

State of New Jersey
 Department of the Treasury
 Integrity Oversight Monitoring Reporting Model

Firm Name: RSM US LLP
 Engagement: MMC ROC Project - 14-033C
 For Quarter Ending: 09/30/2024

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/ contracts/ programs funded by the Disaster Relief Appropriations Act.

| No. | Recipient Data Elements | Response | Comments |
|------------------------|---|---|--|
| A. General Info | | | |
| 1 | Recipient of funding | New Jersey Transit Corporation | |
| 2 | Federal Funding Agency? (e.g. HUD, FEMA) | Federal Transit Administration | |
| 3 | State Funding (if applicable) | Transportation Trust Fund \$8,821,158.91 | The \$8,821,158.91 is no longer accurate. Over the course of the project NJT has been successful in applying for and receiving other federal funding to replace the TTF. As of June 30th, 2022 the total TTF is \$4,807,199.95 |
| 4 | Award Type | Federal Transit Administration Public Transportation Emergency Relief Program | Emergency Relief Program: \$22, 915,000. FTA Section 5337 funding: \$6,855,944. FTA Section 5307 funding: \$1,088,056. |
| 5 | Award Amount | \$22,915,000.00 | The federal funding is now \$30,859,000.00 |
| 6 | Contract/Program Person/Title | Ronald Hovey, Chief Procurement Officer (Acting) | |
| 7 | Brief Description, Purpose and Rationale of Project/Program | This project is for design and installation of systems and devices to prevent or reduce water from entering the Meadows Maintenance Complex (MMC) buildings and the Rail Operations Center (ROC). These resilience improvements will protect ROC and MMC facilities during storms so that they can continue to operate normally, or to allow the rapid resumption of services once a storm is over. The project includes design, project administration, construction, and the purchase of necessary equipment and materials. | |
| 8 | Contract/Program Location | NJ TRANSIT Meadows Maintenance Complex (MMC), Kearny, New Jersey | |
| 9 | Amount Expended to Date | \$35,466,678.47 | |
| 10 | Amount Provided to other State or Local Entities | None | |
| 11 | Completion Status of Contract or Program | In Progress | In Closeout. Awaiting DCA inspections |
| 12 | Expected Contract End Date/Time Period | December 2022 | |

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| B. Monitoring Activities | | | |
| 13 | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable. | |
| 14 | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | Preparation and submission of status reports as requested by NJ Transit and monthly DBE reporting. | |
| 15 | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program. | We communicated with key stakeholders (Internal Audit, Procurement) regarding the final report submitted the prior period. | |
| 16 | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements. | Not applicable. Procurement testing and reviews are complete. | |
| 17 | Have payment requisitions in connection with the contract/program been reviewed? Please describe | Not applicable. Disbursement testing and reviews are complete. | |
| 18 | Description of quarterly activity to prevent and detect waste, fraud and abuse. | We communicated with key stakeholders (Internal Audit, Procurement) regarding the final report submitted the prior period. | |
| 19 | Provide details of any integrity issues/findings | None. | |
| 20 | Provide details of any work quality or safety/environmental/historical preservation issue(s). | None. | |
| 21 | Provide details on any other items of note that have occurred in the past quarter | None. | |
| 22 | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters | Not applicable. | |
| C. Miscellaneous | | | |
| 23 | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review | For the period July 1, 2024 through September 30, 2024, there were no hours incurred. | |
| 24 | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program. | None. | |

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| Name of Integrity Monitor: | RSM US LLP |
| Name of Report Preparer: | David Luker |
| Signature: |  |
| Date: | 12/13/2024 |