

Firm Name: RSM US LLP  
 Engagement: Long Slip Fill and Rail Enhancement - 14-033C  
 For Quarter Ending: 09/30/2023

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

| No.                             | Recipient Data Elements   | Response   | Comments  |
|---------------------------------|---|--|---|
| <b>A. General Info</b>          |   |  |   |
| 1.                              | Recipient of funding  | New Jersey Transit Corporation   |   |
| 2.                              | Federal Funding Agency? (e.g. HUD, FEMA)  | Federal Transit Administration   |   |
| 3.                              | State Funding (if applicable)   | \$48,849,478.00  | The state funding, as of September 2023 is now \$71.763M. That includes Operating funds of \$8.162M that will be removed. |
| 4.                              | Award Type  | Resilience Program Competitive Resilience Grant  |   |
| 5.                              | Award Amount  | \$146,548,432.00   |   |
| 6.                              | Contract/Program Person/Title   | Ronald Hovey, Chief Procurement Officer (Acting)   |   |
| 7.                              | Brief Description, Purpose and Rationale of Project/Program                               | The Long Slip Fill and Rail Enhancement project is a resilience project in response to Superstorm Sandy. This project involves the design, permitting and construction for the filling of Long Slip Canal to an elevation above the Federal Emergency Management Agency (FEMA) base flood elevation (BFE) as required, and the design, permitting and construction of six new electrified tracks serving ADA-accessible high-level boarding platforms and a passenger/rail personnel structure on the filled area. |   |
| 8.                              | Contract/Program Location   | Adjacent to Hoboken Terminal and Yard  |   |
| 9.                              | Amount Expended to Date   | \$87,434,702.35  |   |
| 10.                             | Amount Provided to other State or Local Entities  | None.  |   |
| 11.                             | Completion Status of Contract or Program  | In Progress  |   |
| 12.                             | Expected Contract End Date/Time Period  | Phase 1 projected completion date: May 2023  |   |
| <b>B. Monitoring Activities</b> |   |  |   |
| 13.                             | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable.  |   |

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| 14. | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | Preparation and submission of bi-weekly status updates and monthly Form E.<br><br>RSM obtained and reviewed project documentation in conjunction with our fraud risk assessment and execution of our approved workplan. RSM's submitted fraud risk assessment was approved and the subsequent full work authorization was approved on June 21, 2021.<br><br>RSM did not perform any site visits during this period.   |          |
| 15. | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.                 | RSM requested additional documents to facilitate testing related to our approved workplan. This information included but was not limited to:<br><br><ul style="list-style-type: none"> <li>- Construction Assistance</li> <li>- Change Orders and Notice of Proposed Changes</li> <li>- Disadvantaged Business Enterprises</li> <li>- Contractor and subcontractor Form E and E2's</li> <li>- Pay Applications and Disbursements</li> <li>- Fieldwork Construction</li> <li>- Claims Management</li> <li>- Grant Management</li> <li>- Subcontractors</li> <li>- Construction Progress Meeting Minutes</li> </ul> |          |
| 16. | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.                                   | We conducted testing in accordance with our workplan related to disbursements, procurement, DBE Form E and E2's, grant management, fieldwork construction meetings and change orders.   |          |
| 17. | Have payment requisitions in connection with the contract/program been reviewed? Please describe  | We are in process of performing detailed inspection of 41 out of 41 construction (18-035X), 55 out of 55 (13-001C) and 33 out of 33 (16-006) design, and 33 out of 33 project management (18-003) in line with our approved worksteps.  |          |
| 18. | Description of quarterly activity to prevent and detect waste, fraud and abuse.   | Our meetings with key stakeholders, attendance at bi-weekly project status meetings, requests and review of source documents, and site inspection all contribute to preventing and detecting waste, fraud and abuse.  |          |
| 19. | Provide details of any integrity issues/findings  | None.   |          |
| 20. | Provide details of any work quality or safety/environmental/historical preservation issue(s).   | None.   |          |

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|-------------------------|---|---|----------|
| 21.                     | Provide details on any other items of note that have occurred in the past quarter                           | None.   |          |
| 22.                     | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters             | Not applicable.   |          |
| <b>C. Miscellaneous</b> |   |   |          |
| 23.                     | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review          | For the period July 1, 2023 - September 30, 2023, the following individuals have incurred a total of 151.8 hours.<br><br>Name:<br>Shawn Dahl<br>David Luker<br>Matthew Blondell<br>Stephanie Tantillo<br>Rita Chevalier<br>Sean McCarter<br>Devann Marchand<br>Taylor Masten<br>Michael Mudalel (MBE subcontractor) |          |
| 24.                     | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program. | None.   |          |

|                            |   |
|----------------------------|---|
| Name of Integrity Monitor: | RSM US LLP  |
| Name of Report Preparer:   | David Luker   |
| Signature:                 |  |
| Date:                      | 30-Sep-23   |