

SECURITIES DATABASE

Monthly Comma-delimited quoted-data text file

Below are data fields for company/securities that must be sent to the Department in compliance with this RFP.

The below fields are all kept track of as mentioned in Exhibit J.

The file sent should be a comma delimited format and sent at the end of each month.

<u>DATA FIELDS</u>	<u>FORMAT</u>
1. Company Tax I.D. Number	99-9999999
2. Company Name	
3. Account Number – a nine (9) digit number assigned by the bank	999999999
4. Account Name & Description: This block of text needs to contain the following, in the order listed: <ul style="list-style-type: none"> • Security Description • A comma • A space • Interest Rate (xx.xxx%) • A space • The word "due" • A space • Due (Maturity) Date (mm/dd/yyyy) • A space • The text "-Registered-" • A space • The corresponding block of text that is used as account names (see Exhibit J-1). You must include the company name 	
5. Par Value Dollar Amount	99999999.99
6. Month End Date – the month end date this data represents	MM/DD/YYYY
7. Securities Order Number – the order the line appears on the monthly financial statement	

Repeat the above when there are multiple securities deposited by the company.

Office of Solvency Regulation will upload this data into their Custodian Tracker database at the beginning of each month to update the database after first deleting the old records in the table.

INSURANCE CUSTODY RFP 2023