

# **SCOPE OF WORK**

## **Masonry Repairs**

**Northern Regional Medical Examiner Office  
Newark, Essex County, N.J.**

**Project No. M1534-00**

**STATE OF NEW JERSEY**

**Honorable Philip D. Murphy, Governor  
Honorable Sheila Y. Oliver, Lt. Governor**

**DEPARTMENT OF THE TREASURY  
Elizabeth Maher Muoio, Treasurer**



**DIVISION OF PROPERTY MANAGEMENT AND CONSTRUCTION**

**Christopher Chianese, Director**

**Date: April 15, 2019**

PROJECT NAME: Masonry Repairs  
PROJECT LOCATION: Northern Regional Medical Examiner Office  
PROJECT NO: M1534-00  
DATE: April 15, 2019

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## **I. OBJECTIVE**

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The objective of this project is to implement repairs to the exterior masonry and windows on the Newark Regional Medical Examiner Office as recommended in an assessment prepared by Roof Maintenance Systems. In addition, an environmental survey will be performed on areas of the building envelope impacted by repairs.

## **II. CONSULTANT QUALIFICATIONS**

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### **A. CONSULTANT & SUB-CONSULTANT PRE-QUALIFICATIONS**

The Consultant shall be a firm pre-qualified with the Division of Property Management & Construction (DPMC) in the following discipline(s):

- **P001 Architecture**

The Consultant shall also have in-house capabilities or Sub-Consultants pre-qualified with DPMC in:

- **P011 Environmental Engineering**
- **P025 Estimating/Cost Analysis**

As well as, **any and all** other Architectural, Engineering and Specialty Disciplines necessary to complete the project as described in this Scope of Work (SOW).

## **III. PROJECT BUDGET**

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### **A. CONSTRUCTION COST ESTIMATE (CCE)**

The initial Construction Cost Estimate (CCE) for this project is \$850,000.

The Consultant shall review this Scope of Work and provide a narrative evaluation and analysis of the accuracy of the proposed project CCE in their technical proposal based on their professional experience and opinion.

### **B. CURRENT WORKING ESTIMATE (CWE)**

The Current Working Estimate (CWE) for this project is \$1,201,000.

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The CWE includes the construction cost estimate and all consulting, permitting and administrative fees.

The CWE is the Client Agency's financial budget based on this project Scope of Work and shall not be exceeded during the design and construction phases of the project unless DPMC approves the change in Scope of Work through a Contract amendment.

## **C. CONSULTANT'S FEES**

The construction cost estimate for this project ***shall not*** be used as a basis for the Consultant's design and construction administration fees. The Consultant's fees shall be based on the information contained in this Scope of Work document and the observations made and/or the additional information received during the pre-proposal meeting.

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## **IV. PROJECT SCHEDULE**

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### **A. SCOPE OF WORK DESIGN & CONSTRUCTION SCHEDULE**

The following schedule identifies the estimated design and construction phases for this project and the estimated durations.

<b>PROJECT PHASE</b>	<b>ESTIMATED DURATION (Calendar Days)</b>
<b>1. Site Access Approvals &amp; Schedule Design Kick-off Meeting</b>	<b>14</b>
<b>2. Design Development Phase</b>	<b>50% (Minimum)</b>
	<i>• Project Team &amp; DPMC Plan/Code Unit Review &amp; Comment</i>
	<b>42</b>
	<i>• Project Team &amp; DPMC Plan/Code Unit Review &amp; Approval</i>
	<b>14</b>
<b>3. Final Design Phase</b>	<b>100%</b>
	<i>• Project Team &amp; DPMC Plan/Code Unit Review &amp; Approval</i>
	<b>42</b>
	<i>• Issue Plan Release</i>
	<b>14</b>
<b>4. Final Design Phase 2</b>	<b>100%</b>
	<i>• Project Team &amp; DPMC Plan/Code Unit Review &amp; Approval</i>
	<b>7</b>
	<i>• Issue Plan Release</i>
	<b>14</b>
<b>5. Permit Application Phase</b>	<b>7</b>
	<i>• Issue Plan Release</i>
<b>6. Bid Phase</b>	<b>42</b>
<b>7. Award Phase</b>	<b>28</b>
<b>8. Construction Phase</b>	<b>120</b>

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## **B. CONSULTANT'S PROPOSED DESIGN & CONSTRUCTION SCHEDULE**

The Consultant shall submit a project design and construction bar chart schedule with their technical proposal that is similar in format and detail to the schedule depicted in **Exhibit 'A'**. The bar chart schedule developed by the Consultant shall reflect their recommended project phases, phase activities, activity durations.

The Consultant shall estimate the duration of the project Close-Out Phase based on the anticipated time required to complete each deliverable identified in Section XIV of this document entitled "Contract Deliverables - Project Close-Out Phase" and include this information in the bar chart schedule submitted.

A written narrative shall also be included with the technical proposal explaining the schedule submitted and the reasons why and how it can be completed in the time frame proposed by the Consultant.

This schedule and narrative will be reviewed by the Consultant Selection Committee as part of the evaluation process and will be assigned a score commensurate with clarity and comprehensiveness of the submission.

## **C. CONSULTANT DESIGN SCHEDULE**

Based on the Notice to Proceed, Consultant shall update their approved schedule and shall distribute it at the design kickoff meeting. Note that this schedule shall be submitted in both paper format and on compact disk in a format compatible with *Microsoft Project*. This schedule will be binding for the Consultant's activities and will include the start and completion dates for each design activity. The Consultant and Project Team members shall use this schedule to ensure that all design milestone dates are being met for the project. The Consultant shall update the schedule to reflect performance periodically (minimally at each design phase) for the Project Team review and approval. Any recommendations for deviations from the approved design schedule must be explained in detail as to the causes for the deviation(s) and impact to the schedule.

## **D. BID DOCUMENT CONSTRUCTION SCHEDULE**

The Consultant shall include a construction schedule in Division 1 of the specification bid document. This schedule shall contain, at minimum, the major activities and their durations for each trade specified for the project. This schedule shall be in "bar chart" format and will be used by the Contractors as an aid in determining their bid price. It shall reflect special sequencing or phased construction requirements including, but not limited to: special hours for building access,

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weather restrictions, imposed constraints caused by Client Agency program schedules, security needs, lead times for materials and equipment, anticipated delivery dates for critical items, utility interruption and shut-down constraints, and concurrent construction activities of other projects at the site and any other item identified by the Consultant during the design phases of the project.

## **E. CONTRACTOR CONSTRUCTION PROGRESS SCHEDULE**

The Contractor shall be responsible for preparing a coordinated combined progress schedule with the Sub-Contractors after the award of the contract. This schedule shall meet all of the requirements identified in the Consultant's construction schedule. The construction schedule shall be completed in accordance with the latest edition of the Instructions to Bidders and General Conditions and Bulletins that may be issued on the project.

The Consultant must review and analyze this progress schedule and recommend approval/disapproval to the Project Team until a satisfactory version is approved by the Project Team. The Project Team must approve the baseline schedule prior to the start of construction and prior to the Contractor submitting invoices for payment.

The Consultant shall note in Division 1 of the specification that the State will not accept the progress schedule until it meets the project contract requirements and any delays to the start of the construction work will be against the Contractor until the date of acceptance by the State.

The construction progress schedule shall be reviewed, approved, and updated by the Contractor, Consultant, and Project Team members at each regularly scheduled construction job meeting and the Consultant shall note the date and trade(s) responsible for project delays (as applicable).

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## **V. PROJECT SITE LOCATION & TEAM MEMBERS**

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### **A. PROJECT SITE ADDRESS**

The location of the project site is:

Northern Regional Medical Examiner Office  
325 Norfolk Street  
Newark, New Jersey 07103-2701

See **Exhibit 'B'** for the project site location map.

### **B. PROJECT TEAM MEMBER DIRECTORY**

The following are the names, addresses, and phone numbers of the Project Team members.

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## **1. DPMC Representative:**

Name: Ronald Kraemer, Project Manager  
Address: Division Property Management & Construction  
20 West State Street, 3<sup>rd</sup> Floor  
Trenton, NJ 08608-1206  
Phone No: (609)-633-7186  
E-Mail No: Ronald.Kraemer@treas.nj.gov

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## **2. Client Agency Representative:**

Name: Robert Bice, Project Manager  
Address: Department of Health  
P.O. Box 360  
369 South Warren Street  
Trenton, NJ 08608  
Phone No: (609) 292-7825  
E-Mail No: Robert.Bice@doh.nj.gov

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# **VI. PROJECT DEFINITION**

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## **A. BACKGROUND**

The Northern Regional Medical Examiner Office, under the New Jersey Department of Health, has about 75 employees that investigate the cause and manner of death for all violent, sudden, unexpected and suspicious deaths that occur within the four counties of Essex, Hudson, Passaic and Somerset. Autopsies are performed 8:00am – 4:00pm seven days a week to provide county prosecutors and other law enforcement agencies with testimony, laboratory results and other necessary data and evidence to allow for the fair and proper prosecution of criminal cases.

The Regional Medical Examiner office, constructed in 1981 under DPMC Project #S0088-00, is a 2 story steel frame and masonry office/laboratory facility totaling 14,000 S.F. per floor. It contains administrative office space as well as laboratory spaces for conducting forensic medical practices. The upper floor contains offices, lab space, restrooms and the mechanical equipment room. The lower level contains administrative offices as well as autopsy rooms.

## **B. FUNCTIONAL DESCRIPTION OF THE BUILDING**

In 2011, Roof Maintenance Systems (RMS) investigated the exterior walls and window systems on the building and provided recommendations to alleviate water infiltration. RMS performed

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destructive testing in key areas by removing brickwork and investigating the exposed wall cavity and related flashing. Plastic through-wall flashing was improperly installed. Sheetrock and related finishes on the interior portion of these areas have been damaged as a result of moisture infiltration. Significant damage was also discovered near joints at window headers. The Roof Maintenance Systems report is shown in **Exhibit 'D'**.

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## **VII. CONSULTANT DESIGN RESPONSIBILITIES**

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### **A. DESIGN REQUIREMENTS**

The Consultant shall survey the exterior wall and window systems and review the report from Roof Maintenance Systems entitled “Exterior Wall & Window Assessment at Medical Examiner’s Building” shown in **Exhibit ‘D’** and provide construction documents to implement the recommendations in the report. This is expected to include repair or replacement of through-wall flashing, cracked brick, joints and sealant.

In addition, provide construction documents to repair or replace brick, glazing and window gaskets and repair or replace windows damaged from structural stress.

### **B. HAZARDOUS BUILDING MATERIALS**

The Consultant shall survey the building and collect samples of materials that will be impacted by the construction/demolition activities and analyze them for the presence of hazardous materials including, mold and PCB’s.

Consultant shall document their procedure, process and findings and prepare a “Hazardous Materials Survey Report” identifying building components impacted by construction activities requiring hazardous materials abatement. Consultant shall provide three copies of the “Hazardous Materials Survey Report” to the Project Manager.

Consultant shall estimate the cost of hazardous materials sample collection, testing, analysis and preparation of the Hazardous Materials Survey Report and include that amount in their fee proposal line item entitled **“Hazardous Materials Testing and Report Allowance”**, refer to paragraph XI.B.

Based on the Hazardous Materials Survey Report, Consultant shall provide construction documents for abatement of the hazardous materials impacted by the work in accordance with the applicable code, sub code and Federal regulations.

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Consultant shall estimate the cost to prepare construction documents for hazardous materials abatement and include that amount in their fee proposal line item entitled **“Hazardous Materials Abatement Design Allowance”**, refer to paragraph XI.C.

Consultant shall estimate the cost to provide **“Construction Monitoring and Administration Services”** for hazardous materials abatement activities and include that amount in their fee proposal line item entitled **“Hazardous Materials Construction Administration Allowance”**, refer to paragraph XI.D.

There shall be no **“mark-up”** of sub consultant or subcontractor fees if sub consultants or subcontractors are engaged to perform any of the work defined in paragraph VII.C **“Hazardous Building Materials”**. All costs associated with managing, coordinating, observing and administrating sub consultants and subcontractors performing hazardous materials sampling, testing, analysis, report preparation, hazardous materials construction administration services shall be included in the consultant’s lump sum fee proposal.

## **C. GENERAL DESIGN OVERVIEW**

### **1. Design Detail:**

Section VII of this Scope of Work is intended as a guide for the Consultant to understand the overall basic design requirements of the project and is not intended to identify each specific design component related to code and construction items. The Consultant shall provide those details during the design phase of the project ensuring that they are in compliance with all applicable codes, regulating authorities, and the guidelines established in the DPMC Procedures for Architects and Engineers Manual.

The Consultant shall understand that construction documents submitted to DPMC shall go beyond the basic requirements set forth by the Uniform Construction Code N.J.A.C. 5:23-2.15(f). Drawings and specifications shall provide detail beyond that required to merely show the nature and character of the work to be performed. The construction documents shall provide sufficient information and detail to illustrate, describe and clearly delineate the design intent of the Consultant and enable all Contractors to uniformly bid the project.

