COMPLAINT - SUMMONS									
СОМР	LAINT NUMBER			TL	IF STAT	E OF N	JEW IER	SEY	
1611 S 2021 000027			7	THE STATE OF NEW JERSEY					
COURT CODE PREFIX YEAR SEQUENCE NO.			0.		SCOT	VS.	HOLSON		
RINGWOOD BORO M	UNICIPAL CO			RESS	SCOT	I MIC	TOTOCIA		
RINGWOOD	NJ O	7456-000	00						
973-962-6146 COL							NJ 07	7456-000	0
# of CHARGES CO-DEFT			DEF	ENDANT INFO	ORMATION COLOR: BRO	WN	366	1967	
COMPLAINANT ROXANNA	ORDONEZ	-	DRIV	ER'S LIC. #. 1	N4	2		DL STATE:	NJ
NAME: 25 MARKET P O BOX 0	ST			IAL SECURIT EPHONE #:	Y #. xxx-xx	( )	SBI#;		
TRENTON	N.	J 08625	LIVE	SCAN PCN #:		. ,			
defendant on or about WITHIN THE JURI EXERCISED CONTR WITHDRAWING \$75 N.J.S.A. 2C:20-DCJ WILL BE PROWITHIN THE JURI FURTHERANCE OF 2C:21-9 (2ND DE WITHIN THE JURI INVESTMENT STAT	By certification or on oath, the complainant says that to the best of his/her knowledge, information and belief the named defendant on or about 01/15/2013 in RINGWOOD BORO, PASSAIC County, NJ did: WITHIN THE JURISDICTION OF THIS COURT, DID KNOWINGLY TAKE AND UNLAWFULLY EXERCISED CONTROL OVER THE MONIES OF THINK BIG INVESTORS BY TRANSFERRING AND/OR WITHDRAWING \$75,000+ FROM THE THINK BIG WELLS FARGO ACCOUNT, IN VIOLATION OF N.J.S.A. 2C:20-3A (2ND DEGREE)  DCJ WILL BE PROSECUTING THIS CASE, DAG JANET BOSI (609) 433-1382.  WITHIN THE JURISDICTION OF THIS COURT, DID USE AND CONTROL THINK BIG FOR THE FURTHERANCE OF HIS THEFT FROM THE THINK BIG INVESTORS, IN VIOLATION OF N.J.S.A. 2C:21-9 (2ND DEGREE)  WITHIN THE JURISDICTION OF THIS COURT, DID CREATE FRAUDULENT THINK BIG INVESTMENT STATEMENTS WITH THE PURPOSE TO DECEIVE THINK BIG INVESTORS, IN VIOLATION OF N.J.S.A. 2C:21-4(A) (FOURTH DEGREE).								
Original Charge	1) 2C:20-3	A		2) 2C:2	1-9		3) 2C:21-	4A	
Amended Charge		, (W.), (1995)			and and the state of the state		*		
CERTIFICATION:  I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.  Signed:									
The complaining witness is a law enforcement officer and a judicial probable cause determination is not required prior to the issuance of this Complaint-Summons.									
SUMMONS									
YOU ARE HEREBY SUMMONED to appear before the Superior Court in the county of: PASSAIC									
at the following address: F	PASSAIC SUPERIOR	COURT							
NEW COURTHOUSE 77 HAMILTON ST PATERSON NJ 07505-0000  If you fail to appear on the date and at the time stated below, a warrant may be issued for your arrest.									
				•	•				
Date of Arrest:	Appeara	nce Date: 0	5/05/20	)21 IIM6	05:00PM	A Phone	973-653-	2910	
Signature of Person Issuing Summo	ns:	RO	XANNA	ORDON	EZ		Date:	04/21/	2021
□ Domestic Violence	– Confidential			ted Traffic ther Comp		□ Ser	rious Persona Invol		ath
☐ No phone, mail or o	□ No possession firearms/weapons								

