigator for over 27 years in which 25 years have been at the SBA-OIG. Ms. Davis received her undergraduate from the University of Richmond.

Keith Harmon is retired from the Prince George's County, Maryland, Police Department with over 22 years of law enforcement experience, including 12 years of as an investigator assigned to the Criminal Investigations Division. He started as a patrol officer and became an investigator in the Robbery, Homicide, and Financial Crimes Units. Following his law enforcement retirement, Mr. Harmon spent 10 years managing the fraud and anti-money laundering programs at two financial institutions in the Baltimore-Washington area.

Mr. Harmon is also an investigator assigned to FEMA's Fraud and Internal Investigations Division where he's responsible for investigating disaster fraud complaints and conducting data mining of FEMA's disaster IT systems to identify fraudulent applicants.

AAG Christine A. Hoffman is a Deputy Director of the Division of Criminal Justice and former Chief of the Division's Corruption Bureau. AAG Hoffman previously served as Deputy Chief of the Division's Major Crimes Bureau and Assistant Prosecutor with the Burlington County Prosecutor's Office. AAG Hoffman is a member of the Attorney General's Advocacy Institute faculty, and is a member of the National Attorneys General Training and Research Institute faculty.

DAG Mark Kurzawa, Deputy Chief of the Financial and Computer Crimes Bureau, has been with the Division of Criminal Justice for over 14 years. Throughout that time, he has taken on some of the Division's most significant financial fraud cases. In 2013, he was the lead DAG on Operation Dreamworks, a multi-million dollar consumer fraud that impacted hundreds of victims. As an integral member of the Sandy Fraud Task Force, DAG Kurzawa has recently overseen the investigation of numerous post-Sandy fraud cases, resulting in the successful prosecution of over twenty disaster assistance fraud cases. He graduated from Bucknell University in Lewisburg, PA in 1988 where he was a math major and psychology minor. DAG Kurzawa graduated from Hofstra University School of Law in Hempstead, Long Island in 1991.

Matthew Lyons is the Program Specialist for the RREM (Reconstruction, Rehabilitation, Elevation and Mitigation) Program. In this role, he is responsible for policy and program implementation of the State of New Jersey's largest federal disaster recovery program, ensuring HUD compliance and successful program delivery of application processing, construction management and workflow development . Prior to his current position, he led efforts for the City of Baltimore to stand up and integrate federal HUD and DOE stimulus funding targeted for low-income weatherization, lead hazard control and housing rehabilitation. He brings extensive experience building programs using large, complex federal housing funds in fast-paced and time sensitive environments.

Mr. Lyons holds a Master of Public Policy and a Bachelor of Arts from the University of Maryland, College Park.

Gregory Null, Special Agent in Charge (SAC), Department of Homeland Security, Office of Inspector General, has been with DHS OIG since 2003 when the Department of Homeland Security was created and has been the SAC since 2007. Prior to DHS OIG, he was a Special Agent with the Department of Treasury and the Department of Housing and Urban Development Offices of Inspector General. Before those positions he served as a Special Agent with the U.S. Secret Service for over twenty years with posts of duty in the Philadelphia and Miami Field Offices and the Vice Presidential Protective Division. He is a graduate of Glassboro State College (AKA Rowan University) and has Master's Degree from Arizona State University.

Shawn A. Rice, Assistant Special Agent in Charge (ASAC) Shawn Rice joined the United States Department of Housing and Urban Development (HUD) Office of Inspector General's (OIG) Office of Investigation in 2004 as a Criminal Investigator in Atlanta, Georgia. For six years ASAC Rice investigated various HUD program fraud allegations, including cases involving HUD Community Development Block Grant (CDBG) disaster recovery funding following Hurricanes Katrina, Rita, and Wilma. ASAC Rice then spent nearly three years in Washington, D.C., assigned to the OIG's Headquarters Operations Division, monitoring, among other things, CDBG disaster recovery funding investigations nationwide. In June 2013, ASAC Rice was assigned to HUD-OIG's Mid-Atlantic/Philadelphia Regional Office, where he became responsible for oversight/management of all Hurricane Sandy disaster investigations involving HUD CDBG disaster funding in southern NJ and Pennsylvania. ASAC Rice has over 10 years' experience investigating HUD program fraud and is a graduate of the College of William and Mary.

Cary Rubenstein is a Special Agent in Charge (SAC), Mid-Atlantic Region, United States Department of Housing and Urban Development, Office of Inspector General (HUD-OIG). In 1991, he began his career in public service as a special agent criminal investigator for the Criminal Investigation division of the Internal Revenue Service in New York City. As a special agent with the IRS, he led tax and money laundering related investigations involving both legal and illegal sources of income. In 2003, he began his employment as a special agent with the United States Department of HUD-OIG in Newark, NJ. There, he led complex investigations involving mortgage fraud, fraud in HUD public housing programs and political corruption in the HUD community planning and development program. In 2007, he was promoted to be the Assistant Special Agent in Charge of the Mid-Atlantic Region of HUD-OIG in Philadelphia. he supervised a team of special agents who conducted criminal investigations involving HUD program fraud in Pennsylvania, Delaware and Southern New Jersey. In April, 2012, he was promoted into to be the Special Agent in Charge (SAC) of the Northeast Region of HUD-OIG. In July, 2013, he transferred to the Mid-Atlantic Region. As the SAC of the Mid-Atlantic Region, he has oversight of HUD-OIG Investigations including Superstorm Sandy cases from Southern NJ to Virginia.