The Consultant shall review and comply with the DPMC **“Plan Review Instructions”** which can be found on DPMC’s web site at:

[http://www.state.nj.us/treasury/dpmc/lists\\_and\\_publications.shtml](http://www.state.nj.us/treasury/dpmc/lists_and_publications.shtml)

The Consultant shall ensure that all of the design items described in this scope of work are addressed and included in the project drawings and specification sections where appropriate.

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It shall be the Consultant's responsibility to provide all of the design elements for this project. Under no circumstance may they delegate the responsibility of the design; or portions thereof, to the Contractor unless specifically allowed in this Scope of Work.

## **2. Specification Format:**

The Consultant shall prepare the construction specifications in the Construction Specifications Institute (CSI) format entitled MasterFormat©, latest edition.

The project construction specifications shall include only those CSI MasterFormat© specification sections and divisions applicable to this specific project.

## **3. Submittal Schedule:**

The Consultant shall include a submittal schedule in Division 1 of the specifications. The schedule (list of required submittals) shall identify the general conditions and/or specification section (number and name) and the type of submittal required (material data, product data, test results, calculations, etc.). The submittal schedule is a compilation of the submittals required on the project and is provided as an aid to the contractor.

## **4. Construction Cost Estimates:**

The Consultant shall include with each design submittal phase identified in Paragraph IV.A, including the Permit Application Phase and Bid Phase, a detailed construction cost estimate itemized and summarized by the divisions and sections of the Construction Specification Institute (CSI) MasterFormat© 2014 applicable to the project.

The detailed breakdown of each work item shall include labor, equipment, material and total costs.

The construction estimate shall include all alternate bid items and all unit price items itemized and summarized by the divisions and sections of the specifications.

All cost estimates shall be adjusted for regional location, site factors, construction phasing, premium time, building use group, location of work within the building, temporary swing space, security issues, and inflation factors based on the year in which the work is to be performed.

The cost estimate shall include descriptions of all allowances and contingencies noted in the estimate.

All cost estimates must be submitted on a DPMC-38 Project Cost Analysis form at each design phase of the project supported by the detailed construction cost estimate. The Project Manager will provide cost figures for those items which may be in addition to the CCE such as art

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inclusion, CM services, etc. and must be included as part of the CWE. This cost analysis must be submitted for all projects regardless of the Construction Cost Estimate amount.

## **D. PROJECT COMMENCEMENT**

A pre-design meeting shall be scheduled with the Consultant and the Project Team members at the commencement of the project to obtain and/or coordinate the following information:

### **1. Project Directory:**

Develop a project directory that identifies the name and phone number of key designated representatives who may be contacted during the design and construction phases of this project.

### **2. Site Access:**

Develop procedures to access the project site and provide the names and phone numbers of approved escorts when needed. Obtain copies of special security and policy procedures that must be followed during all work conducted at the facility and include this information in Division 1 of the specification.

### **3. Project Coordination:**

Review and become familiar with any current and/or future projects at the site that may impact the design, construction, and scheduling requirements of this project. Incorporate all appropriate information and coordination requirements in Division 1 of the specification.

### **4. Existing Documentation:**

Review any documents and additional information that may be provided at a later date such as reports, studies, surveys, equipment manuals, as-built drawings, etc. The State does not attest to the accuracy of the information provided and accepts no responsibility for the consequences of errors by the use of any information and material contained in the documentation provided. It shall be the responsibility of the Consultant to verify the contents and assume full responsibility for any determination or conclusion drawn from the material used. If the information provided is insufficient, the Consultant shall take the appropriate actions necessary to obtain the additional information required.

All original documentation shall be returned to the provider at the completion of the project.

### **5. Scope of Work:**

Review the design and construction administration responsibilities and the submission requirements identified in this Scope of Work with the Project Team members. Items such as:

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contract deliverables, special sequencing or phased construction requirements, special hours for construction based on Client Agency programs or building occupancy, security needs, delivery dates of critical and long lead items, utility interruptions or shut down constraints for tie-ins, weather restrictions, and coordination with other project construction activities at the site shall be addressed.

This information and all general administrative information; including a narrative summary of the work for this project, ***shall be included in Division 1*** of the specification. The Consultant shall assure that there are no conflicts between the information contained in Division 1 of the specification and the DPMC General Conditions.

## **6. Project Schedule:**

Review and update the project design and construction schedule with the Project Team members.

## **E. BUILDING & SITE INFORMATION**

The following information shall be included in the project design documents.

### **1. Building Classification:**

Provide the building Use Group Classification and Construction Type on the appropriate design drawing.

### **2. Building Block & Lot Number:**

Provide the site Block and Lot Number on the appropriate design drawing.

### **3. Building Site Plan:**

Only when the project scope involves site work, or when the design triggers code issues that require site information to show code compliance, shall a site plan be provided that is drawn in accordance with an accurate boundary line survey. The site plan shall include, but not be limited to, the following as may be applicable:

- The size and location of new and existing buildings and additions as well as other structures.
- The distance between buildings and structures and to lot lines.
- Established and new site grades and contours as well as building finished floor elevations.
- New and existing site utilities, site vehicular and pedestrian roads, walkways and parking areas.

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#### **4. Site Location Map:**

Provide a site location map on the drawing cover sheet that identifies the vehicular travel routes from major roadways to the project construction site and the approved access roads to the Contractor's worksite staging area.

### **F. DESIGN MEETINGS & PRESENTATIONS**

#### **1. Design Meetings:**

Conduct the appropriate number of review meetings with the Project Team members during each design phase of the project so they may determine if the project meets their requirements, question any aspect of the contract deliverables, and make changes where appropriate. The Consultant shall describe the philosophy and process used in the development of the design criteria and the various alternatives considered to meet the project objectives. Selected studies, sketches, cost estimates, schedules, and other relevant information shall be presented to support the design solutions proposed. Special considerations shall also be addressed such as: Contractor site access limitations, utility shutdowns and switchover coordination, phased construction and schedule requirements, security restrictions, available swing space, material and equipment delivery dates, etc.

It shall also be the responsibility of the Consultant to arrange and require all critical Sub-Consultants to be in attendance at the design review meetings.

Record the minutes of each design meeting and distribute within seven (7) calendar days to all attendees and those persons specified to be on the distribution list by the Project Manager.

#### **2. Design Presentations:**

The minimum number of design presentations required for each phase of this project is identified below for reference:

Design Development Phase: One (1) oral presentation at phase completion.

Final Design Phase: One (1) oral presentation at phase completion.

### **G. CONSTRUCTION BID DOCUMENT SUBMITTAL**

In addition to submitting construction bid documents as defined in Section XIV Contract Deliverables, Consultant shall submit both specifications and drawings on compact disk (CD) in *Adobe Portable Document Format (.pdf)*.

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## **VIII. CONSULTANT CONSTRUCTION RESPONSIBILITIES**

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### **A. GENERAL CONSTRUCTION ADMINISTRATION OVERVIEW**

This section of the Scope of Work is intended as a guide for the Consultant to understand their overall basic construction administration responsibilities for the project and does not attempt to identify each specific activity or deliverable required during this phase. The Consultant shall obtain that information from the current publication of the DPMC Procedures for Architects and Engineers Manual and any additional information provided during the Consultant Selection Process.

### **B. PRE-BID MEETING**

The Consultant shall attend, chair, record and distribute minutes of the Contractor pre-bid meetings. When bidders ask questions that may affect the bid price of the project, the Consultant shall develop a Bulletin(s) to clarify the bid documents in the format described in the Procedures for Architects and Engineers Manual, Section 9.2 entitled "Bulletins." These Bulletins must be sent to DPMC at least seven (7) calendar days prior to the bid opening date. DPMC will then distribute the document to all bidders.

### **C. POST BID REVIEW MEETING, RECOMMENDATION FOR AWARD**

The Consultant; in conjunction with the Project Manager, shall review the bid proposals submitted by the various Contractors to determine the low responsible bid for the project. The Consultant; in conjunction with the Project Manager and Project Team members, shall develop a post bid questionnaire based on the requirements below and schedule a post bid review meeting with the Contractor's representative to review the construction costs and schedule, staffing, and other pertinent information to ensure they understand the Scope of the Work and that their bid proposal is complete and inclusive of all requirements necessary to deliver the project in strict accordance with the plans and specifications.

#### **1. Post Bid Review:**

Review the project bid proposals including the alternates, unit prices, and allowances within seven (7) calendar days from the bid due date. Provide a bid tabulation matrix comparing all bids submitted and make a statement about the high, low, and average bids received. Include a comparison of the submitted bids to the approved current construction cost estimate. When applicable, provide an analysis with supporting data, detailing why the bids did not meet the construction cost estimate.

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## **2. Review Meeting:**

Arrange a meeting with the apparent low bid Contractor to discuss their bid proposal and other issues regarding the award of the contract. Remind the Contractor that this is a Lump Sum bid. Request the Contractor to confirm that their bid proposal does not contain errors. Review and confirm Alternate pricing and Unit pricing and document acceptance or rejection as appropriate.

Comment on all omissions, qualifications and unsolicited statements appearing in the proposals. Review any special circumstances of the project. Ensure the Contractor's signature appears on all post bid review documents.

## **3. Substitutions:**

Inquire about any potential substitutions being contemplated by the Contractor and advise them of the State's guidelines for the approval of substitutions and the documentation required. Review the deadline and advise the Contractor that partial submissions are not acceptable. Submission after the deadline may be rejected by the State.

Equal substitutions that are proposed by the Contractor that are of lesser value must have a credit change order attached with the submittal (See Article 4.7.5 "Substitutions" of the General Conditions). The State has the right to reject the submission if there is no agreement on the proposed credit. Contractor will be responsible to submit a specified item.

## **4. Schedule:**

Confirm that the Contractor is aware of the number of calendar days listed in the contract documents for the project duration and that the Contractor's bid includes compliance with the schedule duration and completion dates. Particular attention shall be given to special working conditions, long lead items and projected delivery dates, etc. Review project milestones (if applicable). This could give an indication of Contractor performance, but not allow a rejection of the bid.

Review the submittal timeframes per the Contract documents. Ask the Contractor to identify what products will take over twenty-eight (28) calendar days to deliver from the point of submittal approval.

## **5. Performance:**

Investigate the past performance of Contractor by contacting Architects and owners (generally three of each) that were listed in their DPMC pre-qualification package or other references that may have been provided. Inquire how the Contractor performed with workmanship, schedule, project management, change orders, cooperation, paper work, etc.

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## **6. Letter of Recommendation:**

The Consultant shall prepare a Letter of Recommendation for contract award to the Contractor submitting the lowest responsible bid within three (3) calendar days from the post bid review meeting. The document shall contain the project title, DPMC project number, bid due date and expiration date of the proposal. It shall include a detailed narrative describing each post bid meeting agenda item identified above and a recommendation to award the contract to the apparent low bid Contractor based on the information obtained during that meeting. Describe any acceptance or rejection of Alternate pricing and Unit pricing.

Comment on any discussion with the Contractor that provides a sense of their understanding of the project and any special difficulties that they see, and how they might approach those problems.

Attach all minutes of the Post bid meeting and any other relevant correspondence with the Letter of Recommendation and submit them to the Project Manager.

## **7. Conformed Drawings:**

The Consultant shall prepare and distribute two (2) sets of drawings stamped “Conformed Drawings” to the Project Manager that reflect all Bulletins and/or required changes, additions, and deletions to the pertinent drawings within fourteen (14) calendar days of the construction contract award date.

Any changes made in Bulletins, meeting minutes, post bid review requirements shall also be reflected in the specification.

## **D. DIRECTOR'S HEARING**

The Consultant must attend any Director's hearing(s) if a Contractor submits a bid protest. The Consultant shall be present to interpret the intent of the design documents and answer any technical questions that may result from the meeting. In cases where the bid protest is upheld, the Consultant shall submit a new “Letter of Recommendation” for contract award. The hours required to attend the potential hearings and to document the findings shall be estimated by the Consultant and the costs will be included in the base bid of their fee proposal.

## **E. CONSTRUCTION JOB MEETINGS, SCHEDULES, LOGS**

The Consultant shall conduct all of the construction job meetings, to be held bi-weekly for the duration of construction, in accordance with the procedures identified in the A/E manual and those listed below.

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## **1. Meetings:**

The Consultant and Sub-Consultant(s) shall attend the pre-construction meeting and all construction job meetings during the construction phase of the project. The Consultant shall chair the meeting, transcribe and distribute the job-meeting minutes for every job meeting to all attendees and to those persons specified to be on the distribution list by the Project Manager. The Agenda for the meeting shall include, but not be limited to the items identified in the Procedures for Architects and Engineers Manual, Section 10.3.1, entitled “Agenda.”