DCJ/202007781/00000000 COMPLAINT - SUMMONS (Court Action) COMPLAINT NUMBER STATE V. 1611 2021 000027 SCOTT NICHOLSON **FTA Bail Information** Date Bail Set: Amount Bail Set: \$ by:\_ □ Bail Recog. Attached Released R.O.R. Committed Committed Date Referred to on Bail Default w/o Bail County Prosecutor: Place Committed: Date of First Defendant Desires Counsel: 05/05/2021 Advised of Rights by\_ Appearance: ☐ Yes No **Prosecuting Attorney Information Defense Counsel Information** Name: Name: State Public Def Other County Municipal Other Retained Assigned Waived Original Charge 2C:20-3A 2) 2C:21-9 3) 2C:21-4A Amended Charge Waiver Indt/Jury Plea/Date of Plea Plea: Date: Plea: Date: Plea: Date: Finding Finding Finding Adjudication (\* see code) Code: Date: Code: Date: Code: Date: Jail Term Jail time credit Susp. Imp Jail time credit Jail time credit Susp. Imp Susp. Imp **Probation Term** Susp. Imp Susp. Imp Susp. Imp Cond. Discharge Term Community Service D/L Suspension Term Fines/Costs Fines: Costs: Fines: Costs: Fines: Costs: VCCB: SNSF: VCCB: VCCB/SNSF SNSF: VCCB: SNSF: DEDR/Lab Fee DEDR: LAB: DEDR: LAB: DEDR: LAB: CD Fee/Drug Ed Fnd CD: CD: DAEF: DAEF: CD: DAEF: DV Surch/Other Fees DV: Other: DV: Other: DV: Other: Restitution Beneficiary: \* Finding Codes Miscellaneous Information, Adjournments, Companion Complaints, Co-Defendants, Case Notes: 1 - Guilty 2 - Not Guilty 3 - Dismissed - Other 4 - Guilty but Merged 5 - Dismissed-Rule 6 - Dismissed Lack of Prosecution 7 - Dismissed - Pros Motion/Vic Req 8 - Conditional Discharge Related Traffic Tickets and Complaints: D - Dismissed- Prosecutor Discretion M – Dismissed- Mediation P - Dismissed-Plea Agreement S – Disposed at Superior W - Dismissed-False ID

DATE

JUDGE'S SIGNATURE

**ORIGINAL - Court Action** 

NJ/CDR1 1/1/2017

Page 2 of 7

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In violation		1) 2C:20-3	7		2) 2C:2	21_0		3) 2C:21-4A
Original Charg	je	1) 20.20-3			20			7 20.21 IR
Amended Cha	arge							
I certify that the false, lam subje	he foregoing	g statements made	by me are tru	ue. I am awa	are that if an	y of the forego	oing stateme	ents made by me are willfuly
1			ROXANNA	ORDONE	z			04/21/2021
Signed:								Date:
		s is a law enforce	ment officer	and a judic	ial probable	e cause dete	rmination is	s not required prior to the
SUMMONS								
YOU ARE HEREE	BY SUMMON	ED to appear before	the Supe	rior Court	in th	ne county of:	PASSAIC	
at the following	address: P	ASSAIC SUPERIOR	COURT					
NEW COURTHOUSE			77 HAMILTON				PATERSON	NJ 07505-0000
	ear on the d	late and at the time			•	-		
Date of Arrest:		Appearar	nce Date: (	05/05/20	21 Tim	e: 05:00PN	M Phone	973-653-2910
Signature of Person I	Issuing Summo	ns:		ROXANNA	ORI	ONEZ	16.00 m 10 m 2	Date: 04/21/2021
□ Domestic	Violence	– Confidential			ted Traffic		□ Ser	ious Personal Injury/ Death Involved
	, mail or o ssion firea	elease: other personal c arms/weapons	ontact w/vio	ctim				MONS (DEFENDANT'S COPY)
		toward and	*** DEST. **			Page 3	of 7	NJ/CDR1 1/1/2017

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of CHARGE		S POLICE CASE		DEFENDANT INF		NO	conta-
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Check √		(	Certification by F	Police Regardin	g Complaint-Summ	ons	
1	certify that I s	served the comp	laint-summons by	delivering a cop	y to the defendant pe	ersonally.	
			the complaint-su				1
			Il place of abode vone age 14 or over			nily member over	14 years of age
1	certify that I r	mailed a copy of	the complaint-sur	nmons by ordina			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
ın	nail to the def	endant at his or	her last known ad	ldress.	Defen	dant's last known	address
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	efendant's be		ve service of proc	ess on the	Name a	and title of authori	ized person
	other manner the following		ify that I served th	ne complaint-sun	nmons		0 
11	certify that I v	vas unable to se	rve the complaint	-summons.			1
Signed: RO		DONEZ NJ DIV	ISION OF CRIM	JUSTICE D	ate of Action:	04/21/2	021
						RN OF S	ERVICE ION
					Page 4 of 7		NJ/CDR1 1/1/201

