

State of New Jersey
 Department of Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: Navigant Consulting Inc.
 Engagement: EQ2014-002-P3-DCA Sandy Contracts
 For Quarter Ending: 9/30/2017

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of Funding	<p>The New Jersey Department of Community Affairs ("DCA"), Sandy Recovery Division ("SRD"), is the direct recipient of funding from the United States Department of Housing and Urban Development ("HUD"), Community Development Block Grant, Disaster Recovery Program ("CDBG-DR") to address the massive property damage inflicted by Superstorm Sandy. DCA retained several contractors, who fall under the purview of the New Jersey Integrity Oversight Monitor Act ("A-60"), to assist it in managing various programs designed to distribute CDBG-DR funds to eligible New Jersey residents and businesses. These Contractors include the Gilbane Building Company ("Gilbane"), CB&I Shaw ("CB&I"), and the URS Group, which were retained to manage the Rehabilitation, Reconstruction, Elevation and Mitigation Program ("RREM"); Gilbane, which was retained to manage the Landlord Rental Repair Program ("LRRP"); CGI Federal ("CGI"), which was retained to create and manage the Sandy Integrated Recovery Operations and Management System ("SIROMS"), an information technology solution to assist DCA in managing its SRD programs; Hammerman & Gainer ("HGI"), which was retained to manage the housing application process for the SRD's various housing programs; ICF, Inc., which was retained to provide subject matter expertise and staffing augmentation services to DCA; and Cohn Reznick, which was retained to serve as DCA's internal integrity monitor.</p>	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	HUD	
3.	State Funding (if applicable)	None	
4.	Award Type	HUD CDBG-DR Award	
5.	Award Amount	<p>Gilbane (RREM): \$75,624,764; Gilbane (LRRP): \$12,472,580 CB&I/Shaw: \$92,011,030 CGI: \$91,445,901 ICF: \$99,170,934</p>	

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No.	Recipient Data Elements	Response	Comments
6.	Contract/Program Person/Title	<p>RREM and LRRP: David Mazzuca, Assistant Director, Housing Recovery Programs, DCA; Terrie Quintero, Senior Contracting Manager, DCA</p> <p>SIROMS and Sandy Grant Manager Module ("SGM"): Joyce Paul, SIROMS Manager.</p> <p>ICF: Elizabeth Mackay, Assistant Director, Procurement & Legal</p>	
7.	Brief Description, Purpose and Rationale of Project/Program	<p>RREM provides CDBG-DR awards of up to \$150,000 to eligible homeowners to restore homes damaged by Superstorm Sandy.</p> <p>LRRP provides CDBG-DR awards of up to \$50,000 per unit to owners of rental properties with between 1 and 25 units that require rehabilitation as a result of Superstorm Sandy.</p> <p>SIROMS, developed and administered by CGI, manages all of DCA's Superstorm Sandy funding requests from State agencies, local governments and school districts, as well as all HUD reporting obligations.</p> <p>SGM, a grant tracking system, was developed by CGI. It has replaced the eGrant system developed by HGI.</p> <p>ICF provides strategic advice, program implementation, subject matter expertise and staff augmentation services to DCA.</p> <p>Superstorm Sandy Housing Incentive Program ("SSHIP") covered the completion and processing of housing program applications, and the determination of eligibility and disbursement of funds under the Resettlement Incentive Program, and the RREM and LRRP Programs. HGI was the original contractor selected to manage SSHIP, but prior to the beginning of Navigant's monitorship, DCA and HGI, by mutual agreement, terminated HGI's role as the SSHIP contractor. In May 2015, DCA and HGI entered into a mutually agreed settlement to resolve HGI's performance issues and the amounts billed by HGI and unpaid by DCA. DCA assumed responsibility over HGI's duties at the Superstorm Sandy Housing Recovery Centers, and CGI assumed responsibility for migrating applicant data from HGI's eGrants system to CGI's SGM system. CGI has completed the migration of data from eGrants to SGM, and is managing the data for the RREM and LRRP programs going forward.</p>	
8.	Contract/Program Location	Trenton, New Jersey	

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9.	Amount Expended to Date	Amounts are based on current invoice totals as of September 30, 2017: Gilbane (RREM): \$67,959,625; Gilbane (LRRP): \$10,592,311 CB&I/Shaw: \$78,269,759 CGI Federal: \$73,271,165 ICF: \$76,776,512	
10.	Amount Provided to other State or Local Entities	N/A	
11.	Completion Status of Contract or Program	Completion Status based on contract values and invoiced amounts as of September 30, 2017: RREM (Gilbane/CB&I Shaw): approximately 90% LRRP (Gilbane): approximately 85% SIROMS/SGM (CGI): approximately 80% ICF: approximately 77%	
12.	Expected Contract End Date/Time Period	RREM (Gilbane & CB&I Shaw): May 22, 2018 LRRP (Gilbane): June 30, 2018 CGI: May 24, 2018 ICF: May 24, 2018	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	<p>As Navigant previously reported in our quarterly reports for the periods ending March 31, 2017 and June 30, 2017, Navigant completed our reviews of a sampling of homeowner draw requests and hazmat subcontractor billings. In July 2017, based on findings to date, Navigant submitted an updated workplan and a budget for an additional year as the RREM and LLRP programs were projected to complete in or around May 2018. The proposed workplan involves the continuation of Navigant's review of Contractor payments, and homeowner draw requests through Contractor submittal of the final draw requests for each applicant. These workstreams will continue our focus on any issues indicating fraud, waste, abuse, or risk of de-obligation of funds to the State of New Jersey.</p> <p>Pursuant to our discussions with NJ Treasury in July 2017, it was agreed that Navigant would temporarily suspend work until NJ Treasury issued a new purchase order. The new purchase order was not issued as of September 30, 2017 and therefore no monitoring activities occurred in this quarter.</p>	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Not Applicable this quarter. See Response No. 14.	

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No.	Recipient Data Elements	Response	Comments
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Navigant will commence with the new workplan once it is approved by NJ Treasury. The proposed workplan involves the continuation of Navigant's review of Contractor payments and homeowner draw requests through Contractor submittal of the final draw requests for each applicant, focusing on any issues indicating fraud, waste, abuse, or risk of de-obligation of funds to the State of New Jersey.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Not Applicable this quarter. See Response No. 14.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Not Applicable this quarter. See Response No. 14.	

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No.	Recipient Data Elements	Response	Comments
19.	Provide details of any integrity issues/findings	Not Applicable this quarter. See Response No. 14.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Not Applicable this quarter. See Response No. 14.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not Applicable this quarter. See Response No. 14.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not Applicable this quarter. See Response No. 14.	

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No.	Recipient Data Elements	Response	Comments
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the Quarter Ending September 30, 2017: Total hours incurred: 26.35 Total fees incurred: \$7,246 Total expenses incurred: \$0	
24	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	N/A	

Name of Integrity Monitor: Navigant Consulting Inc. Name of Report Preparer: Daniel F. Gill

Signature:
Date: December 21, 2017

**Appendix A
Meetings Conducted
For Quarter Ending 9/30/17**

I. New Jersey Treasury

- | | | |
|---|--------------------|--|
| A | Roseann Koval | Deputy Chief of Staff - Division of
Purchase & Property |
| B | George Higham | Auditor |
| C | Christopher Trappe | Program Liaison, Integrity Monitoring
Program |