SLOT MONITORING EQUIPMENT SUBMISSION
HARDWARE / SOFTWARE

Date : _____

Emergency : _____ Yes   _____ No

A. Identification

   1) Manufacturer: _____

   2) System Name: _____

   3) System Software Version: _____

   4) Operating System Version: _____

B. Request for Approval

   1) Minor system upgrade or Major system upgrade in accordance to
      N.J.A.C. 19:45-1.55? _____ Yes   _____ No

   2) Third Party Casino Management System Interface in accordance to
      N.J.A.C. 19:45-2.2?   _____ Yes   _____ No

   3) Attach detailed description of approval request.

   4) Explain in detail the problematic scenario if observed at a casino.

C. Reports

   1) Does this change affect the database content which is used to create
      revenue reports? _____ Yes   _____ No

       1. If yes, please explain how. Identify modules affected and
          reasons for changes.

   2) Does this change affect any reports? _____ Yes   _____ No

       1. If yes, list each report and the corresponding changes.

   3) Is this a new report in accordance to N.J.A.C. 19:45-1.54(g)?
      _____ Yes _____ No

       1. If yes, fully explain the reason for creation of the report and
          nature of the report.

D. Software Modifications

1) Identify in full all software modules and corresponding functions affected by this update. List here or reference attachment.

2) Identify in full all operating system modules affected by this update.

3) Identify modules that are specifically revenue related. Explain purposes for all changes.

4) Include all "diff" files for each affected modules. This file must show the code changes included.

5) Explain all system parameter configurations and their settings affected by this update.

E. Hardware Modifications

1) Identify all hardware affected by this change and reason for update. Attach all schematics.

F. Documentation of Testing

1) Include detailed results of Quality Assurance (QA) tests conducted for the purposes of this submission.

    1. Is the QA report signed by a certified tester? ____Yes ___ No
    2. Tester Name:_____
    3. Tester Title: _____

2) List specific game information utilized for testing modified software/hardware.

Game (Make, Model)	Mains	Communication Chip	Protocol
SAMPLE – Bally S6000	S6M10000007-09 S6M40000007-09	ECO 1878	SAS 5.01

3) Which of the following were tested for all game configurations listed above.
Check all that apply.

1. _____ Vouchers – Cashable
2. _____ Vouchers – Non-Cashable
3. _____ Coupons – Cashable
4. _____ Coupons – Non-Cashable
5. _____ Electronic Fund Transfer (EFT)
6. _____ Advanced Fund Transfer (AFT)

a. If EFT or AFT is checked, does EFT / AFT comply with
N.J.A.C.19:45-1.24A? _____ Yes _____ No

4) Was a SAS simulator utilized for testing? _____ Yes _____ No

NOTE :
TEST RESULTS PRODUCED WHILE UTILIZING A SAS SIMULATOR ARE NOT CONSIDERED A VALID VOUCHER TEST.

5) List Versions utilized during testing.

BALLY SDS		ACSC	
AIX		SMS	
STC		NT CODE	
DB2 LEVEL		SMSCS	
PERL		HCI2RIC	
JAVA		PPS_SERV	
GAMENET		HASTCPIP	
		SU_MAIN	
		RIC2MAIN	
		RIC2ENCR	
		TICKET DROP INTERFACE	

6) Attach complete list of all known issues and their impact, such as those found during testing and/or field issues reported in other jurisdictions. If applicable, explain the problematic scenario observed that prompted the particular modification.

7) List all outstanding issues resolved since the last approved version. Explain how they are resolved in this version.

8) Testing Period or Volume of Vouchers: _____

9) Cashier station tested? _____ Yes _____ No

     1. If yes, list software version _____

10) Kiosks tested? _____ Yes _____ No

     1. If yes, list manufacturer and software version
     _____
     2. Was a drop simulated during testing? _____ Yes ___ No
     3. If yes, did the accounting report reconcile? _____ Yes ___ No

11) Reports

Enclosed Reports	Yes	No
Issued Ticket Report for testing period		
Redeemed ticket report for testing period		
System Parameter report for testing period		
Is the liability report correct according to the testing period?		
Any applicable security feature reports		

G. Security

1) Is the security of system in accordance to N.J.A.C. 19:45-1.55(c)?
    _____ Yes _____ No

2) Was the security feature enabled during testing?
    _____ Yes _____ No

     1. If yes, please list security features.

3) Are communications encrypted?
    _____ Yes _____ No

     1. If yes, please list methods.

4) Is the data encrypted?
    _____ Yes _____ No

     1. If yes, please list methods.

5) Identify all security critical modules. Identify the location of each module. (i.e. Directories, Subdirectories) Explain why the change was needed and list security features(i.e. MD5, CRC, SHA-1, etc….)

6) Provide a schematic of the data transmission from the game to the system and indicate applicable security for each section.

CERTIFICATION

(To be completed by the Engineer performing the Testing and QA Analysis)

I hereby certify that the information and representations made in this "Slot Monitoring Equipment Submission" checklist and in the attachments hereto, are true, accurate and complete. I understand that if any of the statements, data or information contained herein are willfully false, I am subject to punishment. I further understand that if the information contained herein is inaccurate, for any reason, the company is subject to a civil penalty to be imposed by the New Jersey Division of Gaming Enforcement.

_____   _____   _____

      Authorized Signature   Title   Date

_____

        Print Name

SUBMISSION IS NOT DEEMED COMPLETE UNTIL SOFTWARE, MATERIALS, AND ALL ASSOCIATED EQUIPMENT ARE INSTALLED AT THE DGE LAB AND DEMONSTRATED TO OPERATE AS REPORTED.

Date of Demo _____

Signature of Manufacturer Representative _____

Print Name of Manufacturer Representative _____

DGE Representative _____