## Affidavit of Probable Cause **COMPLAINT NUMBER** THE STATE OF NEW JERSEY 1611 S 2021 000027 VS. COURT CODE PREFIX SEQUENCE NO. YEAR SCOTT NICHOLSON RINGWOOD BORO MUNICIPAL COURT **ADDRESS** 07456-0000 RINGWOOD NJ 973-962-6146 NJ 07456-0000 COUNTY OF: PASSAIC # of CHARGES CO-DEFTS POLICE CASE #: DEFENDANT INFORMATION SEX: M EYECOLOR BROWN V1967 COMPLAINANT ROXANNA DL STATE: NJ DRIVER'S LIC. ORDONEZ NAME: 25 MARKET ST SBI#: SOCIAL SECURITY #: xxx-xx-x

Purpose: This Affidavit/Certification is to more fully describe the facts of the alleged offense so that a judge or authorized judicial officer may determine probable cause.

08625

TELEPHONE #:

LIVESCAN PCN #:

P O BOX 085

TRENTON

1. Description of relevant facts and circumstances which support probable cause that (1) the offense(s) was committed <u>and</u> (2) the defendant is the one who committed it:

From in or about 2008 through 2017, Scott Nicholson ("Nicholson") recruited a group of 24 investors to invest in an unregulated commodities trading group named Think Big Institute, LLC ("Think Big"). Nicholson acted as an unregistered investment adviser solely responsible for investment decisions in Think Big. Eventually, Think Big incurred substantial losses, due in part to failed investments. Around that time, Nicholson began to misappropriate investor monies for his own personal benefit by transferring existing investment monies and new investment money for Think Big into his own personal account. From January 15, 2013 through February 16, 2018, Nicholson withdrew from Think Big in excess of \$75,000 for his own personal benefit.

In or about December 2017, Nicholson admitted to the Think Big investors that he had hidden substantial investment losses by Think Big by creating fraudulent investment statements showing fraudulent positive returns. He further admitted that he also outright misappropriated monies from the group for his own personal benefit. Following this admission, he entered into a promissory note with the Think Big investors agreeing to a payment plan whereby he would repay \$471,602.05 to the investors (the amount of the investors' principle invested less any dividends paid). Nicholson failed to return the monies pursuant to the terms of the promissory note and he was sued civilly by one of the investors, as well as investigated by the Bureau of Securities. In both matters, he was deposed and readily admitted misappropriating monies for his own personal benefit.

**Affidavit of Probable Cause** 

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Affidavit of Probable Cause										
COMPLAINT NUMBER				THE STATE OF NEW JERSEY						
1611 S 2021 000027		VS.								
COURT CODE		YEAR	SEQUENCE NO.		SCOTT	NICHOLSON	Ī			
		ne facts above defendant's ad	because: (Incl Imission, etc.)	uded, but not l	imited to: yo	our observation	ons,statements	3		
I, Scott funds aft being sai group, co months. I expressed	er the cold, I wish omprised of have spoked my desired a plantage of the color of t	did knowingl lapse of the to make full each and eve en to Steve S to repay thi	y commit frauctivestment grown investment grown in error list straubinger and representation involves the straubinger and represent which involves the straubing straubing in the straubing straubin	oup known as "! the amount of ed on page 2 of Dr, David Tan ight the wrong	Think Big I f \$471,601. of this agr nis on two I have com	institute, LL 05 in total ceement within separate occumitted. Upon	C".) With thi to the invest n a total of asions and ha our conversa	s ment 36 ve tion		
Evidence	obtained t	hrough the in	vestigation co	onducted.						
3. If	victim w	as injured	, provide t	he extent o	of the in	jury:				
	fy that the fore	_	ing statemer ements made	_						
Signed:	ROXANNA	ORDONEZ LA	AW ENFORCEMI	ENT OFFICER	Date:	03/3	0/2021	-		

**Affidavit of Probable Cause** 

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1/1/2017

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time of its preparat	tion. It is reco	gnized that add	itional relevant info		isic information known to the officer at the on continues. The PLEIR shall be in addition ic to each defendant charged in an
	recorded u *Other/E	sing: Explain deposi	ition	narged: Investigation into the	Defendant's financial

## Certification:

I certify that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

ORDONEZ LAW ENFORCEMENT OFFICER ROXANNA Signed: \_

03/30/2021 Date:

**Preliminary Law Enforcement Incident Report** 

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7/20/2018