Also, the Consultant is responsible for the preparation and distribution of minutes within three (3) calendar days of the meeting. The format to be used for the minutes shall comply with those identified in the “Procedures for Architects and Engineers Manual,” Section 10.3.4, entitled, “Format of Minutes.” All meeting minutes are to have an “action” column indicating the party that is responsible for the action indicated and a deadline to accomplish the assigned task. These tasks must be reviewed at each job progress meeting until it is completed and the completion date of each task shall be noted in the minutes of the meeting following the task completion.

## **2. Schedules:**

The Consultant; with the input from the Client Agency Representative and Project Manager, shall review and recommend approval of the project construction schedule prepared by the Contractor. The schedule shall identify all necessary start and completion dates of construction, construction activities, submittal process activities, material deliveries and other milestones required to give a complete review of the project.

The Consultant shall record any schedule delays, the party responsible for the delay, the schedule activity affected, and the original and new date for reference.

The Consultant shall ensure that the Contractor provides a two (2) week “look ahead” construction schedule based upon the current monthly updated schedule as approved at the bi-weekly job meetings and that identifies the daily planned activities for that period. This Contractor requirement must also be included in Division 1 of the specification for reference.

## **3. Submittal Log:**

Based on the Submittal Schedule in Division 1 of the specifications, the Consultant shall develop and implement a submittal log that includes all of the required project submittals as identified in the general conditions and technical specifications. The dates of submission shall be determined and approved by all affected parties during the pre-construction meeting.

Examples of the submissions to be reviewed and approved by the Consultant and Sub-Consultant (if required) include: project schedule, schedule of values, shop drawings, equipment and material catalog cuts, spec sheets, product data sheets, MSDS material safety data sheets,

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specification procedures, color charts, material samples, mock-ups, etc. The submittal review process must be conducted at each job progress meeting and shall include the Consultant, Sub-Consultant, Contractor, Project Manager, and designated representatives of the Client Agency.

The Consultant shall provide an updated submittal log at each job meeting that highlights the status of all required submissions.

## **F. CONSTRUCTION SITE ADMINISTRATION SERVICES**

The Consultant and Sub-Consultant(s) shall provide construction site administration services during the duration of the project. The Consultant and Sub-Consultant(s) do not necessarily have to be on site concurrently if there are no critical activities taking place that require the Sub-Consultant's participation.

The services required shall include, but not be limited to; field observations sufficient to verify the quality and progress of construction work, conformance and compliance with the contract documents, and to attend/chair meetings as may be required by the Project Manager to resolve special issues.

Consultant and Sub-Consultant(s) shall conduct weekly site inspection/field observation visits. Site inspection/field observation visits may be conducted in conjunction with regularly scheduled bi-weekly construction job meetings, depending on the progress of work, for weeks that construction job meetings are scheduled. The Consultant and their Sub-Consultant(s) shall submit a field observation report for each site inspection to the Project Manager within three (3) calendar days of the site visit. Also, they shall conduct inspections during major construction activities including, but not limited to the following examples: concrete pours, steel and truss installations, code inspections, final testing of systems, achievement of each major milestone required on the construction schedule, and requests from the Project Manager. The assignment of a full time on-site Sub-Consultant does not relieve the Consultant of their site visit obligation.

The Consultant shall refer to Section XIV. Contract Deliverables of this Scope of Work subsection entitled "Construction Phase" to determine the extent of services and deliverables required during this phase of the project.

## **G. SUB-CONSULTANT PARTICIPATION**

It is the responsibility of the Consultant to ensure that they have provided adequate hours and/or time allotted in their technical proposal so that their Sub-Consultants may participate in all appropriate phases and activities of this project or whenever requested by the Project Manager. This includes the pre-proposal site visit and the various design meetings and construction job meetings, site visits, and close-out activities described in this Scope of Work. Field observation reports and/or meeting minutes are required to be submitted to the Project Manager within three

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(3) calendar days of the site visit or meeting. All costs associated with such services shall be included in the base bid of the Consultant's fee proposal.

## **H. DRAWINGS**

### **1. Shop Drawings:**

Each Contractor shall review the specifications and determine the numbers and nature of each shop drawing submittal. Five (5) sets of the documents shall be submitted with reference made to the appropriate section of the specification. The Consultant shall review the Contractor's shop drawing submissions for conformity with the construction documents within seven (7) calendar days of receipt. The Consultant shall return each shop drawing submittal stamped with the appropriate action, i.e. "Approved", "Approved as Noted", "Approved as Noted Resubmit for Records", "Rejected", etc.

### **2. As-Built & Record Set Drawings:**

The Contractor(s) shall keep the contract drawings up-to-date at all times during construction and upon completion of the project, submit their AS-BUILT drawings to the Consultant with the Contractor(s) certification as to the accuracy of the information prior to final payment. All AS-BUILT drawings submitted shall be entitled AS-BUILT above the title block and dated.

The Consultant shall review the Contractor(s)' AS-BUILT drawings at each job progress meeting to ensure that they are up-to-date. Any deficiencies shall be noted in the progress meeting minutes.

The Consultant shall acknowledge acceptance of the AS-BUILT drawings by signing a transmittal indicating they have reviewed them and that they reflect the AS-BUILT conditions as they exist.

Upon receipt of the AS-BUILT drawings from the Contractor(s), the Consultant shall obtain the original reproducible drawings from DPMC and transfer the AS-BUILT conditions to the original full sized signed reproducible drawings to reflect RECORD conditions within fourteen (14) calendar days of receipt of the AS-BUILT information.

The Consultant shall note the following statement on the original RECORD-SET drawings. "The AS-BUILT information added to this drawing(s) has been supplied by the Contractor(s). The Architect/Engineer does not assume the responsibility for its accuracy other than conformity with the design concept and general adequacy of the AS-BUILT information to the best of the Architect's/Engineer's knowledge."

Upon completion, The Consultant shall deliver the RECORD-SET original reproducible drawings to DPMC who will acknowledge their receipt in writing. This hard copy set of

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drawings and two (2) sets of current release AUTO CAD discs shall be submitted to DPMC. The discs shall contain all AS-BUILT drawings in both “.dwg” (native file format for AUTO CAD) and “.pdf” (*Adobe* portable document format) file formats.

## **I. CONSTRUCTION DEFICIENCY LIST**

The Consultant shall prepare, maintain and continuously distribute an on-going deficiency list to the Contractor, Project Manager, and Client Agency Representative during the construction phase of the project. This list shall be separate correspondence from the field observation reports and shall not be considered as a punch list.

## **J. INSPECTIONS: SUBSTANTIAL & FINAL COMPLETION**

The Consultant and their Sub-Consultant(s) accompanied by the Project Manager, Code Inspection Group, Client Agency Representative and Contractor shall conduct site inspections to determine the dates of substantial and final completion. The Project Manager will issue the only recognized official notice of substantial completion. The Consultant shall prepare and distribute the coordinated punch list, written warranties and other related DPMC forms and documents, supplied by the Contractor, to the Project Manager for review and certification of final contract acceptance.

If applicable, the punch list shall include a list of attic stock and spare parts.

## **K. CLOSE-OUT DOCUMENTS**

The Consultant shall review all project close-out documents as submitted by the Contractors to ensure that they comply with the requirements listed in the “Procedure for Architects and Engineers’ Manual.” The Consultant shall forward the package to the Project Manager within fourteen (14) calendar days from the date the Certificate of Occupancy/Certificate of Approval is issued. The Consultant shall also submit a letter certifying that the project was completed in accordance with the contract documents, etc.

## **L. CLOSE-OUT ACTIVITY TIME**

The Consultant shall provide all activities and deliverables associated with the “Close-Out Phase” of this project as part of their Lump Sum base bid. The Consultant and/or Sub-Consultant(s) may not use this time for additional job meetings or extended administrative services during the Construction Phase of the project.

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## **M. TESTING, TRAINING, MANUALS AND ATTIC STOCK**

The Consultant shall ensure that all equipment testing, training sessions and equipment manuals required for this project comply with the requirements identified below.

### **1. Testing:**

All equipment and product testing conducted during the course of construction is the responsibility of the Contractor. However, the Consultant shall ensure the testing procedures comply with manufacturers recommendations. The Consultant shall review the final test reports and provide a written recommendation of the acceptance/rejection of the material, products or equipment tested within seven (7) calendar days of receipt of the report.

### **2. Training:**

The Consultant shall include in the specification that the Contractor shall schedule and coordinate all equipment training with the Project Manager and Client Agency representatives. It shall state that the Contractor shall submit the Operation and Maintenance (O&M) manuals, training plan contents, and training durations to the Consultant, Project Manager and Client Agency Representative for review and approval prior to the training session.

The Consultant shall ensure that the training session is video recorded by the Contractor. A copy of the recording shall be transmitted to the Project Manager on compact disk who will forward the material to the Client Agency for future reference.

All costs associated with the training sessions shall be borne by the Contractor installing the equipment. A signed letter shall be prepared stating when the training was completed and must be accompanied with the training session sign-in sheet as part of the project close-out package.

### **3. Operation & Maintenance Manuals:**

The Consultant shall coordinate and review the preparation and issuance of the equipment manuals provided by the Contractor(s) ensuring that they contain the operating procedures, maintenance procedures and frequency, cut sheets, parts lists, warranties, guarantees, and detailed drawings for all equipment installed at the facility.

A troubleshooting guide shall be included that lists problems that may arise, possible causes with solutions, and criteria for deciding when equipment shall be repaired and when it must be replaced.

Include a list of the manufacturer's recommended spare parts for all equipment being supplied for this project.

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A list of names, addresses and telephone numbers of the Contractors involved in the installations and firms capable of performing services for each mechanical item shall be included. The content of the manuals shall be reviewed and approved by the Project Manager and Client Agency Representative.

The Consultant shall include in the specification that the Contractor must provide a minimum of ten (10) “throwaway” copies of the manual for use at the training seminar and seven (7) hardbound copies as part of the project close-out package.

#### **4. Attic Stock:**

The Consultant shall determine and recommend whether “attic stock” should be included for all aspects of the project. If required, the Consultant shall specify attic stock items to be included in the project.

Prior to project close-out, the Consultant must prepare a comprehensive listing of all items for delivery by the Contractor to the Owner and in accordance with the appropriate specification/plan section. Items shall include, but not be limited to: training sessions, O&M manuals, as-built drawings, itemized attic stock requirements, and manufacturer guarantees/warranties.

### **N. CHANGE ORDERS**

The Consultant shall review and process all change orders in accordance with the contract documents and procedures described below.

#### **1. Consultant:**

The Consultant shall prepare a detailed request for Change Order including a detailed description of the change(s) along with appropriate drawings, specifications, and related documentation and submit the information to the Contractor for the change order request submission. This will require the use of the current DPMC 9b form.

#### **2. Contractor:**

The Contractor shall submit a DPMC 9b Change Order Request form to the Project Manager within seven (7) calendar days after receiving the Change Order from the Consultant. The document shall identify the changed work in a manner that will allow a clear understanding of the necessity for the change. Copies of the original design drawings, sketches, etc. and specification pages shall be highlighted to clarify and show entitlement to the Change Order.

Copies shall be provided of job minutes or correspondence with all relative information highlighted to show the origin of the Change Order. Supplementary drawings from the Consultant shall be included if applicable that indicate the manner to be used to complete the

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changed work. A detailed breakdown of all costs associated with the change, i.e. material, labor, equipment, overhead, Sub-Contractor work, profit and bond, and certification of increased bond shall be provided.

If the Change Order will impact the time of the project, the Contractor shall include a request for an extension of time. This request shall include a copy of the original approved project schedule and a proposed revised schedule that reflects the impact on the project completion date.

Documentation to account for the added time requested shall be included to support entitlement of the request such as additional work, weather, other Contractors, etc. This documentation shall contain dates, weather data and all other relative information.

### **3. Recommendation for Approval:**

The Consultant shall evaluate the reason for the change in work and provide a detailed written recommendation for approval or disapproval of the Change Order Request including backup documentation of costs in CSI format and all other considerations to substantiate that decision.

### **4. Code Review:**

The Consultant shall determine if the Change Order request will require Code review and shall submit six (6) sets of signed and sealed modified drawings and specifications to the DPMC Plan & Code Review Unit for approval, if required. The Consultant must also determine and produce a permit amendment request if required.

### **5. Cost Estimate:**

The Consultant shall provide a detailed cost estimate of the proposed Change Order Request, as submitted by the Contractor, in CSI format (latest edition) for all appropriate divisions and sub-divisions using a recognized estimating formula. The estimate shall then be compared with that of the Contractor's estimate. If any line item in the Consultant's estimate is lower than the corresponding line item in the Contractor's estimate, the Consultant in conjunction with the Project Manager is to contact the Contractor by telephone and negotiate the cost differences. The Consultant shall document the negotiated agreement on the Change Order Request form. If the Contractor's total dollar value changes based on the negotiations, the Consultant shall identify the changes on the Change Order Request form accordingly.