Michael Simon was promoted to the Assistant Director for Monitoring and Compliance on October 4, 2014. Mr. Simon was previously an Audit Supervisor with the SRD (Sandy Recovery Division) since its inception in July 2013. He is responsible for compliance of federal, state and program requirements for DCA (Department of Community Affairs) housing related programs of RREM (Reconstruction, Rehabilitation, Elevation and Mitigation), LRRP (Landlord Rental

Repair Program) and RSP (Resettlement Grand Program). He also has responsibility for monitoring of non DCA non housing programs as well as all DCA sub-recipients programs. He brings extensive accounting and auditing experience within the manufacturing, financial and legal sectors. His public accounting experience was with Price Waterhouse, New York. Mr. Simon is a graduate of Saint John's University, New York.

Frederick J. Weidman, Sergeant, NJ Division of Criminal Justice, Financial and Computer Crimes Bureau, has over 40 years in law enforcement experience. Twenty seven years were with the New Jersey State Police, seven years with the Office of Government Integrity, and six years with the Division of Criminal Justice. His experience includes all types of criminal investigations, including financial crimes, government fraud, insurance fraud, and background investigations focusing on individuals and businesses for suitability for licensing, as well as various street crimes. For the past year and a half, he has been assigned as the Sergeant for the Sandy Fraud Task Force, responsible for the supervision of a high volume of cases involving fraud against the various disaster assistance program.

Mr. Weidman is a graduate of Rider College with a degree in Business Administration (Accounting). He is also a Certified Public Accountant licensed in New Jersey.

William "Bill" Wilson retired from the Prince George's County, Maryland, Police Department with over 21 years of law enforcement experience, including 15 years of investigating and supervising a wide variety of complex criminal as well as internal investigations. He started as a patrol officer and later became an investigator in the Homicide and Sexual Assault Units. He was later promoted and became a supervisor in Internal Affairs and Homicide. Mr. Wilson also supervised an undercover Homicide Task Force with investigators from local, State, and federal law enforcement agencies that was very successful in closing numerous homicide cases throughout the county. As a result, Mr. Wilson was highly praised by his superiors for his investigative work.

Mr. Wilson is currently an investigator assigned FEMA's Fraud and Internal Investigations Division where he's responsible for investigating disaster fraud complaints and conducting data mining of FEMA's disaster IT systems to identify applicants who fraudulently apply for assistance as well as investigating allegations of employee misconduct.

CLE Credit

NJ CLE Credit: This program had been approved by the Board on Continuing Legal Education of the Supreme Court of New Jersey for 6.2 hours of total CLE credit. Of these, 0.0 qualify as hours of credit for ethics/professionalism.

NY CLE Credit: 6.0 substantive credits (pursuant to the approved jurisdiction policy).

PA CLE Credit: 5.0 substantive credits (\$7.50 mandatory registration fee required).

How Do I Register?

State Employees

Most State employees are able to register for this course by going to <http://reg.dcj.lps.state.nj.us/login.aspx?portalid=2> and creating an AGAI Course Registration account. To do so, your computer must be attached to the government's Garden State Network. Upon opening the AGAI Course Registration System home page, you will see the Create Account link in the Login Box. Click on it and create your account, which will include you selecting a user name and password. Once you create your account, you can access the AGAI Course Registration System at <http://reg.dcj.lps.state.nj.us/login.aspx?portalid=2> to register for future courses or to manage your account. Please retain your user name and password for your records.

Non-State Employees or State Employees not Connected to the Garden State Network

If you are not a State employee, or are otherwise unable to access the AGAI Course Registration System through the Garden State Network, kindly email the Advocacy Institute at: AdvocacyInstitute@lps.state.nj.us for an authorization code to allow you access to the AGAI Course Registration System through the My New Jersey portal. Setting up your account through the portal is a two-step process, the details of which are set forth in the next two paragraphs.

Once you receive the portal authorization code you will be prompted to go to the My New Jersey portal at <http://www.state.nj.us/> and create a portal account. Once your portal account is created you are prompted to enter your authorization code. This is Step 1 of the process, which you need only do once.

If you have already been issued an authorization code in the past you do not need to request another one. You can log into your account on the Garden State Network at <http://www.state.nj.us> and under the heading NJ L&PS Applications you will see the Attorney General's Advocacy Institute's Registration System. Click on that and log into your account on our system.

Upon setting up your portal account, you need to set up your AGAI Course Registration System account. This is Step 2. To do so, log on to the My New Jersey Portal <http://www.state.nj.us/>. Upon opening the AGAI Course Registration System home page, you will see the Create Account link in the Login Box. Click on it and create your account, which will include you selecting a new user name and password. Once you create your account, you can access the AGAI Course Registration System at <http://reg.dcj.lps.state.nj.us/login.aspx?portalid=2> to register for future courses or to manage your account. Please retain your user name and password for your records.