

State of New Jersey  
 Department of the Treasury  
 Integrity Oversight Monitoring Reporting Model

**Thacher Associates LLC**  
 Firm Name: Contract No. 14-033-D  
Work Authorization NO. 3  
**Integrity Oversight Monitoring Services for HNTB**  
**Program and Project Management Support**  
 Engagement: New Jersey Transit P.O. No. B-51391-003  
 For Quarter Ending: 12/31/2023

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$40,500,000.00	Represents total funds authorized under HNTB Contract 13-007B.
6.	Contract/Program Person/Title	Ronald Hovey - Chief Procurement Officer (Acting)	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing program management support, project management support and related activities over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Management of Programs and Projects in various locations	
9.	Amount Expended to Date	\$37,656,433.69	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Completed	
12.	Expected Contract End Date/Time Period	March 31, 2018	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher Associates ("TA") attended three additional meetings with NJT Capital Programs ("CP") to discuss IOM report findings; reviewed additional information and schedules provided by CP against HNTB invoices, Task Order Assignments, and other documents; and provided to NJT five updated versions of the IOM Final Report and exhibits of findings for the attention of NJT, resulting in CP's ultimate acceptance of the Final IOM Report. Earlier in the quarter, TA prepared and rescinded a request to CP for a schedule of changes requested to the draft IOM Report and evidence to support requested changes following TA's self-performance of the schedule during meetings with CP. TA conducted a meeting with NJT Procurement to discuss the final report draft and project closeout. TA provided updated testing workpapers to NJT Internal Audit ("IA") for review and subsequently met with IA to discuss NJT's requirements for mapping of workpapers to risk assessment and work plan.	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	TA prepared and rescinded a request to CP for a schedule of changes requested to the draft IOM Report and evidence to support requested changes following TA's self-performance of the schedule during meetings with CP. NJT has not provided requested management comments for inclusion in publication of the Final IOM Report.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	TA reviewed spreadsheets and other information provided by CP against previously-reviewed HNTB invoices, Task Order Assignments, and other project documents relative to personnel approvals, rate approvals, and other topics of draft report findings.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	TA reviewed previously-reviewed HNTB invoices.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Not applicable.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Michael Bernstein Signature:  Date: March 29, 2024
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Attachment for Question #23

<b>Timekeeper</b>	<b>Hours</b>
Chris Ward	-
Edison Montalvo	-
Deborah Thangam	-
Karmen Naidoo	-
Kevin Mullins	-
Kyle Paul	-
Linda Negron	-
Martin Aronchick	-
Michael Bernstein	-
Michael Carroll	-
Margaret Rose	-
Peter Graham	-
Robert Thompson	-
Scott Millman	-
Sherry Chang	-
Thomas Klein	-
Ruzow & Associates, Inc.	-
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