

State of New Jersey
 Department of Treasury
 Integrity Monitoring Reporting Model
 For Quarter Ending: 12/31/2014

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

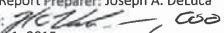
No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	Perth Amboy (Middlesex)	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Emergency Management Agency	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Public Assistance - Category G	
5.	Award Amount	\$5,183,472.44 (estimated)	90% of total original Project Cost of \$5,759,413.83 per FEMA Project Worksheet (PW) 5820012 (dated 4/5/2013)
6.	Contract/Program Person/Title	Marina, Promenade Walk and Bulkheads	
7.	Brief Description, Purpose and Rationale of Project/Program	The Perth Amboy Marina project involves permanent repairs to walkways, bulkheads, marina, and fishing piers and other appurtenant structures at the Perth Amboy Marina and Park. Storm related damage was identified at seven (7) distinct locations at the Marina.	
8.	Contract/Program Location	Perth Amboy Marina	
9.	Amount Expended to Date	\$5,854,559.95	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	99%	Inclusive of original scope work and change order work completed.
12.	Expected Contract End Date/Time Period	April 15, 2015	Project is substantially complete as of October 24, 2014; however, work had to be stopped for the winter. Remaining tasks are "punch list" items to be completed when the weather cooperates.
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	The PW was submitted on April 5, 2013. There have been no amendments to date.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher Associates' quarterly activities focused on continuing our comprehensive monitoring program described in previous quarterly reports. At the conclusion of the quarter, the Project was considered substantially complete on October 24, 2014, and as such our work for this period was similarly scaled back to reflect the conditions on site. Thacher Associates conducted three (3) site visits in October, two (2) site visits in November, and one (1) site visit in December, led by either our Forensic Engineer, Investigator or both. At these visits Thacher Associates performed any combination of the following tasks: observe work in progress; perform worker headcounts; meet with contractor personnel to discuss status of project and process for assessing change order; photograph and document work performed to date and work currently being performed; and request and review relevant documentation (e.g., change order logs, sign-in sheets, request for information). These site visits occurred on October 17, 24, and 31, November 5, and 17, and December 12.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Through the course of this engagement, Thacher Associates has requested numerous documents, including: requisitions, certified payroll reports, change order documentation, union benefit remittance reports, union identifications cards for workers, policies and procedures, state audit/A-133 reports, general contractor and engineering firm contracts, key personnel listings/contact lists, FEMA project work sheet, listing of all subcontractors/sub-consultants/vendors/suppliers/other service providers, insurance certificates, budget estimates, construction schedule, procurement files, permits/licenses, any material submittals, change order documentation, cancelled checks supporting payments to workers as reported on certified payroll reports, and cancelled checks supporting union benefit remittance reports paying benefits on behalf of workers. During this quarter, Thacher Associates specifically requested Pay Estimates 6 & 7, job progress and material records, and Change Order 8 documentation.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher Associates' audit activities for this quarter involved the review of requisitions, change order documentation, and prevailing wage documentation, including certified payroll reports and union benefit reports. Our reviews did not uncover any integrity-related issues.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	As described above, we reviewed Pay Estimates 6 & 7, Change Order 8 and associated certified payroll reports. In doing so, no issues were noted.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher Associates conducted regular site visits to the project site for the purposes of determining compliance with contractual and legal requirements and monitor for known integrity risks identified through our comprehensive risk assessment, including but not limited to prevailing wage, design specifications, and change order procedures. Thacher Associates also performed a variety of audit activities outlined in more detail in box 16 above.	

Thacher Associates, LLC - Perth Amboy Marina, Promenade and Bulkheads

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19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period of October 1, 2014, through December 31, 2014, Thacher Associates expended 69.5 hours at a cost of \$12,641.88. We had no expenses. A brief summary of the services provided include conducting approximately six (6) site visits. Thacher Associates also filed monthly reports to the Department of Treasury for the months of September, October, and November and a quarterly report for the period of July through September 2014.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC
 Name of Report Preparer: Joseph A. DeLuca
 Signature: 
 Date: April 1, 2015