When recommending approval or disapproval of the change order, the Consultant shall be required to prepare and process a Change Order package that contains at a minimum the following documents:

- DPMC 9b Change Order Request
- DPMC 10 Consultant's Evaluation of Contractor's Change Order Request
- Consultant's Independent Detailed Cost Estimate

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- Notes of Negotiations

## **6. Time Extension:**

When a Change Order Request is submitted with both cost and time factors, the Consultant's independent cost estimate is to take into consideration time factors associated with the changed work. The Consultant is to compare their time element with that of the Contractor's time request and if there is a significant difference, the Consultant in conjunction with the Project Manager is to contact the Contractor by telephone and negotiate the difference.

When a Change Order Request is submitted for time only, the Consultant is to do an independent evaluation of the time extension request using a recognized scheduling formula.

Requests for extension of contract time must be done in accordance with the General Conditions Article 10.1 "Changes in the Work".

## **7. Submission:**

The Consultant shall complete all of the DPMC Change Order Request forms provided and submit a completed package to the Project Manager with all appropriate backup documentation within seven (7) calendar days from receipt of the Contractor's change order request. The Consultant shall resubmit the package at no cost to the State if the change order package contents are deemed insufficient by the Project Manager.

## **8. Meetings:**

The Consultant shall attend and actively participate at all administrative hearings or settlement conferences as may be called by Project Manager in connection with such Change Orders and provide minutes of those meetings to the Project Manager for distribution.

## **9. Consultant Fee:**

All costs associated with the potential Contractor Change Order Requests shall be anticipated by the Consultant and included in the base bid of their fee proposal.

If the Client Agency Representative requests a scope change; and it is approved by the Project Manager, the Consultant may be entitled to be reimbursed through an amendment and in accordance with the requirements stated in paragraph 10.01 of this Scope of Work.

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## **IX. PERMITS & APPROVALS**

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### **A. NJ UNIFORM CONSTRUCTION CODE PERMIT**

The project construction documents must comply with the latest adopted edition of the NJ Uniform Construction Code (NJUCC).

The latest NJUCC Adopted Codes and Standards can be found at:

<http://www.state.nj.us/dca/divisions/codes/codreg/>

The Consultant shall complete the NJUCC permit application and all applicable technical sub-code sections with all technical site data required. The Agent section of the application and certification section of the building sub-code section shall be signed. These documents shall be forwarded to the DPMC Project Manager.

The Consultant may obtain copies of all NJUCC permit applications at the following website:

<http://www.state.nj.us/dca/divisions/codes/forms/>

All other required project permits shall be obtained and paid for by the Consultant in accordance with the procedures described in Paragraph IX.B.

#### **1. Prior Approval Certification Letters:**

The issuance of a construction permit for this project may be contingent upon acquiring various “prior approvals” as defined by N.J.A.C. 5:23-1.4. It is the Consultant’s responsibility to determine which prior approvals, if any, are required. The Consultant shall submit a general certification letter to the DPMC Plan & Code Review Unit Manager during the Permit Phase of this project that certifies all required prior approvals have been obtained.

In addition to the general certification letter discussed above, the following specific prior approval certification letters, where applicable, shall be submitted by the Consultant to the DPMC Plan & Code Review Unit Manager: Soil Erosion & Sediment Control, Water & Sewer Treatment Works Approval, Coastal Areas Facilities Review, Compliance of Underground Storage Tank Systems with N.J.A.C. 7:14B, Pinelands Commission, Highlands Council, Well Construction and Maintenance; Sealing of Abandoned Wells with N.J.A.C. 7:9D, Certification that all utilities have been disconnected from structures to be demolished, Board of Health Approval for Potable Water Wells, Health Department Approval for Septic Systems. It shall be noted that in accordance with N.J.A.C. 5:23-2.15(a)5, a permit cannot be issued until the letter(s) of certification is received.

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## **2. Multi-building or Multi-site Permits:**

A project that involves many buildings and/or sites requires that a separate permit shall be issued for each building or site. The Consultant must determine the construction cost estimate for *each* building and/or site location and submit that amount where indicated on the permit application.

## **3. Special Inspections:**

In accordance with the requirements of the New Jersey Uniform Construction Code N.J.A.C. 5:23-2.20(b), Bulletin 03-5 and Chapter 17 of the International Building Code, the Consultant shall be responsible for the coordination of all special inspections during the construction phase of the project.

Bulletin 03-5 can be found at:

[http://www.state.nj.us/dca/divisions/codes/publications/pdf\\_bulletins/b\\_03\\_5.pdf](http://www.state.nj.us/dca/divisions/codes/publications/pdf_bulletins/b_03_5.pdf)

### **a. Definition:**

Special inspections are defined as an independent verification by a certified Special Inspector for **Class I buildings and smoke control systems in any class building**. The special inspector is to be independent from the Contractor and responsible to the Consultant so that there is no possible conflict of interest.

Special inspectors shall be certified in accordance with the requirements in the New Jersey Uniform Construction Code.

### **b. Responsibilities:**

The Consultant shall submit with the permit application, a list of special inspections and the agencies or special inspectors that will be responsible to carry out the inspections required for the project. The list shall be a separate document, on letter head, signed and sealed.

## **B. OTHER REGULATORY AGENCY PERMITS, CERTIFICATES AND APPROVALS**

The Consultant shall identify and obtain all other State Regulatory Agency permits, certificates, and approvals that will govern and affect the work described in this Scope of Work. An itemized list of these permits, certificates, and approvals shall be included with the Consultant's Technical Proposal and the total amount of the application fees should be entered in the Fee Proposal line item entitled, **“Permit Fee Allowance.”**

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The Consultant may refer to the Division of Property Management and Construction “Procedures for Architects and Engineers Manual”, Section 6.4.8, which presents a compendium of State permits, certificates, and approvals that may be required for this project.

The Consultant shall determine the appropriate phase of the project to submit the permit application(s) in order to meet the approved project milestone dates.

Where reference to an established industry standard is made, it shall be understood to mean the most recent edition of the standard unless otherwise noted. If an industry standard is found to be revoked, or should the standard have undergone substantial change or revision from the time that the Scope of Work was developed, the Consultant shall comply with the most recent edition of the standard.

## **C. STATE INSURANCE APPROVAL**

The Consultant shall respond in writing to the FM Global Insurance Underwriter plan review comments through the DPMC Plan & Code Review Unit Manager as applicable. The Consultant shall review all the comments and, with agreement of the Project Team, modify the documents while adhering to the project’s SOW requirements, State code requirements, schedule, budget, and Consultant fee.

## **D. PUBLIC EMPLOYEES OCCUPATIONAL SAFETY & HEALTH PROGRAM**

A paragraph shall be included in the design documents, if applicable to this project that states: The Contractor shall comply with all the requirements stipulated in the Public Employees Occupational Safety & Health Program (PEOSHA) document, paragraph 12:100-13.5 entitled “Air quality during renovation and remodeling”. The Contractor shall submit a plan demonstrating the measures to be utilized to confine the dust, debris, and air contaminants in the renovation or construction area of the project site to the Project Team prior to the start of construction.

The link to the document is:

<http://www.nj.gov/health/workplacehealthandsafety/peosh/peosh-health-standards/iaq.shtml>

## **E. PERMIT MEETINGS**

The Consultant shall attend and chair all meetings with Permitting Agencies necessary to explain and obtain the required permits.

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## **F. MANDATORY NOTIFICATIONS**

The Consultant shall include language in Division 1 of the specification that states the Contractor shall assure compliance with the New Jersey “One Call” Program (1-800-272-1000) if any excavation is to occur at the project site.

The One Call Program is known as the “New Jersey Underground Facility Protection Act”, refer to N.J.A.C. 14:2.

## **G. CONSULTANT FEE**

The Consultant shall determine the efforts required to complete and submit all permit applications, obtain and prepare supporting documentation, attend meetings, etc., and include the total cost in the base bid of their fee proposal under the “Permit Phase”.

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# **X. GENERAL REQUIREMENTS**

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## **A. SCOPE CHANGES**

The Consultant must request any changes to this Scope of Work in writing. An approved DPMC 9d Consultant Amendment Request form reflecting authorized scope changes must be received by the Consultant prior to undertaking any additional work. The DPMC 9d form must be approved and signed by the Director of DPMC and written authorization issued from the Project Manager prior to any work being performed by the Consultant. Any work performed without the executed DPMC 9d form is done at the Consultant’s own financial risk.

## **B. ERRORS AND OMISSIONS**

The errors and omissions curve and the corresponding sections of the “Procedures for Architects and Engineers Manual” are eliminated. All claims for errors and omissions will be pursued by the State on an individual basis. The State will review each error or omission with the Consultant and determine the actual amount of damages, if any, resulting from each negligent act, error or omission.

## **C. ENERGY INCENTIVE PROGRAM**

The Consultant shall review the programs described on the “New Jersey’s Clean Energy Program” website at: <http://www.njcleanenergy.com> to determine if any proposed upgrades to the mechanical and/or electrical equipment and systems for this project qualify for “New Jersey Clean Energy Program” rebates and incentives such as SmartStart, Pay4Performance, Direct Install or any other incentives.

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The Consultant shall be responsible to complete the appropriate registration forms and applications, provide any applicable worksheets, manufacturer's specification sheets, calculations, attend meetings, and participate in all activities with designated representatives of the programs and utility companies to obtain the entitled financial incentives and rebates for this project. All costs associated with this work shall be estimated by the Consultant and the amount included in the base bid of their fee proposal.

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## **XI. ALLOWANCES**

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### **A. PERMIT FEE ALLOWANCE**

The Consultant shall obtain and pay for all of the project permits in accordance with the guidelines identified below.

#### **1. Permits:**

The Consultant shall determine the various permits, certificates, and approvals required to complete this project.

#### **2. Permit Costs:**

The Consultant shall estimate the application fee costs for all of the required project permits, certificates, and approvals (excluding the NJ Uniform Construction Code permit) and include that amount in their fee proposal line item entitled **“Permit Fee Allowance”**, refer to Paragraph IX.A. A breakdown of each permit and application fee shall be attached to the fee proposal for reference.

NOTE: The NJ Uniform Construction Code permit is excluded since it will be paid for by the State.

#### **3. Applications:**

The Consultant shall complete and submit all permit applications to the appropriate permitting authorities and the costs shall be paid from the Consultant's permit fee allowance. A copy of the application(s) and the original permit(s) obtained by the Consultant shall be given to the DPMC Project Manager for distribution during construction.

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#### **4. Consultant Fee:**

The Consultant shall determine what is required to complete and submit the permit applications, obtain supporting documentation, attend meetings, etc., and include the total cost in the base bid of their fee proposal under the “Permit Phase” column.

Any funds remaining in the permit allowance will be returned to the State at the close of the project.

### **B. HAZARDOUS MATERIALS TESTING AND REPORT ALLOWANCE**

Consultant shall estimate the costs to complete the hazardous materials survey, sample collection, testing and analysis and preparation of a “Hazardous Materials Survey Report” noted in paragraph VII.B and enter that amount on their fee proposal line item entitled **“Hazardous Materials Testing and Report Allowance”**. Consultant shall attach a detailed cost breakdown sheet for use by DPMC during the proposal review and potential fee negotiations. The cost breakdown sheet shall include, but not be limited to, the following information:

- Description of tasks and estimated cost for the following:
  - Sample collection
  - Sample testing
  - Preparation of an Hazardous Materials Survey Report

Any funds remaining in the Hazardous Materials Testing and Report Allowance will be returned to the State at the close of the project.

### **C. HAZARDOUS MATERIALS ABATEMENT DESIGN ALLOWANCE**

Consultant shall estimate the costs to prepare construction documents for hazardous materials abatement noted in paragraph VII.B and enter that amount on their fee proposal line item entitled **“Hazardous Materials Abatement Design Allowance”**. Consultant shall attach a detailed cost breakdown sheet for use by DPMC during the proposal review and potential fee negotiations. The cost breakdown sheet shall include a description of the tasks to be performed and the estimated cost of each task.

Any funds remaining in the Hazardous Materials Abatement Design Allowance will be returned to the State at the close of the project.

### **D. HAZARDOUS MATERIALS CONSTRUCTION ADMINISTRATION ALLOWANCE**

Consultant shall estimate the cost to provide Construction Monitoring and Administration Services for hazardous materials abatement as noted in paragraph VII.B and enter that amount on

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their fee proposal line item entitled **“Hazardous Materials Construction Administration Allowance”**. Consultant shall attach a detailed cost breakdown sheet for use by DPMC during the proposal review and potential fee negotiations. The cost breakdown sheet shall include a description of the tasks to be performed and the estimated cost of each task.

Any funds remaining in the Hazardous Materials Construction Administration Allowance will be returned to the State at the close of the project.

