



The Advocacy Institute Is Pleased to Announce

PROGRAM ANNOUNCEMENT

SECURITIES LAW: THE PRIMER

October 20, 2014

12:30 p.m. to 1:30 p.m.

124 Halsey Street

5th Floor Large Conference Room, 10C

Newark, New Jersey

Program Summary

Our lives are impacted in one form or another by financial decisions and investments on Wall Street and Main Street. This presentation will discuss the history and framework of securities regulation in the United States, the relationship between the United States Securities and Exchange Commission, state regulators and self-regulatory organizations, and how the New Jersey Bureau of Securities protects the investing public as well as its jurisdiction. Fraud schemes will also be discussed.

Who Should Attend?

This program is intended for the Division of Law ACE group only. If you are not a member of the Division of Law ACE Group, please do not attempt to register for this seminar.

Who Is the Faculty?

DAG Victoria A. Manning has been a Deputy Attorney General with the New Jersey Office of the Attorney General, Division of Law, since 2000. She is the Chief of the Securities Fraud Prosecution Section, which represents the New Jersey Bureau of Securities and other State agencies, including the Division of Investment in its securities fraud litigation. She was also assigned to the Professional Board Counseling Section for 18 months, where she counseled the Board of Nursing, Board of Chiropractors and other licensing boards. Victoria co-chairs the Division of Law Annual In-Service Training at Sea Girt. Prior to joining the Division of Law, Victoria was in private practice at Epstein Brown Markowitz & Gioia where she handled general commercial litigation and transactional loan and real estate matters. She also worked at Morgan Melhuish Monaghan Arvidson Abrutyn & Lisowski where she handled insurance defense and professional liability litigation, and wrote insurance coverage opinions. Victoria was an Adjunct Professor at Seton Hall School of Law in 1999 and 2000 where she taught legal research and writing. She is currently a faculty member with the New Jersey Attorney General's Advocacy

Institute and appears as guest faculty at Rutgers School of Law in its deposition skills course. Born and raised in New Jersey, Victoria earned a B.A. in Political Science from Montclair State College in 1987 and graduated from Seton Hall School of Law with a J.D. in 1990. After law school, Victoria clerked for the New Hampshire Superior Court. Victoria is a member of the New Jersey State Bar Association, New Jersey Women Lawyers Association and the Union County Bar Association.

CLE Credit

NJ CLE Credit: This program has been approved by the Board on Continuing Legal Education of the Supreme Court of New Jersey for 1.2 hours of total CLE credit. Of these, 0.0 qualify as hours of credit for ethics/professionalism.

NY CLE Credit: 1.0 substantive credits (pursuant to the approved jurisdiction policy).

PA CLE Credit: 1.0 substantive credits (\$1.50 mandatory registration fee required).

How Do I Register?

State Employees

Most State employees are able to register for this course by going to <http://reg.dcj.lps.state.nj.us/login.aspx?portalid=2> and creating an AGAI Course Registration account. To do so, your computer **must** be attached to the government's Garden State Network. Upon opening the AGAI Course Registration System home page, you will see the Create Account link in the Login Box. Click on it and create your account, which will include you selecting a user name and password. Once you create your account, you can access the AGAI Course Registration System at <http://reg.dcj.lps.state.nj.us/login.aspx?portalid=2> to register for future courses or to manage your account. Please retain your user name and password for your records.

Non-State Employees or State Employees not Connected to the Garden State Network

If you are not a State employee, or are otherwise unable to access the AGAI Course Registration System through the Garden State Network, kindly email the Advocacy Institute at: AdvocacyInstitute@lps.state.nj.us for an authorization code to allow you access to the AGAI Course Registration System through the My New Jersey portal. **Setting up your account through the portal is a two-step process, the details of which are set forth in the next two paragraphs.**

Once you receive the portal authorization code you will be prompted to go to the My New Jersey portal at <http://www.state.nj.us/> and create a portal account. Once your portal account is created you are prompted to enter your authorization code. This is Step 1 of the process, which you need only do once.

If you have already been issued an authorization code in the past you do not need to request another one. You can log into your account on the Garden State Network at <http://www.state.nj.us> and under the heading NJ L&PS Applications you will see the Attorney General's Advocacy Institute's Registration System. Click on that and log into your account on our system.

Upon setting up your portal account, you need to set up your AGAI Course Registration System account. This is Step 2. To do so, log on to the My New Jersey Portal <http://www.state.nj.us/>. Upon opening the AGAI Course Registration System home page, you will see the Create Account link in the Login Box. Click on it and create your account, which will include you selecting a new user name and password. Once you create your account, you can access the AGAI Course Registration System at <http://reg.dcj.lps.state.nj.us/login.aspx?portalid=2> to register for future courses or to manage your account. Please retain your user name and password for your records.