

Firm Name: Thacher Associates LLC

Engagement: NJ Transit - Gladstone Line Poles & Foundations Replacement Project

For Quarter Ending: 03/31/2016

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	Emergency Relief Program	
5.	Award Amount	\$13,308,080.00	Represents the contract value of the single contract in Thacher Associates current scope of work.
6.	Contract/Program Person/Title	James Schworn, Chief Procurement Officer	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of installing new concrete foundations and steel poles on the Gladstone Branch of New Jersey Transit's Morris & Essex Line.	
8.	Contract/Program Location	Gladstone Branch (New Providence to Gladstone Rail Yard)	
9.	Amount Expended to Date	\$11,245,404.38 through March 31, 2016; approved by New Jersey Transit and paid to Case Foundation Company (CFC).	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	January 2017 (Est.)	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Due to construction work ceasing during the winter months, Thacher did not perform site visits during this quarter and primarily performed procedures based on the documentation received in conjunction with document requests to New Jersey Transit.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher received electronic copies of payment requisitions and certified payroll reports from NJT. Thacher also met with Crescent Consulting (its DBE subconsultant) to coordinate compliance reviews and other tasks to be performed by Crescent in connection with the project. In addition, Thacher also performed QA/QC procedures in connection with Buy America provisions to determine whether CFC was in compliance with contractual requirements. Thacher requested information from NJT and expects to finalize its review of Buy America compliance in the second quarter of 2016.	


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16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Thacher is currently reviewing payment requisitions and certified payroll reports to assess CFC's and L&R's compliance with contractual and legal requirements in the areas of procurement, prevailing wage rules, and payment to contractor and subcontractor. Crescent Consulting is reviewing documentation provided by L&R Construction via NJT as part of the DBE Compliance portion of Thacher's workplan. These reviews are ongoing and Thacher and Crescent are requesting additional documentation, requesting attendance at upcoming construction progress meetings, and requesting to perform one or more site visit(s) during the second quarter of 2016.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Thacher deferred additional document requests for review of payment requisitions for periods subsequent to December 2015 as on-site work was suspended during the first quarter of 2016. Construction work is anticipated to resume in April 2016 at which point Thacher will resume its review of payment requisitions.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher is reviewing various regulatory filings and supporting documentation pertinent to certified payroll reports submitted by L&R, prevailing wage requirements, and DBE utilization reports. Thacher has not identified any indicators of fraud, waste, or abuse for the quarter ending March 31, 2016.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	For the period January 1, 2016 through March 31, 2016, Thacher expended 106.25 hours (see Comments for breakdown) and no expenses. This includes site and office visits, document reviews, and preliminary follow-up discussions with NJT. Additionally, Thacher filed prior quarterly reports with the Department of the Treasury for the quarters ended December 31, 2015, September 30, 2015, and June 30, 2015.	Angela Della Universita, Senior Consultant - 14.25 Bradley J. Breslin, Program Manager - 2.25 Chris Ward, Senior Consultant - 3.25 Jose L. Velazquez, Project Manager - 41.00 Kenneth J. Casado, Program Manager - 0.25 Richard Vermeulen, Program Manager - 1.25 Hector Calderon, Principal (DBE) - 40.00 Angel Sepulveda, Senior Consultant (DBE) - 4.00
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

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No.	Recipient Data Elements	Response	Comments
	Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Bradley J. Breslin, Director of Operations Signature:  Date: July 1, 2016		