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## **XII. SUBMITTAL REQUIREMENTS**

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### **A. CONTRACT DELIVERABLES**

All submissions shall include the Contract Deliverables identified in Section XIV of this Scope of Work and described in the DPMC Procedures for Architects and Engineers Manual.

### **B. CATALOG CUTS**

The Consultant shall provide catalog cuts as required by the DPMC Plan & Code Review Unit during the design document review submissions. Examples of catalog cuts include, but are not limited to: mechanical equipment, hardware devices, plumbing fixtures, fire suppression and alarm components, specialized building materials, electrical devices, etc.

### **C. PROJECT DOCUMENT BOOKLET**

The Consultant shall submit all of the required Contract Deliverables to the Project Manager at the completion of each phase of the project. All reports, meeting minutes, plan review comments, project schedule, cost estimate in CSI format (2004 Edition), correspondence, calculations, and other appropriate items identified on the Submission Checklist form provided in the A/E Manual shall be presented in an 8½” x 11” bound “booklet” format.

### **D. DESIGN DOCUMENT CHANGES**

Any corrections, additions, or omissions made to the submitted drawings and specifications at the Permit Phase of the project must be submitted to DPMC Plan & Code Review Unit as a complete document. Corrected pages or drawings may not be submitted separately unless the Consultant inserts the changed page or drawing in the original documents. No Addendums or Bulletins will be accepted as a substitution to the original specification page or drawing.

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## **E. SINGLE-PRIME CONTRACT**

All references to “separate contracts” in the Procedures for Architects and Engineers Manual, Chapter 8, shall be deleted since this project will be advertised as a “Single Bid” (Lump Sum All Trades) contract. The single prime Contractor will be responsible for all work identified in the drawings and specifications.

The drawings shall have the required prefix designations and the specification sections shall have the color codes as specified for each trade in the DPMC Procedure for Architects and Engineers Manual.

The Consultant must still develop the Construction Cost Estimate (CCE) for each trade and the amount shall be included on the DPMC-38 Project Cost Analysis form where indicated. This document shall be submitted at each design phase of the project and updated immediately prior to the advertisement to bid.

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### XIII. SOW SIGNATURE APPROVAL SHEET

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This Scope of Work shall not be considered a valid document unless all signatures appear in each designated area below.

The Client Agency approval signature on this page indicates that they have reviewed the design criteria and construction schedule described in this project Scope of Work and verifies that the work will not conflict with the existing or future construction activities of other projects at the site.

**SOW PREPARED BY:** James W. Wright 4/15/2019  
JAMES WRIGHT, MANAGER DATE  
DPMC PROJECT PLANNING & INITIATION

**SOW APPROVED BY:** Robert Bice 4/15/2019  
ROBERT BICE, PROJECT MANAGER DATE  
DEPARTMENT OF HEALTH

**SOW APPROVED BY:** Ronald Kraemer 4/22/19  
RONALD KRAEMER, PROJECT MANAGER DATE  
DPMC PROJECT MANAGEMENT GROUP

**SOW APPROVED BY:** Richard Flodmand 4/25/19  
RICHARD FLODMAND, DEPUTY DIRECTOR DATE  
DIV PROPERTY MGT & CONSTRUCTION

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## **XIV. CONTRACT DELIVERABLES**

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The following is a listing of Contract Deliverables that are required at the completion of each phase of this project. The Consultant shall refer to the DPMC publication entitled, "Procedures for Architects and Engineers," Volumes I and II, 2<sup>nd</sup> Edition, dated January, 1991 to obtain a more detailed description of the deliverables required for each item listed below.

The numbering system used in this "Contract Deliverables" section of the scope of work corresponds to the numbering system used in the "Procedures for Architects and Engineers" manual and some may have been deleted if they do not apply to this project.

### **DESIGN DEVELOPMENT PHASE: 50% Complete Design Documents (Minimum)**

#### **7.1 Project Schedule (Update Bar Chart Schedule)**

#### **7.2 Meetings & Minutes (Minutes within seven (7) calendar days of meeting)**

#### **7.3 Correspondence**

#### **7.4 Submission Requirements**

7.4.1 A/E Statement of Site Visit, As-Built Drawing Verification (if available)

7.4.2 Space Analysis & Program Requirements

7.4.3 Special Features Description: special structural features, etc.

7.4.4 Site Evaluation

7.4.8 Regulatory Agency Approvals

7.4.10 Drawings: 6 sets

Cover Sheet (See A/E Manual for format)

Site Plan

Elevations

Sections/Details

7.4.11 Specifications: 6 sets (See A/E Manual for format, include Division 1 and edit to describe the administrative and general requirements of the project)

7.4.12 Current Working Estimate in CSI Format & Cost Analysis 38 Form

7.4.13 Bar Chart of Design and Construction Schedule

7.4.14 Oral Presentation of Submission to Project Team

7.4.15 SOW Compliance Statement

7.4.16 This Submission Checklist (See A/E Manual, Figure 6.4.16 for format)

7.4.17 Deliverables Submission in Booklet Form: 7 sets

#### **7.5 Approval**

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7.5.1 Respond to Submission Comments

**7.6 Submission Forms**

Figure 7.4.12 Current Working Estimate/Cost Analysis

Figure 7.4.16 Submission Checklist

**FINAL DESIGN PHASE 100% Complete Construction Documents**

This Final Design Phase may require more than one submission based on the technical quality and code conformance of the design documents.

**8.1 Schedule (Update Bar Chart Schedule)**

**8.2 Meeting & Minutes (Minutes within seven (7) calendar days of meeting)**

**8.3 Correspondence**

**8.4 Submission Requirements**

8.4.1 A/E Statement of Site Visit

8.4.2 Space Analysis

8.4.3 Special Features Description: special structural features, etc.

8.4.4 Site Evaluation

8.4.8 Regulatory Agency Approvals (Include itemized list specific to this project)

8.4.10 Drawings: 6 sets

8.4.11 Specifications: 6 sets

8.4.12 Current Working Estimate in CSI Format & Cost Analysis 38 Form

8.4.13 Bar Chart of Design and Construction Schedule

8.4.14 Oral Presentation of this Submission to Project Team

8.4.15 Plan Review/SOW Compliance Statement

8.4.16 This Submission Checklist

8.4.17 Deliverables Submission in Booklet Form: 7 sets

**8.5 Approvals**

8.5.1 Respond to Submission Comments

**PERMIT APPLICATION PHASE**

This Permit Application Phase should not include any additional design issues. Design documents shall be 100% complete at the Final Design Phase.

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## **8.6 Permit Application Submission Requirements**

- 8.6.1 - 8.6.7: If all of the deliverables of these sections have been previously submitted to DPMC and approved there are no further deliverables due at this time
- 8.6.8 Regulatory Agency Approvals
  - (a) UCC Permit Application & Technical Sub-codes completed by A/E
- 8.6.9 Utility Availability Confirmation
- 8.6.10 Signed and Sealed Drawings: 6 sets
- 8.6.11 Signed and Sealed Specifications: 6 sets
- 8.6.12 Current Working Estimate/Cost Analysis
- 8.6.13 Bar Chart Schedule
- 8.6.14 Project Presentation (N/A this Project)
- 8.6.15 Plan Review/SOW Compliance Statement
- 8.6.16 Submission Checklist

## **8.7 Approvals**

## **8.8 Submission Forms**

- Figure 8.4.12 Current Working Estimate/Cost Analysis
- Figure 8.4.16 Submission Checklist (Final Review Phase)
- Figure 8.6.12-b Bid Proposal Form (Form DPMC -3)
- Figure 8.6.12-c Notice of Advertising (Form DPMC -31)
- Figure 8.6.16 Submission Checklist (Permit Phase)
- Figure 8.7 Bid Clearance Form (Form DPMC -601)

# **BIDDING AND CONTRACT AWARD**

## **9.0 Bidding Phase Requirements**

- 9.01 Original Drawings signed & sealed by A/E and drawings on compact disk (CD) in *Adobe Portable Document Format (.pdf)*
- 9.02 One Unbound Specification Color Coded per A/E Manual Section 8.4.11 and specifications on compact disk (CD) in *Adobe Portable Document Format (.pdf)*
- 9.03 Bid Documents Checklist
- 9.04 Bid Proposal Form
- 9.05 Notice for Advertising

## **9.1 Chair Pre-Bid Conference/Mandatory Site Visit**

## **9.2 Prepare Bulletins**

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**9.3 Attend Bid Opening**

**9.4 Recommendation for Contract Award**

9.4.1 Prepare Letter(s) of Recommendation for Award & Cost Analysis

**9.5 Attend Post Bid Review Meeting(s)**

**9.6 Submission Checklist**

**9.7 Submission Forms**

Figure 9.4.1 Cost Analysis

Figure 9.6 Submission Checklist

## **CONSTRUCTION PHASE**

**10.1 Site Construction Administration**

**10.2 Pre-Construction Meeting**

**10.3 Construction Job Meetings**

10.3.1 Agenda: Schedule and Chair Construction Job Meetings

10.3.2 Minutes: Prepare and Distribute Minutes within 5 working days of meeting

10.3.3 Schedules; Approve Contractors' Schedule & Update

10.3.4 Minutes Format: Prepare Job Meeting Minutes in approved format, figure 10.3.4-a

**10.4 Correspondence**

**10.5 Prepare and Deliver Conformed Drawings**

**10.7 Approve Contractors Invoicing and Payment Process**

**10.8 Approve Contractors 12/13 Form for Subs, Samples and Materials**

**10.10 Approve Test Reports**

**10.11 Approve Shop Drawings**

**10.12 Construction Progress Schedule**

10.12.1 Construction Progress Schedule

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### **10.13 Review & Recommend or Reject Change Orders**

- 10.13.1 Scope Changes
- 10.13.2 Construction Change Orders
- 10.13.3 Field Changes

### **10.14 Construction Photographs**

### **10.15 Submit Field Observation Reports**

### **10.16 Submission Forms**

- Figure 10.3.4-a Job Meeting Format of Minutes
- Figure 10.3.4-b Field Report
- Figure 10.6 DPMC Insurance Form-24
- Figure 10.6-a Unit Schedule Breakdown
- Figure 10.6-b Monthly Estimate for Payment to Contractor DPMC 11-2
- Figure 10.6-c Monthly Estimate for Payment to Contractor DPMC 11-2A
- Figure 10.6-d Invoice DPMC 11
- Figure 10.6-e Prime Contractor Summary of Stored Materials DPMC 11-3
- Figure 10.6-f Agreement & Bill of Sale certificate for Stored Materials DPMC 3A
- Figure 10.7-a Approval Form for Subs, Samples & Materials DPMC 12
- Figure 10.7-b Request for Change Order DPMC 9b
- Figure 10.9 Transmittal Form DPMC 13
- Figure 10.10 Submission Checklist

## **PROJECT CLOSE-OUT PHASE**

### **11.1 Responsibilities: Plan, Schedule and Execute Close-Out Activities**

### **11.2 Commencement: Initiate Close-Out w/DPMC 20A Project Close-Out Form**

### **11.3 Develop Punch List & Inspection Reports**

### **11.4 Verify Correction of Punch List Items**

### **11.5 Determination of Substantial Completion**

### **11.6 Ensure Issuance of “Temporary Certificate of Occupancy or Approval”**

### **11.7 Initiation of Final Contract Acceptance Process**

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## **11.8 Submission of Close-Out Documentation**

- 11.8.1 As-Built & Record Set Drawings, 3 sets AUTOCAD Discs Delivered to DPMC
- 11.8.2 (a) Maintenance and Operating manuals, Warranties, etc.: 7 sets each
  - (b) Guarantees
  - (c) Shop Drawings
  - (d) Letter of Contract Performance
- 11.8.3 Final Cost Analysis-Insurance Transfer DPMC 25
- 11.8.4 This Submission Checklist

## **11.9 Final Payment**

- 11.9.1 Contractors Final Payment
- 11.9.2 A/E Invoice and Close-Out Forms for Final Payment

## **11.10 Final Performance Evaluation of the A/E and the Contractors**

## **11.11 Ensure Issuance of a “Certificate of Occupancy or Approval”**

## **11.12 Submission Forms**

- Figure 11.2 Project Close-Out Documentation List DPMC 20A
- Figure 11.3-a Certificate of Substantial Completion DPMC 20D
- Figure 11.3-b Final Acceptance of Consultant Contract DPMC 20C
- Figure 11.5 Request for Contract Transition Close-Out DPMC 20X
- Figure 11.7 Final Contract Acceptance Form DPMC 20
- Figure 11.8.3-a Final Cost Analysis
- Figure 11.8.3-b Insurance Transfer Form DPMC 25
- Figure 11.8.4 Submission Checklist

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## **XV. EXHIBITS**

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The attached exhibits in this section will include a sample project schedule, and any supporting documentation to assist the Consultant in the design of the project such as maps, drawings, photographs, floor plans, studies, reports, etc.

