

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: RSM US LLP
 Engagement: Hoboken Projects - 14-033C
 For Quarter Ending: 12/31/2023

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

| No. | Recipient Data Elements | Response | Comments |
|------------------------|---|---|--|
| A. General Info | | | |
| 1. | Recipient of funding | New Jersey Transit Corporation | |
| 2. | Federal Funding Agency? (e.g. HUD, FEMA) | Federal Transit Administration | |
| 3. | State Funding (if applicable) | \$2,554,485.20 Transportation Trust Fund \$3,904,061.37 Port Authority of New York & New Jersey | |
| 4. | Award Type | Federal Transit Administration- Public Transportation Emergency Relief Program | |
| 5. | Award Amount | \$111,725,331 | |
| 6. | Contract/Program Person/Title | Ronald Hovey, Chief Procurement Officer (Acting) | |
| 7. | Brief Description, Purpose and Rationale of Project/Program | Provide Integrity Oversight Monitoring services for the following projects: NJT00850: This project is for the design and construction of repairs and modifications to selected equipment, systems, and facilities in the Hoboken Terminal that were damaged during Superstorm Sandy. NJT00849/NJT00851: This project is for the design and construction of repairs, restoration, and resiliency to the Hoboken Yard Signal Power Distribution System and Yard/Wayside Power Distribution Systems. | |
| 8. | Contract/Program Location | Hoboken Terminal One Hudson Place Hoboken, NJ | |
| 9. | Amount Expended to Date | \$57,760,904.24 | Hoboken Yard - Wayside Power Repair \$27,261,648.32 Hoboken Yard - Boiler for Building Repair \$17,920,451.38 Hoboken Yard - Signal Power Repair \$12,578,804.54 |
| 10. | Amount Provided to other State or Local Entities | None | |
| 11. | Completion Status of Contract or Program | In Progress | |
| 12. | Expected Contract End Date/Time Period | May 2024 | |

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| B. Monitoring Activities | | | |
| 13. | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable. | |
| 14. | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | <p>Preparation and submission of bi-weekly status updates and monthly Form E.</p> <p>RSM obtained and reviewed project documentation in accordance with the execution of our approved workplans (Signal Power Repair and Boiler and Terminal Repair approved September 27, 2019, and Wayside Power Repair approved December 14, 2021).</p> <p>RSM performed one site visit during this period. The visit occurred on October 18, 2023.</p> | |
| 15. | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program. | <p>RSM continued reviewing documents and conducting testing in accordance with the execution of our approved workplan.</p> <p>This information included but was not limited to:</p> <ul style="list-style-type: none"> - Notice of Proposed Change / Change Order Review - Disadvantaged Business Enterprises - Fieldwork Construction - Grant Management - Subcontractors - Construction Progress Meeting Minutes | |
| 16. | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements. | We conducted testing in accordance with our workplan related to disbursements, procurement, grant management, fieldwork construction, claims management, and change orders. | |
| 17. | Have payment requisitions in connection with the contract/program been reviewed? Please describe | <p>For the Boiler and Terminal Repair project, we have performed detailed inspection of 25 of 25 construction (17-022X) and 45 of 45 engineering (13-006C) contractors project pay applications/invoices in line with our approved worksteps. This includes testing for compliance with Buy America and prevailing wage.</p> <p>For the Signal Power Repair and Wayside Power Repair project, we have performed detailed inspection of 9 of 9 project management (19-048) and 9 of 9 construction (21-004X) contractors project pay applications/invoices in line with our approved worksteps. This includes testing for compliance with Buy America</p> | |
| 18. | Description of quarterly activity to prevent and detect waste, fraud and abuse. | Our meetings with key stakeholders (including NJT Capital Programs and Procurement), site visit, attendance at bi-weekly project status meetings, requests and review of source documents all contribute to preventing and detecting waste, fraud and abuse. | |

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| 19. | Provide details of any integrity issues/findings | Refer to the response provided in question 21 related to compliance with the Buy America Act. | |
| 20. | Provide details of any work quality or safety/environmental/historical preservation issue(s). | None. | |
| 21. | Provide details on any other items of note that have occurred in the past quarter | On June 30, 2023, RSM US LLP notified New Jersey Transit Internal Audit officials of a potential issue related to compliance with the Buy America Act. Further discussion occurred on October 19, 2023 where NJT and Capital Programs officials affirmed that a signed certification from the contractor was the requirement to comply with Buy America for non-rolling-stock procurements. IOM verified that a signed certificate was obtained. Although IOM notes an improvement opportunity to strengthen controls related to monitoring of contractors post-certification, this has not resulted in an integrity issue/finding. | |
| 22. | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters | Not applicable. | |
| C. Miscellaneous | | | |
| 23. | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review | For the period October 1, 2023 - December 31, 2023, the following individuals have expended a total of 42.1 hours. Name: David Luker Stephanie Tantillo Rita Chevalier Taylor Masten Marcelo Fuentes (MBE Subcontractor) Michael Mudalel (MBE Subcontractor) | |
| 24. | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program. | None. | |

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| Name of Integrity Monitor: | RSM US LLP |
| Name of Report Preparer: | David Luker |
| Signature: |  |

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| | Date: |  31-Dec-23 | |