## **END OF SCOPE OF WORK**

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February 7, 1997  
Rev.: January 29, 2002

### **Responsible Group Code Table**

The codes below are used in the schedule field "GRP" that identifies the group responsible for the activity. The table consists of groups in the Division of Property Management & Construction (DPMC), as well as groups outside of the DPMC that have responsibility for specific activities on a project that could delay the project if not completed in the time specified. For reporting purposes, the groups within the DPMC have been defined to the supervisory level of management (i.e., third level of management, the level below the Associate Director) to identify the "functional group" responsible for the activity.

<b><u>CODE</u></b>	<b><u>DESCRIPTION</u></b>	<b><u>REPORTS TO ASSOCIATE DIRECTOR OF:</u></b>
CM	Contract Management Group	Contract Management
CA	Client Agency	N/A
CSP	Consultant Selection and Prequalification Group	Technical Services
A/E	Architect/Engineer	N/A
PR	Plan Review Group	Technical Services
CP	Construction Procurement	Planning & Administration
CON	Construction Contractor	N/A
FM	Financial Management Group	Planning & Administration
OEU	Office of Energy and Utility Management	N/A
PD	Project Development Group	Planning & Administration

### **EXHIBIT 'A'**

Activity ID	Description	Rsp	Weeks
<b>&lt;PROJ&gt;</b>			
<b>Design</b>			
CV3001	Schedule/Conduct Predesign/Project Kick-Off Mtg.	CM	
CV3020	Prepare Program Phase Submittal	AE	
CV3021	Distribute Program Submittal for Review	CM	
CV3027	Prepare & Submit Project Cost Analysis (DPMC-38)	CM	
CV3022	Review & Approve Program Submittal	CA	
CV3023	Review & Approve Program Submittal	PR	
CV3024	Review & Approve Program Submittal	CM	
CV3025	Consolidate & Return Program Submittal Comments	CM	
CV3030	Prepare Schematic Phase Submittal	AE	
CV3031	Distribute Schematic Submittal for Review	CM	
CV3037	Prepare & Submit Project Cost Analysis (DPMC-38)	CM	
CV3032	Review & Approve Schematic Submittal	CA	
CV3033	Review & Approve Schematic Submittal	PR	
CV3034	Review & Approve Schematic Submittal	CM	
CV3035	Consolidate & Return Schematic Submittal Comment	CM	
CV3040	Prepare Design Development Phase Submittal	AE	
CV3041	Distribute D. D. Submittal for Review	CM	
CV3047	Prepare & Submit Project Cost Analysis (DPMC-38)	CM	
CV3042	Review & Approve Design Development Submittal	CA	
CV3043	Review & Approve Design Development Submittal	PR	
CV3044	Review & Approve Design Development Submittal	CM	
CV3045	Consolidate & Return D.D. Submittal Comments	CM	
CV3050	Prepare Final Design Phase Submittal	AE	
CV3051	Distribute Final Design Submittal for Review	CM	
CV3052	Review & Approve Final Design Submittal	CA	
CV3053	Review & Approve Final Design Submittal	PR	
CV3054	Review Final Design Submittal for Constructability	OCS	
NOTE: Refer to section "IV Project Schedule" of the Scope of Work for contract phase durations.			DBCA - TEST
Sheet 1 of 3			<b>Exhibit "A"</b>
Bureau of Design & Construction Services Routine Project			

Activity ID	Description	Rspn	Weeks
CV3055	Review & Approve Final Design Submittal	CM	
CV3056	Consolidate & Return Final Design Comments	CM	
CV3060	Prepare & Submit Permit Application Documents	AE	
CV3068	Prepare & Submit Bidding Cost Analysis (DPMC-38)	CM	
<b>Plan Review-Permit Acquisition</b>			
CV4001	Review Constr. Documents & Secure UGCC Permit	PR	
CV4010	Provide Funding for Construction Contracts	CA	
CV4020	Secure Bid Clearance	CM	
<b>Advertise-Bid-Award</b>			
CV5001	Advertise Project & Bid Construction Contracts	CP	
CV5010	Open Construction Bids	CP	
CV5011	Evaluate Bids & Prep. Recommendation for Award	CM	
CV5012	Evaluate Bids & Prep. Recommendation for Award	AE	
CV5014	Complete Recommendation for Award	CP	
CV5020	Award Construction Contracts/Issue NTP	CP	
<b>Construction</b>			
CV6000	Project Construction Start/Issue NTP	CM	
CV6001	Contract Start/Contract Work (25%) Complete	CON	
CV6002	Preconstruction Meeting	CM	
CV6003	Begin Preconstruction Submittals	CON	
CV6004	Longest Lead Procurement Item Ordered	CON	
CV6005	Lead Time for Longest Lead Procurement Item	CON	
CV6006	Prepare & Submit Shop Drawings	CON	
CV6007	Complete Construction Submittals	CON	
CV6011	Roughing Work Start	CON	
CV6012	Perform Roughing Work	CON	
CV6010	Contract Work (50%+) Complete	CON	
CV6013	Longest Lead Procurement Item Delivered	CON	
CV6020	Contract Work (75%) Complete	CON	

NOTE:  
Refer to section "IV Project Schedule" of the  
Scope of Work for contract phase durations.

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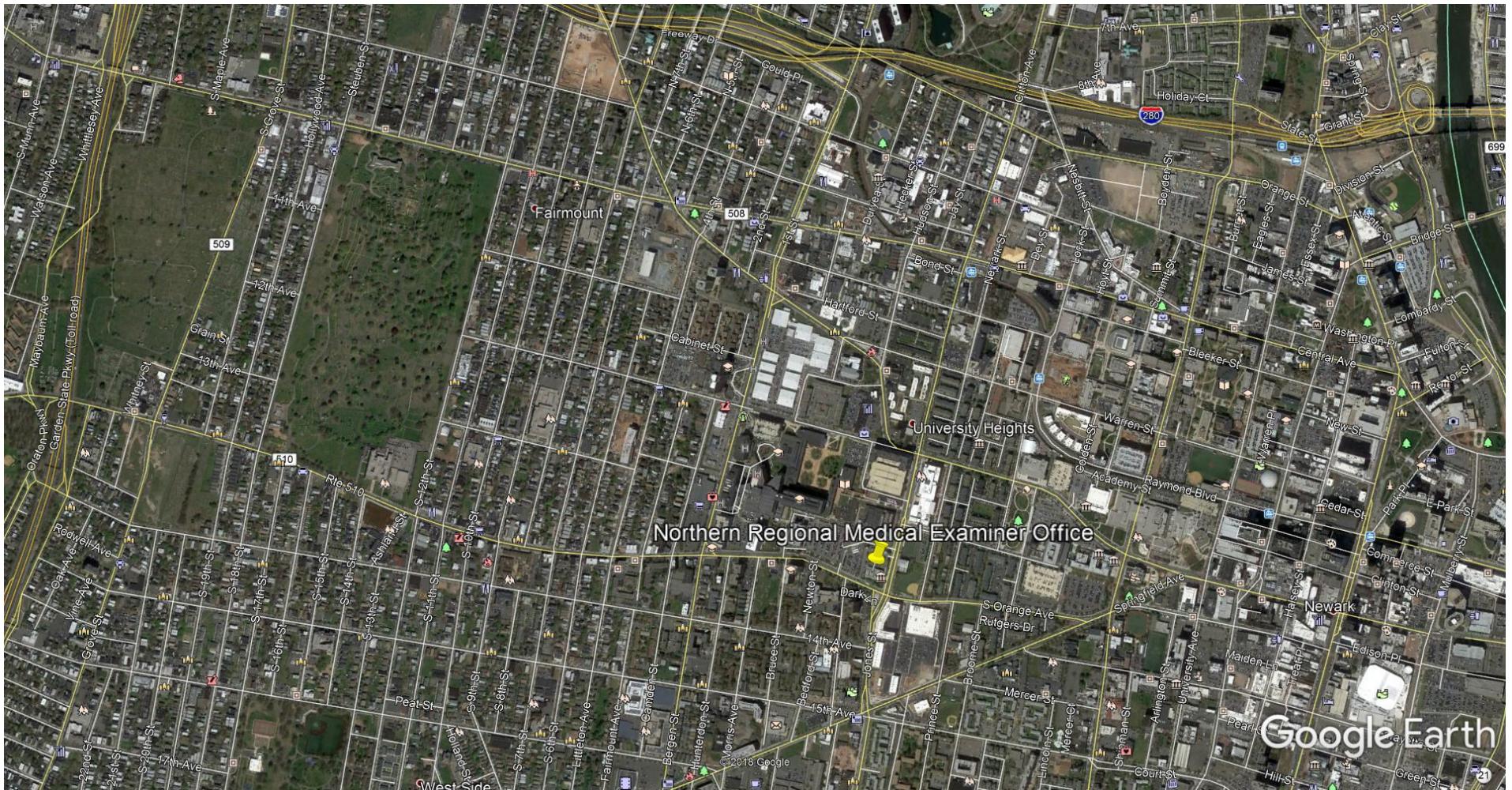
Sheet 2 of 3

Bureau of Design & Construction Services  
Routine Project

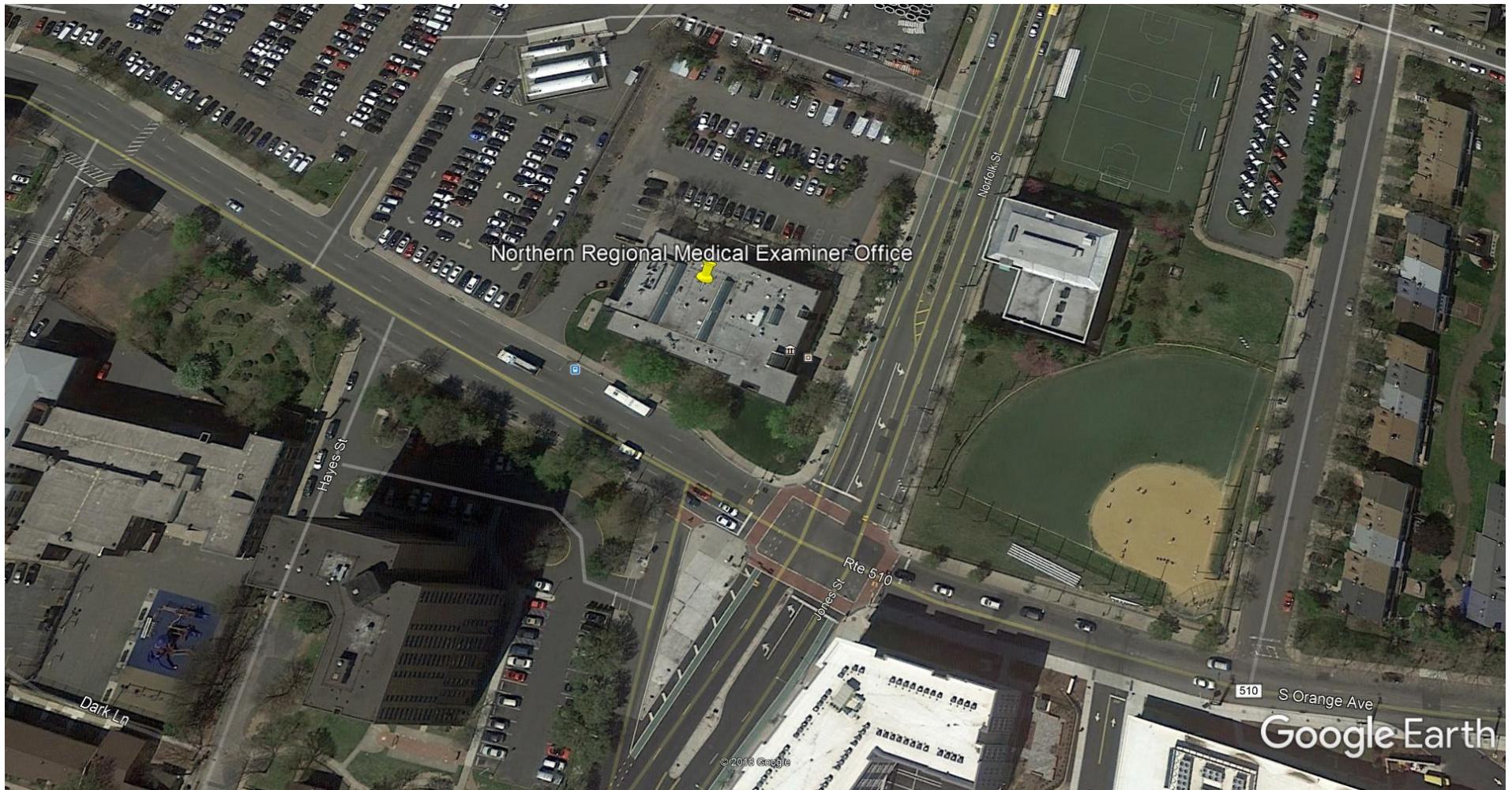
## Exhibit "A"

Activity ID	Description	Rspn	Weeks	
			Start	End
CV6014	Roughing Work Complete	CON		
CV6021	Interior Finishes Start	CON		
CV6022	Install Interior Finishes	CON		
CV6030	Contract Work to Substantial Completion	CON		
CV6031	Substantial Completion Declared	CM		
CV6075	Complete Deferred Punch List/Seasonal Activities	CON		
CV6079	Project Construction Complete	CM		
CV6080	Close Out Construction Contracts	CM		
CV6089	Construction Contracts Complete	CM		
CV6090	Close Out A/E Contract	CM		
CV6092	Project Completion Declared	CM		

NOTE: Refer to section "IV Project Schedule" of the Scope of Work for contract phase durations. © Primavera Systems, Inc.	DCA • TEST	Bureau of Design & Construction Services Routine Project	Exhibit 'A'
			Sheet 3 of 3



Location Map - Northern Regional Medical Examiner Office  
**EXHIBIT 'B'**



Site Map - Northern Regional Medical Examiner Office  
**EXHIBIT 'C'**

# Exterior Wall & Window Assessment

at

## Medical Examiner's Building

325 Norfolk Street, Newark, New Jersey, 07103



Prepared By:

### Roof Maintenance Systems

5118 Highway 33-34

P.O.Box 67

Farmingdale, New Jersey 07727

Greg Florio, RRC, GRP

For:

### State of New Jersey

Department of the Treasury

Division of Property Management & Construction

Office of Building Management & Operations

P.O. Box 038

Trenton, New Jersey 08625-0038

Attn: Ron Kraemer, Jr.

Report Issued: October 31, 2011

**EXHIBIT 'D'**

## I. Introduction

In accordance with our proposal and work order #17 of Contract #J0269-00 DA01, Roof Maintenance Systems (RMS) performed an investigation of the Exterior Wall and Windows Systems at the Medical Examiner's Building at 325 Norfolk Street, Newark, New Jersey, 07103. The purpose of this investigation was to evaluate the conditions and make recommendations for corrections to the exterior of the building in order to alleviate long-term water infiltration problems into the occupied spaces in the building. The investigation was performed by Greg Florio, RRC, GRP and James Hartman of RMS. RMS contracted with Sky High Waterproofing Company, Inc. of Clifton, NJ to assist in the investigation by performing destructive testing, consisting of removing brick to exposure the wall cavity and related flashings, and to restore the test locations following the investigation.

As part of our investigation we reviewed of a scanned copy of the original construction documents prepared by Mahony & Zvosec / Russo & Sonder, dated 2/12/80.

## II. Background

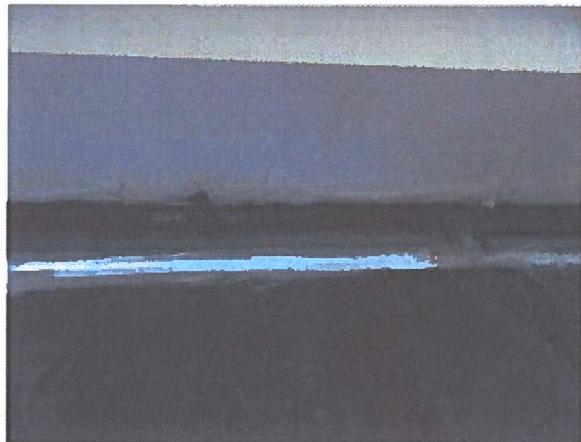
The Medical Examiner's Building in Newark is a 2-story structure constructed circa 1980-81. The building is constructed with a structural steel framing system, with a poured-in-place concrete slab on grade at the first floor; the second floor is poured-in-place concrete on a steel form deck; the roof construction is 1-1/2" steel decking. The building is clad with a brick and concrete masonry block cavity wall system. The fenestration consists of fixed and operable aluminum windows, aluminum window wall and entry systems, and several aluminum framed skylights.



The exterior walls are generally constructed with a face brick, varying in size & shape, anchored to concrete block across a 2-1/2" to 3" cavity with 1" nominal insulation on the exterior face of the concrete block. The interior face of the exterior wall in most areas is constructed with a painted gypsum sheathing secured to light-gauge metal framing studs inboard of the concrete block. Several areas were noted with exposed, painted concrete block walls, e.g. stairways, elevators, etc.

The roofing assembly and roof flashings are reported to have been recently repaired as part of an ongoing mechanical renovation project. A recent visual observation confirmed this information.

Numerous locations were observed with obvious evidence of long-term moisture infiltration damage visible on the interior of the building. The vast majority of this damage was noted to be on the exterior walls, located adjacent to and above the exterior windows. The sheetrock and related finishes at these areas have been significantly damaged as a result of the moisture infiltration.

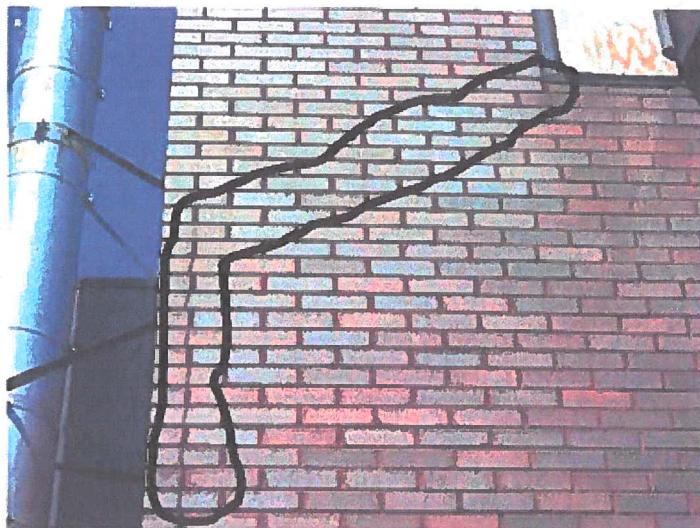


Photos of typical interior finishes with moisture infiltration damage.

### **III. Investigation & Observations**

#### **A. Masonry Walls:**

As part of our investigation we performed a general visual evaluation of the surface of the exterior walls of the building. We noted several types of visual deficiencies in the brick veneer assembly, including:



1. Settlement &/or movement cracking,



2. Cracked, spalled and broken bricks,



3. Split and missing mortar joints,



4. Failed, improperly installed, or missing sealant joints at penetration through the walls,



5. Failed & / or improperly installed sealants at control joints and building joints.

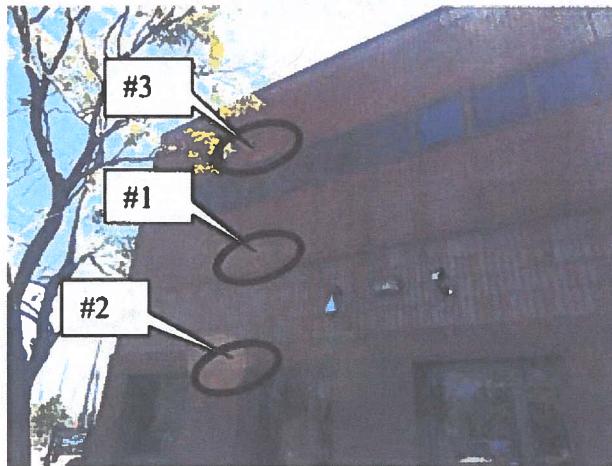


6. We also noted masonry weep holes in the brick veneer, but did not observe any evidence of the through-wall flashings extending through to the face of the brick. At several of the locations with weep holes, joint sealants were installed in a manner that appeared to prevent proper weeping of the cavity wall assembly.

- a. **Destructive Testing – Masonry Walls.**

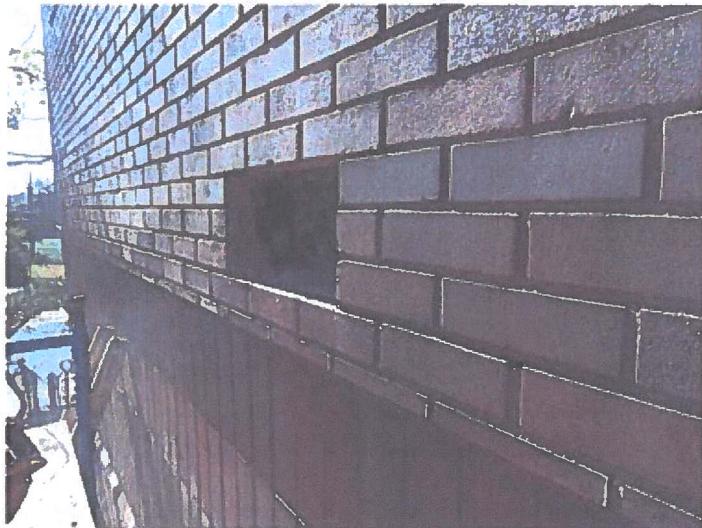
As a result of our preliminary observations and suspicions regarding the configuration of the through-wall flashings, we opened up (and restored) the brick veneer on the exposed face of the exterior wall at three (3) conditions. The locations were selected in order to evaluate the suspected through-wall flashing conditions which related to the interior damage observed; we believe them to be typical and representative of the several suspected conditions noted. The locations tested were all located on the north elevation of the building, facing the parking lot. The size of the brick opening at each of

the test locations was approximately 8" (3 courses) high by 16" (2 bricks) long. We have summarized the conditions at each test location as follows:



Destructive testing locations, after restoration.

Location #1: Investigatory opening located above the steel brick relief support and line of weeps above the recessed brick panel on the eastern end of the North Elevation. This location is approximately even with the line of the Second Floor slab.



- The bricks which were removed had been laid upon the steel brick relief support member (the shape of this member was not confirmed, but is believed to be the horizontal flange of a steel angle or steel beam).



- Weep openings, consisting of open brick head joints at 48" nominal spacing, were observed in the lowest brick course removed, directly above the steel relief member.



- The brick course below the steel relief member visually appeared to be a standard shaped (nominal 8" x 2-1/4" x 4") brick, however upon investigation it was observed to be notched to provide an "L" shaped brick in order to conceal the front of the steel relief member. This brick was shaped with a 3/4" wide vertical lip in the brick that concealed the steel. Behind this lip was an open joint approximately  $\frac{1}{4}$ " wide between the brick and the front toe of the steel relief member.
- Behind the brick was a 2-1/2" to 3" wide cavity, or air space.
- The bottom of the cavity was filled with 2" +/- of gravel intended to assist with the drainage of moisture within the cavity below any mortar droppings.
- A significant amount of mortar droppings (1-1/2" +/-) had accumulated above the gravel layer.



- A rigid insulation layer (approx 1" thick) was applied to the back side of the cavity; the insulation was noted to be in brittle condition.
- We observed a wire-tie masonry anchor between the concrete block and the brick in the third course above the steel relief member.



- Plastic through-wall flashings (Nervestral type) were installed at this location; these flashings are brittle and cracked.
- The plastic flashings were laid dry onto the horizontal leg of the steel relief member and turned up approx 8" onto the vertical face of the concrete block.
- The top of the plastic flashing ply was returned into the mortar joint above the concrete block.
- The concrete block was noted to be parged with a cement stucco coating, starting at the mortar joint at the top of the plastic flashings.

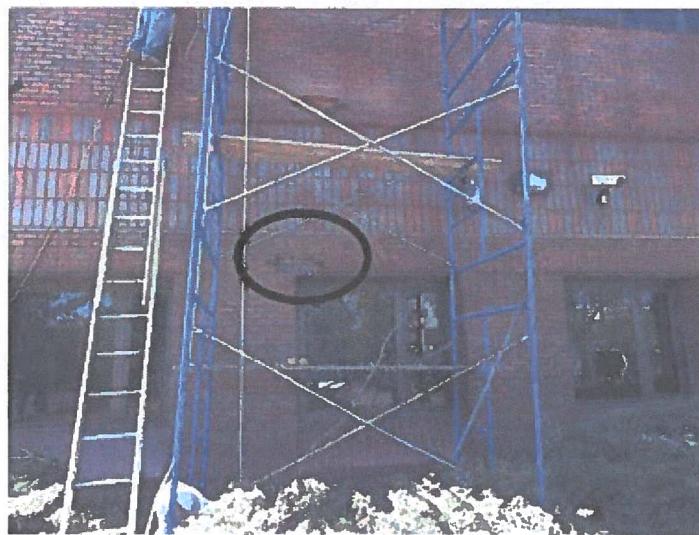


- The lower front edge of the plastic flashing (which was laid dry on the steel relief member) was held back approximately  $\frac{1}{2}$ " short of the outer edge of the steel relief member, forcing all of the moisture collected in the cavity wall at this condition to be either wicked back under the plastic flashing onto the top surface of the steel member, or to be drained into the gap between the notched brick lip and the front of the steel relief member; all moisture is thus forced back into the wall below.
- No end laps or flashing terminations of the plastic flashings were exposed for our observation.
- No through-wall flashing materials extended to, or beyond, the face of the brick, as was indicated in the construction documents, and in accordance with good practice.



- The top surface of the steel relief member was exposed, revealing extensive surface corrosion of the steel and the resultant rust scale; the corrosion was not observed to have caused structural damage, although this must be investigated and corrected if observed, during any repairs to this condition.

Location #2: Investigatory opening located above the steel window header and at the line of weeps above the individual 'punched' First Floor window openings below the recessed brick panel on the eastern end of the North Elevation.



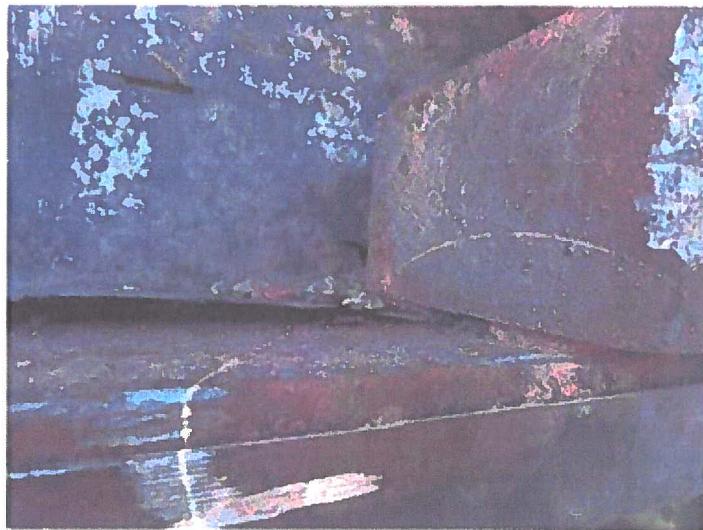
- The bricks which were removed had been laid upon the steel angle window header at the upper left corner of the window head.
- Weep openings, consisting of open brick head joints, were observed in the lowest brick course removed, directly above the steel angle header.



- The mortar joint at the location where the steel header is bearing on the masonry wall at the corners of the window opening, is cracked and displaced due to differential movement and moisture-driven corrosion of the steel header.
- The mortar joint at the brick-to-brick joint located all along the wall beyond the shelf angle was noted to be cracked for the entire length.



- The mortar joint at the brick-to-steel joint above this steel angle window header was noted to be open, with a  $1/16"$ + gap for the entire length. All of these mortar joint deficiencies allow rain water running down the face of the brick to be wicked back into the wall.
- A painted, formed steel soffit cover was installed below the steel angle window header, at the recessed window opening.
- The front edge of the steel header and soffit cover is recessed back approximately  $1/2"$  from the face of the brick.



- The front edge of this soffit cover appears to have been intended to conceal the front edge of the horizontal steel header flange, but was noted to be approx  $3/16"$  too short, leaving the steel header exposed and allowing rain to enter this joint and get into the window head detail.
- Behind the brick was a  $2-1/2"$  to  $3"$  wide cavity, or air space.
- The bottom of the cavity was filled with  $2"$  +/- of gravel intended to assist with the drainage of moisture within the cavity below any mortar droppings.

- A significant amount of mortar droppings (1-1/2" +/-) had accumulated above the gravel layer.
- A rigid insulation layer (approx 1" thick) was applied to the back side of the cavity; the insulation was noted to be in brittle condition.
- Plastic through-wall flashings (Nervestral type) were installed at this location; these flashings are brittle and cracked.



- The plastic flashings were laid dry onto the horizontal leg of the steel header angle and turned up approx 8" over the vertical leg of the steel angle.
- The top of the plastic flashing ply was returned into the mortar joint above the concrete block behind the steel header angle.
- The concrete block above the plastic flashing was noted to be parged with a cement stucco coating, starting at the mortar joint at the top of the plastic flashings. The block adjacent to the plastic flashing was not parged.
- The lower, front edge of the plastic flashing (which was laid dry on the steel angle) was held back approximately 1-3/4" short of the outer edge of the steel header angle, forcing all of the moisture collected in the cavity wall at this condition to be either wicked back under the plastic flashing onto the top surface of the steel angle, or to be drained into the gap between the steel header angle and the lip of the soffit cover panel; all moisture is thus forced back into the building.



- The plastic flashing material was observed to just stop at the ends of the steel header angle, approximately 8" beyond the window opening jambs, with no end dams or terminations at those locations, allowing any water collected by the flashing in the cavity to just spill off the ends of these flashings at both sides of the window openings and enter the building.
- No through-wall flashing materials extended to, or beyond, the face of the brick, as was indicated in the construction documents, nor did any extend beyond the header angle, or the window soffit cover, in accordance with good practice.



- The top surface of the steel relief member was exposed, revealing surface corrosion of the steel and the resultant rust scale; the corrosion was not observed to have caused structural damage, although this must be investigated and corrected if observed, during any repairs to this condition.

**Location #3:** Investigatory opening located above the window head and line of weeps above the long 'strip' window opening for the Second Floor on the eastern end of the North Elevation.



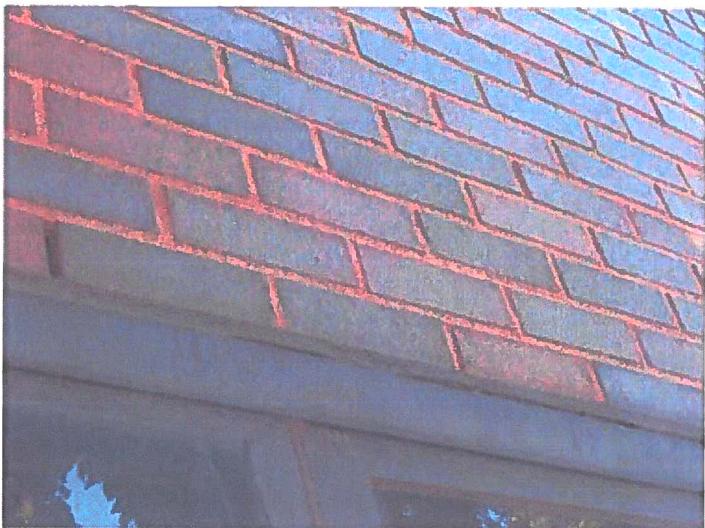
- The bricks which were removed had been laid upon the steel window header angle directly above the window head.



- Weep openings, consisting of open brick head joints, were observed at nominal 48" spacing in the lowest brick course removed, directly above the steel relief angle; note the sealant 'dam' forced up higher into the weep opening.



- The front edge of the horizontal leg of the steel angle is recessed approximately  $\frac{1}{4}$ " back from the face of the brick and the aluminum window head extrusion.
- The joint between the top of the window head extrusion piece and the brick has been sealed with polyurethane sealant (caulking).
- This joint is approximately  $\frac{5}{8}$ " to  $\frac{3}{4}$ " wide (vertical height) x  $\frac{1}{2}$ " deep;



- This sealant has failed throughout the length of this joint; it is cracked and brittle, and has delaminated from the brick and or aluminum window head at numerous locations.



- This sealant joint is applied to the underside of the brick supported on the steel header angle, which is approximately 1/8" higher than the top surface of the header angle; this prevents any water in the cavity from exiting through the weep openings until it builds up to a height greater than the top of the sealant.
- Behind the brick was a 2-1/2" to 3" wide cavity, or air space.
- The bottom of the cavity was filled with 2" +/- of gravel intended to assist with the drainage of moisture within the cavity below any mortar droppings.
- A significant amount of mortar droppings (1-1/2" +/-) had accumulated above the gravel layer.
- A rigid insulation layer (approx 1" thick) was applied to the back side of the cavity; the insulation was noted to be in brittle condition.
- Plastic through-wall flashings (Nervestral type) were installed at this location.
- The plastic flashings were laid dry onto the horizontal leg of the steel header angle and turned up approx 8"+ over the vertical leg of the steel angle.



- The top of the plastic flashing ply was returned into the mortar joint above the concrete block behind the steel header angle.

- The top of the plastic flashing was observed to be cut and not sealed to the substrate or the steel at vertical steel members supporting the header angle, allowing water to bypass the flashings into the building at these locations.



- The lower, front edge of the plastic flashing (which was laid dry on the steel angle) was held back approximately 1" short of the outer edge of the steel header angle and the back of the sealant joint, forcing all of the moisture collected by the flashings in the cavity wall at this condition to be wicked back under the plastic flashing onto the top surface of the steel angle; all moisture is thus forced back into the building.
- No end laps or flashing terminations of the plastic flashings were exposed for our observation.
- No through-wall flashing materials extended to, or beyond, the face of the brick, as was indicated in the construction documents, nor did any extend beyond the window head extrusion, in accordance with good practice.
- The top surface of the steel relief member was exposed, revealing extensive surface corrosion of the steel and the resultant rust scale; the corrosion was not observed to have caused structural damage, although this must be investigated and corrected if observed, during any repairs to this condition.

**B. Windows:**

Visual investigation of the condition of the windows through the exterior walls revealed the following deficiencies:



1. The sealant joint around the perimeter of the window frames (i.e. metal to masonry joint) was noted to be in generally poor condition; it is damaged & missing in places, and has delaminated from the brick and or aluminum window frame at numerous locations. (As noted above, several of these perimeter joint sealant locations are improperly installed and are actually forcing water back into the building, rather than allowing the through-wall flashing to direct the water out of the wall.)



2. The glazing gaskets surrounding the window panes and the window frames were noted to be in poor condition; with many areas observed where gaskets are shrunken, missing, and cracked & brittle.



3. The gaskets that seal the operable window frames to the sill, jamb, and window head perimeter extrusions were also noted to be in the same poor condition.



4. Several windows were noted that are damaged or mis-aligned and do not close properly.



5. Several windows were also noted where plywood coverings have been installed in an attempt to seal temporary mechanical penetrations through the window openings.

#### IV. Analysis:

- A. The through-wall flashing observed in all three of the locations that were destructively tested was improperly installed and damaged. All other through-wall flashing locations on the exterior of the building are visually the same configuration and are believed to be in the same general condition. The configuration of the improperly installed through-wall flashing details is ensuring that any water that infiltrates into the cavity wall construction of the exterior masonry is directed back into the building behind the flashings.
- B. This additional moisture entering the exterior wall system has caused significant damage to the interior surfaces and finishes of the walls and ceilings along the exterior walls of the building. This additional moisture is also contributing to damage to the brick veneer itself. Because of the additional moisture that is being introduced into the masonry wall from the improperly installed through-wall flashing details, the bricks are likely to be excessively wet and unable to dry out properly. During the winter, the repetitive freezing and thawing of the excess moisture in the masonry results in cracks and spalling of the brick.
- C. The poorly sealed penetrations and control joints, as well as the open settlement & movement cracking observed in the masonry exterior wall system are also contributing to the additional moisture that is entering the building envelope.
- D. The failed and improperly installed joint sealants at the perimeter joints between the window frames and the masonry walls are also allowing excessive moisture to enter the walls, which results in additional interior and exterior damage.
- E. The failed glazing gaskets and sealants within the window assemblies themselves are also sources of additional moisture infiltration into the building, while the windows that are not able to be properly closed are also allowing significant wind-driven rain into the interiors.

## V. Recommendations

- A. Remove several courses of brick above all through-wall flashing locations as required to replace the failed plastic through-wall flashing assembly with a new properly designed and installed through-wall flashing assembly detail that results in continuous flashing, installed with properly sealed end dams and terminations, and that provides for a drip lip that protrudes beyond the face of the brick veneer and that extends over and protects the steel header joint and window head details.
- B. Remove existing cracked and damaged brick and replace with new brick to match existing set in a new bed of mortar.
- C. Remove existing brick at movement cracks and rebuilding the damaged conditions with new masonry veneer anchors, adding new control joints as required to prevent re-occurrence of cracking.
- D. Rake out existing cracked and damaged mortar joints and install new tooled mortar joints.
- E. Remove existing failed joint sealants at building and control joints and at the penetrations through the masonry walls and install new watertight, pre-compressed joint sealant with bond breaker and secondary wet sealant.
- F. Remove all existing failed joint sealants at the window frame perimeter joint between the window frame and the masonry, and install new watertight, pre-compressed joint sealant with bond breaker and secondary wet sealant.
- G. (It is our understanding that the repairs to the glazing, gaskets and to the operation of the windows themselves are being addressed by others outside the scope of this evaluation and recommendations.)

## VI. Budget Cost Estimates for Recommended Repairs

### *Recommended Repairs in Scope:*

Replacement of through-wall flashings;  
Replacement of damaged brick;  
Repair and re-building of masonry movement cracks;  
Repointing of damaged mortar joints;  
Replace sealants at building joints and penetrations;  
Replace sealants at window perimeter joints;  
Includes misc. repairs & contingency.

**Budget Estimate for Recommended Repairs: \$390,000 +/-**

Respectfully submitted,  
Roof Maintenance System



Greg Florio, RRC, GRP  
Manager, Consulting Services